

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) AZRACK, JOAN M.	2. Court or Organization U.S. DISTRICT COURT, EASTERN DISTRICT NY	3. Date of Report 8/11/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States Courthouse 100 Federal Plaza Central Islip, NY 11722		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/11/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Salary and bonus from Landman Corsi Ballaine & Ford PC
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	8/11/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank NA Personal Banking NY, NY (multiple accounts)	A	Interest	M	T					
2. National Life Ins. Co. whole life policy	A	Dividend	N	T					
3. PMA: Pimco Low Duration Fund Intl (IRA) (See VIII 1)	B	Distribution	L	T	Buy (add'l)	01/27/20	J		
4.					Buy (add'l)	02/11/20	J		
5.					Sold (part)	03/10/20	J	A	
6.					Sold (part)	03/16/20	J	A	
7.					Buy (add'l)	09/16/20	J		
8.					Buy (add'l)	11/30/20	J		
9.					Buy (add'l)	12/30/20	J		
10. Fidelity Blue Chip Fund - Fidelity 401K (see VIII 2)		None	N	T					
11. Fidelity Diversified intl - Fidelity 401K		None	M	T					
12. Fidelity Freedom 2020 Fund - Fidelity 401K		None	K	T					
13. Fidelity Contrafund - Fidelity 401K		None	O	T					
14. Fidelity Growth & Inc - Fidelity 401K		None	M	T					
15. Fidelity Value Fund - Fidelity 401K		None	L	T					
16. Fidelity Government Money Market - Fidelity 401K		None	M	T					
17. Fidelity Investment Grade Bond Fund - Fidelity 401K		None	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Am Century Equity - Fidelity 401K		None	M	T					
19. JP Morgan Growth & Income "A" Shares	A	Dividend	J	T					
20. Walt Disney Co common stock	A	Dividend	J	T					
21. Oppenheimer: Catalyst Inside Buying Fund (IRA) (see VIII 3)	A	Dividend	K	T					
22. PMA: Vanguard High Yield Adm (IRA)	B	Distribution	L	T	Buy (add'l)	02/11/20	J		
23.					Sold (part)	03/16/20	J	A	
24.					Buy (add'l)	09/17/20	J		
25.					Buy (add'l)	11/30/20	J		
26. Oppenheimer: Kinetics Paradigm Adv C (IRA)	A	Dividend	K	T					
27. PMA: Vanguard Short Term Investment Grade Fund (IRA)	B	Distribution	L	T	Buy (add'l)	01/27/20	J		
28.					Buy (add'l)	02/11/20	J		
29.					Sold (part)	03/10/20	J	A	
30.					Sold (part)	03/16/20	J	A	
31.					Buy (add'l)	09/17/20	J		
32.					Buy (add'l)	11/30/20	J		
33.					Buy (add'l)	12/30/20	J		
34. PMA: MetWest Intermediate Bond Fund	A	Distribution	K	T	Buy (add'l)	01/28/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 16

