

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) King, Leo L.	2. Court or Organization U.S. District Court, W.D. Ky.	3. Date of Report 05/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court 501 Broadway Paducah, Ky, 42001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Sherman, Carter, Barnhart, PSC, Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Paducah Bank and Trust, Checking Account	A	Interest	K	T					
2. BROKERAGE ACCOUNT #1									
3. -Wells Fargo Money Market Account	A	Interest	M	T					
4. -AT&T Stock	C	Dividend	L	T					
5. -Abbot Laboratories Stock	A	Dividend	K	T					
6. -Abbvie Inc Stock	B	Dividend	K	T					
7. -Archer Daniels Midland Stock	B	Dividend	L	T					
8. -Bristol Myers Squibb Stock*	C	Dividend	N	T	Buy (add'l)	11/21/19	M		
9. -Cegene Corp Stock*	A	Dividend			Merged (with line 8)	11/21/19	M	G	
10.					Sold	11/21/19	M	G	
11. -Chevron Corp Stock	C	Dividend	L	T					
12. -Comcast Corp Stock	A	Dividend	L	T					
13. -Corning Inc Stock	B	Dividend	K	T					
14. -Disney Stock	C	Dividend	N	T					
15. -Exxon Stock	B	Dividend	K	T					
16. -GE Stock	A	Dividend	J	T	Donated (part)				
17. -General Mills Stock	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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18. -Halliburton Co Stock	A	Dividend	K	T					
19. -Hasbro Inc Stock	B	Dividend	L	T					
20. -Intell Corp Stock	C	Dividend	M	T					
21. -IBM Stock	C	Dividend	L	T					
22. -McCormick & Co Inc Stock	B	Dividend	M	T					
23. -Merk & Co. Stock	C	Dividend	M	T					
24. -Microsoft Stock	C	Dividend	N	T					
25. -Oracle Corp Stock	B	Dividend	M	T					
26. -PNC Financial Services Stock	B	Dividend	L	T					
27. -Nuveen Invt Fds Inc Real Estate Secs Fund Cl A	C	Dividend	M	T					
28. -PIMCO FD PAC INVT MGMT, All Asst Fund Class C	C	Dividend	M	T					
29. -Principal Invs Fd Real Estate Secs Fd Cl A	C	Dividend	M	T					
30. -Principal Global Div Income Fd	C	Dividend	M	T					
31. -Proctor & Gamble Stock	C	Dividend	M	T					
32. -Southern Company Stock	B	Dividend	L	T					
33. -Vodafone Group Stock	B	Dividend	J	T					
34. -WAB TEC stock	A	Dividend	J	T	Spinoff (from line 16)	02/26/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. BROKERAGE ACCOUNT #1A									
36. -Kenton Cnty Ky Sch Dist Bond, 491089VN5	A	Interest			Redeemed	02/01/19	J	A	
37. -KY St Ppty & Bldgs Bond, 49151E8F3	A	Interest			Redeemed	08/01/19	J	A	
38. -Harris Cnty Tx Mun Util Bond, 414972UQ0	A	Interest			Redeemed	09/03/19	J	A	
39. -Henry Cnty Ky Sch Dist Bond, 426569MF3	A	Interest			Redeemed	10/01/19	J	A	
40. -Leitchfield KY RFDG SER Bond, 525439DV4	A	Interest			Redeemed (part)	03/25/19	J	A	
41.					Redeemed	11/01/19	J	A	
42. -KY St Ppty & Bldgs Bond, 49151FLY4	A	Interest	J	T					
43. -New Braunfels Tx CTF OBLG Bond, 642526KX4	A	Interest			Redeemed	03/01/19	J	A	
44. -Harris Cnty Tx Mun Util Bond, 413956GB3	A	Interest			Redeemed	09/03/19	J	A	
45. -Western Ky Univ Rvs Bond, 9585193P3	A	Interest	J	T					
46. -Fayette Cnty Ky Sch Dist Bond, 312432UK1	A	Interest	J	T					
47. -Hardin Cnty Ky Sch Dist Bond, 411873QM7	A	Interest	J	T					
48. -Montgomery Cnty Tx Mun Bond, 613910RT7	A	Interest			Redeemed	05/23/19	J	A	
49. -Washington DC Met Area Tran Auth Bond, 938782DX4	A	Interest			Redeemed	07/01/19	J	A	
50. -Boone Cnty Ky Sch Dist Bond, 098825YV8	A	Interest			Redeemed	02/01/19	J	A	
51. -Fayette Cnty Ky Sch Dist Fin Corp Bond, 31243UR6	A	Interest	J	T					

