

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Marks, Emily C.	2. Court or Organization U.S. District Court for the Middle District of Alabama	3. Date of Report 05/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse One Church Street Montgomery, Alabama 36104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Self-employed (staffing executive)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Joe Perkins	Hunt Fee	\$595.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. ServisFirst (cash)	A	Interest	L	T					
3. Wells Fargo (cash)	A	Interest	J	T					
4. ServisFirst JobMarks, Inc. Operating Account (cash)		None	M	T					
5. ServisFirst JobMarks, Inc. Payroll Account (cash)		None	K	T					
6. Rapid-Paycard Account JobMarks, Inc. (cash)		None	J	T					
7. ServisFirst Zeldamarks, LLC Cash Account (cash)		None	K	T					
8. JobMarks, Inc.	G	Dividend	M	U					
9. Rental Property #1, Montgomery, Alabama	F	Rent	N	W					
10. Account #1 (H)									
11. Fidelity Government Cash Reserves Account (FDRXX) (cash equiv) (Y)									
12. Fidelity Total Mkt Index Premium Cl (FSKAX)	B	Dividend	M	T					
13. Fidelity Contrafund (FCNTX)	E	Dividend	M	T	Buy (add'l)	03/17/20	L		
14. Fidelity Strategic Dividend & Income (FSDIX)	B	Dividend	K	T					
15. Amana Mutual Trust Fund Growth (AMAGX)	C	Dividend	L	T					
16. Oakmark Intl Investor Cl (OAKIX)		None			Sold	06/19/20	K	A	
17. Janus Henderson Triton T (JATTX)	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. MFS International Diversification Fd A (MDIDX)	A	Dividend	K	T	Buy	06/23/20	K		
19. Laudus International Marketmasters Inv Cl (SWOIX)	C	Dividend	L	T					
20. Fidelity Total Bond (FTBFX)	B	Dividend	K	T					
21. Loomis Sayles Bond Retail Shares (LSBRX)	A	Dividend	K	T					
22. TCW Total Return Bond Class N (TGMNX)	A	Dividend	J	T					
23. TCW Core Fixed Income Class N (TGFNX)	B	Dividend	K	T					
24. Thompson Bond Fund (THOPX)	B	Dividend	K	T					
25. Account #2 (H)									
26. Fidelity Government Cash Reserves (FDRXX) (cash equiv) (Y)									
27. Fidelity Low Priced Stock (FLPSX)	B	Dividend	J	T					
28. Janus Henderson Triton T (JATTX)	A	Dividend	K	T					
29. Fidelity US Bond Index Fund (FXNAX)	A	Dividend	J	T	Buy	01/09/20	J		
30. Account #3 (H)									
31. Vanguard 500 Index Fund Adm (VFIAX)	B	Dividend	L	T					
32. Account #4 (H)									
33. Fidelity Government Cash Reserves (FDRXX) (cash equiv) (Y)									
34. Fidelity Intl Enhanced Idex Fund (FIENX)	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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35. Amana Mutual Fund Trust Growth (AMAGX)	B	Dividend	K	T					
36. Fidelity US Bond Index Fund (FXNAX)	A	Dividend	K	T	Buy	01/09/20	K		
37. Fidelity Total Bond (FTBFX)	A	Dividend	J	T					
38. Pimco Income Fund CI A (PONAX)	A	Dividend	J	T					
39. Account #5 (H)									
40. Fidelity Government Cash Reserves (FDRXX) (cash equiv) (Y)									
41. Fidelity Contrafund (FCNTX)	D	Dividend	M	T					
42. Artisan Internatl Investor Class (ARTIX)	A	Dividend	K	T					
43. Fidelity US Bond Index Fund (FXNAX)	B	Dividend	L	T	Buy	01/09/20	L		
44. Account #6 (H)									
45. Fidelity Government Cash Reserves (FDRXX) (cash equiv)	A	Dividend	J	T					
46. Fidelity 500 Index Fund (FXAIX)	A	Dividend	K	T	Buy (add'l)	03/17/20	J		
47. Amana Mutual Fund Trust Growth (AMAGX)	B	Dividend	K	T					
48. Artisan Internatl Investor Class (ARTIX)	A	Dividend	K	T					
49. Janus Henderson Triton T (JATTX)	B	Dividend	K	T					
50. Fidelity US Bond Index Fund (FXNAX)	C	Dividend	M	T	Buy	01/09/20	M		
51. Fidelity Total Bond (FTBFX)	A	Dividend	J	T					