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold (part)	03/10/20	J	A	
36.					Sold (part)	03/16/20	J	A	
37.					Buy (add'l)	09/17/20	J		
38.					Buy (add'l)	11/25/20	J		
39.					Buy (add'l)	12/28/20	J		
40. Northwestern Mutual Life Ins. whole policy	B	Dividend	L	T					
41. PMA: Vanguard Internet Term Investment Grade Fund (IRA)	B	Distribution	L	T	Buy (add'l)	01/27/20	J		
42.					Sold (part)	03/10/20	J	A	
43.					Sold (part)	03/16/20	J	A	
44.					Buy (add'l)	09/16/20	J		
45.					Buy (add'l)	11/30/20	J		
46.					Buy (add'l)	12/30/20	J		
47. JP Morgan Mid Cap Growth	A	Dividend	K	T					
48. Oppenheimer: Advantage Bank Deposits in various banks (IRA)	A	Interest	J	T					
49. PMA: Jensen Quality Grade (IRA)	A	Distribution	K	T	Sold (part)	01/27/20	J	C	
50.					Buy (add'l)	03/17/20	J		
51. PMA: Odyssey Growth Fund (IRA)	A	Distribution	K	T	Buy (add'l)	03/11/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	03/17/20	J		
53.					Sold (part)	09/16/20	J	C	
54.					Sold (part)	11/25/20	J	C	
55. PMA: T Rowe Price Small Cap Stock Fund (IRA)	B	Distribution			Buy (add'l)	03/11/20	J		
56.					Buy (add'l)	03/17/20	J		
57.					Sold	09/16/20	K	A	
58. PMA: Vanguard Extended Market Index (IRA)	A	Distribution	L	T	Buy (add'l)	03/11/20	J		
59.					Buy (add'l)	03/17/20	J		
60.					Sold (part)	09/16/20	J	A	
61.					Sold (part)	09/23/20	J	A	
62.					Sold (part)	11/25/20	J	A	
63. PMA: Vanguard 500 Index Fund (IRA)	B	Distribution	M	T	Sold (part)	01/27/20	J	A	
64.					Buy (add'l)	03/11/20	J		
65.					Buy (add'l)	03/17/20	J		
66.					Sold (part)	11/25/20	J		
67. PMA: T. Rose Price Intl (IRA)	B	Distribution			Sold	09/08/20	J	A	
68. PMA: Vanguard Intl Index Fund (IRA)	B	Distribution	L	T	Buy (add'l)	03/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. PMA: Odyssey Growth Fund	C	Distribution	K	T					
70. PMA: Odyssey Aggressive Growth Fund	A	Distribution	K	T	Sold (part)	11/25/20	J	B	
71. PMA: Vanguard Intl Index Fund	B	Distribution	L	T	Buy (add'l)	06/02/20	K		
72. PMA: Vanguard Extended Market Index Fund	A	Distribution	K	T	Sold (part)	09/16/20	J	A	
73.					Sold (part)	11/25/20	J	B	
74. PMA: Jensen Quality Growth	C	Distribution	K	T					
75. PMA: Vanguard 500 Index Fund	B	Distribution	L	T	Sold (part)	11/25/20	J	B	
76. PMA: Pimco Low Duration Fund	A	Distribution	K	T	Buy (add'l)	01/28/20	J		
77.					Buy (add'l)	02/11/20	J		
78.					Buy (add'l)	02/13/20	J		
79.					Sold (part)	03/10/20	J	A	
80.					Sold (part)	03/16/20	J	A	
81.					Buy (add'l)	09/16/20	J		
82.					Buy (add'l)	11/27/20	J		
83.					Buy (add'l)	12/28/20	J		
84. PMA: Vanguard High Yield Corporate Fund	A	Distribution	J	T	Buy (add'l)	09/17/20	J		
85.					Buy (add'l)	12/28/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 16

