

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LARIMER, DAVID G.	2. Court or Organization WESTERN DISTRICT OF NEW YORK	3. Date of Report 05/19/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States Courthouse 100 State Street, Room 2500 Rochester, New York 14614		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

LARIMER, DAVID G.

Date of Report

05/19/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

LARIMER, DAVID G.

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05/19/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

LARIMER, DAVID G.

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05/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mineral Rights in Illinois - (Jefferson County)	A	Royalty	J	W					
2. Mineral Rights in Illinois (Marion County)	A	Royalty	J	W					
3. M&T Bank	A	Interest	K	T					
4. JP Morgan 529A College Sav. Accts.									
5. - Large Cap. Growth.	A	Dividend	K	T	Buy	12/31/20	J		
6.					Sold (part)	08/25/20	J		
7. - Equity Income	A	Dividend	K	T	Buy	12/31/20	J		
8.					Sold (part)	08/25/20	J		
9. -International Equity	A	Dividend	K	T	Buy	12/31/20	J		
10.					Sold (part)	08/25/20	J		
11. -Small Cap Portfolio	A	Dividend	K	T	Buy	12/31/20	J		
12.					Sold (part)	08/25/20	J		
13. MFS Municipal High Income	A	Dividend	J	T					
14. Blackrock Equity Dividend FD	A	Dividend	J	T					
15. FidelityAdv Strat Divident & Inc.	A	Dividend	J	T					
16. Alger Spectra Fund Class	B	Dividend	J	T	Sold (part)	10/05/20	J		
17. MFS Bond	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. MFS International Diversification	A	Dividend	J	T					
19. Columbia Dividend Opportunity	A	Dividend	J	T	Sold (part)	10/05/20	J		
20. Fidelity Advisor Strategic Income	A	Dividend	J	T					
21. Prime Fund Capital Reserves Class	A	Dividend	J	T					
22. Oppenheimer Int'l Bond Class	A	Dividend	J	T					
23. Oppenheimer Senior Floating Rate	A	Dividend	J	T					
24. Oppenheimer Dev Markets FD	A	Dividend	J	T					
25. Pimco Total Return	A	Dividend	J	T					
26. Templeton Global Bond	A	Dividend	J	T					
27. MONY Whole Life Ins. Policy		None	J	T					
28. MFS Growth	A	Dividend	J	T	Buy	01/09/20	J		
29. MFS Global Total Return	A	Dividend			Sold (part)	12/18/20	J		
30.					Sold (part)	01/09/20	J		
31.			J	T	Buy	12/31/20	J		
32. MFS Total Return	A	Dividend			Sold (part)	12/18/20	J		
33.					Sold (part)	01/09/20	J		
34.			K	T	Buy	12/31/20	J		

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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35. MFS Value	A	Dividend	J	T	Buy	01/09/20	J		
36. T Rowe Price Value	A	Dividend	J	T					
37. Buffalo Discovery	A	Dividend	J	T					
38. MFS Mid Cap Value	A	Dividend	J	T					
39. Gabelli Small Cap Growth	A	Dividend	J	T					
40. Goldman Schs Lg Cap Grw Insights	A	Dividend	J	T					
41. American Mutual	A	Dividend	J	T					
42. Lord Abbett Bond Deb	A	Dividend	J	T					
43. Amer. Capital World G&I	A	Dividend	J	T					
44. Columbia Global Technology	A	Dividend	J	T					
45. Fidelity Advisor Grow Opty	A	Dividend	J	T	Sold (part)	10/02/20	J		
46. Fidelity Advisory Health Care	A	Dividend	J	T					
47. Fidelity Int'l Index	A	Dividend	K	T	Sold (part)	07/23/20	J		
48. Fidelity 500 Index	A	Dividend	L	T	Sold (part)	03/31/20	J		
49.					Sold (part)	04/16/20	J		
50.					Sold (part)	07/15/20	J		
51.					Sold (part)	11/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Fidelity Sm Cap Index	A	Dividend	J	T	Sold (part)	11/20/20	J		
53. PIMCO Int'l Bond	A	Interest	J	T					
54. PMC Diversified Equity	A	Dividend	L	T	Sold (part)	07/15/20	J		
55.					Sold (part)	07/23/20	J		
56.					Sold (part)	11/20/20	J		
57. PMC Core Fixed Inc	A	Interest	K	T	Sold (part)	03/31/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

LARIMER, DAVID G.

Date of Report

05/19/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

LARIMER, DAVID G.

Date of Report

05/19/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ DAVID G. LARIMER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544