

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Opperman, Daniel S.	2. Court or Organization Bankruptcy Eastern District MI	3. Date of Report 05/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 111 First Street Bay City, Michigan 48708		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors Member	Midland Center for the Arts
2. Trustee	Eastern Michigan University Foundation
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Dow Inc. f/k/a Dow DuPont f/k/a The Dow Chemical Company - Salary and Bonus; Severance Benefits
2. 2020	Dow, Inc. f/k/a Dow DuPont f/k/a The Dow Chemical Company - Pension
3. 2020	Opperman Consulting LLC - Earnings
4. 2020	Orrick, Herrington & Satcliffle, L.L.P. - Consultant Fees
5. 2020	Isabella Bank Corporation - Director Fees
6. 2020	King & Spalding L.L.P.- Consultant Fees

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Bank Accounts "(H)" - Cash Equivalent									
2. Huntington Bank f/k/a First Merit f/k/a Citizens - Cash Equivalent	A	Interest	M	T					
3. Comerica Bank - Cash Equivalent	A	Interest	K	T					
4. Dow Chemical Credit Union - Cash Equivalent	B	Interest	M	T					
5. E-Trade - Cash Equivalent	A	Interest	L	T					
6. Raymond James - Cash Equivalent	A	Interest	K	T					
7. Isabella Bank - Cash Equivalent	B	Interest	M	T					
8. PNC Bank	A	Interest	J	T					
9. Certificates of Deposit "(H)"									
10. Dow Chemical Credit Union	B	Interest	L	T					
11. Mayville State Bank	A	Interest	K	T					
12. Isabella Bank	B	Interest			Redeemed	04/27/20	M	B	
13. Municipal Bonds "(H)"									
14. FLORIDA ST GO ULT	A	Interest	K	T					
15. MARYLAND ST GO ULT	B	Interest	K	T					
16. Muniyield Michigan Insured	A	Interest	J	T					
17. Muniyield Michigan Insured	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. MICHIGAN ST Trunk Line	B	Interest	K	T	Sold (part)	05/14/20	K	A	
19. NEW MEXICO ST GO ULT	A	Interest	K	T					
20. OREGON ST GO ULT	A	Interest	K	T					
21. PENNSYLVANIA ST GO ULT	A	Interest	K	T					
22. TEXAS ST GO ULT	A	Interest	K	T					
23. WASHINGTON ST GO ULT	B	Interest	L	T	Sold (part)	07/01/20	K	A	
24. Real Estate "(H)"									
25. Farmland, Millington, Michigan Acquired 04/13/89 for \$70,000	E	Rent	L	R					
26. Life Insurance "(H)"									
27. Farm Bureau Life Insurance - Universal Life - Fixed	A	Interest	K	T					
28. Stock and Certificates Held as Tenants By Entirety "(H)"									
29. ATMOS Energy	B	Dividend	L	T					
30. Chevron Corporation	B	Dividend	K	T					
31. CMS Energy	A	Dividend	K	T					
32. Dow, Inc. f/k/a Dow DuPont f/k/a Dow Chemical Company	D	Dividend	L	T					
33. Evergy, Inc. f/k/a Great Plains Energy by way of merger on 06/04/18	A	Interest	J	T					
34. Highwoods Property	A	Dividend	J	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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35. News Corp	A	Dividend	J	T					
36. Northwestern Corp	A	Dividend	J	T					
37. XCEL Energy	B	Dividend	L	T					
38. Stocks and Bonds - Broker Account DSO and BLO "(H)"									
39. Adams Natural Resources Fund formerly Petroleum & Resources Corp.	A	Dividend	J	T					
40. Alliance Bernstein Sustainable Int'l Growth	A	Dividend	K	T					
41. Ameriprise Insured Money Market - Cash Equivalent	A	Interest	J	T					
42. Camden Property	A	Dividend	J	T					
43. Equity Commonwealth		None	J	T					
44. Essex Property	A	Dividend	J	T					
45. John Hancock Financial	A	Dividend	K	T					
46. Royal Dutch Shell PLC	A	Dividend	J	T					
47. Southern Company	A	Dividend	J	T					
48. Vornado Realty	A	Dividend	J	T					
49. Stocks and Certificates "(H)"									
50. Dow, Inc. f/k/a Dow DuPont f/k/a Dow Chemical Company	D	Dividend	M	T					
51. Van Kampen Municipal Trust	A	Interest	J	T					

