

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hayden, Katharine S.	2. Court or Organization US District Court - DNJ	3. Date of Report 04/06/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States District Court USPO & Courthouse Building Newark, New Jersey 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/20-12/20	Partner, Law Firm - Pashman Stein Walder Hayden PC, Hackensack, NJ
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1 (H)									
2. - Arbor Realty Trust Inc. Common Stock	A	Dividend	J	T	Buy (add'l)	10/30/20	J		
3. - Consolidated Edison Inc. Common Stock	A	Dividend	K	T					
4. - Duke Energy Corp New Common Stock	A	Dividend	K	T					
5. - Enterprise Prods Partners LP Common Stock		None	K	T	Buy (add'l)	03/02/20	J		
6.					Buy (add'l)	10/02/20	J		
7. - GlaxoSmithKline PLC SP ADR Common Stock	A	Dividend	J	T					
8. - Kimberly Clark Corp. Common Stock	A	Dividend	K	T					
9. - JP Morgan Chase & Co. Common Stock	B	Dividend	K	T					
10. - Pfizer Inc. Common Stock	A	Dividend	K	T					See Note in Part VIII
11. - Sasol Ltd., Spons. ADR Common Stock		None			Sold	10/30/20	J		
12. - Walt Disney Co. Common Stock	A	Dividend	K	T					
13. - Essential Utilities Inc. Common Stock (formerly Aqua America Inc.)	A	Dividend	K	T					See Note in Part VIII
14. - AT&T Inc. Common Stock	A	Dividend	K	T	Buy (add'l)	09/28/20	J		
15. - Honeywell Intl Inc. Common Stock	A	Dividend	L	T					
16. - Microsoft Corp Common Stock	A	Dividend	M	T					
17. -Procter & Gamble Co. Common Stock	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - Wal-Mart Stores Inc. Common Stock	A	Dividend	J	T					
19. - CVS Caremark Corp. Common Stock	A	Dividend	J	T	Buy (add'l)	10/27/20	J		
20. - McDonalds Corp Common Stock	A	Dividend	K	T					
21. - Wells Fargo & Co Common Stock	A	Dividend	J	T					
22. - Bristol Myers Squibb Co. Common Stock	A	Dividend	K	T	Buy (add'l)	09/01/20	J		
23.					Buy (add'l)	12/14/20	J		
24. - Conagra Foods Inc. Common Stock	A	Dividend	J	T					
25. - Deere & Co. Common Stock	A	Dividend	L	T					
26. - General Electric Co. Common Stock	A	Dividend	J	T					
27. - Kellogg Co. Common Stock	A	Dividend	J	T					
28. - Mondelez Intl Inc. Common Stock	A	Dividend	J	T					
29. - Nustar Energy LP Common Stock		None	J	T					
30. - Parker-Hannifin Corp. Common Stock	A	Dividend	L	T					
31. - Philip Morris Intl Inc. Common Stock	B	Dividend	K	T					
32. - Quanta Services Inc. Common Stock	A	Dividend	K	T					
33. - Raytheon Company Common Stock	A	Dividend			Merged (with line 86)	04/03/20	K		See Note in Part VIII
34. - Boeing Co. Common Stock	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. - Public Service Enterprise Grp Common Stock	A	Dividend	J	T					
36. - NJ Higher Ed Assistant Auth Bond	A	Interest			Redeemed (part)	01/03/20	J		
37.					Redeemed	06/02/20	J		
38. - Emerson Electric Co Common Stock	A	Dividend	K	T					
39. - Nucor Corp Common Stock	A	Dividend	K	T					
40. - Morgan Stanley Bank NA Bank Deposit Program	A	Interest	L	T					
41. - Eaton Corp. PLC SHS Common Stock	A	Dividend	K	T					
42. - Weyerhaeuser Co. Common Stock	A	Distribution	K	T					
43. - Xylem Inc. Common Stock	A	Dividend	L	T					
44. - Apple Inc. Common Stock	A	Dividend	K	T					See Note in Part VIII
45. - BP PLC ADS Common Stock	A	Dividend	J	T	Buy (add'l)	02/11/20	J		
46. - Timken Co Common Stock	A	Dividend	K	T					
47. - Puerto Rico Elec Pwr Auth Rev Ref Ser-MM Bond	B	Interest	K	T					
48. - Conoco Phillips Common Stock	A	Dividend	J	T					
49. - Exelon Corp. Common Stock	A	Dividend	J	T					
50. - John Hancock Bk & Thrift Fund	A	Dividend	J	T					
51.	A	Distribution							