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52. Eaton Vance Floating Rate Advantage Cl A (EAFAX)	A	Dividend	K	T					
53. Janus Henderson Short-Term Bond T (JASBX)	A	Dividend	K	T					
54. Pimco Income Fund Cl A (PONAX)	A	Dividend	K	T					
55. Thompson Bond Fund (THOPX)	B	Dividend	K	T					
56. Account #7 (H)									
57. Fidelity Government Money Market Fund (SPAXX) (cash equiv)	A	Dividend	N	T					
58. Fidelity 500 Index Fund (FXAIX)	D	Dividend	O	T	Buy (add'l)	07/13/20	J		
59.					Buy (add'l)	08/11/20	J		
60.					Buy (add'l)	09/11/20	J		
61.					Buy (add'l)	10/13/20	J		
62.					Buy (add'l)	11/12/20	J		
63.					Buy (add'l)	12/11/20	J		
64. Fidelity Small Cap Index Fund (FSSNX)	B	Dividend	M	T					
65. Fidelity Mid Cap Index Fund (FSMDX)	D	Dividend	M	T					
66. OakMark Intl Investor Cl (OAKIX)		None			Sold	06/19/20	L		
67. MFS International Diversification FD A (MDIDX)	B	Dividend	M	T	Buy (add'l)	06/23/20	L		
68. Vanguard Health Care Investor (VGHCX)	C	Dividend	K	T	Sold (part)	10/19/20	K	E	

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69. Fidelity US Bond Index Fund (FXNAX)	C	Dividend	M	T	Buy	01/09/20	M		
70. BlackRock Strategic Muni Opprotunities A (MEMTX)	B	Dividend	L	T					
71. Account #8 (H)									
72. Accenture PLC (ACN)	A	Dividend	J	T					
73. Chubb Ltd (CB) (Y)									
74. AT&T Inc (T) (Y)									
75. Abbott Laboratories (ABT)	A	Dividend	J	T					
76. Abbvie Inc. (ABBV)	A	Dividend	J	T					
77. Adobe Inc Com (ADBE)		None	J	T					
78. Advanced Micro Devices Inc (AMD) (X)		None	J	T					
79. Alphabet Inc Cl C (GOOG)		None	J	T	Buy (add'l)	03/04/20	J		
80.					Sold (part)	04/06/20	J		
81. Alphabet Inc Cl A (GOOGL)		None	J	T	Buy (add'l)	03/04/20	J		
82. Amazon.Com Inc (AMZN)		None	J	T	Buy (add'l)	03/04/20	J		
83. Amgen Inc (AMGN) (X)	A	Dividend	J	T					
84. Apple Inc (AAPL)	A	Dividend	K	T					
85. Applied Materials Inc Com (AMAT) (X)	A	Dividend	J	T					

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86. Bank of America Corp (BAC)	A	Dividend	J	T	Sold	04/06/20	J		
87.					Buy	05/06/20	J		
88. Berkshire Hathaway Inc Del Cl B New (BRKB)		None	J	T	Sold (part)	04/06/20	J		
89.					Sold	05/06/20	J		
90.					Buy	06/16/20	J		
91. Blackrock Inc Com (BLK) (X)	A	Dividend	J	T					
92. Boeing Co Com US (BA)	A	Dividend	J	T	Buy	01/27/20	J		
93.					Sold (part)	03/04/20	J		
94. Booking Hldgs Inc Com (BA)		None			Buy	02/27/20	J		
95.					Sold	04/06/20	J		
96. Bristol Myers Squibb (BMY)	A	Dividend	J	T					
97. Broadcom Inc (AVGO)	A	Dividend	J	T					
98. Charter Communications Inc (CHTR) (X)		None	J	T					
99. Chevron Corp New Com (CVX)	A	Dividend			Sold	04/06/20	J		
100. Cisco Sys Inc Com (CSCO)	A	Dividend	J	T	Sold	02/27/20	J		
101.					Buy	04/06/20	J		
102. Citigroup Inc (C) (Y)									

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2 Value Codes (See Columns C1 and D3)					
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103. Coca Cola Co (KO)	A	Dividend	J	T					
104. Comcast Corp New Cl A (CMCSA)	A	Dividend	J	T					
105. Costco Corp (COST)	A	Dividend	J	T					
106. Danaher Corp (DHR)	A	Dividend	J	T					
107. Disney Walt Co (DIS)	A	Dividend	J	T					
108. Emerson Electric Co (EMR) (X)	A	Dividend	J	T					
109. Exxon Mobil (XOM)	A	Dividend	J	T	Buy	04/06/20	J		
110.					Sold	09/24/20	J		
111.					Buy	10/27/20	J		
112. Facebook Inc Com (FB)		None	J	T					
113. First Republic Bank San Francisco Com (FRC) (X)	A	Dividend	J	T					
114. General Electric Co. (GE) (Y)									
115. General Motors Co Com (GM) (X)		None	J	T					
116. Global Payments Inc (GRN) (X)		None	J	T					
117. Home Depot Inc Com (HD)	A	Dividend	J	T					
118. Honeywell International Inc. COM USD1 (HON)	A	Dividend			Sold	04/06/20	J		
119. Intel Corp (INTC)	A	Dividend	J	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Marks, Emily C.

