

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shadid, James E.	2. Court or Organization United States District Court, Central District of Illinois	3. Date of Report 5/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States Courthouse 100 N.E. Monroe, Room 204 Peoria, IL 61602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board of Trustees	Bradley University, Peoria, IL
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 12/01-3/11	Judges Retirement System of Illinois - Pension upon retirement
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 8/10-8/19	John Marshall Law School Teaching Income (one week trial advocacy course summer 2020)	\$6,000.00
2. 8/27-11/19	John Marshal Law School Teachhing Income (every other Thursday everning)	\$2,500.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Morgan Stanly Smith Barney Acct. 1	A	Interest	K	T					
2. IRA 1 - Lines 2-47									
3. -Abbott Laboratories (ABT)	A	Int./Div.	K	T					
4. -ABBVIE(ABBV)	A	Int./Div.	K	T					
5. -Alphabet Inc. CLC (Goog)See Explanation Part VIII	B	Int./Div.	J	T					
6. -Bank of America Corp. (BAC)	A	Int./Div.	K	T					
7. -Barrick Gold Corp CAD (ABX)	A	Int./Div.	J	T					
8. -Berkshire Hatthaway Inc. CL B (BRK'B)	A	Int./Div.	K	T					
9. -Biogen IDEC Inc. (BLLB)	A	Int./Div.	K	T					
10. -Blackrock Liq Fedfund Inst (TFDXX)	A	Int./Div.	L	T	Buy	01/17/20	L		
11. -BP PLC ADS (BP)	A	Int./Div.	K	T	Buy	03/06/20	K		
12. -Brookfield Asset MGNT CL A LTD. (BAM)	A	Int./Div.	J	T					
13. -Catalyst Millburn HGD Strat (MBXIX)	A	Int./Div.	K	T					
14. -Chubb Corp. (CB)	A	Int./Div.	J	T					
15. -Cisco Sys. Inc. (CSCO)	A	Int./Div.	K	T					
16. -EBAY Inc. (EBAY)	C	Int./Div.	J	T					
17. -Fluor Corp. New (FLR)	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Freeport McMoran Copper & Gold (FCX)	B	Int./Div.	J	T					
19. -Gamestop Corp CLA New (GME)	A	Int./Div.			Sold	11/04/20	J	A	
20. -General Electric	A	Int./Div.	J	T					
21. -Home Depot Inc. (HD)	D	Int./Div.	K	T					
22. -Intel Corp. (INTC)	A	Int./Div.	K	T					
23. -Intl. Business Machines Corp. (IBM)	A	Int./Div.	K	T					
24. -Johnson & Johnson (JNJ)	B	Int./Div.	K	T					
25. -Juniper Networks Inc. (JNPR)	A	Int./Div.	J	T					
26. -Kopernik Glb all Cap Instl (KGGIX)	A	Int./Div.	J	T					
27. -Kraft Heinz Co. (KHC)	A	Int./Div.	J	T					
28. -L3 Harris (LHX)	A	Int./Div.	J	T					
29. -McKesson Corporation (MCK)	C	Int./Div.	J	T					
30. -Microsoft Corp. (MSFT)	B	Int./Div.	K	T					
31. -Mondelez Intl. (MDLZ)	A	Int./Div.	J	T					
32. -Pepsico Inc. (PEP)	A	Int./Div.	K	T					
33. -Paypal Hldgs. (PYPL)	E	Int./Div.	K	T					
34. -Pfizer Inc. (PFE)	A	Int./Div.	K	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. -Pimco (PCI)	A	Int./Div.	K	T					
36. -State Street Cor. (STT)	A	Int./Div.	K	T					
37. -Taiwan Semiconductor Mfg. (TSM)	D	Int./Div.	K	T					
38. -Texas Instruments Inc. (TXN)	D	Int./Div.	K	T					
39. -United Parcel Service CLB (UPS)	C	Int./Div.	J	T					
40. -Verizon Communications (VZ)	A	Int./Div.	J	T					
41. -Viacom Inc. Class B (VIAC)	D	Int./Div.	J	T					
42. -VISA Inc. Com CLA (V)	D	Int./Div.	K	T					
43. -Vodafone Group PLC Spons (VOD)	A	Int./Div.	J	T					
44. -Wabtec (WAB)	A	Int./Div.			Sold	11/04/20	J	A	
45. -Walgreens (WAG) n/k/a Walgreen Boots (WBA)	C	Int./Div.	J	T					
46. -Walt Disney Co. (DIS)	D	Int./Div.	L	T					
47. -Morgan Stanly Smith Barney Bank Deposit Program - Cash	A	Int./Div.	L	T					
48. IRA 2 (Lines 49-51)									
49. -Consolidated Edison Inc. (ED)	A	Int./Div.	J	T					
50. -Legg Mason CB Large Cap Growth SBLGX	A	Int./Div.	J	T					
51. -Morgn Stanly Smith Barney Bank Deposit Program - Cash	A	Int./Div.	K	T					

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52. Morgan Stanley Smith Barney Acct. 2 (Lines 53-5)									
53. -Legg Mason CB Appreciation CL SHAPX	B	Int./Div.	K	T					
54. -Legg Mason Clearbridge Aggress - SHRAX	A	Int./Div.	K	T					
55. -Morgan Stanley Smith Barney Bank Deposit Program - Cash	C	Int./Div.	K	T					
56. MSSB Acct. 3 (Lines 57-76)									
57. -Blackrock Liq Fedfund (TFDXX)	A	Int./Div.	L	T	Buy	01/17/20	K		
58. -Bristol Myers Squibb Co. (BMY)	A	Int./Div.	J	T					
59. -Bristol Myers Squibb Contng RT (BMYR)	A	Int./Div.	J	T					
60. -Citigroup Inc. NEW (C)	A	Int./Div.	K	T					
61. -Cloudera Inc. (CLDR)	A	Int./Div.	J	T					
62. -CVS Healthcorp (CVS)	A	Int./Div.	J	T					
63. -Delta Airlines (DAL)	A	Int./Div.	K	T					
64. -Eaton Corp PLC SHS (ETN)	B	Int./Div.	J	T					
65. -General Mills (GLS)	A	Int./Div.	J	T					
66. -Gilead Science (GILD)	A	Int./Div.	K	T					
67. -Intl. Business Machines (IBM)	B	Int./Div.	K	T					
68. -Ishares Core MSCI Emerging (IEMG)	A	Int./Div.	K	T					

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. -Newel Brands Inc. (NWL)	A	Int./Div.	J	T					
70. -OPKO Health (OPK)	A	Int./Div.	J	T	Buy	07/21/20	J		
71. -SPDR Trust Series 1 (SPY)	A	Int./Div.	L	T					
72. -Target (TGT)	D	Int./Div.	K	T					
73. -Viacom Inc. Class B (VIAC)	D	Int./Div.	K	T					
74. -Walgreen Co. n/k/a Walgreens Boots Alliance (WBA)	A	Int./Div.	J	T					
75. -Western Asset MNGD Minicipals (MMV)	A	Int./Div.	L	T	Buy	03/19/20	K		
76. - Morgan Stanley Smith Barney - Cash	A	Int./Div.	K	T					
77. Morgan Stanley Smith Barney Acct. 4 (All Cash)	A	Int./Div.	L	T					
78. Commerce Bank Account - X	A	Interest	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Line 20 - General Electric was reported as sold on 2/28/2019. This was reported incorrectly as it was only partially sold.

Part VII - Line 78 - Commerce Bank Account. This asset was added out of an abundance of caution. It is a bank account for which I am listed jointly [REDACTED], and for which I hold power of attorney.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James E. Shadid**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544