

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Otazo-Reyes, Alicia M.	2. Court or Organization U.S. District Court, Southern District of Florida	3. Date of Report 03/29/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 301 N. Miami Avenue Miami, Florida 33128		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member	Miami Dade College Paralegal Studies Program Advisory Committee
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. George Mason University, Antonin Scalia Law School, Law and Economics Center	Feb. 1-5, 2020	Key West, FL	Seminar	Transportation, meals, lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Citibank (cash)	A	Interest	N	T					
3. Account #1 (H)									
4. Bank of America, NA, RASP (cash)	A	Interest	M	T					
5. AB Large Cap Growth Fund (APGYX)		None			Sold	03/20/20	K	C	
6. AIG Focused Dividend Strategy (FDSWX)		None			Sold	02/28/20	J		
7. Alger Small Cap Focus Fund Class Z (AGOZX)		None	K	T	Sold (part)	03/18/20	J		
8.					Sold (part)	03/30/20	J		
9. Allianzgi Mid Cap Value Fund Cl P (ANPRX)	A	Dividend	J	T	Buy	10/28/20	J		
10.					Buy (add'l)	12/30/20	J		
11. American Mutual Fund (AMRMX)		None	K	T	Buy	12/31/20	K		
12. BBH Limited Duration Fund Cl I (BBBIX)	A	Dividend	J	T					
13. Blackrock Short Maturity Bd (NEAR)	A	Dividend	L	T	Buy (add'l)	07/09/20	K		
14. Blackrock Income Fd (BMSIX)	A	Dividend	J	T					
15. Blackrock Mid Cap Growth Equity Portfolio Inst. Cl. (CMGIX)		None	K	T	Buy (add'l)	02/28/20	J		
16. Blackrock High Yield Bd. Portfolio Fund (BHYIX)	A	Dividend			Sold	03/20/20	J		
17. Delaware Value Fund (DDVIX)		None			Sold	02/28/20	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Eaton Vance High Income Opportunities Fund (EIHIX)	A	Dividend			Sold	03/20/20	J		
19. Eaton Vance Floating Rate MF (EIBLX)	A	Dividend			Sold	04/28/20	J		
20. Federated Kaufmann Small Cap Fund (FKAIX)	A	Dividend	J	T	Buy (add'l)	01/06/20	J		
21.					Sold (part)	03/30/20	J		
22. Fidelity Adv Growth Opps Fd. Cl I (FAGCX)	B	Dividend	K	T	Buy (add'l)	03/04/20	J		
23.					Buy (add'l)	09/21/20	J		
24. Fidelity Adv Intl Cap Apprec Fd. Cl I (FCPIX)	A	Dividend	K	T	Buy (add'l)	02/28/20	J		
25.					Sold (part)	03/18/20	J		
26.					Buy (add'l)	07/15/20	J		
27. Franklin Dynatech Fd. Adv. (FDYZX)		None	K	T	Buy (add'l)	03/04/20	J		
28. Franklin Small Cap Value Fd Adv Cl (FVADX)	A	Dividend	K	T	Buy	10/22/20	J		
29.					Buy (add'l)	10/28/20	J		
30.					Buy (add'l)	12/30/20	J		
31. Glenmede Large Cap Growth Fund (GTILX)		None			Sold (part)	01/10/20	J	B	
32.					Sold	03/16/20	K		
33. Guggenheim Total Return Bond Fund Instl. Cl. (GIBIX)	A	Dividend	J	T					
34. iShares 1-3 year Treasury Bond ETF (SHY)	A	Dividend			Buy (add'l)	03/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	07/09/20	K		
36.					Sold	12/30/20	K		
37. iShares 20+ year Treasury Bond ETF (TLT)	A	Dividend	K	T	Sold (part)	10/19/20	J	A	
38. iShares Gold Tr (IAU)		None			Buy	03/17/20	J		
39.					Sold	06/17/20	J	A	
40. iShares S&P Midcap 400 Growth (IJK)		None			Sold (part)	03/17/20	J		
41.					Sold	03/20/20	J		