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52. - Merck & Co Inc Common Stock	A	Dividend	J	T					
53. - Steel Dynamics Inc Common Stock	A	Dividend	K	T	Buy (add'l)	12/14/20	J		
54. - Aerie Pharmaceuticals Inc. Common Stock		None	J	T					
55. - Quotient Ltd Shs Common Stock		None	J	T	Buy (add'l)	02/11/20	J		
56.					Buy (add'l)	02/12/20	J		
57. - Cypress Semiconductor Common Stock	A	Dividend			Sold	04/17/20	K	D	
58.	A	Distribution							
59. - Global Blood Therapeutics Common Stock		None	K	T	Buy (add'l)	02/25/20	J		
60. - Sabre Corporation Common Stock	A	Distribution	J	T					
61. - BASF SE ADR Common Stock	A	Dividend	J	T					
62. - Enbridge Inc Common Stock	B	Dividend	K	T	Buy (add'l)	12/18/20	J		
63. - Golar Lng Ltd Common Stock		None			Sold	02/25/20	J		
64. - Kinder Morgan Incorp Common Stock	A	Distribution	J	T					
65. - Newell Brands Inc Common Stock	A	Dividend	J	T					
66. - Corteva Inc. Common Stock	A	Dividend	J	T					
67. - Federated US Trsy Mutual Fund	B	Dividend	M	T					
68. - Gladstone Land Corp. Common Stock	A	Distribution	J	T	Buy	12/16/20	J		

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69. - Granite Constr Inc. Common Stock	A	Dividend	J	T	Buy (add'l)	11/13/20	J		
70.					Buy (add'l)	11/18/20	J		
71. - Marcus Corp. Common Stock	A	Dividend	J	T					
72. - Metlife Incorporated Common Stock	A	Dividend	J	T	Buy (add'l)	08/27/20	J		
73. - United Parcel Serv Inc. Cl B Common Stock	A	Dividend	K	T					
74. - Verizon Communications Common Stock	A	Dividend	J	T	Buy (add'l)	11/06/20	J		
75. - Williams Co Inc. Common Stock	A	Dividend	J	T	Buy (add'l)	10/07/20	J		
76.	A	Distribution							
77. - Alphabet Inc. Common Stock		None	K	T					
78. - Amazon Co Inc. Common Stock		None	K	T					
79. - Brookfield Ppty Partners LP		None	J	T	Buy (add'l)	10/02/20	J		
80. - Brookfield Renew Partners LP		None	K	T					See Note in Part VIII
81. - Dow Inc Common Stock		None			Sold	02/25/20	J	A	
82. - Occidental Petroleum Corp. Common Stock	A	Dividend			Sold	10/07/20	J		
83. - Takeda Pharmaceuticals Co. Common Stock	A	Dividend	J	T	Buy (add'l)	12/14/20	J		
84. - Brookfield Renewable Corp Common Stock	A	Dividend	J	T	Spinoff (from line 80)	07/30/20	J		See Note in Part VIII
85. - Magna International Inc. Common Stock	A	Dividend	J	T	Buy	09/01/20	J		

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86. - Raytheon Technologies Corp Common Stock	B	Dividend	L	T	Buy (add'l)	10/30/20	J		See Note in Part VIII
87. - Science Applications Intl Common Stock	A	Dividend	K	T	Buy	07/24/20	J		
88.					Buy (add'l)	09/01/20	J		
89.					Buy (add'l)	09/28/20	J		
90.					Buy (add'l)	10/09/20	J		
91.					Buy (add'l)	10/22/20	J		
92. - Stag Industries Inc. Common Stock	A	Dividend	J	T	Buy	11/24/20	J		
93.	A	Distribution							
94. - Valero Energy CP Common Stock	A	Dividend	J	T	Buy	06/30/20	J		
95. - Hannon Armstrong Common Stock	A	Distribution	J	T	Buy	06/20/20	J		
96. - Carrier Global Corp Common Stock		None	J	T	Buy	11/13/20	J		
97. - Nextera Energy Inc. Common Stock		None	J	T	Buy	12/14/20	J		
98. - Toronto Donimnion Bank Common Stock		None	J	T	Buy	10/22/20	J		
99.					Buy (add'l)	11/13/20	J		
100. - Truist Financial Corp Common Stock		None	J	T	Buy	12/17/20	J		
101.					Buy (add'l)	12/18/20	J		
102. - Viatris Inc. Common Stock		None	J	T	Spinoff (from line 10)	11/13/20	J		See Note in Part VIII

