

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Slomsky, Joel H.	2. Court or Organization Eastern District of Pennsylvania	3. Date of Report 04/30/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Courthouse 601 Market Street Philadelphia, Pennsylvania 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2013	Joel Harvey Slomsky Individual Retirement Account (IRAs), control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2013

--Salary

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. New York Intellectual
Property Lawyers Assn.

3/22-23/2013

New York, New York

Annual Event to Honor
Federal Judges

Hotel, Food, Transportation, Seminar

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA #1	B	Int./Div.	K	T					
2. --General Electric Capital (Bond)									
3. --JP Morgan Chase (Bond)									
4. --Federated Prime Mgmt. Obligations Fund									
5. --iShares Preferred Stock Index Fund									
6. IRA #2	F	Int./Div.	P1	T					
7. --DMB Community Bank (CD)					Matured	03/20/13	K	A	
8. --American Bank CD)					Matured	03/21/13	L	B	
9. --General Electric Capital (Bond)					Redeemed	01/15/13	K	B	
10. --General Electric Capital (Bond)					Redeemed	05/01/13	J	A	
11. --Goldman Sachs Group Inc. (Bond)					Redeemed	07/15/13	K	B	
12. --Goldman Sachs Group Inc. (Bond)									
13. --Goldman Sachs Group Inc. (Bond)									
14. --Genworth Financial Inc. (Bond)					Redeemed	09/16/13	K	A	
15. --Genworth Financial Inc. (Bond)									
16. --Citigroup Inc. (Bond)									
17. --Citigroup Inc. (Bond)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Prudential Financial Inc. (Bond)									
19. --American International Group (Bond)					Redeemed	05/15/13	J	A	
20. --American International Group (Bond)					Redeemed	09/09/13	K	A	
21. --Vanguard Wellington Fund									
22. --Verizon Communications Inc. (Common Stock)									
23. --Verizon Communications Inc. (Common Stock)					Sold (part)	05/30/13	K	D	
24. --Ally Bank (CD)					Matured	04/22/13	K	A	
25. --Ally Bank (CD)									
26. --Merrill Lynch (Bond)									
27. --Bank of America (Bond)									
28. --Bank of America (Bond)									
29. --Morgan Stanley (Bond)									
30. --Morgan Stanley (Bond)									
31. --Franklin Income Fund									
32. --iShares Preferred Stock Index Fund									
33. --iShares Preferred Stock Index Fund					Buy (add'l)	05/16/13	J		
34. --iShares Preferred Stock Index Fund					Buy (add'l)	05/16/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. --iShares Preferred Stock Index Fund					Buy (add'l)	01/24/13	K		
36. --AT&T Inc. Common Stock									
37. --AT&T Inc. (Common Stock)					Sold (part)	05/30/13	L	D	
38. --AT&T Inc.(Common Stock)					Buy (add'l)	10/16/13	K		
39. --JP Morgan Chase (Bond)									
40. --Wells Fargo & Co. (Bond)									
41. --GE Capital Financial Inc. (CD)									
42. --Citibank (CD)					Matured	10/21/13	K	A	
43. --BMW Bank North America (CD)					Matured	10/15/13	K	A	
44. --HSBC Finance Corporation (Bond)									
45. --American Express Centurian Bank (CD)					Matured	06/03/13	L	A	
46. --Federated Prime Mgmt. Obligations Fund									
47. --Federated Prime Mgmt. Obligations Fund					Distributed (part)	08/12/13	J		
48. --Federated Prime Mgmt. Obligations Fund					Distributed (part)	09/09/13	J		
49. --Federated Prime Mgmt. Obligations Fund					Distributed (part)	12/30/13	J		
50. --Goldman Sachs (CD)									
51. --Goldman Sachs (CD)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. --GE Capital (CD)									
53. --Discover Bank (CD)									
54. --Discover Bank (CD)									
55. --Goldman Sachs (CD)									
56. --Ally Bank (CD)									
57. --Discover Bank (CD)									
58. --GE Capital (CD)					Matured	10/15/13	L	B	
59. --BMW Bank of North America (CD)									
60. --Bank of America (Bond)					Buy	01/29/13	K		
61. --Goldman Sachs Bank (CD)					Buy	01/29/13	K		
62. --BMW Bank North America (CD)					Buy	04/12/13	M		
63. --Ally Bank (CD)					Buy	05/03/13	L		
64. --Wilshire Reit (ETF)					Buy	05/30/13	K		
65. --Vanguard Wellsley Fund					Buy	05/24/13	K		
66. --Vanguard Wellsley Fund					Buy (add'l)	10/17/13	K		
67. --Vanguard Wellsley Fund					Buy (add'l)	11/06/13	K		
68. --Ally Bank (CD)					Buy	06/28/13	L		

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69. --American Express Centurion Bank (CD)					Buy	07/25/13	K		
70. --Discover Bank (CD)					Buy	10/23/13	K		
71. --Sallie Mae Bank (CD)					Buy	10/23/13	L		
72. --Sallie Mae Bank (CD)					Buy	11/13/13	K		
73. Flexible Annuity Plan	D	Int./Div.	M	T					
74. --Mutual of America Conservative Allocation Fund									
75. --Mutual of America Moderate Allocation Fund									
76. --Mutual of America 2020 Retirement Fund									
77. --Mutual of America General Account									
78. Tax Deferred Annuity	E	Int./Div.	N	T					
79. --Mutual of America Conservative Allocation Fund									
80. --Mutual of America Interest Accumulation Account									
81. Citizens Bank Checking Account		None	K	T					
82. PNC Bank Checking Account	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Joel H. Slomsky**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544