

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stewart, Daniel J.	2. Court or Organization United States District Court, Northern District of New York	3. Date of Report 05/11/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full Term	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court, Northern District of New York James T Foley US Courthouse 445 Broadway Albany, NY 12207		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Faculty Member	Albany Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Stewart, Daniel J.	05/11/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SEFCU	A	Int./Div.	J	T					
2. 1/4 Interest in Property - Jay, NY Assessed at \$65,000		None	L	S					
3. Hickory Hill Ski Center, Inc Stock		None	J	U					
4. Manning and Napier, IRA, Pro Blend Extended Term Series S	A	Dividend							
5.					Sold	10/26/20	O	G	
6. EXETER TRUST ACCT #D1278, LINES 7 TO 297 (H)									
7. -EXETER TRUST COMPANY CASH AND CASH EQUIVALENTS	A	Dividend	J	T					
8. -ACCOR SA					Buy	10/06/20	J		
9.					Sold	11/13/20	J	A	
10. -ACTIVISION BLIZZARD INC	A	Dividend	J	T					
11.					Sold (part)	06/05/20	J	A	
12.					Sold (part)	10/29/20	J	A	
13. -ADIDAS AG		None			Buy	04/20/20	J		
14.					Sold	09/24/20	J	B	
15. -ALCON AG		None	J	T					
16.					Buy (add'l)	07/16/20	J		
17. -ALIBABA GROUP HOLDINGS LTD SP ADR		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 30

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	06/04/20	J		
19.					Sold (part)	12/07/20	J	A	
20. -ALPHABET INC CL A		None	K	T					
21.					Buy (add'l)	03/16/20	J		
22.					Sold (part)	06/04/20	J	C	
23.					Buy (add'l)	11/27/20	J		
24. -ALPHABET INC CL C		None							
25.					Buy (add'l)	03/16/20	J		
26.					Sold	04/20/20	K	C	
27. -AMAZON.COM INC		None	K	T					
28.					Buy (add'l)	03/16/20	J		
29.					Sold (part)	04/20/20	J	D	
30. -AMBEV SA ADR	A	Dividend							
31.					Sold	02/06/20	J	A	
32. -AMERICAN EXPRESS CO		None	J	T	Buy	11/05/20	J		
33.					Sold (part)	12/07/20	J	A	
34. -AMERICAN TOWER CORP	A	Dividend	J	T					

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Page 6 of 30

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35. -ANHEUSER BUSCH INBEV SA	A	Dividend	J	T	Buy	01/20/20	J		
36.					Sold (part)	11/12/20	J	A	
37.					Sold (part)	11/16/20	J	A	
38.					Buy (add'l)	12/21/20	J		
39. -BERKSHIRE HATHAWAY INC CL B		None	J	T					
40.					Sold (part)	03/26/20	J	A	
41. -BIOMARIN PHARMACEUTICAL INC		None	J	T					
42.					Sold (part)	07/30/20	J	B	
43.					Buy (add'l)	08/31/20	J		
44. -BLACKROCK INC		None							
45.					Sold	02/07/20	J	A	
46. -BOOKINGS HLDGS INC		None			Buy	03/10/20	J		
47.					Buy (add'l)	03/24/20	J		
48.					Sold (part)	05/20/20	J	A	
49.					Sold	09/11/20	J	C	
50. -BP PLC	A	Dividend	J	T	Buy	02/13/20	J		
51.					Sold (part)	04/20/20	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 30

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52.					Buy (add'l)	12/03/20	J		
53. -CABOT OIL & GAS CORP	A	Dividend	J	T	Buy	03/09/20	J		
54.					Buy (add'l)	03/11/20	J		
55. -CAMECO CORP	A	Dividend	J	T	Buy	08/13/20	J		
56. -CBOE GLOBAL MARKETS INC	A	Dividend	J	T					
57.					Sold (part)	08/12/20	J	A	
58. -CDW CORP	A	Dividend	J	T	Buy	09/28/20	J		
59. -CHARTER COMMUNICATIONS INC		None	J	T	Buy	03/19/20	J		
60.					Buy (add'l)	06/04/20	J		
61. -CHEVRON CORP	A	Dividend			Buy	02/13/20	J		
62.					Buy (add'l)	03/23/20	J		
63.					Sold (part)	04/08/20	J	A	
64.					Sold	12/07/20	J	A	
65. -CME GROUP INC	A	Dividend	J	T					
66.					Sold (part)	08/12/20	J	A	
67. -COCA-COLA CO	A	Dividend	J	T					
68. -COGNEX CORP		None							