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52. Restricted Stock-Dow, Inc. f/k/a Dow DuPont f/k/a Dow Chemical Company		None	M	T					
53. Restricted Stock - Isabella Bank Corporation	C	Dividend	M	T					
54. Limited Liability Company Units - SRO "(H)"									
55. Opperman Consulting LLC	E	Dividend	L	T					
56. Rowley Family Properties, LLC		None	L	W					
57. Stocks and Bonds - Broker Accounts IRA SRO "(H)"									
58. Abiomed Inc.		None	L	T	Buy	08/27/20	L		
59. Amazon.com Inc.		None	M	T	Buy (add'l)	02/13/20	K		
60.					Sold (part)	07/28/20	K	D	
61. Amedisys Inc.		None	L	T	Buy	06/26/20	K		
62. American Tower Corp.	B	Dividend	L	T					
63. Chegg Inc.		None	M	T	Buy	01/16/20	K		
64.					Buy (add'l)	02/13/20	K		
65.					Sold (part)	05/13/20	K	D	
66.					Sold (part)	06/23/20	K	D	
67.					Buy (add'l)	09/14/20	L		
68.					Sold (part)	10/22/20	K	D	

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69. Corteva Inc., Split From Dow DuPont 06/03/19	A	Dividend			Sold	03/05/20	J	D	
70. Dow, Inc. f/k/a Dow DuPont f/k/a Dow Chemical Company	B	Dividend			Sold	03/05/20	J	D	
71. Dupont de Nemours Inc., Split From Dow DuPont 04/01/19	A	Dividend			Sold	03/05/20	K	D	
72. Edwards Lifesciences		None	L	T	Buy (add'l)	04/01/20	J		
73.					Buy (add'l)	07/28/20	J		
74.					Sold (part)	11/19/20	L	E	
75. EPAM Systems		None	M	T	Sold (part)	01/14/20	K	D	
76.					Sold (part)	09/16/20	K	E	
77. Equinix Inc.	B	Dividend	L	T	Sold (part)	03/26/20	K	B	
78. Euronet Worldwide Inc.		None	L	T	Sold (part)	02/13/20	K	D	
79.					Sold (part)	03/26/20	K	A	
80.					Buy (add'l)	09/16/20	J		
81. Facebook Inc.		None	M	T	Buy (add'l)	03/19/20	J		
82. Fleetcor Technologies		None	L	T	Sold (part)	04/01/20	K	A	
83. Google Inc. C1 a/k/a A/Alphabet Inc. C1 A		None	M	T					
84. Guidewire Software		None	L	T	Buy (add'l)	04/01/20	J		
85. IDEXX Laboratories Inc.		None	M	T					

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86. Illumina Inc.		None			Sold	09/24/20	K	D	
87. Intuitive Surgical Inc.		None	M	T	Sold (part)	02/04/20	K	D	
88.					Buy (add'l)	08/13/20	K		
89. Omnicell Inc.		None	L	T	Sold (part)	05/14/20	K	A	
90. Palo Alto Networks		None	M	T	Buy (add'l)	06/02/20	L		
91.					Buy (add'l)	11/05/20	J		
92. PayPal Holdings		None	M	T	Sold (part)	02/13/20	K	C	
93.					Sold (part)	06/23/20	K	D	
94. Penumbra Inc.		None	K	T	Sold (part)	04/01/20	K	B	
95.					Sold (part)	05/12/20	L	E	
96. Proofpoint, Inc.		None	K	T	Sold (part)	01/16/20	J	B	
97. RBC Money Market Account - Cash Equivalent		None	M	T					
98. Salesforce.com		None	L	T	Buy (add'l)	03/19/20	K		
99.					Buy (add'l)	04/01/20	J		
100.					Sold (part)	07/16/20	L	D	
101.					Sold (part)	08/27/20	K	E	
102.					Sold (part)	12/03/20	K	E	

