

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dooley, Kari A.	2. Court or Organization District of Connecticut	3. Date of Report 04/25/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 915 Lafayette Boulevard Courtroom 4 - Annex Bridgeport, Connecticut 06604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 11/09/04	State of Connecticut Pension Plan
2.	
3.	

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Name of Person Reporting

Dooley, Kari A.

Date of Report

04/25/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Dooley, Kari A.	04/25/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Abbott Labs Stock	A	Dividend	K	T					
2. Abbvie Stock	A	Dividend	K	T					
3. Alphabet Class C		None	L	T					
4. Alphabet Class A		None	L	T					
5. Altria Group Stock	B	Dividend	J	T					
6. Americaan Airline Stock	A	Dividend			Sold	12/23/20	K		
7. Amgen Stock	B	Dividend	K	T					
8. Amerirn Electrical Power Stock	A	Dividend	K	T					
9. Apple Stock	A	Dividend	M	T					
10. AT&T stock	C	Dividend	K	T					
11. Baxter International Stock	A	Dividend	K	T					
12. Boeing Stock	A	Dividend	L	T					
13. Carrier stock (X)	A	Dividend	J	T					
14. Caterpillar Stock	A	Dividend	K	T					
15. ViacomCBS Stock	A	Dividend	J	T					
16. Centerpoint Energy Stock	A	Dividend	J	T					
17. Cisco Systems Stock	B	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Coca Cola Stok	A	Dividend	K	T					
19. Comcast Stock	A	Dividend	K	T					
20. Conaga Stock	A	Dividend	J	T					
21. ConocoPhillips Stock	A	Dividend	J	T					
22. Corteva	A	Dividend	J	T					
23. Cummins, Inc. Stock	B	Dividend	K	T					
24. Diageo Stock	A	Dividend	K	T					
25. Dow	A	Dividend	K	T					
26. Dupont	A	Dividend	K	T					
27. Eli Lilly Stock	A	Dividend	K	T					
28. Exelon Stock	A	Dividend	K	T					
29. Exxon Mobil Stock	B	Dividend	K	T					
30. Facebook Stock		None	L	T					
31. G. Willi Food Stock		None	K	T					
32. General Dynamics Stock	A	Dividend	K	T					
33. Gilead Stock	A	Dividend	J	T					
34. Hewlett Pckard Stock	A	Dividend	J	T					

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(See Columns B1 and D4)

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(See Columns C1 and D3)