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103. - Vontier Corp Common Stock		None	J	T	Buy	11/06/20	J		
104.					Buy (add'l)	11/18/20	J		
105. BROKERAGE ACCOUNT #3 (H)									
106. - Morgan Stanley Bank NA	A	Interest	N	T					
107.									
108. IRA ACCOUNT (H)									
109. - Morgan Stanley Bank NA Cash Account	A	Interest	J	T					
110. - Altria Group Inc. Common Stock	A	Dividend	J	T	Buy (add'l)	09/28/20	J		
111. - Bank of New York Mellon Corp Common Stock	A	Dividend	J	T					
112. - Truist Finl Corp. formerly BB&T Corp Common Stock	A	Dividend	J	T	Buy (add'l)	12/17/20	J		
113. - Cisco Sys Inc Common Stock	A	Dividend	J	T					
114. - Cubesmart Common Stock	A	Dividend	J	T					
115. - Emerson Electric Co Common Stock	A	Dividend	J	T					
116. - Kinder Morgan Incorp Common Stock	A	Dividend			Sold	07/24/20	J		
117. - Marathon Petroleum Corp Common Stock	A	Dividend	J	T					
118. - Merck & Co Inc Common Stock	A	Dividend	J	T					
119. - Metlife Incorporated Common Stock	A	Dividend	J	T	Buy (add'l)	08/27/20	J		

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Novo Nordisk A.S ADR Common Stock	A	Dividend	J	T					
121. - Old Republic Intl CP Common Stock	A	Dividend	J	T					
122. - Paypal Hldgs Inc Common Stock		None	K	T					
123. - Pfizer Inc Common Stock	A	Dividend	J	T					See Note in Part VIII
124. - Qualcomm Inc Common Stock	A	Dividend	J	T					
125. - Toronto Dom Bk New Common Stock	A	Dividend	J	T	Buy (add'l)	10/22/20	J		
126.					Buy (add'l)	11/13/20	J		
127. - US Bancorp New Common Stock	A	Dividend	J	T					
128. - Unilever PLC Common Stock	A	Dividend	J	T					
129. - Wells Fargo & Co New Common Stock	A	Dividend	J	T					
130. - Weyerhaeuser Co Common Stock	A	Dividend	J	T	Buy (add'l)	11/18/20	J		
131. - AT&T Common Stock	A	Dividend	J	T	Buy (add'l)	09/28/20	J		
132. - Bristol Myers Squibb Co Common Stock	A	Dividend	K	T					
133. - Cypress Semiconductor Common Stock	A	Dividend			Redeemed	04/17/20	J	D	
134. - Gladstone Land Corp Common Stock	A	Dividend	J	T	Buy (add'l)	12/16/20	J		
135. - John Hancock Financial Opps Fd	A	Dividend	J	T					
136. - Hannon Armstrong Sys Info Common Stock	A	Dividend	K	T	Buy (add'l)	06/04/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. - Nucor Corp Common Stock	A	Dividend	J	T					
138. - Permian Basin Rlty Uni BI Common Stock	A	Distribution	J	T					
139. - Sabre Corporation Common Stock	A	Dividend	J	T					
140. - San Juan Basin Rty Tr UBI Common Stock	A	Distribution	J	T					
141. - Tallgrass Energy Gp, LP Common Stock		None			Redeemed	04/20/20	J		
142. - Templeton Eng Mkt Incm Fd	A	Dividend	J	T					
143. - Proctor & Gamble Common Stock	A	Dividend	J	T					
144. - BP LLC ADS Common Stock	A	Dividend	J	T	Buy (add'l)	02/11/20	J		
145. - Enbridge Inc Common Stock	B	Dividend	K	T					
146. - Newell Brands Inc Common Stock	A	Dividend	J	T					
147. - BASF SE SP ADR Common Stock	A	Dividend	J	T					
148. - Natl Fuel Gas Co Common Stock	A	Dividend	J	T					
149. - Annaly Capital Mgmt Common Stock	A	Dividend	J	T					
150. - Brookfield Ppty Partners LP	A	Int./Div.	J	T					
151.	A	Distribution							
152. - Brookfield Renew Partners LP	A	Int./Div.	K	T					See Note in Part VIII
153.	A	Distribution							