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103. ServiceNow, Inc.		None	M	T	Buy	04/30/20	K		
104.					Buy (add'l)	05/14/20	K		
105. Signature Bank NY	B	Dividend	L	T					
106. Splunk Inc.		None	L	T	Buy (add'l)	04/01/20	J		
107.					Sold (part)	12/09/20	K	E	
108. Treasury Bills	B	Int./Div.	L	T	Buy	08/04/20	L		
109. Tyler Technologies Inc.		None	L	T	Sold (part)	02/04/20	J	C	
110.					Sold (part)	03/05/20	L	D	
111.					Buy (add'l)	04/01/20	K		
112.					Sold (part)	09/10/20	J	B	
113.					Sold (part)	09/28/20	J	A	
114. Ulta Salon		None			Sold	06/26/20	J	B	
115. Vertex Pharmaceuticals		None	L	T	Sold (part)	04/01/20	K	B	
116.					Sold (part)	04/15/20	J	B	
117.					Sold (part)	07/14/20	K	D	
118. Workday Inc. C1 A		None	M	T	Buy	09/24/20	K		
119.					Buy (add'l)	11/05/20	L		

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Name of Person Reporting

Opperman, Daniel S.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Zendesk Inc.		None	M	T	Buy (add'l)	09/01/20	K		
121.					Buy (add'l)	11/19/20	K		
122. Stocks and Bonds - Broker Accounts IRA DSO "(H)"									
123. Abiomed Inc.		None	J	T	Buy	08/27/20	J		
124. Amazon.com Inc.		None	J	T	Sold (part)	08/21/20	J	A	
125. Amedisys Inc.		None	J	T	Buy	06/26/20	J		
126. American Tower Corp.	A	Dividend	J	T					
127. Chegg Inc.		None	J	T	Buy	01/16/20	J		
128.					Buy (add'l)	02/13/20	J		
129.					Sold (part)	05/13/20	J	A	
130.					Sold (part)	06/23/20	J	A	
131.					Buy (add'l)	09/10/20	J		
132.					Sold (part)	10/22/20	J	A	
133. Dreyfus Money Market Account - Cash Equivalent	A	Dividend	K	T					
134. Edwards Lifesciences		None	J	T	Buy (add'l)	07/28/20	J		
135.					Sold (part)	11/19/20	J	B	
136. EPAM Systems		None	J	T	Sold (part)	01/14/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Sold (part)	09/16/20	J	B	
138. Equinix Inc.	A	Dividend	J	T					
139. Euronet Worldwide Inc.		None	J	T	Sold (part)	02/13/20	J	A	
140.					Sold (part)	03/26/20	J	A	
141.					Buy (add'l)	09/16/20	J		
142. Facebook Inc.		None	J	T	Buy (add'l)	07/29/20	J		
143. FEDEX CORP.	A	Interest	J	T					
144. Fleetcor Technologies		None	J	T	Sold (part)	04/01/20	J	A	
145. Google Inc. Cl a/k/a A/Alphabet Inc. C1 A		None	J	T					
146. Guidewire Software		None	J	T					
147. IDEXX Laboratories Inc.		None	J	T					
148. Illumina Inc.		None			Sold	09/24/20	J	A	
149. Intuitive Surgical Inc.		None	J	T	Sold (part)	02/04/20	J	A	
150. iShares iBonds Dec. 2023 Corp. ETF	A	Dividend	J	T	Buy	09/29/20	J		
151. iShares iBonds Dec. 2024 Corp. ETF	A	Dividend	J	T	Buy	09/29/20	J		
152. iShares iBonds Dec. 2025 Corp. ETF	A	Dividend	J	T	Buy	09/29/20	J		
153. JOHNSON & JOHNSON	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. MCDONALD'S CORP. BONDS	A	Interest			Sold	07/15/20	J	A	
155. MCKESSON CORP.	A	Dividend	J	T					
156. Omnicell Inc.		None	J	T	Sold (part)	05/14/20	J	A	
157. O'REILLY AUTOMOTIVE CORP.	A	Dividend	J	T					
158. Palo Alto Networks		None	J	T	Buy (add'l)	06/02/20	J		
159.					Buy (add'l)	11/05/20	J		
160. PayPal Holdings Inc.		None	J	T	Sold (part)	02/13/20	J	A	
161.					Sold (part)	06/23/20	J	A	
162.					Buy (add'l)	07/29/20	J		
163. Penumbra		None	J	T	Sold (part)	05/12/20	J	A	
164. Proofpoint, Inc.		None	J	T	Sold (part)	01/16/20	J	A	
165. Salesforce.com		None	J	T	Buy (add'l)	03/19/20	J		
166.					Sold (part)	07/16/20	J	A	
167.					Sold (part)	08/27/20	J	A	
168.					Sold (part)	12/03/20	J	A	
169. ServiceNow Inc.		None	J	T	Buy	04/30/20	J		
170.					Buy (add'l)	05/14/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