42. iShares Core S&P 500 ETF (IVV)	A	Dividend	K	T	Sold (part)	03/20/20	K	C	
43. iShares S&P Smallcap 600 Growth (IJT)		None			Sold	03/20/20	J		
44. iShares Core S&P US Growth ETF (IUSG)	A	Dividend	K	T	Sold (part)	03/20/20	J	B	
45.					Sold (part)	03/26/20	J	C	
46. iShares Core S&P US Value ETF (IUSV)	A	Dividend	K	T	Sold (part)	03/20/20	J		
47.					Sold (part)	03/26/20	J	A	
48. iShares Inc Core MSCI Emerging Markets ETF (IEMG)		None			Sold	03/12/20	J	A	
49. Janus Henderson Global Equity Income Fund Cl I (HFQIX)	A	Dividend	J	T	Buy	02/28/20	J		
50.					Buy (add'l)	12/30/20	J		
51. Janus Henderson Small Cap Value Fund Cl I (JSCOX)		None			Sold	03/25/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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52. Janus Henderson Enterprise Fund I (JMGRX)		None			Sold (part)	03/16/20	J	A	
53.					Sold (part)	03/18/20	J		
54.					Sold (part)	03/25/20	J	A	
55.					Sold (part)	03/30/20	J	A	
56.					Sold	07/31/20	J	A	
57. JP Morgan Emerging Markets Eq. Fd. Cl I (JMSX)	A	Dividend	K	T	Sold (part)	03/16/20	J		
58.					Sold (part)	03/18/20	J		
59.					Buy (add'l)	07/15/20	J		
60. Lord Abnett Bond Debenture Fund Cl F (LBDFX)	A	Dividend			Sold	09/14/20	J		
61. Lord Abnett Short Duration Income Fd Cl F (LDLFX)	A	Dividend	K	T	Buy (add'l)	01/06/20	J		
62. Mainstay Large Cap Growth Fund (MLAIX)		None			Sold (part)	03/16/20	K		
63.					Sold	03/20/20	K		
64. MFS International Intrinsic Value Fund (MINIX)	A	Dividend			Sold	03/12/20	J	A	
65. MFS International Diversification Fund Cl I (MDIIX)	A	Dividend	K	T	Buy (add'l)	07/15/20	J		
66.					Buy (add'l)	12/30/20	J		
67. MFS Value FD CL I MF (MEIIX)	B	Dividend	K	T	Sold (part)	03/20/20	J	A	
68. PGIM High Yield Fund Cl Z (PHYZX)	A	Dividend	K	T	Buy	07/15/20	J		

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	10/16/20	J		
70. PGIM Total Return Bond Fd Cl Z (PDBZX)	A	Dividend	K	T					
71. Pimco International Bond Fund (PFBPX)	A	Dividend	K	T	Buy (add'l)	07/09/20	J		
72.					Buy (add'l)	07/15/20	J		
73. Pimco Global Bond Fund (PGNPX)	A	Dividend			Sold	10/22/20	J	A	
74. Pimco Income Fund (PONPX)	A	Dividend	K	T					
75. Principal Equity Income Fund CL I (PEIIX)	A	Dividend	J	T					
76. T Rowe Price Dividend Growth Fd (PRDGX)	A	Dividend	J	T	Buy	03/04/20	J		
77. Thornburg Ltd Term Income Fund (THIIX)	A	Dividend	K	T	Buy (add'l)	07/09/20	J		
78. Touchstone Mid Cap Fund Y Class (TMCPX)	A	Dividend	K	T	Buy (add'l)	02/28/20	J		
79.					Sold (part)	03/18/20	J		
80.					Buy (add'l)	07/15/20	J		
81.					Buy (add'l)	10/28/20	J		
82. Tweedy Brown Global Value Fund (TBGVX)		None			Sold	02/28/20	J		
83. Vanguard Short-Term Corporate Bond (VCSH)	A	Dividend	K	T	Buy	07/09/20	K		
84. Vanguard Intermediate-Term Corporate Bond (VCIT)	A	Dividend	J	T	Buy	10/16/20	J		
85. Western Asset Core Plus Bond Fund (WACPX)	A	Dividend			Sold	10/16/20	K	B	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. Account #2 (H)									
87. Bank of America, NA, RASP (cash)	A	Interest	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Alicia M. Otazo-Reyes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544