

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) GLASSER, ISRAEL L.	2. Court or Organization UNITED STATES DISTRICT COURT	3. Date of Report 04/16/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address UNITED STATES COURTHOUSE 225 CADMAN PLAZA EAST BROOKLYN, NEW YORK 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisory Council	Hebrew Free Loan Society
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	NYS Employee's Retirement System	\$8357.16
2. 2020	TIAA Retirement Annuity	\$36000.
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	TIAA Retirement Annuity
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

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GLASSER, ISRAEL L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. AT&T (T)	D	Dividend	L	T					
2. PPL Corp. (PPL)	B	Dividend	K	T					
3. Chevron (CVX)	D	Dividend	M	T					
4. Exxon Mobil (XOM)	E	Dividend	M	T					
5. Conoco Phillips (COP)	C	Dividend	L	T					
6. Norfolk Southern (NSC)	D	Dividend	N	T					
7. Pepsico, Inc. (PEP)	D	Dividend	M	T					
8. TIAA/CREF Managed Allocation Fund	A	Dividend	M	T					
9. State of Israel	A	Interest	J	T					
10. IBM (IBM)	C	Dividend	K	T					
11. Comcast (CMCSA)	A	Dividend	K	T					
12. Verizon (VZ)	B	Dividend	K	T					
13. Southern Co. (SO)	D	Dividend	M	T					
14. Goldman Sachs 5.375%	A	Interest			Matured	03/16/20	L	A	
15. Abbott Labs (ABT)	B	Dividend	L	T					
16. Centerpoint Energy (CNP)	A	Dividend	J	T					
17. Berkshire Hathaway B (BRK'B)	A	Dividend	M	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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GLASSER, ISRAEL L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. NYS Dorm. Auth. (64990BNG4)	A	Interest	J	T					
19. New York NY GO (64966JL95)	A	Interest	J	T					
20. Johnson & Johnson (JNJ)	C	Dividend	M	T					
21. Metro. Trans. Auth. NY (59259YZB8)	A	Interest	K	T					
22. Metro. Trans. Auth. NY (59259NR70)	A	Interest	K	T					
23. Phillips 66 (PSX)	C	Dividend	L	T					
24. New York NY GO (64966LZ61)	A	Interest	K	T					
25. NYS Dorm. Auth. (64990AAR6)	A	Interest	J	T					
26. Pfizer (PFE)	B	Dividend	K	T					
27. New York NY GO (64966LSQ5)	A	Interest	J	T					
28. Brookhaven NY (113152VH2)	A	Interest	J	T					
29. NY City Transitional Fin. Auth. (64971W6Z8)	A	Interest	K	T					
30. United Parcel Service (UPS)	C	Dividend	M	T					
31. General Mills (GIS)	B	Dividend			Sold	08/28/20	K	D	
32. Merck (MRK)	B	Dividend	L	T					
33. Coca Cola (KO)	B	Dividend	L	T					
34. Walt Disney (DIS)	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐

NONE (No reportable income, assets, or transactions.)

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35. 3M Company (MMM)	B	Dividend	L	T					
36. Texas Instruments (TXN)	C	Dividend	M	T					
37. Apple (AAPL)	B	Dividend	M	T					
38. Amgen (AMGN)	C	Dividend	L	T					
39. Archer Daniels Midland (ADM)	C	Dividend	L	T					
40. Dominion (D)	B	Dividend			Sold	07/22/20	L	D	
41. Caterpillar (CAT)	B	Dividend	L	T					
42. Franklin Resources (BEN)	B	Dividend			Sold	12/02/20	L	A	
43. Cisco Systems (CSCO)	B	Dividend	L	T					
44. Cummins (CMI)	A	Dividend	K	T	Buy	03/12/20	K		
45. Emerson Electric (EMR)	A	Dividend	K	T	Buy	03/12/20	K		
46. Genuine Parts Co. (GPC)	B	Dividend	L	T	Buy	03/03/20	L		
47. Intel (INTC)	A	Dividend	L	T	Buy	07/29/20	L		
48. Viatris (VTRS)	A	Dividend	J	T	Spinoff (from line 26)	11/16/20	J		
49. Unilever (UL)	A	Dividend	K	T	Buy	03/12/20	K		
50. NY City Transitional Fin. Auth. (64971WVV9)	A	Interest	J	T					
51. Metro Trans. Auth. NY (59259YMW6)	A	Interest	K	T					

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52. Hicksville NY Water (429164CT1)	A	Interest	K	T					
53. New York NY GO (649791KW9)	A	Interest	K	T					
54. New York NY GO (64966MTD1)	A	Interest	K	T					
55. New York NY Housing (64972CUX9)	A	Interest	J	T					
56. New York NY Housing (64987BZ84)	A	Interest	K	T					
57. NY City Transitional GO (64972HXY3)	A	Interest	J	T					
58. NYS Dorm. Auth. (64990FGM0)	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ ISRAEL L. GLASSER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544