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. PMA: Vanguard Limited Term Tax Exempt Fund	A	Distribution	K	T	Buy (add'l)	01/28/20	J		
87.					Buy (add'l)	02/13/20	J		
88.					Sold (part)	03/10/20	J	A	
89.					Sold (part)	03/16/20	J	A	
90.					Buy (add'l)	09/17/20	J		
91.					Buy (add'l)	11/27/20	J		
92.					Buy (add'l)	12/28/20	J		
93. PMA: Vanguard Intmd Term Tax Exempt Fund	A	Distribution	K	T	Buy (add'l)	01/28/20	J		
94.					Sold (part)	03/10/20	J	A	
95.					Sold (part)	03/16/20	J	A	
96.					Buy (add'l)	09/17/20	J		
97.					Buy (add'l)	11/25/20	J		
98.					Buy (add'l)	12/28/20	J		
99. PMA: T Rowe Price Equity Inc.	A	Distribution			Sold (part)	01/28/20	J	A	
100.					Sold	08/21/20	K	A	
101. PMA: Vanguard Growth Index Fund (IRA)	A	Distribution	L	T	Sold (part)	01/27/20	J	A	
102.					Buy (add'l)	03/11/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.					Sold (part)	09/16/20	J	A	
104.					Sold (part)	11/25/20	J	A	
105. PMA: T Rowe Price Equity Income Fund (IRA)	A	Distribution			Sold (part)	01/27/20	J	A	
106.					Buy (add'l)	03/11/20	J		
107.					Buy (add'l)	03/17/20	J		
108.					Sold	08/21/20	K	A	
109. PMA: Vanguard Growth Index Fund	A	Distribution	K	T	Sold (part)	09/16/20	J	A	
110. PMA: MetWest Intermediate Bond Fund (IRA)	B	Distribution	L	T	Buy (add'l)	01/27/20	J		
111.					Sold (part)	03/10/20	J	A	
112.					Sold (part)	03/16/20	J	A	
113.					Buy (add'l)	09/17/20	J		
114.					Buy (add'l)	11/30/20	J		
115.					Buy (add'l)	12/30/20	J		
116. McDonald's common stock	A	Dividend	J	T					
117. Coca Cola common stock	A	Dividend	J	T					
118. Nike common stock class B	A	Dividend	J	T					
119. PMA: Fidelity Gvt Cash Reserves (see VIII 4)	A	Distribution	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. PMA: USAA Short Term Bond Fund (IRA)	C	Distribution	L	T	Buy (add'l)	01/28/20	J		
121.					Buy (add'l)	02/11/20	J		
122.					Sold (part)	03/10/20	J	A	
123.					Sold (part)	03/16/20	J	A	
124.					Buy (add'l)	09/17/20	J		
125.					Buy (add'l)	11/30/20	J		
126.					Buy (add'l)	12/30/20	J		
127. PMA: Fidelity Govt Cash Reserves (IRA) (see VIII 4)	A	Distribution	J	T					
128. Dodge Cox STK (IRA)	B	Distribution	K	T	Sold (part)	01/27/20	J	A	
129.					Buy (add'l)	03/10/20	J		
130.					Buy (add'l)	03/16/20	J		
131.					Sold (part)	11/25/20	J	A	
132. PMA Baird Core Plus (IRA)	B	Distribution	L	T	Buy (add'l)	01/28/20	J		
133.					Sold (part)	03/10/20	J	A	
134.					Sold (part)	03/16/20	J	A	
135.					Buy (add'l)	09/17/20	J		
136.					Buy (add'l)	11/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	12/30/20	J		
138. PMA Van Md VI Index (IRA)	B	Distribution	K	T	Sold (part)	01/27/20	J	A	
139.					Buy (add'l)	03/11/20	J		
140.					Sold (part)	11/25/20	J	A	
141. PMA DFA Int'l Core Eq (IRA)	A	Distribution	K	T	Buy (add'l)	03/11/20	J		
142. PMA DFA Int'l Small Comp (IRA)	A	Distribution	K	T					
143. PMA Dodge Cox Int'l	A	Distribution	J	T					
144. PMA JH Dis Val MDCP	B	Distribution	K	T	Buy (add'l)	03/11/20	J		
145. PMA DFA Int'l Core Eq.	A	Distribution			Sold	06/01/20	K	A	
146. PMA FMI Large Cap Fund (IRA) (See VIII 5)	B	Distribution	K	T					
147. PMA Van Eq. Inc.	A	Distribution	J	T					
148. Oppenheimer FT Deep Value Dividend No. 23 (IRA)	A	Dividend	K	T					
149. Oppenheimer Fixed Annuity Invested S&P 500 Index Funds		None	O	T					
150. PMA Dodge Cox Int'l (IRA)	A	Distribution	J	T					
151. PMA Vanguard Int'l Gr. (IRA)	B	Distribution	K	T	Sold (part)	01/27/20	J	A	
152.					Sold (part)	09/16/20	J	A	
153.					Sold (part)	11/25/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. PMA JH Dis Val MD CP (IRA)	A	Distribution	J	T	Buy	03/17/20	J		
155.					Buy (add'l)	11/30/20	J		
156. PMA AQR Large Cap Defensive Style (IRA)	A	Distribution	K	T	Buy	01/28/20	J		
157.					Buy (add'l)	03/11/20	J		
158.					Buy (add'l)	03/17/20	J		
159. PMA Price Intl Stk I (IRA)	A	Distribution	J	T	Buy	09/08/20	J		
160. PMA Price Sm Cap Stk I (IRA)	B	Distribution	L	T	Buy	09/16/20	K		
161.					Sold (part)	11/25/20	J	A	
162. PMA Price Equ Inc I (IRA)	A	Distribution	K	T	Buy	08/21/20	K		
163.					Sold (part)	11/25/20	J	A	
164. PMA Price Intl Stk I	A	Distribution	J	T	Buy	09/08/20	J		
165. PMA AQR Large Cap Defensive Style	A	Distribution	J	T	Buy	01/29/20	J		
166.					Buy (add'l)	03/11/20	J		
167. PMA Van Md VI Idx Ad	A	Distribution	J	T	Buy	03/18/20	J		
168. PMA Price Equ Inc I	A	Distribution	K	T	Buy	08/21/20	K		
169. PMA FMI Large Cap Fund (See VIII 5)	B	Distribution	J	T					
170. PMA Van Intl Gr Adm	A	Distribution	J	T	Buy	03/11/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	03/17/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/11/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. PMA as used in Section VII refers to Prudential Management Associates, which maintains managed asset portfolios (individual and IRA) consisting of investments identified in that section.
2. Fidelity 401K as used in Section VII refers to a 401K account maintained by Fidelity Investments consisting of investments identified in that section. Each year, a maximum allowable 401K contribution is made (total value K,) which is allocated pursuant to formula among various of the 401K fund investments identified in this report. Each allocated amount has a value of J.
3. Oppenheimer as used in Section VII refers to Oppenheimer & Co., Inc., which maintains brokerage accounts (individual and IRA) consisting of investments identified in that section.
4. In Section VII, items 119 and 127 are money market funds that would be the subject of multiple purchases and sales during the course of the year. At year end, the increased value of each fund had a value of J.
5. This asset was held in two accounts and should have been disclosed twice on the 2019 Report, as a "J" value asset and as a "K" value asset. Inadvertently, it was only reported once as a J value asset.

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

AZRACK, JOAN M.

Date of Report

8/11/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ JOAN M. AZRACK**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544