

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Miller, Ruth	2. Court or Organization District Court, U.S. Virgin Islands	3. Date of Report 05/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full time magistrate judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Ron de Lugo Federal Building 5500 Veterans Drive, 3rd Floor St. Thomas, USVI 00802-0802		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Miller, Ruth

Date of Report

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Banco Popular de Puerto Rico account		None	K	T					
2. LPL Financial Inherited IRA account (H)									
3. -LPL Financial insured cash account	A	Interest	J	T					
4. -JP Morgan US Gov't Money Market Fund	A	Dividend			Redeemed	11/12/20	J	A	
5. -AAPL common	A	Dividend	K	T					
6. -BND Vanguard Total Bond Market ETF	A	Dividend	K	T	Sold (part)	07/02/20	J	B	
7. -VWO Vanguard FTSE Emerg Markets ETF	A	Dividend	K	T	Buy (add'l)	07/02/20	K		
8. -VBK Vanguard Sm Cap Growth ETF	A	Dividend			Sold	07/02/20	J	B	
9. -VBR Vanguard Sm Cap Value ETF	A	Dividend			Sold	07/02/20	J	A	
10. -VUG Vanguard Growth ETF	A	Dividend	M	T	Sold (part)	07/02/20	J	C	
11. -VTV Vanguard Value ETF	B	Dividend	L	T	Buy (add'l)	07/02/20	K		
12.					Sold (part)	08/24/20	K	A	
13. -VOT Vanguard Mid Cap Growth ETF	A	Dividend			Sold	07/02/20	K	D	
14. -VOO Vanguard S&P 500 Index ETF	B	Dividend	L	T	Sold (part)	07/02/20	J	A	
15. -BIV Vanguard Intermediate Term Bond ETF	A	Dividend	J	T	Buy	07/02/20	J		
16. -BSV Vanguard Short Term Bond ETF	A	Dividend	J	T	Buy	07/02/20	J		
17. -GLDM World Gold Trust SPDR Gold Minishares Trust ETF		None	J	T	Buy	07/02/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -VGT Vanguard Information Technology ETF	A	Dividend	K	T	Buy	07/02/20	K		
19. -VTC Vanguard Total Corp Bond ETF	A	Dividend	J	T	Buy	07/02/20	J		
20. -VEA Vanguard FTSE Developed Mkts EFT	A	Dividend	K	T	Buy	08/24/20	J		
21. LPL Financial Investment account (H)									
22. -LPL Financial Insured Cash Account	A	Interest	K	T					
23. -JP Morgan US Gov't Money Market Fund	A	Dividend	K	T	Redeemed (part)	06/23/20	J	A	
24.					Redeemed (part)	07/14/20	J	A	
25. -AMZN common		None	L	T					
26. -CSCO common	A	Dividend			Sold	10/02/20	K	A	
27. -BND Vanguard Total Bond Market ETF	A	Dividend	K	T	Sold (part)	07/02/20	K	B	
28. -VBK Vanguard Sm Cap Growth ETF	A	Dividend			Sold	07/02/20	J	C	
29. -VBR Vanguard Sm Cap Value ETF	A	Dividend			Sold	07/02/20	K	A	
30. -VOO Vanguard S&P 500 Index ETF	B	Dividend	M	T	Sold (part)	07/02/20	J	A	
31. -VOT Vanguard Mid Cap Growth ETF	A	Dividend			Sold	07/02/20	L	D	
32. -VTV Vanguard Value ETF	B	Dividend	L	T	Buy (add'l)	07/02/20	K		
33.					Sold (part)	08/24/20	K	A	
34. -VUG Vanguard Growth ETF	B	Dividend	M	T	Sold (part)	07/02/20	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	08/24/20	J		
36. -VWO Vanguard FTSE Emerg Markets ETF	A	Dividend	K	T	Buy (add'l)	07/02/20	K		
37. -BIV Vanguard Intermediate Term Bond ETF	A	Dividend	K	T	Buy	07/02/20	K		
38. -BSV Vanguard Short Term Bond ETF	A	Dividend	K	T	Buy	07/02/20	K		
39. -GLDM World Gold Trust SPDR Gold Minishares Trust ETF		None	J	T	Buy	07/02/20	J		
40. -VGT Vanguard Information Technology ETF	A	Dividend	K	T	Buy	07/02/20	K		
41. -VTC Vanguard Total Corp Bond ETF	A	Dividend	J	T	Buy	07/02/20	J		
42. -VEA Vanguard FTSE Developed Mkts EFT	A	Dividend	K	T	Buy	08/24/20	K		
43.									
44.									
45.									
46.									
47.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ruth Miller**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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