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35. Home Depot	B	Dividend	L	T					
36. HP Inc. Stock	A	Dividend	J	T					
37. Intel Stock	B	Dividend	K	T					
38. IBM Stock	B	Dividend	L	T	Buy (add'l)	12/16/20	K		
39. Russell 2000 I shares	B	Distribution	M	T					
40. Johnson & Johnson Stock	B	Dividend	L	T					
41. JP Morgan Chase Stock	B	Dividend	L	T					
42. Kraft Heinz Stock	A	Dividend	J	T					
43. Lamb Weston Stock	A	Dividend	J	T					
44. Lockheed Martin Stock	A	Dividend	K	T					
45. Lowes Stock	A	Dividend	L	T					
46. LyondellBassell	A	Dividend	K	T					
47. Manulife Financial Stock	A	Dividend	J	T					
48. McDonalds Stock	B	Dividend	L	T					
49. Merck	A	Dividend	K	T					
50. Microsoft Stock	A	Dividend	L	T					
51. Mondelez Interntional Stock	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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52. Motorola Stock	A	Dividend	J	T					
53. National Grid ADRs	A	Dividend	J	T					
54. Norfolk Southern Stock	A	Dividend	K	T					
55. Occidental Petroleum Stock	A	Dividend			Sold	12/23/20	J		
56. Otis Elevator (X)	A	Dividend	J	T					
57. Peoples United Financial Stock	B	Dividend	K	T					
58. Pfizer Stock	B	Dividend	K	T					
59. Philip Morris International Stock	B	Dividend	K	T					
60. Phillips 66 Stock	A	Dividend	J	T					
61. PPL Corp Stock	A	Dividend	K	T					
62. Proctor and Gamble Stock	B	Dividend	L	T					
63. Prudential	B	Dividend	K	T	Buy (add'l)	03/09/20	J		
64. Qualcomm Stock	B	Dividend	L	T					
65. Raytheon Stock	A	Dividend	K	T					
66. Rockwell Automation Stock	A	Dividend	L	T					
67. Royal Dutch Shell ADRs	B	Dividend	J	T					
68. Dominion Energy	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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69. S & P 500 ETF	C	Dividend	N	T					
70. Total S.A. Stock	A	Dividend	J	T					
71. Travelers Stock	B	Dividend	K	T					
72. United Technologies Stock (Y)									
73. US Bancorp Stock	B	Dividend	K	T	Buy (add'l)	06/19/20	K		
74. Valero Energy Stock	B	Dividend	K	T					
75. Verizon Stock	C	Dividend	L	T					
76. Viatris		None	J	T	Spinoff (from line 58)	11/17/20	J		
77. Walmart Stock	B	Dividend	L	T					
78. Wells Fargo Stock	A	Dividend			Sold	12/23/20	J		
79. American Century Growth Fund	D	Distribution	M	T					
80. American Century Select Fund	D	Distribution	M	T					
81. American Century Heritage Fund	E	Distribution	N	T					
82. American Century Intermediate-Term Tax Free Bond Fund	C	Dividend	M	T					
83. Janus Henderson Forty Fund	E	Distribution	N	T					
84. Janus Henderson Research Fund	D	Distribution	N	T					
85. Jnus Henderson Overseas Fund	B	Distribution	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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86. MFS Value R4 Fund	B	Distribution	M	T					
87. Northern Mid Cap Index Fund	D	Distribution	N	T					
88. Northern Small Cap Index Fund	C	Distribution	M	T					
89. Wells Fargo Adv DJ Target 2025 Fund	D	Distribution	L	T					
90. William Blair International Growth Fund	A	Distribution	M	T					
91. Allianz Global Small Cap Fund	B	Distribution	K	T					
92. MFS Global Fund	A	Distribution	K	T					
93. JP Morgan Income Fund	A	Distribution	K	T	Buy	01/17/20	K		
94. Ford (1/15/21) Bond	B	Interest	K	T					
95. Goldman Sachs (1/24/22) Bond	A	Interest	K	T					
96. Viacom (2/4/22) Bond	A	Interest	K	T					
97. ConocoPhillips (11/15/24) Bond	A	Interest	K	T					
98. Energy Transfer Partners (1/15/26) Bond	A	Interest	K	T					
99. Ingersoll Rnd (2/15/30) Bond	B	Interest	K	T					
100. Tosco Corp (2/15/30) Bond	A	Interest	K	T					
101. Aegon Preferred Stock	A	Dividend	K	T					
102. Puerto Rico Bldg Auth (7/1/17) Bond		None	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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103. CT HEF (7/1/20) Bond	B	Interest	K	T					
104. Puerto Rico Elec. Pwr Auth (7/1/20) Bond		None	J	T					
105. Torrance, CA School District (8/1/20) Bond	B	Interest			Matured	08/03/20	K		
106. Hartford CT Municipal (8/15/21) Bond	A	Interest	J	T					
107. El Dorado CA Sch Dist. (12/1/21) Bond	B	Interest	K	T					
108. Philadelphia PA Sch. Dist. (9/1/22) Bond	A	Interest	J	T					
109. PA ST Sch Bldg Auth (6/1/23) Bond	A	Interest	K	T					
110. CT ST Series E (8/15/23) Bond	A	Interest	K	T					
111. Miami Dade Spl Oblig (10/1/28) Bond	A	Interest	J	T					
112. CT St Series G (10/15/27) Bond	A	Interest	K	T					
113. MD ST Series A ST & Loc (8/1/28) Bond	A	Interest	K	T					
114. CT ST Spl Tax Oblig (10/1/28) Bond	A	Interest	K	T					
115. CT ST HEF (7/1/29) Bond	A	Interest	K	T					
116. CT ST Spl Tax Oblig (10/1/30) Bond	A	Interest	J	T					
117. Loomis Sayles Bond Fund	A	Distribution	K	T					
118. Eaton Vance Floating Rate Fund	A	Dividend	K	T					
119. Vanguard European Stock Index Fund UTMA (Y)									