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P3 =\$25,000,001 - \$50,000,000

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B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Opperman, Daniel S.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Signature Bank NY	A	Dividend	J	T					
172. Splunk Inc.		None	J	T	Sold (part)	12/09/20	J	A	
173. STRYKER COPR.	A	Dividend	J	T					
174. Tyler Technologies Inc.		None	J	T	Sold (part)	03/05/20	J	A	
175. Ulta Salon		None			Sold	06/26/20	J	A	
176. UNITED PARCEL SERVICE	A	Dividend	J	T					
177. Vertex Pharmaceuticals		None	J	T	Sold (part)	04/15/20	J	A	
178.					Sold (part)	07/14/20	J	A	
179. WALT DISNEY CO.	A	Dividend			Sold	09/17/20	J	A	
180. WEYERHAEUSER CO.	A	Dividend			Sold	12/16/20	J	A	
181. Workday Inc. C1 A		None	J	T	Buy	09/24/20	J		
182.					Buy (add'l)	11/05/20	J		
183. Zendesk		None	J	T	Buy (add'l)	09/01/20	J		
184.					Buy (add'l)	11/19/20	J		
185. Broker Account - DSO and SRO "(H)"									
186. Abbott Lab	B	Dividend	L	T					
187. Accenture LTD Cl A	A	Dividend	K	T	Sold (part)	12/01/20	K	E	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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Name of Person Reporting

Opperman, Daniel S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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188. Agilent Technologies	A	Dividend	L	T	Buy (add'l)	09/14/20	K		
189. Alibaba		None	K	T	Buy (add'l)	04/15/20	J		
190.					Sold (part)	10/20/20	J	B	
191. Amazon		None			Sold (part)	04/15/20	K	D	
192.					Sold (part)	04/21/20	K	D	
193.					Sold	04/28/20	K	D	
194. Amphenol Corp. C1 A	A	Dividend	L	T	Buy (add'l)	09/14/20	K		
195.					Buy (add'l)	12/01/20	K		
196. Apple Computer Inc.	B	Dividend	M	T	Sold (part)	01/13/20	K	D	
197. AptarGroup Inc.	A	Dividend	K	T					
198. ARKANSAS ST GO	A	Interest	J	T					
199. ASML Holdings	A	Dividend	K	T	Sold (part)	04/01/20	J	A	
200.					Sold (part)	06/25/20	J	B	
201.					Buy (add'l)	09/10/20	J		
202.					Sold (part)	12/03/20	J	B	
203. Ball Corp.	A	Dividend	K	T	Sold (part)	01/28/20	J	D	
204.					Buy (add'l)	03/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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205.					Buy (add'l)	04/14/20	K		
206. Baxter Int'l Inc.	A	Dividend			Buy	04/21/20	K		
207.					Sold (part)	08/07/20	J	A	
208.					Sold	09/14/20	K	A	
209. Becton Dickinson	A	Dividend	J	T	Sold (part)	02/21/20	K	D	
210. Black Rock Inc.	B	Dividend	L	T	Buy (add'l)	01/16/20	K		
211. Boeing Co.		None	K	T	Buy (add'l)	03/24/20	J		
212.					Sold (part)	09/08/20	J	A	
213.					Sold (part)	09/14/20	J	A	
214.					Buy (add'l)	11/24/20	J		
215. Broadcom Ltd.	C	Dividend	M	T					
216. Brookfield Asset Management	A	Dividend	K	T					
217. Canadian Nat'l Railway	A	Dividend	K	T	Buy (add'l)	07/28/20	J		
218. Capgemini	A	Dividend	K	T	Buy	10/21/20	K		
219. Check Point Software		None	K	T					
220. Chevron Corp.	B	Dividend			Sold (part)	01/16/20	K	A	
221.					Sold (part)	04/14/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