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52. -Miami Dade Cnty Fl W&S Bond, 59334DGQ1	A	Interest	J	T					
53. -Harris Cnty Tx Mun Util Bond, 41421LCT3	A	Interest	J	T					
54. -Brushy Creek Regl Util Auth Tx Bond, 117467EA4	A	Interest	J	T					
55. -Ky St Ppty & Bldgs Commn Revs Bond, 49151FEE6	A	Interest			Redeemed	10/01/19	J	A	
56. -Franklin Ky RFDG & Impt Pub Proj Bond, 353622AR4	A	Interest			Redeemed	12/02/19	J	A	
57. -Ky St Ppty & Bldgs Commn Revs Bond, 49151E8R7	A	Interest	J	T					
58. -Louisville & Jefferson Cnty Ky Met Swr Dist bond, 546589d58	A	Interest			Redeemed	11/15/19	J	A	
59. -Kentucky Rural wtr fin corp pub pj rev bond, 49140mun7	A	Interest	J	T					
60. -Louisville & Jeff Cnty swr dist bond, 546589rx2	A	Interest	J	T					
61. -Ky St Ppty & Bildgs Commn Revs Bds, 49151FYS3	A	Interest	J	T	Buy	09/05/19	J		
62. -Ky Rural WTR fin corp pub proj rev bds, 49140MN6N4	A	Interest	J	T	Buy	12/12/19	J		
63. -KY St Ppty & Bldgs Commn Revs Bds, 49151FHF0	A	Interest	K	T	Buy	10/17/19	K		
64. -Louisville & Jeff Cnty Ky Met Swr & Drain Bd, 546589RP9	A	Interest	J	T	Buy	09/10/19	J		
65. -Louisville & Jeff Cnty Ky Met Swr & Drain bd, 546589RS3	A	Interest	J	T	Buy	11/04/19	J		
66. -Ky St TPK Auth Econ Dev Rd Rev bd, 491552YD1	A	Interest	J	T	Buy	03/01/19	J		
67. -Canyons Sch Dist Ut -Utah Sch Bd, 139078AU3	A	Interest	J	T	Buy	05/08/19	J		
68. -Ky St Tpk Auth Econ Dev Rd Rev bd, 4915522XT7	A	Interest	J	T	Buy	06/06/19	J		

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69. -Ky St Tpk AuthEcon Dev Rd Rev bd, 491552ZA6	A	Interest	J	T	Buy	02/01/19	J		
70. -Dallas Tx Wtrwks & Swr rev bd, 2354164X9	A	Interest	J	T	Buy	11/22/19	J		
71. -Ky Assn of Cntys Fin Corp bd, 491196KB6	A	Interest	J	T	Buy	08/12/19	J		
72. -Regional Trans Dist Co Sales Tax rev bd, 759136RK2	A	Interest	J	T	Buy	02/06/19	J		
73. BROKERAGE ACCOUNT #2									
74. -Industrial Select Sector SPDR fund	A	Dividend			Sold	10/01/19	J	A	
75. -Goldman Sachs ETF TR ETF	A	Dividend	K	T	Buy	07/19/19	K		
76.					Buy (add'l)	11/26/19	J		
77. -Invesco TR Emerging Mkts Sov debt	A	Dividend	K	T					
78. -Ishares Russell 2000 fund	A	Dividend	L	T	Buy (add'l)	01/02/19	J		
79.					Buy (add'l)	11/26/19	J		
80. -Ishares Core MSCI emerging markets fund	A	Dividend	K	T	Buy (add'l)	07/09/19	J		
81.					Buy (add'l)	11/26/19	J		
82. -Ishares Core S&P Midcap fund	A	Dividend	K	T	Buy (add'l)	11/26/19	J		
83. -Ishares Core S&P 500 S&P 500 Index fund	B	Dividend	L	T	Buy (add'l)	01/02/19	J		
84.					Sold (part)	04/01/19	K	C	
85. -Ishares Core US Aggregate Bond Fund	B	Dividend	L	T	Sold (part)	01/02/19	J	A	