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FINANCIAL DISCLOSURE REPORT

Page 8 of 30

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69.					Sold	01/09/20	J	A	
70. -COMPASS GROUP PLC		None	J	T	Buy	07/28/20	J		
71.					Sold (part)	11/13/20	J	A	
72. -CONCHO RESOURCES INC	A	Dividend	J	T	Buy	04/21/20	J		
73.					Buy (add'l)	06/05/20	J		
74.					Sold (part)	11/13/20	J	A	
75.					Buy (add'l)	12/18/20	J		
76. -CONOCOPHILLIPS	A	Dividend	J	T	Buy	02/13/20	J		
77.					Buy (add'l)	03/23/20	J		
78. -COPART INC		None	J	T	Buy	03/31/20	J		
79.					Sold (part)	12/07/20	J	A	
80. -DIAGEO PLC	A	Dividend	J	T					
81. -DOLLAR TREE		None	J	T	Buy	01/16/20	J		
82. -EAST WEST BANCORP	A	Dividend			Buy	10/15/20	J		
83.					Sold	11/17/20	J	A	
84. -EASY JET PLC	A	Dividend							
85.					Buy (add'l)	03/20/20	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 30

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86.					Sold	05/20/20	J	A	
87. -ELECTRONIC ARTS	A	Dividend	J	T					
88.					Sold (part)	10/29/20	J	B	
89. -EOG RESOURCES INC	A	Dividend	J	T	Buy	03/13/20	J		
90. -EQT CORP		None	J	T	Buy	06/10/20	J		
91. -EQUINIX INC	A	Dividend	J	T					
92. -EXPEDIA GROUP INC	A	Dividend	K	T	Buy	03/09/20	J		
93.					Buy (add'l)	03/11/20	J		
94.					Sold (part)	04/08/20	J	A	
95.					Buy (add'l)	05/20/20	J		
96.					Sold (part)	11/27/20	J	B	
97. -EXXON MOBIL CORP	A	Dividend	J	T	Buy	04/20/20	J		
98.					Buy (add'l)	12/03/20	J		
99. -FACEBOOK		None	J	T					
100.					Buy (add'l)	03/16/20	J		
101.					Sold (part)	04/20/20	J	A	
102.					Sold (part)	09/03/20	J	B	

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103.					Buy (add'l)	11/27/20	J		
104. -FMC CORP	A	Dividend	J	T	Buy	04/20/20	J		
105. -GRAPHIC PACKAGING HOLDING CO	A	Dividend	J	T					
106.					Buy (add'l)	03/05/20	J		
107. -HALLIBURTON CO	A	Dividend			Buy	01/17/20	J		
108.					Sold (part)	02/13/20	J	A	
109.					Sold	03/11/20	J	A	
110. -HEINEKEN N V SPN		None	J	T	Buy	10/27/20	J		
111. -HILTON INC		None	J	T	Buy	07/22/20	J		
112.					Sold (part)	11/18/20	J	B	
113. -IDEXX LABORATORIES INC		None	J	T	Buy	11/13/20	J		
114. -INCYTE CORP		None	J	T					
115. -INDUSTRIA DE DISENO TEXTIL SA		None							
116.					Sold (part)	02/14/20	J	A	
117.					Sold (part)	03/02/20	J	A	
118.					Sold	03/19/20	J	A	
119. -INSPERITY INC	A	Dividend	J	T	Buy	06/23/20	J		

