

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dodge, Patricia L.	2. Court or Organization U.S District Court, Western District of Pennsylvania	3. Date of Report 08/11/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. District Court 700 Grant Street Suite 9210 Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member - all of 2020	National Aviary
2. Trustee and Immediate Past President - all of 2020	Allegheny County Bar Foundation
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2019	Meyer, Unkovic & Scott LLP - buyout of Partnership interest
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Dodge, Patricia L.

Date of Report

08/11/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Swartz Campbell LLC - Guaranteed Payments to Partners
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Dodge, Patricia L.	08/11/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Dodge, Patricia L.

Date of Report

08/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Goehring,Rutter & Boehm - interest on partner capital account	A	Interest	K	U					
2. Goehring, Rutter & Boehm Retirement Plan (H)									
3. JH Multimanager 2025 Lifetime	G	Int./Div.	O	T	Buy (add'l)	03/13/20	J		
4. McDonalds common stock	A	Dividend	J	T					
5. Meyer Unkovic & Scott LLP 401K(H)									
6. HC Capital Trust Core Fixed Income	D	Dividend	M	T	Buy (add'l)	03/18/20	J		
7. HC Cap Tr-Institutional Internal Eq Ins	C	Dividend	M	T	Buy (add'l)	03/18/20	J		
8. HC Capital Trust Inst U.S Equity	E	Dividend	O	T	Buy (add'l)	03/18/20	J		
9.					Buy (add'l)	05/01/20	M		
10. HC Capital Trust Inst Value Equity	A	Dividend			Buy (add'l)	03/18/20	J		
11.					Closed	05/01/20	M		
12. HC Capital Trust Inst Small Cap Equity	A	Dividend			Buy (add'l)	03/18/20	J		
13.					Closed	05/01/20	M		
14. HC Capital Trust-The Emerge Markets Inst	A	Dividend	L	T	Buy (add'l)	03/18/20	J		
15. Note Receivable-Meyer,Unkovic & Scott LLP		None	L	U					
16. Oppenheimer & Co Investment Account (H)									
17. Advantage Bank Deposit	A	Interest	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Dodge, Patricia L.

Date of Report

08/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Apple INC	A	Dividend	L	T	Buy (add'l)	09/02/20	J		
19. AT&T	A	Dividend	K	T	Buy	07/10/20	K		
20. Borgwarner INC	A	Dividend	J	T					
21. CVS Health Corp	A	Dividend	J	T					
22. Conocophillips	A	Dividend	J	T					
23. Darden Restaurants INC	A	Dividend	J	T					
24. Dominion Energy	A	Dividend			Sold	07/10/20	K		
25. Murphy Oil Corp	A	Interest	K	T					
26. National Storage Affiliates TR Com SHS Ben In Reit	A	Dividend	K	T					
27. Verizon Communications INC	A	Dividend	K	T					
28. Weyerhaeuser Co Reit	A	Dividend	J	T					
29. PNC Cash account #1		None	K	T					
30. PNC Cash account #2	A	Interest	N	T					
31. PNC Investments IRA#1 (H)									
32. Cash Equivalent	A	Int./Div.	J	T					
33. American Century Mid Cap Value Inv SHS	A	Int./Div.	J	T	Sold (part)	09/24/20	J	A	
34. Calvert Emerging Markets Equity	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Dodge, Patricia L.