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86.					Buy (add'l)	04/01/19	K		
87.					Sold (part)	07/12/19	J	A	
88.					Sold (part)	10/01/19	J	A	
89. -Ishares Edge MSCI Min Vol USA ETF	A	Dividend	K	T	Buy	10/01/19	K		
90.					Buy (add'l)	11/26/19	J		
91. -Ishares S&P Midcap value fund	A	Dividend	K	T					
92. -Ishares S&P midcap growth fund	A	Dividend	K	T					
93. Sector SPDR Technology Select fund	A	Dividend	K	T	Buy (add'l)	02/19/19	J		
94.					Buy (add'l)	11/26/19	J		
95. -Select Sector SPDR Financial fund	A	Dividend	K	T					
96. -Select Sector SPDR Health Care fund	A	Dividend			Sold	07/19/19	K	D	
97. -Select Sector SPDR Consumer Discretionary fund	A	Dividend	K	T					
98. -SPDR Dow Jones Global Real Estate	A	Dividend			Sold	07/19/19	K	A	
99. -Vanguard FTSE Developed Mrks fund	B	Dividend	K	T	Sold (part)	10/01/19	J	A	
100.					Buy (add'l)	11/26/19	J		
101. -Vanguard Index fds reas estate index*	A	Dividend			Sold	01/02/19	J	A	
102. -Vanguard Intl Equity Index emerging mrkts fund	A	Dividend	K	T	Sold (part)	10/01/19	K	B	

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103. -Xtrackers USD High Yield Corp Bond Fund	B	Dividend	K	T	Buy	10/01/19	K		
104. -Vanguard Short Term Corp Bond fund	A	Dividend			Sold	07/19/19	K	A	
105. -Wells Fargo Money Market account X	A	Interest	J	T					
106. IRA #1									
107. -Europacific Growth Fd, cl A	A	Dividend	J	T					
108. -Growth Fund America, Cl A	A	Dividend	J	T					
109. -New Economy Fund SBI Cl A	A	Dividend	J	T					
110. -Smallcap World Fd A	A	Dividend	J	T					
111. -Wash Mutl Invs Fd Inc, Cl A	A	Dividend	J	T					
112. IRA #2									
113. -Wells Fargo Money Market account X	A	Interest	J	T					
114. -Golman Sachs ETF TR ETF US Large Cap	A	Dividend	K	T	Buy	07/19/19	K		
115. -Industrial Select Sector SPDR fund	A	Dividend			Sold	10/01/19	J	A	
116. -Invesco Emerging Mkts Sovereign debt fund	A	Dividend	J	T					
117. -Ishares Russell 2000 fund	A	Dividend	K	T	Buy (add'l)	01/02/19	J		
118. -Ishares core msci emerging markets fund	A	Dividend	J	T	Buy (add'l)	07/19/19	J		
119. -Ishares Core S&P Midcap fund	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
King, Leo L.	05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Ishares Core S&P 500 fund	A	Dividend	K	T	Buy (add'l)	01/02/19	J		
121.					Sold (part)	04/01/19	J	A	
122. -Ishares Core US Aggregate Bond fund	A	Dividend	K	T	Sold (part)	01/02/19	J	A	
123.					Buy (add'l)	04/01/19	J		
124.					Sold (part)	07/19/19	J	A	
125.					Sold (part)	10/01/19	J	A	
126. -Ishares Edge MSCI ETF Min Vol EUS ETF	A	Dividend	K	T	Buy	10/01/19	J		
127. -Ishares S&P Midcap 400 value fund	A	Dividend	J	T					
128. -Ishares S&P Midcap 400 growth fund	A	Dividend	J	T					
129. -SectorSPDR Tr Technology Select sector fund	A	Dividend	J	T	Buy (add'l)	01/02/19	J		
130. -Select Sector SPDR TR Financial fund	A	Dividend	J	T					
131. -Select Sector SPDR FD Health care fund	A	Dividend			Sold	07/19/19	J	A	
132. -Select Sector SPDR Fd consumer discretionary fund	A	Dividend	J	T					
133. -SPDR Dow Jones Global Real Estate fund	A	Dividend			Sold	07/19/19	J	A	
134. -Vanguard FTSE Develeoped Marktets fund	A	Dividend	K	T	Buy (add'l)	07/19/19	J		
135.					Sold (part)	10/01/19	J	A	
136. -Vanguard Intl Equity Index fds ftse emerging markets	A	Dividend	J	T	Sold (part)	10/01/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

