

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MONTALI, DENNIS	2. Court or Organization UNITED STATES BANKRUPTCY COURT	3. Date of Report 03/21/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 450 Golden Gate Avenue Mail Box 36099 San Francisco, CA 947054		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	_____	_____
2.	_____	_____
3.	_____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Real estate - Alameda Cty (\$340,000;fractional int.;acq 2/01		None	N	W					
2. Acct. Union Bank of California, ■		None	J	T					
3. Acct. Wells Fargo Bank, ■	A	Interest	J	T					
4. Alphabet		None	M	T					
5. AMG GW&K Coren Bond - MBDFX	B	Dividend	M	T					Name change
6. American Century Global Fund - BGEIX	A	Dividend	K	T					
7. American Century Gvt Inc - ACITX	B	Int./Div.	M	T					
8. American Water Works	C	Int./Div.	M	T					
9. Apple	C	Int./Div.	N	T					
10. AT&T	C	Dividend	M	T	Buy (add'l)	11/12/20	L		
11. Automatic Data Processing common	D	Dividend	N	T					
12. BNY Active Midcap Fund A - PESPX	B	Dividend	N	T	Sold (part)	09/15/20	L		
13. BNY Muni Bond Fund - DRTAX	A	Dividend	K	T					
14. BNY Cal Tax Ex Fund - DRCAX	A	Dividend	M	T					
15. BNY Strategic Fund - - DNLDX	A	Dividend	L	T					
16. Cardinal Health common	B	Dividend	K	T					
17. Carrier Global		None			Spinoff (from line 50)	04/03/20	J		Sold 5-6-20

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Cognizant Tech. Solutions	C	Dividend	N	T					
19. Conoco Phillips	C	Dividend	L	T	Buy	11/12/20	L		
20. Colgate Palmolive & Co common	D	Dividend	N	T					
21. CSX Corp	A	Dividend	K	T	Buy	11/12/20	K		
22. Dow	A	Dividend	J	T					
23. Du Pont		None			Sold	04/03/20	K		
24. ETFMG Prime Cyber HACK	C	Dividend	M	T					
25. Exxon Mobil Corporation common	E	Dividend	N	T					
26. Federated High Yield Trust - FHYTX	A	Dividend	J	T					
27. Genuine Parts common	D	Dividend	M	T	Sold (part)	09/15/20	K		
28. Gilead Sciences Inc	B	Dividend	K	T					
29. Global Payments	A	Dividend	L	T	Buy	11/12/20	L		
30. Home Depot	C	Dividend	M	T					
31. Honeywell Int'l common	D	Dividend	N	T					
32. Intel	C	Dividend	L	T					
33. Ishares MSCI Japan	A	Dividend	L	T					
34. Jacobs Engineering	A	Dividend	L	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Kimberly Clark	D	Dividend	M	T					
36. Lockheed Martim	B	Dividend	K	T	Buy	11/12/20	K		
37. Matthews Japan Fund Inc fka Japan Fund	A	Dividend	K	T					
38. McDonalds Corp common	D	Dividend	O	T					
39. Merck & Co	C	Dividend	M	T	Buy	11/12/20	M		
40. Mid American Apt. Common	C	Dividend	L	T					
41. Nestle SA	C	Dividend	M	T					
42. Novo-Nordisk	D	Dividend	N	T	Sold (part)	09/15/20	K		
43. Otis Worldwide	A	Dividend	J	T	Spinoff (from line 50)	05/06/20	J		
44. Pentair	B	Dividend	L	T					
45. Pepisco common	C	Dividend	M	T					
46. Pfizer	C	Dividend	M	T	Buy	11/12/20	M		
47. Pimco FDS Pac Invt Mgmt - PCRAX	A	Interest	J	T					
48. Proctor & Gamble Co. common	C	Dividend	M	T					
49. Qualcomm	B	Dividend	M	T	Buy	11/12/20	M		
50. Raytheon Technology - RTX	C	Dividend	M	T	Sold (part)	04/06/20	K		Merger with United TechUTX
51. Resideo Tech	A	Dividend	J	T					

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3 Value Method Codes (See Column C2)					

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52. Schlumberger	C	Dividend			Sold	04/24/20	K		
53. Schwab Value Advantage	A	Interest	L	T					
54. Schwab Corp common	C	Dividend	M	T					
55. Schwab 1000 Fund - SNXFX	B	Dividend	K	T					
56. Select Sector - Materials - XLB	C	Dividend	M	T					
57. SPDR EnergT Select - XLE	A	Dividend	J	T					
58. S&P 500 Depository Receipts Unit) - SPY	C	Dividend	N	T					
59. SPDR Utilities Select - XLU	C	Dividend	L	T					
60. Stryker Corp	C	Dividend	N	T					
61. Sysco common	B	Dividend	L	T					
62. T. Rowe Price Int'l Stk Fund - PRITX	A	Dividend	M	T	Sold (part)	09/15/20	K		
63. T. Rowe Price Equity Fund - PRFDX	B	Dividend	L	T					
64. T. Rowe Price Growth - PRGFX	A	Dividend	M	T					
65. T. Rowe Price - New Horizon - PRNHX	A	Dividend	L	T					
66. Templeton Developing Mkt - TDADX	A	Dividend	K	T					
67. Templeton Global Inc. Fund -TGBAX	B	Dividend	K	T					
68. Templeton Global Bond - GIM	B	Dividend	K	T					

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69. 3M	D	Dividend	M	T					
70. United Health Group	B	Dividend	M	T	Sold (part)	09/15/20	K		
71. United Parcel Service	C	Dividend	M	T	Sold (part)	09/15/20	L		
72. USAA Short term fund	B	Interest	L	T					
73. USAA Long term fund	C	Interest	L	T					
74. Vanguard Intl Growth Fund - VWIGX	A	Dividend	M	T					
75. Vanguard 500 Fund - VFIAX	D	Dividend	O	T					
76. Vanguard Wellesley Inc. Fund - VWINX	C	Interest	K	T					
77. Vanguard Intl. Equity Index (Eur. stk) - VEUSX	C	Dividend	L	T	Sold (part)	09/15/20	K		
78. Vanguard Wellington Fund - VWFLX	B	Dividend			Sold	09/15/20	K		
79. Vanguard Fxd Inc. GNMA - VFIJX	B	Interest	M	T					
80. Vanguard F-I Short Term - VFSUX	A	Interest	M	T					
81. Verizon	A	Dividend	K	T					
82. Viatis	A	Dividend	J	T	Spinoff (from line 46)	11/17/20	J		
83. Walt Disney Hldg common	C	Dividend	N	T					
84. Wells Fargo Adv ST	A	Dividend	L	T					
85. WEC Energy	C	Dividend	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DENNIS MONTALI**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544