

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kolar, Joshua P.	2. Court or Organization United States District Court, Northern District of Indiana	3. Date of Report 05/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-Time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 5400 Federal Plaza, Suite 3700 Hammond, Indiana 46320		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President's Council	Shedd Aquarium
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kolar, Joshua P.

Date of Report

05/14/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Kolar, Joshua P.	05/14/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Navient	Student Loans	J
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. yNorthern Trust (Money Market) Market/ NORXX)	A	Dividend	J	T					
2. Northern Trust (Banking-Checking Cash Equivalent)		None	J	T					
3. USAA/Charles Schwab (SPY)	A	Dividend	K	T					
4. USAA/Charles Schwab (DIA)	A	Dividend	K	T					
5. Fidelity (Fidelity Freedom 2040)	B	Dividend	M	T					
6. Fidelity (Vanguard Target 2045)		None	J	T					
7. Fidelity (Vanguard Target 2040		None	M	T					
8. Morgan Stanley (GIBIX)	A	Dividend			Buy (add'l)	01/29/20	J		
9. Morgan Stanley (GIBIX)	A	Dividend			Sold (part)	03/20/20	J	A	
10. Morgan Stanley (GIBIX)	A	Dividend			Buy	04/02/20	J		
11. Morgan Stanley (GIBIX)	A	Dividend			Sold	04/08/20	J	A	
12. Morgan Stanley (XLV)	A	Dividend			Sold	01/29/20	J	A	
13. Morgan Stanley (MTUM)	A	Dividend			Sold (part)	01/29/20	J	A	
14. Morgan Stanley (MTUM)	A	Dividend			Sold (part)	03/20/20	J		
15. Morgan Stanley (MTUM)	A	Dividend			Sold	04/08/20	J		
16. Morgan Stanley (QUAL)	A	Dividend			Sold (part)	01/29/20	J	A	
17. Morgan Stanley (QUAL)	A	Dividend			Sold (part)	04/02/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Morgan Stanley (QUAL)	A	Dividend			Sold	04/08/20	J		
19. Morgan Stanley (EMGF)		None			Sold (part)	01/29/20	J	A	
20. Morgan Stanley (EMGF)		None			Sold (part)	03/20/20	J		
21. Morgan Stanley (EMGF)		None			Sold (part)	04/02/20	J		
22. Morgan Stanley (EMGF)		None			Sold	04/08/20	J		
23. Morgan Stanley (LFMIX)		None			Buy (add'l)	01/29/20	J		
24. Morgan Stanley (LFMIX)		None			Sold (part)	03/20/20	J	A	
25. Morgan Stanley (LFMIX)		None			Buy (add'l)	04/02/20	J		
26. Morgan Stanley (LFMIX)		None			Sold	04/08/20	J		
27. Morgan Stanley (LOTIX)		None			Buy (add'l)	04/02/20	J		
28. Morgan Stanley (LOTIX)		None			Sold	04/08/20	J		
29. Morgan Stanley (PONPX)	A	Dividend			Buy (add'l)	01/29/20	J		
30. Morgan Stanley (PONPX)	A	Dividend			Sold	03/20/20	J		
31. Morgan Stanley (DLS)	A	Dividend			Sold (part)	01/29/20	J	A	
32. Morgan Stanley (DLS)	A	Dividend			Buy (add'l)	03/20/20	J		
33. Morgan Stanley (DLS)	A	Dividend			Sold	04/08/20	J		
34. Morgan Stanley (DRGW)	A	Dividend			Sold (part)	01/29/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Kolar, Joshua P.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Morgan Stanley (DRGW)	A	Dividend			Sold (part)	03/20/20	J		
36. Morgan Stanley (DRGW)	A	Dividend			Sold (part)	04/02/20	J		
37. Morgan Stanley (DRGW)	A	Dividend			Sold	04/08/20	J	A	
38. Morgan Stanley (EES)	A	Dividend			Buy (add'l)	01/29/20	J		
39. Morgan Stanley (EES)	A	Dividend			Buy (add'l)	03/20/20	J		
40. Morgan Stanley (EES)	A	Dividend			Buy (add'l)	04/02/20	J		
41. Morgan Stanley (EES)	A	Dividend			Sold	04/08/20	J		
42. Morgan Stanley (PDBC)	A	Dividend			Sold	01/29/20	J		
43. Morgan Stanley (SPHD)	A	Dividend			Sold (part)	01/29/20	J		
44. Morgan Stanley (SPHD)	A	Dividend			Buy (add'l)	03/20/20	J		
45. Morgan Stanley (SPHD)	A	Dividend			Buy (add'l)	04/02/20	J		
46. Morgan Stanley (SPHD)	A	Dividend			Sold	04/08/20	J		
47. Morgan Stanley (IVV)	A	Dividend			Buy (add'l)	01/29/20	J		
48. Morgan Stanley (IVV)	A	Dividend			Sold	04/08/20	J		
49. Morgan Stanley (EFAV)		None			Sold (part)	01/29/20	J	A	
50. Morgan Stanley (EFAV)		None			Sold (part)	03/20/20	J		
51. Morgan Stanley (EFAV)		None			Sold (part)	04/02/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Morgan Stanley (EFAV)		None			Sold	04/08/20	J		