King, Leo L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -Vanguard Index fds real estate index fd	A	Dividend			Sold	01/02/19	J	A	
138. -Vanguard Short Term corp bond fund	A	Dividend			Sold	07/19/19	J	A	
139. -Xtrackers USD High Yield Corp Bond fund	A	Dividend	J	T	Buy	10/01/19	J		
140. TRUST #1									
141. -Wells Fargo Money Mrkt Account	A	Int./Div.	J	T					
142. -Industrial Select Sector fund	A	Dividend			Sold	10/01/19	J	A	
143. -Goldman Sachs Active Beta US Large Cap Equity ETF	A	Dividend	K	T	Buy	07/19/19	K		
144.					Buy (add'l)	11/26/19	J		
145. Invesco TR II Emerging MKTS Sovereign Debt (FKA Powershares Em Mar)	A	Dividend	J	T					
146. -Ishares Russell 2000 fund	A	Dividend	J	T					
147. -Ishares Core S&P MSCI Emerging Markets fund	A	Dividend	J	T	Buy (add'l)	07/19/19	J		
148.					Buy (add'l)	11/26/19	J		
149. -Ishares core S&P Midcap fund	A	Dividend	K	T	Buy (add'l)	01/02/19	J		
150.					Buy (add'l)	11/26/19	J		
151. -Ishares core S&P 500 fund	A	Dividend	K	T	Buy (add'l)	01/02/19	J		
152.					Sold (part)	04/01/19	J	A	
153.					Buy (add'l)	11/26/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

King, Leo L.

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Ishares Core US Aggregate Bond fund	A	Dividend	L	T	Buy (add'l)	04/01/19	J		
155.					Buy (add'l)	07/19/19	J		
156.					Buy (add'l)	11/26/19	J		
157. -Ishares Edge MSCI Min Vol USA ETF	A	Dividend	K	T	Buy	10/01/19	J		
158.					Buy (add'l)	11/26/19	J		
159. -Ishares IBOXX\$ High Yield Corp Bond	A	Dividend	J	T					
160. -Ishares JP Morgan USD Emerging Markets Bond fund	A	Dividend	J	T	Buy (add'l)	04/01/19	J		
161.					Sold (part)	07/19/19	J		
162. -Ishares MBS Fund	A	Dividend	J	T	Sold (part)	10/01/19	J	A	
163.					Buy (add'l)	11/26/19	J		
164. -Sector SPDR Technology Select Sector fund	A	Dividend	J	T	Buy (add'l)	01/01/19	J		
165.					Buy (add'l)	11/26/19	J		
166. -Select Sector SPDR Financial fund	A	Dividend	J	T					
167. -Select Sector SPDR Health Care fund	A	Dividend			Sold	07/19/19	J	A	
168. -Select Sector SPDR Consumer Discretionary fund	A	Dividend	J	T					
169. -Spdr Dow Jones Global Real Estate fund	A	Dividend			Sold	07/19/19	J	A	
170. -Vanguard FTSE Developed Markets fund	A	Dividend	J	T	Buy (add'l)	07/19/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

King, Leo L.