Date of Report

08/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Delaware Value Fund Institutional	A	Int./Div.	K	T	Sold (part)	09/24/20	J	A	
36. Federated Hermes TTL Return Bond CL IS	C	Int./Div.	L	T					
37. Invesco Emerging Markets All Cap Y	A	Int./Div.	J	T					
38. Invesco Oppenheimer Intl Growth	C	Int./Div.	K	T					
39. Invesco QQQ Trust	A	Int./Div.	J	T	Buy	09/24/20	J		
40. JP Morgan Large Cap Growth Class1	A	Int./Div.	J	T	Sold (part)	07/07/20	J	A	
41.					Sold (part)	09/24/20	J	A	
42. Lazard Global Listed Infrastructure Instl	A	Int./Div.	J	T					
43. MFS New Discovery Value Fund CL1	A	Int./Div.	J	T	Buy	09/24/20	J		
44. MFS Value Fund Class 1	A	Int./Div.	K	T	Buy (add'l)	04/06/20	J		
45.					Sold (part)	09/24/20	J	A	
46. PGIM Jennison Growth CL Z	A	Int./Div.	J	T	Sold (part)	07/7/20	J	B	
47.					Sold (part)	09/24/20	J	B	
48. PGIM Total Return Bond CL Z	C	Int./Div.	M	T	Sold (part)	04/06/20	J	A	
49.					Buy (add'l)	07/07/20	J		
50. T Rowe Price Intl Discovery Fund	A	Int./Div.	J	T					
51. T Rowe Price Mid Cap Growth	A	Int./Div.	J	T	Sold (part)	09/24/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Dodge, Patricia L.

Date of Report

08/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. T Rowe Price QM US Sml Cap Growth Equity	A	Int./Div.	J	T	Buy	09/24/20	J		
53. T Rowe Price Real Estate	A	Int./Div.	J	T					
54. WisdomTree US Quality Dividend Gr EFT	A	Int./Div.	J	T	Buy	09/24/20	J		
55. PNC Investments IRA#2 (H)									
56. Cash Equivalent	A	Int./Div.	J	T					
57. Invesco QQQ Trust	A	Int./Div.	J	T	Buy	09/24/20	J		
58. Ishares MSCI EAFE ETF	A	Int./Div.	K	T	Buy (add'l)	03/24/20	J		
59.					Sold (part)	12/28/20	J	A	
60. Lazard Global Listed Infrastructure Instl	A	Int./Div.	J	T					
61. MFS New Discovery Value Fund CL1	A	Int./Div.	J	T	Buy	09/24/20	J		
62. SPDR Dow Jones REIT ETF	A	Int./Div.	J	T					
63. T Rowe Price Intl Discovery Fund	A	Int./Div.	K	T					
64. T Rowe Price QB US Sml Cap Grwth Equity	A	Int./Div.	J	T	Buy	09/24/20	J		
65. Vanguard FTSE Emerging Markets ETF	A	Int./Div.	J	T					
66. Vanguard Mega Cap Growth Fund ETF	A	Int./Div.	K	T	Sold (part)	09/24/20	J	D	
67. Vanguard Mega Cap Value ETF	B	Int./Div.	K	T	Buy (add'l)	03/24/20	J		
68.					Sold (part)	06/24/20	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold (part)	9/24/20	J	C	
70.					Sold (part)	12/28/20	J	B	
71. Vanguard Mid-Cap Growth ETF	A	Int./Div.	J	T					
72. Vanguard Mid-Cap Value ETF	A	Int./Div.	J	T	Sold (part)	09/24/20	J	A	
73. Vanguard Total Bond Market ETF	D	Int./Div.	N	T	Sold (part)	03/24/20	K	A	
74.					Buy (add'l)	06/24/20	J		
75.					Sold (part)	09/24/20	J	A	
76.					Buy (add'l)	12/28/20	J		
77. Wisdomtree TR US Quality Divid ETF	A	Int./Div.	J	T	Buy	09/24/20	J		
78. Restaurant Brands common stock	A	Dividend	J	T					
79. Wendy's common stock	A	Dividend	J	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Dodge, Patricia L.

Date of Report

08/11/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, Investments and Trusts - Line 1 reports the interest earned on the spouse's capital account from his former employer, Goehring, Rutter & Boehm. The spouse will be receiving a return of his capital contribution over a three year period.

In Part VII, Investments and Trusts - the balances reported from funds on Lines 10 and 12 were closed and transferred to the fund reported on Line 8. As of December 31, 2020, there are only four remaining funds under the Meyer, Unkovic & Scott LLP 401K Plan - Line 5

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Dodge, Patricia L.

Date of Report

08/11/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Patricia L. Dodge**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544