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120.					Buy (add'l)	07/10/20	J		
121.					Sold (part)	12/07/20	J	A	
122. -INTERCONTINENTAL EXCHANGE INC	A	Dividend	J	T					
123.					Buy (add'l)	08/12/20	J		
124. -INTERCONTINENTAL HOTELS GROUP		None			Buy	07/31/20	J		
125.					Sold	11/13/20	J	A	
126. -LULULEMON ATHLETICA INC		None							
127.					Sold (part)	02/07/20	J	D	
128.					Sold (part)	03/02/20	J	B	
129.					Buy (add'l)	03/19/20	J		
130.					Sold (part)	06/11/20	J	C	
131.					Sold	09/03/20	J	C	
132. -MANNING & NAPIER NEW DISPLND VLU	A	Dividend	K	T					
133.					Buy (add'l)	03/18/20	J		
134.					Buy (add'l)	06/17/20	J		
135.					Buy (add'l)	09/21/20	J		
136.					Buy (add'l)	12/18/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 12 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -MANNING & NAPIER FUND INC NEW HIGH YIELD	A	Dividend	K	T					
138.					Buy (add'l)	03/18/20	J		
139.					Buy (add'l)	03/26/20	J		
140.					Buy (add'l)	06/17/20	J		
141.					Buy (add'l)	09/21/20	J		
142.					Buy (add'l)	12/18/20	J		
143. -MANNING & NAPIER FUND INC NEW NEW YORK TAX EXEMPT SERIES FUND	B	Dividend	M	T					
144.					Sold (part)	01/28/20	K	A	
145.					Buy (add'l)	03/06/20	K		
146.					Buy (add'l)	03/18/20	J		
147.					Buy (add'l)	06/17/20	J		
148.					Buy (add'l)	09/18/20	J		
149.					Buy (add'l)	12/16/20	J		
150. -MANNING & NAPIER FUND INC NEW REAL ESTATE SERIES	A	Dividend	K	T					
151.					Buy (add'l)	09/21/20	J		
152.					Buy (add'l)	12/18/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 13 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
153. -MANNING & NAPIER FD - NEW OVERSEAS SER CL S	A	Dividend	K	T					
154.					Buy (add'l)	12/18/20	J		
155. -MANNING & NAPIER FD NEW UNCONSTRAIN D	C	Dividend	L	T					
156.					Buy (add'l)	03/19/20	J		
157.					Buy (add'l)	06/17/20	J		
158.					Buy (add'l)	09/21/20	J		
159.					Buy (add'l)	12/18/20	J		
160. -MARTIN MARIETTA MATERIALS INC	A	Dividend	J	T	Buy	06/26/20	J		
161. -MASTERCARD INC CL A	A	Dividend	J	T					
162.					Buy (add'l)	03/11/20	J		
163.					Sold (part)	10/29/20	J	C	
164. -MEDTRONIC PLC	A	Dividend	J	T					
165.					Sold (part)	02/13/20	J	B	
166.					Sold (part)	03/06/20	J	A	
167. -MERCK & CO INC	A	Dividend							
168.					Sold	03/05/20	J	A	
169. -MERCK KGAA		None							