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Sold (part)	10/01/19	J	A	
172.					Buy (add'l)	11/26/19	J		
173. -Vanguard Index FDS Real Estate Index Fund (FKA Vanguard REIT Fund)	A	Dividend			Sold	01/02/19	J	A	
174. -Vanguard Intermediate Term Corp Bond fund	A	Dividend	K	T	Sold (part)	01/02/19	J	A	
175.					Buy (add'l)	10/01/19	J		
176.					Buy (add'l)	11/26/19	J		
177.					Buy (add'l)	11/26/19	J		
178. -Vanguard INTL Equity Index Emerging Mrkts fund	A	Dividend	J	T	Sold (part)	10/01/19	J	A	
179. -Vanguard Short Term Corp Bd fund	A	Dividend	J	T	Sold (part)	10/01/19	J	A	
180. -Xtrackers USD High Yield Corp Bond fund	A	Dividend	J	T	Buy	10/01/19	J		
181.					Buy (add'l)	11/26/19	J		
182. TRUST #2									
183. -Well Fargo Money Mrkt Account	A	Interest	J	T					
184. -Industrial Select Sector SPDR	A	Dividend			Sold	10/01/19	J	A	
185. -Goldman Sachs ActiveBeta US Large Cap Equity ETF	A	Dividend	K	T	Buy	07/19/19	J		
186.					Buy (add'l)	11/26/19	J		
187. -Invesco TR II Emerging Mkts Sovereign Debt fund (FKA Powershares)	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

King, Leo L.

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05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Ishares Russell 2000	A	Dividend	J	T					
189. -Ishares Core MSCI Emerging Markets fund	A	Dividend	J	T	Buy (add'l)	11/26/19	J		
190. -Ishares Core S&P Midcap fund	A	Dividend	K	T	Buy (add'l)	01/02/19	J		
191.					Buy (add'l)	11/26/19	J		
192. -Ishares core S&P 500 index fund	A	Dividend	K	T	Buy (add'l)	01/02/19	J		
193.					Sold (part)	04/01/19	J	A	
194.					Buy (add'l)	11/26/19	J		
195. -Ishares Core US Aggregate Bond fund	A	Dividend	K	T	Buy (add'l)	04/01/19	J		
196.					Buy (add'l)	07/19/19	J		
197.					Buy (add'l)	11/26/19	J		
198. -Ishares Edge MSCI Min Vol USA ETF	A	Dividend	K	T	Buy	10/01/19	J		
199.					Buy (add'l)	11/26/19	J		
200. -Ishares IBOX\$ High Yield Corp Bond fd	A	Dividend	J	T					
201. -Ishares Core MSCI Emerging MKTS fund	A	Dividend	J	T	Buy	07/19/19	J		
202. -Ishares JP Morgan USD Emerging Markets Bond fund	A	Dividend	J	T	Buy (add'l)	04/01/19	J		
203.					Sold (part)	07/19/19	J	A	
204. -Ishares MBS MBB fund	A	Dividend	J	T	Sold (part)	10/01/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

King, Leo L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	11/26/19	J		
206. -Sector SPDR Tr Technology Select sector fund	A	Dividend	J	T	Buy (add'l)	01/02/19	J		
207.					Buy (add'l)	11/26/19	J		
208. -Select Sector SPDR TR Financial fund	A	Dividend	J	T					
209. -Select Sector SPDR fd health care	A	Dividend			Sold	07/19/19	J	A	
210. -Select Sector SPDR fd consumer discretionary	A	Dividend	J	T					
211. -SPDR Dow Jones Global Real Estate	A	Dividend			Sold	07/19/19	J	A	
212. -Vanguard FTSE Developed Markegts ETF fund	A	Dividend	J	T	Buy (add'l)	07/19/19	J		
213.					Sold (part)	10/01/19	J	A	
214.					Buy (add'l)	11/26/19	J		
215. -Vanguard Index Fds Real Estate Index fd (FKA Vanguard REIT fd)	A	Dividend			Sold	01/02/19	J	A	
216. -Vanguard Intermediate Term Corp bond fund	A	Dividend	K	T	Sold (part)	01/02/19	J	A	
217.					Buy (add'l)	10/01/19	J		
218. -Vanguard Intl Equity Index fds ftse emerging mkts	A	Dividend	J	T	Sold (part)	10/01/19	J	A	
219. -Vanguard Short Term Corp Bond fd	A	Dividend	J	T	Sold (part)	10/01/19	J	A	
220.					Buy (add'l)	11/26/19	J		
221. Xtrackers USD High Yield Corp Bond fund	A	Distribution	J	T	Buy	10/01/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