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Intl Business Mach (IBM)	A	Dividend			Sold	04/06/20	J		
121. Intuit.com (INTU)	A	Dividend	J	T					
122. JP Morgan Chase & Co. (JPM)	A	Dividend	J	T	Sold	04/06/20	J		
123.					Buy	05/06/20	J		
124. Johnson & Johnson (JNJ)	A	Dividend	J	T					
125. Lam Research Corp Com (LRCX) (X)	A	Dividend	J	T					
126. Eli Lilly and Co. (LLY)	A	Dividend	J	T					
127. Lockheed Martin Corp (LMT)	A	Dividend	J	T					
128. MSCI Inc Com (MSCI) (X)	A	Dividend	J	T					
129. Mastercard Incorporated Cl A (MA)	A	Dividend	J	T					
130. McDonalds Corp (MCD) (Y)									
131. Merck & Co Inc New Com (MRK)	A	Dividend	J	T					
132. Mettler-Toledo International Inc (MTD) (X)		None	J	T					
133. Microsoft Corp (MSFT)	A	Dividend	J	T					
134. Micron Technology (MU)		None	J	T					
135. Mondelez Intl. Inc. (MDLZ)	A	Dividend	J	T					
136. Moodys Corp Com (MCO) (X)	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Marks, Emily C.

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Netflix Com Inc Com (NFLX)		None	J	T					
138. Nike Inc. (NKE)	A	Dividend	J	T					
139. Northrop Grumman Corp (NOC)	A	Dividend	J	T					
140. Nvidia Corp (NVDA) (X)	A	Dividend	J	T					
141. Oracle Corp Com (ORCL) (Y)									
142. Paypal Hldgs Inc Com (PYPL)		None	J	T					
143. Pepsico Inc (PEP)	A	Dividend	J	T					
144. Pfizer Inc (PFE)	A	Dividend	J	T	Sold	02/27/20	J		
145.					Buy	04/06/20	J		
146. Procter & Gamble Co Com (PG)	A	Dividend	J	T					
147. Prudential Financial Inc (PRU) (X)	A	Dividend	J	T					
148. Qualcomm Inc (QCOM) (X)	A	Dividend	J	T					
149. Regions Financial Corp New Com (RF) (X)	A	Dividend	J	T					
150. S&P Global Inc Com (SPGI) (X)	A	Dividend	J	T					
151. SVB Financial Group Com (SIVB) (X)		None	J	T					
152. Salesforce Com Inc (CRM)		None	J	T					
153. Schlumberger Limited (SLB) (Y)									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Marks, Emily C.

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Southern Co (SO) (Y)									
155. Starbucks (SBUX)	A	Dividend	J	T					
156. Stryker Corp (SYK) (Y)									
157. Target (TGT)	A	Dividend	J	T					
158. Tesla Inc Com (TSLA) (X)		None	J	T					
159. Texas Instruments (TXN) (Y)									
160. Thermo Fisher Scientific (TMO)	A	Dividend	J	T					
161. 3M Co (MMM) (X)	A	Dividend	J	T					
162. US Bancorp (USB) (Y)									
163. Union Pacific Corp (UNP)	A	Dividend	J	T					
164. United Technologies Corp Com (UTX) (Y)									
165. Unitedhealth Group (UNH)	A	Dividend	J	T					
166. Verizon Communications (VZ)	A	Dividend	J	T					
167. Visa Inc Com Cl A (V)	A	Dividend	J	T					
168. Walmart Inc Com (WMT)	A	Dividend	J	T					
169. Wells Fargo Co New Com (WFC)		None			Sold	03/04/20	J		
170. American Towers Corp. (AMT)	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Marks, Emily C.

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Prologis Inc Com (PLD) (X)	A	Dividend	J	T					
172. Account #9 (H)									
173. Federated Hermes Cap Reserves (cash) (X)	A	Interest	J	T					
174. Infimary Health Sys Spl Care Facs Fing Auth Mob Ala [REDACTED]	A	Interest			Redeemed	02/03/20	K		
175. Prattville Ala Wtr Wks Brd Wtr Rev Bds 2018 [REDACTED]	A	Interest	K	T					
176. Troy Ala Pub Edl Bldg Auth Edl Facs Rev Educ Fac [REDACTED]	A	Interest			Redeemed	12/01/20	J		
177. Account #10 (H)									
178. Alabama's 529 Vanguard Age-Based Aggressive Portfolio 9-10		None			Sold	05/06/20	M		
179. Alabama's 529 Vanguard Age-Based Aggressive Portfolio 11-12		None	M	T	Buy	05/06/20	M		
180. Account #11 (H)									
181. Alabama's 529 Vanguard Age-Based Aggressive Portfolio		None	M	T					
182. Account #12 (H)									
183. Fidelity Contrafund (FCNTX)	D	Dividend	M	T	Buy (add'l)	03/17/20	K		
184. Fidelity Low Priced Stock (FLPSX)	B	Dividend	J	T	Sold (part)	01/23/20	K	D	
185. Ishares Core S&P 500 ETF (IVV)	A	Dividend	K	T	Buy (add'l)	01/31/20	J		
186. Account #13 (H)									
187. Fidelity Contrafund (FCNTX)	D	Dividend	M	T	Buy (add'l)	01/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	03/17/20	K		
189. Fidelity Low Priced Stock (FLPSX)	A	Dividend	J	T	Sold (part)	01/23/20	K	B	
190. Ishares Core S&P 500 ETF (IVV)	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
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Name of Person Reporting

Marks, Emily C.

Date of Report

05/10/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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Name of Person Reporting

Marks, Emily C.

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05/10/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Emily C. Marks**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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