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170.					Sold	04/06/20	J	A	
171. -MICRON TECHNOLOGY INC		None	J	T					
172.					Sold (part)	11/27/20	J	B	
173.					Sold (part)	12/07/20	J	B	
174. -MICROSOFT CORP	A	Dividend	J	T					
175.					Sold (part)	01/06/20	J	C	
176.					Buy (add'l)	03/19/20	J		
177.					Sold (part)	04/23/20	J	C	
178. -MONDELEZ INTERNATIONAL INC	A	Dividend	J	T					
179. -MOODYS CORP	A	Dividend	J	T					
180.					Sold (part)	03/02/20	J	A	
181. -NESTLE SA	A	Dividend	J	T					
182.					Sold (part)	10/29/20	J	A	
183. -NEXON CO LTD NPV	A	Dividend	J	T					
184.					Sold (part)	06/02/20	J	B	
185.					Sold (part)	07/22/20	J	B	
186.					Sold (part)	12/08/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187. -NIKE INC CL B		None			Buy	03/20/20	J		
188.					Sold	04/29/20	J	B	
189. -NIKON CORP	A	Dividend	J	T	Buy	03/19/20	J		
190. -NORFOLK SOUTHERN CORP	A	Dividend	J	T	Buy	11/05/20	J		
191. -NOVARTIS AG NAMEN SPON ADR	A	Dividend	J	T					
192. -NVIDIA CORP	A	Dividend							
193.					Sold (part)	02/13/20	J	B	
194.					Sold	04/22/20	J	B	
195. -OCEANEERING INTERNATIONAL INC		None							
196.					Buy (add'l)	01/02/20	J		
197.					Sold	03/18/20	J	A	
198. -PAYPAL HOLDINGS INC		None	J	T					
199.					Buy (add'l)	03/27/20	J		
200.					Sold (part)	12/07/20	J	B	
201. -PEPSICO INC	A	Dividend	J	T					
202.					Sold (part)	03/06/20	J	B	
203. -PIONEER NATURAL RESOURCES CO	A	Dividend	J	T	Buy	04/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
204.					Buy (add'l)	06/05/20	J		
205. -QUEBECOR INC CL B		None							
206.					Sold	03/04/20	J	A	
207. -ROYAL DUTCH SHELL PLC	A	Dividend	J	T	Buy	02/13/20	J		
208. -RYANAIR HOLDINGS PLC SP ADR		None	J	T					
209.					Buy (add'l)	03/20/20	J		
210.					Sold (part)	12/07/20	J	B	
211. -S&P GLOBAL INC	A	Dividend	J	T					
212.					Sold (part)	03/02/20	J	A	
213. -SALESFORCE.COM INC		None	J	T	Buy	03/16/20	J		
214.					Buy (add'l)	03/19/20	J		
215.					Sold (part)	09/03/20	J	C	
216. -SBA COMMUNICATIONS CORP	A	Dividend	J	T					
217. -SEA LTD ADR		None	J	T					
218.					Sold (part)	01/06/20	J	B	
219. -SEATTLE GENETICS INC		None							
220.					Sold	02/18/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
221. -SERVICENOW INC		None	J	T					
222.					Sold (part)	02/06/20	J	B	
223.					Sold (part)	02/07/20	J	B	
224.					Sold (part)	03/02/20	J	A	
225.					Buy (add'l)	03/19/20	J		
226.					Sold (part)	11/06/20	J	B	
227. -SCHLUMBERGER LTD	A	Dividend	J	T	Buy	01/17/20	J		
228.					Sold (part)	02/13/20	J	A	
229. -SHAW COMMUNICATIONS INC B	A	Dividend							
230.					Sold	03/02/20	J	A	
231. -SONY CORP SPONSORED ADR	A	Dividend	J	T					
232.					Sold (part)	04/16/20	J	A	
233.					Sold (part)	05/22/20	J	A	
234.					Buy (add'l)	12/09/20	J		
235. -SYSCO CORP		None			Buy	07/07/20	J		
236.					Sold	09/23/20	J	A	
237. -TENCENT HOLDINGS LTD	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
238.					Sold (part)	07/29/20	J	B	
239. -THE WALT DISNEY CO		None			Buy	03/17/20	J		
240.					Sold	06/04/20	J	A	
241. -THERMO FISHER SCIENTIFIC INC	A	Dividend	J	T	Buy	03/25/20	J		
