

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Adams, John R.	2. Court or Organization U.S. District Court, N.D. of Ohio	3. Date of Report 05/04/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 510 U.S. Courthouse 2 S. Main Akron, Ohio 44333		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Power of Attorney	(FBO of Disabled Parent--See Part VIII)
2. Custodian	(FBO of Grand Nephew--See Part VIII)
3. Custodian	(FBO of Grand Niece--See Part VIII)
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Adams, John R.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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Name of Person Reporting	Date of Report
Adams, John R.	05/04/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Westfield Bank, fka Valley Savings Bank	Mortgage on Residence/Rental (Duplex)	L
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Rental Property, Fairlawn Ohio	D	Rent	N	Q					See VIII
2. Fidelity Contrafund	D	Dividend	L	T					
3. Stifel Nicolas: Contrafund	C	Dividend	K	T	Sold (part)	02/05/20	J	B	
4.					Buy (add'l)	01/24/20	J		
5.					Buy (add'l)	02/24/20	J		
6.					Buy (add'l)	03/25/20	J		
7.					Buy (add'l)	04/24/20	J		
8.					Buy (add'l)	05/26/20	J		
9.					Buy (add'l)	06/25/20	J		
10.					Buy (add'l)	07/24/20	J		
11.					Buy (add'l)	08/24/20	J		
12.					Buy (add'l)	09/24/20	J		
13.					Buy (add'l)	10/26/20	J		
14.					Buy (add'l)	11/24/20	J		
15.					Buy (add'l)	12/28/20	J		
16. American Funds:AmCap Fund Inc. CL F	B	Dividend	K	T					
17. American Balanced Fund Inc. CI F	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Northwestern Mutual Inv. Services Mn Mkt. Fund	A	Dividend	J	T					
19. Westfield Bank Checking fka Valley Savings Bank	A	Interest	J	T					
20. Blackrock Strategic Municipal Opportunities Fnd Institutional Class	A	Dividend	K	T	Sold (part)	10/28/20	J	A	
21. Europacific Growth Fund Class F-2	A	Dividend	K	T					
22. MFS Internationa New Discovery Fund Class 1	A	Dividend	J	T	Sold (part)	01/16/20	J	A	
23. New World Fund Class F-2	A	Dividend	K	T					
24. Tax Exempt Bond Fund of America Class F-2	A	Dividend	K	T	Sold (part)	10/28/20	J	A	
25. Lattice Strategies TR Hartford Multifactor Developed Mkts Ex Us ETF	A	Dividend			Sold	12/08/20	K	A	
26. Schwab Strategic Tr US Mid-Cap ETF	A	Dividend	K	T					
27. New Perspective Fund Class F2	A	Dividend	J	T	Buy	12/21/20	J		
28. Retirement Account									
29. Dreyfus Ins. Deposit Prg. I	A	Interest			Closed	08/19/19	J	A	See Part VIII
30. Northwestern Mutual Inv. Services Mn. Market Fund	A	Interest	J	T	Open	08/19/19	J		See Part VIII
31. Vanguard Bd Index FDS Vanguard Short Term Bond ETF	A	Dividend			Sold	04/13/20	J	A	
32. New World Fund Class F-2	A	Dividend	K	T					
33. Fidelity Advisor Total Bond Fund Class F	A	Dividend	K	T	Sold (part)	03/17/20	J	A	
34.					Sold (part)	08/31/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Vanguard Intl Equity/Index FDS FTSE Emerging Markets	A	Dividend	K	T	Buy (add'l)	03/17/20	J		
36. FMI International Fund Institutional Class	A	Dividend	J	T	Buy (add'l)	03/17/20	J		
37. IShares TR Core S&P 500 ETF	B	Dividend	L	T	Sold (part)	08/31/20	K	D	
38. Pimco Income Fund Class P	B	Dividend	K	T	Sold (part)	03/17/20	J	A	
39.					Sold (part)	08/31/20	J	A	
40. Pimco Commodities Plus Strategy Fund	A	Dividend	J	T	Buy (add'l)	03/17/20	J		
41. Cohen & Steers Real Estate Securities Fund Class I	A	Dividend	J	T					
42. The Oakmark Select Fund Advisor Class fna Select Fund Investor Fund	A	Dividend	J	T	Buy (add'l)	03/17/20	J		
43.					Sold (part)	04/13/20	J		
44.					Sold (part)	08/31/20	J	A	
45. I Shares TRCore S&P Mid Cap ETF	A	Dividend	J	T	Buy (add'l)	03/17/20	J		
46.					Buy (add'l)	04/13/20	J		
47. Vanguard Bd Index FDS Vamguard Total Bd Market ETF	B	Dividend	K	T	Sold (part)	03/17/20	J	A	
48.					Sold (part)	04/13/20	J	A	
49.					Buy (add'l)	08/31/20	J		
50. DWS Enhancd Commodity FKA Deutsche Strategy Fund Institutional Class	A	Dividend			Buy (add'l)	03/17/20	J		
51.					Sold (part)	04/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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52.					Sold	08/31/20	J	A	
53. Schwab Strategic Tr Intl EquityETF	A	Dividend	K	T	Buy (add'l)	03/17/20	J		
54. Goldman Sachs International Small Cap Insights Fund Class F	A	Dividend	J	T	Buy (add'l)	03/18/20	J		
55. Brown Capital Management Small Company Fund Institutional Class	A	Dividend	J	T					
56. IShares TR Core S&P Small Cap	A	Dividend	J	T	Buy	03/17/20	J		
57. Vanguard Malvern Short Term Inflation Protected Index Fund	A	Dividend	J	T	Sold (part)	03/17/20	J		
58.					Buy (add'l)	04/13/20	J		
59. Parnassus Mid Cap Fund Institutional Class	A	Dividend	J	T	Buy (add'l)	03/17/20	J		
60. IShares Gold TR	A	Dividend	J	T	Buy	04/13/20	J		
61.					Buy (add'l)	08/31/20	J		
62. Western Asset Core Bond Fund Class I	A	Dividend	J	T	Buy	09/01/20	J		
63. IShares TRMSCI USA Value Factor ETF	A	Dividend	J	T	Buy	08/31/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part 1, page 1, line 2---The undersigned is Power of Attorney for a disabled parent.
- 2) Part 1, page 1, line 3---The undersigned is custodian for the proceeds of a personal injury settlement for the benefit of a minor grand nephew.
- 3) Part 1, page 1, line 4---The undersigned is custodian for the proceeds of a personal injury settlement for the benefit of a minor grand niece.
- 4) Part VII, page 4, line 1---Rental property (duplex), located in the County of Summit, State of Ohio was appraised as part of refinancing in September, 2010.
- 5) Pro Bono legal services received from Judicial Watch, Inc
- 6) Part VII, line 29 Dreyfus Insured Deposit Account was closed on 8/19/19 and funds transferred into Northwestern Mutual Money Market Account (line 30) on the same date. The transaction was erroneously not reflected on 2019 Financial Disclosure Report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John R. Adams**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544