King, Leo L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	11/26/19	J		
223. 401(k) #1									
224. -American Funds Income Fnd R3	A	Dividend	N	T					
225. Royal Crown Bottling Corp (2011 Appraisal)	A	Distribution	P1	Q					
226. RC Transportation Co. (2010 Appraisal)	F	Distribution	O	Q					
227. Rental Agricultural Property, Henderson County, Ky (\$57,036)	E	Rent	K	S					
228. POP Investments, LLC (Aggregate Ownership Arrangement)									
229. -Trust Govt obligatiosn Tax Managed Fund	A	Interest			Buy (add'l)	01/03/19	J		
230.					Redeemed (part)	01/07/19	J	A	
231.					Redeemed (part)	01/08/19	K	A	
232.					Buy (add'l)	04/12/19	J		
233.					Buy (add'l)	04/26/19	J		
234.					Buy (add'l)	06/17/19	J		
235.					Redeemed (part)	07/01/19	J	A	
236.					Sold	07/11/19	K	A	
237. -Federated Government Obligation Premier Fd					Buy	07/11/19	K		
238.					Buy (add'l)	07/31/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Redeemed (part)	08/01/19	J	A	
240.					Redeemed (part)	08/06/19	J		
241.					Buy (add'l)	08/08/19	J		
242.					Buy (add'l)	08/28/19	J		
243.					Buy (add'l)	09/12/19	J		
244.					Redeemed (part)	09/16/19	K		
245.					Buy (add'l)	11/07/19	J		
246.					Buy (add'l)	11/08/19	J		
247.					Buy (add'l)	11/27/19	J		
248.					Buy (add'l)	12/17/19	J		
249.					Buy (add'l)	12/19/19	J		
250.	-Federal Home Ln Bks DEB	A	Interest	J	T	Buy	09/16/19	J	
251.	-General Dynamics Corp Deb bond	A	Interest	J	T				
252.	-Carmel In REDEV Auth Lease Rev bond	A	Interest	J	T				
253.	-Columbus-Franklin Cnty Oh Fin Rev bond	A	Interest	J	T				
254.	-Connecticut St Hlth & Ed Fac Rev Bond	A	Interest	J	T				
255.	-Greene Cnty In Bldg Corp Rev bond	A	Interest	J	T				