242.					Sold (part)	12/07/20	J	B	
243. -TOTAL SE	A	Dividend	J	T	Buy	02/13/20	J		
244.					Buy (add'l)	03/24/20	J		
245.					Sold (part)	12/04/20	J	A	
246.					Sold (part)	12/07/20	J	A	
247. -TRANSOCEAN LTD		None			Buy	01/17/20	J		
248.					Sold	02/07/20	J	A	
249. -TSY INFL IX N/B 0.625% 02/15/2043	A	Interest							
250.					Sold	04/01/20	J	B	
251. -TSY INFL IX N/B 1.125% 01/15/2021	A	Interest							
252.					Sold	04/20/20	J	A	
253. -US TREASURY INFL IX N/B 0.125% 01/15/2022	A	Interest							
254.					Sold	04/17/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
255. -US TREASURY INFL IX N/B 0.125% 04/15/2025	A	Interest	J	T	Buy	06/08/20	J		
256. -UNILEVER PLC ADR AMER SHS SPON	A	Dividend	J	T					
257.					Buy (add'l)	03/19/20	J		
258. -US TREASURY BOND 3.00% 05/15/2047	A	Interest	K	T					
259. -US TREASURY BOND 2.50% 02/15/2045	A	Interest							
260.					Sold	04/06/20	J	C	
261. -US TREASURY BOND 4.75% 02/15/2037	A	Interest							
262.					Sold	03/19/20	J	B	
263. -US TREASURY BOND 3.50% 02/15/39	A	Interest	K	T					
264. -US TREASURY BOND 3.875% 08/15/2040	A	Interest	J	T	Buy	11/13/20	J		
265. -US TREASURY NOTE 2.625% 02/15/2029	A	Interest							
266.					Sold	03/16/20	J	A	
267. -US TREASURY NOTE 2.00% 08/15/2025	B	Interest	K	T					
268.					Sold (part)	01/16/20	K	A	
269.					Buy (add'l)	01/29/20	J		
270.					Buy (add'l)	02/20/20	K		
271.					Sold (part)	03/05/20	K	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
272.					Sold (part)	03/10/20	K	B	
273.					Sold (part)	03/16/20	K	A	
274.					Sold (part)	03/23/20	J	A	
275.					Sold (part)	03/24/20	K	A	
276.					Sold (part)	04/02/20	K	A	
277.					Buy (add'l)	05/01/20	K		
278.					Sold (part)	07/15/20	K	A	
279. -US TREASURY NOTE 2.50% 008/15/2023	A	Interest			Buy	04/23/20	L		
280.					Sold (part)	07/07/20	K	A	
281.					Sold	07/15/20	K	A	
282. -US TREASURY NOTE 1.5% 08/15/26	A	Interest	K	T	Buy	09/29/20	K		
283. -US TREASURY INFL IX N/B 0.125% 01/15/2022	A	Interest							
284.					Sold	04/17/20	J	A	
285. -US TREASURY TIPS 0.5% 04/15/2024	A	Interest							
286.					Sold	08/14/20	J	A	
287. -US TEASURY TIPS 2.00% 01/15/2026	A	Interest							
288.					Sold	12/15/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 21 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
289. -VERTEX PHARMACEUTICALS INC		None	J	T					
290.					Sold (part)	02/14/20	J	B	
291.					Buy (add'l)	10/29/20	J		
292. -VISA INC CL A	A	Dividend	K	T					
293.					Buy (add'l)	03/11/20	J		
294.					Sold (part)	10/29/20	J	B	
295. -VULCAN MATERIALS CO	A	Dividend	J	T	Buy	06/26/20	J		
296. -WR BERKLEY CORP	A	Dividend	J	T	Buy	07/23/20	J		
297. -ZOETIS INC		None	J	T	Buy	11/13/20	J		
298. EXETER TRUST ACCT#D4251 LINES 299 TO 413 (H)									
299. -CASH AND CASH EQUIVALENTS	A	Dividend	J	T					
300. -ACCOR SA		None			Buy	10/29/20	J		
301.					Sold	11/13/20	J	A	
302. -ACTIVISION BLIZZARD INC		None	J	T	Buy	10/29/20	J		
303. -ALCON INC		None	J	T	Buy	10/29/20	J		
304. -ALIBABA GROUP		None	J	T	Buy	10/29/20	J		