53. Morgan Stanley (PULS)	A	Dividend			Buy (add'l)	01/29/20	J		
54. Morgan Stanley (PULS)	A	Dividend			Sold (part)	03/20/20	J		
55. Morgan Stanley (PULS)	A	Dividend			Sold	04/02/20	J		
56. Morgan Stanley (XLF)	A	Dividend			Sold (part)	01/29/20	J		
57. Morgan Stanley (XLF)	A	Dividend			Buy (add'l)	03/20/20	J		
58. Morgan Stanley (XLF)	A	Dividend			Buy (add'l)	04/02/20	J		
59. Morgan Stanley (XLF)	A	Dividend			Sold	04/08/20	J		
60. Morgan Stanley (EZM)	A	Dividend			Buy (add'l)	03/20/20	J		
61. Morgan Stanley (EZM)	A	Dividend			Buy (add'l)	04/02/20	J		
62. Morgan Stanley (EZM)	A	Dividend			Sold	04/08/20	J	A	
63. Morgan Stanley (DON)	A	Dividend			Buy (add'l)	01/29/20	J		
64. Morgan Stanley (DON)	A	Dividend			Buy (add'l)	03/20/20	J		
65. Morgan Stanley (DON)	A	Dividend			Buy (add'l)	04/02/20	J		
66. Morgan Stanley (DON)	A	Dividend			Sold	04/08/20	J		
67. Morgan Stanley (AZIPX)		None			Buy (add'l)	01/29/20	J		
68. Morgan Stanley (AZIPX)		None			Sold	03/20/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Kolar, Joshua P.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Morgan Stanley (BXMIX)		None			Buy (add'l)	01/29/20	J		
70. Morgan Stanley (BXMIX)		None			Sold (part)	03/20/20	J		
71. Morgan Stanley (BXMIX)		None			Sold	04/02/20	J		
72. Morgan Stanley (IUSG)	A	Dividend			Buy	01/29/20	J		
73. Morgan Stanley (IUSG)	A	Dividend			Sold (part)	03/20/20	J		
74. Morgan Stanley (IUSG)	A	Dividend			Sold (part)	04/02/20	J		
75. Morgan Stanley (IUSG)	A	Dividend			Sold	04/08/20	J		
76. Morgan Stanely (IEFA)		None			Buy	01/29/20	J		
77. Morgan Stanely (IEFA)		None			Buy (add'l)	03/20/20	J		
78. Morgan Stanely (IEFA)		None			Sold (part)	04/02/20	J		
79. Morgan Stanely (IEFA)		None			Sold	04/08/20	J		
80. Morgan Stanley (HYSZX)	A	Dividend			Buy	04/02/20	J		
81. Morgan Stanley (HYSZX)	A	Dividend			Sold	04/08/20	J	A	
82. Morgan Stanley (PIFZX)	A	Dividend			Buy	04/02/20	J		
83. Morgan Stanley (PIFZX)	A	Dividend			Sold	04/08/20	J	A	
84. Morgan Stanley (SPY)	A	Dividend	L	T	Buy	04/08/20	K		
85. Morgan Stanley (MDY)	A	Dividend	K	T	Buy	04/08/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. Morgan Stanley (PCVXX)		None	K	T	Buy	03/20/20	J		
87. Morgan Stanley (PCVXX)		None			Sold (part)	04/02/20	J		
88. Morgan Stanley (PCVXX)		None			Buy	04/08/20	K		
89. Morgan Stanley Bank (Cash Equivalent)	A	Int./Div.	J	T					
90. Capital One (Cash Equivalent)	A	Interest	J	T					
91. Invesco Mid Cap Core Equity Fund-Class C	A	Dividend	J	T					
92. Invesco Mid Cap Core Equity Fund-Class A	A	Dividend	J	T					
93. Centier Bank (Checking Cash Equivalent) Y	A	Int./Div.	J	T					
94. College Choice 529 2033 Enrollment		None	K	T					
95. College Choice 529 2036 Enrollment		None	J	T					
96. College Choice 529 2030 Enrollment		None	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

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Q =Appraisal

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G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

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S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Kolar, Joshua P.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Upon assuming the role of a United States Magistrate Judge, I ensured my investments were unlikely to raise a need for recusal and instructed my financial advisor to avoid a broad range of investments that had a high likelihood of creating the need for recusal at some point in my judicial career. I have now further instructed my financial advisor to limit my holdings to a small number of investments that broadly track market index funds and to hold those investments, without selling them or exchanging them for similar such funds to simply reporting in future years. I made monthly deposits to 529 plans [REDACTED] Those funds are disclosed in Part VII.

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Kolar, Joshua P.

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05/14/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joshua P. Kolar**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544