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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120. Vanguard Total Stock Market Index Fund - UTMA	A	Distribution			Sold	09/09/20	K	E	
121. CT Higher Educ. Trust (529 Plans) - age based option	E	Distribution	N	T	Sold (part)	04/28/20	K	D	
122.					Sold (part)	09/18/20	J	A	
123.					Sold (part)	09/28/20	K	D	
124.					Sold (part)	12/10/20	J	A	
125. Merrill Lynch CMA - cash accounts	A	Interest	N	T					
126. CT 457 Deferred Compensation Plan "BOLD" Option	E	Distribution	O	T					
127. 26 Week Treasury Bill	A	Interest			Matured	05/07/20	L		
128. 26 Week Treasury Bill	A	Interest			Buy	05/07/20	L		
129.					Matured	11/05/20	L		
130. 26 Week Treasury Bill	A	Interest			Matured	06/11/20	L		
131. 26 Week Treasury Bill	A	Interest			Buy	06/11/20	L		
132.					Matured	12/10/20	L		
133. 26 Week Treasury Bill	A	Interest	L	T	Buy	12/10/20	L		
134. 26 Week Treasury Bill	A	Interest	L	T	Buy	11/09/20	L		
135. US Series I Bonds		None	L	T	Buy (add'l)	05/06/20	J		
136.					Buy (add'l)	11/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. BNY Mellon CRA (cash)	A	Interest	J	T					
138. BNY Mellon Intermediate Bond Fund	A	Distribution	J	T					
139. BNY Mellon Bond Fund	A	Distribution	J	T					
140. BNY Mellon Yield Enhncement Strategy Fund	A	Distribution	J	T					
141. BNY Mellon Income Stock Fund	A	Distribution	J	T					
142. BNY Mellon Tax Sensitive Large Cap Multi-Strategy	D	Distribution	K	T					
143. BNY Mellon Mid Cap Multi-strategy Fund	C	Distribution	J	T					
144. BNY Mellon Sml/Mid Cap Fund	C	Distribution	K	T					
145. BNY Mellon Diversified International Fund	D	Distribution	K	T					
146. BNY Mellon Alternative Diversifier Strategies Fund	A	Distribution	K	T					
147. Chemical Bank CD	B	Interest			Matured	01/21/20	M		
148. Safra National Bank CD	A	Interest			Matured	02/20/20	M		
149. Bank of India CD	A	Interest			Matured	04/21/20	M		
150. BB&T Bank CD	A	Interest			Matured	05/13/20	M		
151. Northwestrn Mutual Whole Life Ins. Policy	A	Interest	L	T					
152. Morgan Stanley CD		None	L	T	Buy	01/14/20	L		
153. Trust Bank CD	A	Interest			Buy	02/24/20	L		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Matured	09/03/20	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Asset entry 13 - Carrier stock. United Technolgies merged with Raytheon. UT no longer exists and we received Carrier stock.

Asset entry 72 - United Techonologiies, as indicated no longer exists.

Asset entry 56 - Otis Elevator stock. This was received following the UT - Raytheon merger as well.

Asset entry 119 - Vanguard European Stock Index Fund; This was a UGMA account for [REDACTED]. I did not realize that we sold it for college expenses in late 2019. I could not accurately report the sale because the form, understandably, does not take an out of reporting period date. I did not know whether I should amend my 2019 report to include the transaction or if reporting as is on this form is okay. .

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kari A. Dooley**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544