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. -Missouri hlth & Ed Fac Rev bond	A	Interest	J	T					
257. -New Hope Tx Cult Ed FACS Fin Rev bond	A	Interest	J	T					
258. -New Jersey St Econ Dev Auth Rev bond	A	Interest			Matured	06/17/19	J	A	
259. -San Francisco City & Cnty txbl bond	A	Interest	J	T	Buy	07/01/19	J		
260. -South Washington Cnty Mn Ind bond	A	Interest	J	T					
261. -University Central Arkansas Rev bond	A	Interest	J	T					
262. -West Fargo ND Publ Schl Dist bond	A	Interest	J	T					
263. -Apple Inc Bond	A	Interest	J	T					
264. -Bank of New York Mellon Corp bond	A	Interest	J	T					
265. -Chevron Corp bond	A	Interest	J	T					
266. -Cisco Systems Inc bond	A	Interest	J	T					
267. -Disney Walt company new med deb bond	A	Interest	J	T					
268. -Exxon Mobil Corp bond	A	Interest	J	T					
269. -Intel Corp DEB bond	A	Interest	J	T					
270. -JP Morgan Chase DEB bond	A	Interest	J	T					
271. -Merck & Co DEB bond	A	Interest	J	T					
272. -Oracle Corp DEB bond	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. -Pepsico Inc DEB bond	A	Interest	J	T					
274. -Phillip Morris Intl Inc DEB bond	A	Interest	J	T					
275. -Qualcomm Inc DEB bond	A	Interest	J	T					
276. -Simon Property Group LB DEB bond	A	Interest	J	T					
277. -Target Corp DEB bond	A	Interest	J	T					
278. -TJX Company inc DEB bond	A	Interest	J	T					
279. -Visa Inc DEB bond	A	Interest	J	T					
280. -Wells Fargo & Co DEB bond	A	Interest	J	T					
281. -Gabelli Dividend & Income PFD preferred stock	A	Int./Div.	J	T					
282. -Georgia Power Co PFD preferred stock	A	Int./Div.	J	T					
283. -Invesco Ex PFD ETF preferred stock	A	Int./Div.	J	T					
284. -Public Storage PFD preferred stock	A	Int./Div.	J	T					
285. -Franklin Templeton Global Bond Fund	A	Int./Div.			Sold	08/08/19	J	A	
286. -Janus Henderson Short Duration fund	A	Dividend			Sold	01/08/19	J	A	
287. -Fidelity Overseas fund	A	Dividend	J	T	Buy (add'l)	08/08/19	J		
288. -Fidelity Select Biotechnology Portfolio fund	A	Dividend			Sold	01/07/19	J	A	
289. -Invesco Oppenheimer Developing Markets fund	A	Dividend	K	T	Buy (add'l)	08/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. -Vanguard Small cap Index investor class fund	A	Dividend	J	T	Sold (part)	07/31/19	K	B	
291.					Sold (part)	08/08/19	J	A	
292. -Vanguard Small Cap Value ETF Fund*	A	Dividend	J	T					
293. -T Rowe Price Mid Cap Growth	A	Dividend	M	T	Buy (add'l)	01/07/19	J		
294.					Buy (add'l)	08/08/19	J		
295. -Vanguard Explorer Fund	A	Dividend	K	T	Buy	01/07/19	J		
296.					Buy (add'l)	07/31/19	K		
297.					Buy (add'l)	08/08/19	J		
298. -Accenture stock	A	Dividend	J	T	Buy	01/08/19	J		
299. -Alibab Group Holding stock	A	Dividend	J	T	Buy	08/01/19	J		
300. -Alliant Corp Stock	A	Dividend	J	T					
301. -Alphabet Inc stock	A	Dividend	J	T					
302. -Amazon Stock	A	Dividend	K	T	Sold (part)	01/08/19	J	D	
303.					Sold (part)	08/01/19	J	D	
304. -Apache corporation stock	A	Dividend			Sold	01/08/19	J	A	
305. -Apple Inc. Stock	A	Dividend	K	T					
306. -Bank Amer Corp stock	A	Dividend	J	T	Buy	01/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. -Berkshire Hathaway inc stock	A	Dividend	J	T					
308. -Boeing co stock	A	Dividend			Sold (part)	04/26/19	J	A	
309.					Sold	09/12/19	J	A	
310. -Blackstone Group inc stock	A	Dividend	J	T	Buy	08/01/19	J		
311. -Bristol Myers Squibb stock	A	Dividend	J	T	Buy	11/29/19	J		
312. -Broadcom LTD stock	A	Dividend	J	T					
313. -Caterpillar Inc stock	A	Dividend	J	T	Buy	08/01/19	J		
314. -Celgene Corp Stock	A	Dividend			Sold (part)	01/08/19	J	C	
315.					Sold (part)	04/12/19	J	B	
316.					Sold	11/29/19	J	A	
317. -Chevron Corp Stock	A	Dividend	K	T	Sold (part)	08/01/19	J	C	
318. -Chubb Corp Stock	A	Dividend			Sold	08/01/19	J	B	
319. -Costco Whsl Corp stock	A	Dividend	J	T					
320. -CSX Corp stock	A	Dividend	J	T	Sold (part)	08/01/19	J	A	
321. -Disney Company stock	A	Dividend	J	T	Buy (add'l)	01/08/19	J		
322. -East West Bancorp Inc Stock	A	Dividend	K	T	Sold (part)	08/01/19	J	B	
