

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Perkin, Henry S.	2. Court or Organization Pennsylvania-Eastern District	3. Date of Report 03/27/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 504 Hamilton Street Suite 4401 Allentown, PA 18101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2020	Lehigh County Employee Retirement Plan with Lehigh County, Pennsylvania, former employer
2. _____	_____
3. _____	_____

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Perkin, Henry S.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Service Electric Cable T.V., Inc.; Value of cable service for permitting access to investment properties formerly owned.	\$1,625.00
2. 2020	County of Lehigh Pension	\$35,218.80
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting Perkin, Henry S.	Date of Report 03/27/2021
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Bank (Bank Accounts and CDs)	A	Interest	J	T					
2. BB&T - Bank Accounts	A	Interest	K	T					
3. PNC - Bank Accounts & CDs	A	Interest	J	T					
4. American Heritage Federal Credit Union (Bank Accounts and CDs)	C	Interest	M	T					
5. Provident Bank	A	Interest	L	T					
6. Brokerage Account #1									
7. -Intel Corporation Common Stock	A	Dividend	J	T					
8. -Orasure Common Stock	A	Dividend			Sold	05/22/20	J	A	
9. Pfizer Common Stock	A	Dividend	J	T					
10. Abercrombie & Fitch Common Stock	A	Dividend	J	T					
11. Micorosft Corp. Common Stock	A	Dividend	J	T					
12. IBM Corporation Common Stock	A	Dividend	J	T					
13. Carnival Corporation Common Stock	A	Dividend	J	T					
14. The Walt Disney Company Common Stock	A	Dividend	K	T					
15. Merck & Company, Inc. Cmmn Stock	A	Dividend	J	T					
16. Intel Corporation Common Stock	A	Dividend	J	T					
17. Xerox Corp. Common Stock	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18. American Bank Inc. Common Stock	A	Dividend	J	T					
19. American Capital Trust	A	Dividend	J	T					
20. Vanguard Value Index Fund	B	Dividend	L	T					
21. Vanguard GNMA Fund Investors Shares	B	Dividend	K	T					
22. Vanguard Intermediate Term Tax Exempt Bond Fund	A	Dividend	L	T					
23. Dodge & Cox Balanced Fund	B	Dividend	M	T					
24. Harbor International Fund	B	Dividend	M	T					
25. Lehigh County Agricultural Society Common Stock		None	J	T					
26. IRA #1									
27. - Fidelity Asset Manager 50%	D	Dividend	M	T					
28. IRA #3									
29. - Dodge & Cox Balanced Fund	A	Dividend	J	T					
30. IRA #4									
31. - Dodge & Cox Balanced Fund	A	Dividend	J	T					
32. IRA #5									
33. - TR Price Midcap Growth Fund	B	Dividend	K	T					
34. - TR Price Global Bond	B	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. - Ultra Short Term Bond	B	Dividend	K	T					
36. IRA #6									
37. Mass Mutual Life Insurance Whole Life	A	Dividend	K	T					
38. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
39. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
40. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
41. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
42. New York Life Insurance Co. Universal Life	B	Interest	K	T					
43. New York Life Insurance Co. Universal Life	A	Interest	K	T					
44. United States Savings Bonds	B	Interest	M	T					
45. Citadel Broadcasting System Common Stock	A	Dividend	J	T					
46. Cigna Corporation formerlyExpress Scripts Holding Company Common Stock		None	J	T					
47. Fidelity Charitable Gift Fund	A	Dividend	J	T					
48. Santander Bank	A	Interest	J	T					
49. IRA #9									
50. -Fidelity Gov't Money Market	A	Interest	K	T					
51. -Fidelity Low Priced Stock	A	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. -Spartan Total Market Index	A	Dividend	K	T					
53. VOYA Indexed Annuity	A	Interest	K	T					
54. Vanguard Tax Managed Balance Fund	A	Dividend	K	T					
55. Vanguard Prime Money Market	A	Interest	J	T					
56. Novartis Common Stock	A	Dividend	J	T					
57. Conduent		None	J	T					
58. Firsttrust Savings Bank	C	Interest	N	T					
59. Viatris Inc.		None	J	T	Spinoff (from line 9)	11/16/20	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Henry S. Perkin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544