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. - Dow Inc. Common Stock	A	Dividend			Sold	12/16/20	J		
155. - Dupont De Nemours Common Stock	A	Dividend			Sold	12/16/20	J		
156. - Tesla Inc. Common Stock		None	K	T					See Note in Part VIII
157. - William Co. Common Stock	A	Dividend	J	T					
158. - Federated US Trsy Mutual Fund	A	Dividend	L	T	Sold (part)	11/02/20	K		
159. - Lumen Technologies Common Stock (formerly Century Link Inc)	A	Dividend	J	T	Buy (add'l)	10/09/20	J		See Note in Part VIII
160. - Aflac Incorporated Common Stock	A	Dividend	J	T	Buy	08/27/20	J		
161. - Brookfield Renewable Corp Common Stock	A	Dividend	J	T	Spinoff (from line 152)	07/30/20	J		See Note in Part VIII
162. - Corteva Inc. Common Stock (X)	A	Dividend	J	T					
163. - Granite Constr Inc. Common Stock		None	J	T	Buy	11/18/20	J		
164.					Buy (add'l)	12/14/20	J		
165. - Iron Mountain Inc. Common Stock	A	Dividend	J	T	Buy	06/04/20	J		
166. - Kraft Heinz Co. Common Stock		None	J	T	Buy	12/17/20	J		
167. - Magna Intl Inc. Common Stock	A	Dividend	J	T	Buy	09/01/20	J		
168. - Science Application Intl Common Stock	A	Dividend	K	T	Buy	05/25/20	J		
169.					Buy (add'l)	07/24/20	J		
170.					Buy (add'l)	10/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. - Stag Indl Inc Common Stock	A	Dividend	J	T	Buy	11/24/20	J		
172. - Unumprovident Corp Common Stock		None	J	T	Buy	05/26/20	J		
173. - Valero Energy Common Stock		None	J	T	Buy	06/30/20	J		
174. - Verizon Communications Common Stock		None	J	T	Buy	11/10/20	J		
175. - Western Digital Corp Common Stock		None	J	T	Buy	07/24/20	J		
176. - Viatris Inc. Common Stock		None			Spinoff (from line 123)	11/13/20	J		See Note in Part VIII
177.					Sold	11/24/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
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Name of Person Reporting

Hayden, Katharine S.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Lines 10 and 102 - Due to a spinoff on 11/13/2020, holders of Pfizer stock received approximately 0.124079 shares of Viatis common stock for every share of Pfizer common stock; fractional shares were sold.

Part VII, Lines 13 - Aqua America Inc. changed its name effective 2/3/2020 to Essential Utilities Inc.

Part VII, Lines 33 and 86 - Due to a merger each share of Raytheon common stock were converted to 2.3348 shares of United Technologies common stock. Upon closing of the merger, United Technologies changed its name to Raytheon Technologies Corporation..

Part VII, Line 44 - Apple Inc.common stock split 4 for 1 on 08/31/2020..

Part VII, Lines 80 and 84 - Brookfield Renewable Partners LP stock split 1251 shares of Brookfield Renewable Corp. for 1000 shares of Brookfield Renewable Partners LP on 7/30/2020; fractional shares sold.

Part VII, Lines 80 - Brookfield Renewable Partners LP stock split 3 for 2 on 12/14/2020.

Part VII, Line 84 - Brookfield Renewable Corp. stock split 3 for 2 on 12/14/2020.

Part VII, Lines 123 and 176 - Due to a spinoff on 11/13/2020, holders of Pfizer stock received approximately 0.124079 shares of Viatis common stock for every share of Pfizer common stock; fractional shares were sold.

Part VII, Lines 152 and 161 - Brookfield Renewable Partners LP stock split 1251 shares of Brookfield Renewable Corp. for 1000 shares of Brookfield Renewable Partners LP on 7/30/2020; fractional shares sold.

Part VII, Lines 152 - Brookfield Renewable Partners LP stock split 3 for 2 on 12/14/2020.

Part VII, Line 156 - Tesla stock split 5 for 1 on 8/11/2020.

Part VII, Line 159 - CenturyLink Inc. changed its name to Lumen Technologies on 9/14/2020

Part VII, Line 161 - Brookfield Renewable Corp. stock split 3 for 2 on 12/14/2020.

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Name of Person Reporting

Hayden, Katharine S.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Katharine S. Hayden**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544