305. -ALPHABET INC CL A		None	J	T	Buy	10/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
306. -AMAZON.COM INC		None	J	T	Buy	10/29/20	J		
307. -AMERICAN EXPRESS CO		None	J	T	Buy	11/05/20	J		
308. -AMERICAN TOWER CORP		None	J	T	Buy	10/29/20	J		
309. -ANHEUSER BUSCH INBEV SA		None	J	T	Buy	10/30/20	J		
310.					Sold (part)	11/12/20	J	A	
311. -BERKSHIRE HATHAWAY INC CL B		None	J	T	Buy	10/29/20	J		
312. -BIOMARIN PHARMACEUTICAL INC		None	J	T	Buy	10/29/20	J		
313. -BP PLC		None	J	T	Buy	12/03/20	J		
314. -CABOT OIL & GAS CORP	A	Dividend	J	T	Buy	10/29/20	J		
315. -CAMECO CORP	A	Dividend	J	T	Buy	10/29/20	J		
316. -CBOE GLOBAL MARKETS INC	A	Dividend	J	T	Buy	10/29/20	J		
317. -CDW CORP OF DELAWARE	A	Dividend	J	T	Buy	10/29/20	J		
318. -CHARTER COMMUNICATIONS INC CL A		None	J	T	Buy	10/29/20	J		
319. -CHEVRON CORP	A	Dividend			Buy	10/29/20	J		
320.					Sold	12/03/20	J	A	
321. -CME GROUP INC	A	Dividend	J	T	Buy	10/29/20	J		
322. -COCA-COLA CO	A	Dividend	J	T	Buy	10/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
323. -COMPASS GROUP PLC		None	J	T	Buy	10/29/20	J		
324.					Sold (part)	11/13/20	J	A	
325. -CONCHO RESOURCES INC	A	Dividend	J	T	Buy	10/29/20	J		
326. -CONOCOPHILLIPS		None	J	T	Buy	10/29/20	J		
327. -COPART INC		None	J	T	Buy	10/29/20	J		
328. -DIAGEO PLC		None	J	T	Buy	10/30/20	J		
329. -DOLLAR TREE INC		None	J	T	Buy	10/29/20	J		
330. -EAST WEST BANCORP INC	A	Dividend			Buy	10/29/20	J		
331.					Sold	11/17/20	J		
332. -ELECTRONIC ARTS	A	Dividend	J	T	Buy	10/29/20	J		
333. -EOG RESOURCES INC		None	J	T	Buy	10/29/20	J		
334. -EQT CORP		None	J	T	Buy	10/29/20	J		
335. -EQUINIX INC	A	Dividend	J	T	Buy	10/29/20	J		
336. -EXPEDIA GROUP INC COM NEW		None	J	T	Buy	10/29/20	J		
337.					Sold (part)	11/27/20	J		
338. -EXXON MOBIL CORP	A	Dividend	J	T	Buy	10/29/20	J		
339.					Buy (add'l)	12/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
340. -FACEBOOK INC-A		None	J	T	Buy	10/29/20	J		
341.					Buy (add'l)	11/27/20	J		
342. -FMC CORP		None	J	T	Buy	10/29/20	J		
343. -GRAPHIC PACKAGING HOLDING CO		None	J	T	Buy	10/29/20	J		
344. -HEINEKEN NV SPN		None	J	T	Buy	10/29/20	J		
345. -HILTON INC		None	J	T	Buy	10/29/20	J		
346.					Sold (part)	11/18/20	J	A	
347. -IDEXX LABORATORIES INC		None	J	T	Buy	11/13/20	J		
348. -INCYTE CORP		None	J	T	Buy	10/29/20	J		
349. -INSPERITY INC	A	Dividend	J	T	Buy	10/29/20	J		
350. -INTERCONTINENTAL EXCHANGE INC	A	Dividend	J	T	Buy	10/29/20	J		
351. -INTERCONTINENTAL HOTELS GROUP		None			Buy	10/29/20	J		
352.					Sold	11/13/20	J	A	
353. -JOHNSON & JOHNSON	A	Dividend	J	T	Buy	10/29/20	J		
354. -MANNING & NAPIER FD INC NEW CORE BOND	A	Dividend	L	T	Buy	10/29/20	L		
355.					Buy (add'l)	12/18/20	J		
356. -MANNING & NAPIER FD INC NEW DISPLND VLU	A	Dividend	J	T	Buy	10/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
357.					Buy (add'l)	12/18/20	J		
358. -MANNING & NAPIER FD INC NEW HIGH YIELD	A	Dividend	J	T	Buy	10/29/20	J		
359.					Buy (add'l)	12/18/20	J		
360. -MANNING & NAPIER RD INC NEW OVRSES SERIS	A	Dividend	K	T	Buy	10/29/20	K		
361.					Buy (add'l)	12/18/20	J		
362. -MANNING & NAPIER FD INC REAL ESTATE	A	Dividend	K	T	Buy	10/29/20	K		