323. -Enbridge Inc	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. -Exxon Mobil Corp Stock	A	Dividend	J	T					
325. -Facebook inc stock	A	Dividend	J	T	Buy	08/06/19	J		
326.					Buy (add'l)	08/19/19	J		
327. -Fidelity Tr MSCI REIT ETF	A	Dividend	J	T					
328. -General Dynamics Corp stock	A	Dividend	K	T					
329. -General Electric Co Stock	A	Dividend	J	T					
330. -Gilead Sciences Inc Stock	A	Dividend	K	T					
331. -Global x mlp & energy infrastructure etf	A	Dividend	J	T	Buy (add'l)	01/08/19	J		
332. -Home Depot stock	A	Dividend	J	T	Buy (add'l)	11/21/19	J		
333. -Honeywell International stock	A	Dividend	J	T	Buy	09/16/19	J		
334. -Intel Corp Stock	A	Dividend	K	T	Sold (part)	08/01/19	J		
335. -Intuitive Surgical Inc stock	A	Dividend	J	T	Buy	08/01/19	J		
336. -JP Morgan Chase & Co. Stock	A	Dividend	K	T					
337. -Johnson & Johnson Stock	B	Dividend	K	T	Sold (part)	01/08/19	J	A	
338. -Kimberly Clark stock	A	Dividend	J	T	Buy	08/01/19	J		
339. -Linde PLC EUR stock	A	Dividend	J	T					
340. -Marathon Pete Corp stock	A	Dividend	J	T	Buy	01/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341. -Marvel Technology stock	A	Dividend	J	T	Buy	08/01/19	J		
342. -Mastercard inc stock	A	Dividend	J	T	Buy	08/01/19	J		
343.					Buy (add'l)	08/09/19	J		
344. -McDonalds Corp stock	A	Dividend	J	T					
345. -Medtronic PLC stock	A	Dividend	J	T	Buy (add'l)	01/08/19	J		
346. -Merk & Co stock	A	Dividend	J	T	Buy	01/08/19	J		
347.					Buy (add'l)	11/08/19	J		
348. -Microsoft Corp stock	A	Dividend	J	T	Buy (add'l)	11/08/19	J		
349. -Mondelez International Inc stock	A	Dividend	J	T					
350. -Monster Beverage Corp Stock	A	Dividend	K	T					
351. -Netflix inc stock	A	Dividend			Sold	01/03/19	J	A	
352. -Nike inc stock	A	Dividend	J	T					
353. -Nucor Corp stock	A	Dividend	J	T					
354. -Oracle Corp Stock	A	Dividend	K	T	Sold (part)	08/01/19	J	B	
355. -Packaging Corp Amer Stock	A	Dividend	K	T	Sold (part)	08/01/19	J	B	
356. -Palo Alto Networks inc stock	A	Dividend	J	T	Buy	01/08/19	J		
357. -PayPal Holdings inc stock	A	Dividend	J	T	Buy	01/08/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358. -Pepsico Inc stock	A	Dividend	J	T					
359. -PPG INDS inc stock	A	Dividend	J	T					
360. -Procter & Gamble Co	A	Dividend	K	T					
361. -Prudential Financial stock	A	Dividend			Buy (add'l)	08/01/19	J		
362.					Sold	08/28/19	J	A	
363. -Salesforce.com stock	A	Dividend	J	T					
364. -SBA Communications Corp Stock	A	Dividend			Sold	01/08/19	J	C	
365. -Sherman Williams Co Stock	A	Dividend	J	T					
366. -Stryker Corp stock	A	Dividend	J	T	Buy	01/08/19	J		
367. -T-Mobile US stock	A	Dividend	J	T	Buy (add'l)	08/01/19	J		
368. -TJX companies stock	A	Dividend	J	T					
369. -Unilever stock	A	Dividend	J	T					
370. -United Technologies corp stock	A	Dividend	J	T	Buy (add'l)	08/01/19	J		
371. -United Health Group stock	A	Dividend	J	T					
372. -Verizon Communications stock	A	Dividend	J	T	Buy (add'l)	01/08/19	J		
373.					Buy (add'l)	08/01/19	J		
374. -Visa inc stock	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

King, Leo L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375. -Walgreens Boots Alliance Inc stock	A	Dividend			Sold	08/01/19	J	A	
376. -Waste Management inc stock	A	Dividend	J	T	Buy (add'l)	09/16/19	J		
377. -Pfizer co stock	A	Dividend			Buy	01/08/19	J		
378.					Sold	11/07/19	J	A	
379. -IBM stock	A	Dividend			Buy	08/01/19	J		
380.					Sold	11/08/19	J	A	
381. -EOG Res inc stock	A	Dividend			Buy	08/01/19	J		
382.					Sold	11/07/19	J	A	
383. TRUST #3									
384. -Fifth Third Banksafe Trust Money Market Account	A	Interest	L	T					
385. -Schwab 1000 Index Fund	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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King, Leo L.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Vanguard Small Cap Value ETF fund, line 292 on this disclosure, should have been listed as "sold (part)" rather than "sold" on line 256 of my 2018 disclosure.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Leo L. King**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544