363.					Buy (add'l)	12/18/20	J		
364. -MANNING & NAPIER FD INC NEW UNCONSTRAIND	B	Dividend	L	T	Buy	10/29/20	L		
365.					Buy (add'l)	12/18/20	J		
366. -MARTIN MARIETTA MATERIALS INC	A	Dividend	J	T	Buy	10/29/20	J		
367. -MASTERCARD INC CL A		None	J	T	Buy	10/29/20	J		
368. -MEDTRONIC PLC		None	J	T	Buy	10/29/20	J		
369. -MICRON TECHNOLOGY INC		None	J	T	Buy	10/29/20	J		
370.					Sold (part)	11/27/20	J	A	
371.					Sold (part)	12/03/20	J	A	
372. -MICROSOFT CORP	A	Dividend	J	T	Buy	10/29/20	J		
373. -MONDELEZ INTERNATIONAL		None	J	T	Buy	10/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
374. -MOODYS CORP	A	Dividend	J	T	Buy	10/29/20	J		
375. -NESTLE SA		None	J	T	Buy	10/30/20	J		
376. -NEXON CO LTD NPV		None	J	T	Buy	10/30/20	J		
377. -NIKON CORP		None	J	T	Buy	10/30/20	J		
378. -NORFOLK SOUTHERN CORP	A	Dividend	J	T	Buy	11/05/20	J		
379. -NOVARTIS AG		None	J	T	Buy	10/29/20	J		
380. -PAYPAL HOLDINGS		None	J	T	Buy	10/29/20	J		
381. -PEPSICO INC		None	J	T	Buy	10/29/20	J		
382. -PIONEER NATURAL RESOURCES CO		None	J	T	Buy	10/29/20	J		
383. -ROYAL DUTCH SHELL PLC	A	Dividend	J	T	Buy	10/29/20	J		
384. -RYANAIR HOLDINGS PLC		None	J	T	Buy	10/29/20	J		
385.					Sold (part)	11/27/20	J	A	
386.					Sold (part)	12/16/20	J	A	
387. -S&P GLOBAL INC	A	Dividend	J	T	Buy	10/29/20	J		
388. -SALESFORCE.COM INC		None			Buy	10/29/20	J		
389.					Sold	12/10/20	J	A	
390. -SBA COMMUNICATIONS CORP	A	Dividend	J	T	Buy	10/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
391. -SCHLUMBERGER LTD		None	J	T	Buy	10/29/20	J		
392. -SEA LTD		None	J	T	Buy	12/31/20	J		
393. -SERVICENOW INC		None	J	T	Buy	10/29/20	J		
394. -SONY CORP		None	J	T	Buy	12/09/20	J		
395. -TENCENT HOLDINGS LTD		None	J	T	Buy	10/29/20	J		
396. -THERMO FISHER SCIENTIFIC INC		None	J	T	Buy	10/29/20	J		
397. -TOTAL SE		None	J	T	Buy	10/29/20	J		
398.					Sold (part)	12/04/20	J	A	
399. -UNILEVER PLC	A	Dividend	J	T	Buy	10/29/20	J		
400. -VERTEX PHARMACEUTICALS INC		None	J	T	Buy	10/29/20	J		
401. -VISA INC CL A	A	Dividend	J	T	Buy	10/29/20	J		
402. -VULCAN MATERIALS CO	A	Dividend	J	T	Buy	10/29/20	J		
403. -WR BERKLEY CORP	A	Dividend	J	T	Buy	10/29/20	J		
404. -ZOETIS INC		None	J	T	Buy	11/13/20	J		
405. -FNMA 1.875% 09/24/26		None	K	T	Buy	10/28/20	K		
406. -US TREAS TIPS 2.00% 01/15/26	A	Interest			Buy	10/28/20	J		
407.					Sold	12/14/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
408. -US TREAS BOND 3.00% 05/15/47	A	Interest	J	T	Buy	10/28/20	J		
409. -US TREAS BOND 3.50% 02/15/39		None	J	T	Buy	10/28/20	J		
410. -US TREAS BOND 3.875% 08/15/40		None	J	T	Buy	11/13/20	J		
411. -US TREAS NOTE 2.00% 08/15/25		None	J	T	Buy	10/28/20	K		
412. -US TREAS NOTE 1.50% 08/15/26		None	K	T	Buy	12/01/20	J		
413.					Buy (add'l)	12/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 29 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 30 of 30

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/11/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel J. Stewart**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544