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C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Sold (part)	09/08/20	K	A	
223.					Buy (add'l)	11/24/20	K		
224.					Sold	12/03/20	K	A	
225. Comcast Corp	A	Dividend	K	T	Sold (part)	01/16/20	J	A	
226. Compass Group	A	Dividend			Sold	06/15/20	J	A	
227. Corteva Inc., Split From Dow DuPont 06/03/19	B	Dividend	M	T					
228. Costco	A	Dividend	L	T	Buy	08/05/20	L		
229. CVS Corp.	B	Dividend	L	T	Buy (add'l)	07/16/20	K		
230.					Buy (add'l)	09/14/20	K		
231. Dassault Systems S.A.	A	Dividend	K	T	Buy (add'l)	03/16/20	J		
232.					Sold (part)	11/12/20	J	C	
233. DBS Group	A	Dividend	J	T					
234. Diageo PLC ADR	A	Dividend	J	T					
235. Discover Financial	A	Dividend	K	T	Sold (part)	01/24/20	K	B	
236.					Buy (add'l)	11/24/20	K		
237. DuPont de Nemours Inc., Split From Dow Dupont 04/01/19	C	Dividend	M	T					
238. Enterprise Prod	A	Dividend			Sold	12/11/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. Estee Lauder C1A	A	Dividend	K	T	Sold (part)	04/14/20	K	A	
240. Essity Aktiebolag F Spons ADR	A	Dividend			Sold	01/08/20	J	C	
241. Experian PLC	A	Dividend	K	T	Buy (add'l)	09/24/20	J		
242.					Buy (add'l)	10/01/20	J		
243. Fastenal Co.	A	Dividend	K	T					
244. Fomento Eco Mexicano	A	Dividend			Sold	08/25/20	J	A	
245. General Dynamics	A	Dividend			Buy	03/03/20	K		
246.					Sold (part)	09/10/20	K	A	
247.					Sold	09/14/20	J	A	
248. Global Pmts Inc.	A	Dividend	L	T					
249. Google Inc. C1 a/k/a A/Alphabet Inc. C1 A		None	K	T	Sold (part)	04/21/20	K	A	
250. Graco	A	Dividend	K	T	Buy	09/25/20	K		
251.					Buy (add'l)	10/27/20	K		
252. GRAND RAPIDS - Grand Rapids Public Schools	A	Dividend	K	T					
253. Grupo Aeroportuario Cen-ADR	A	Dividend			Sold	09/14/20	J	A	
254. HDFC Bank Ltd. ADR	A	Dividend	K	T	Sold (part)	04/02/20	J	A	
255.					Buy (add'l)	08/11/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. Home Depot Inc.	B	Dividend	L	T	Buy (add'l)	07/16/20	K		
257. Icon PLC		None	J	T	Buy	11/05/20	J		
258. Isabella Bank Corporation	D	Dividend	N	T					
259. iShares FTSE/China 25	A	Dividend	J	T					
260. iShares iBonds Dec. 2026	A	Dividend	K	T	Buy	09/29/20	K		
261. iShares MCSI EAFE	A	Dividend	J	T					
262. iShares MSCI Emerging	A	Dividend	K	T	Buy (add'l)	10/27/20	J		
263.					Buy (add'l)	12/03/20	J		
264. iShares MSCI EU Financial	A	Dividend	K	T	Buy (add'l)	03/13/20	J		
265.					Buy (add'l)	12/03/20	J		
266. Japan Index	A	Dividend	J	T	Buy	06/12/20	J		
267. JOHNSON & JOHNSON	B	Dividend	K	T					
268. LANSING MI ST GO	A	Interest	K	T					
269. Linde PLC	A	Dividend	K	T	Buy	12/16/20	K		
270. Marsh & McLennan	A	Dividend	K	T	Sold (part)	07/08/20	K	B	
271.					Sold (part)	09/25/20	K	C	
272. McCormick & Co.	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Microsoft Corp.	B	Dividend	M	T	Sold (part)	01/13/20	K	D	
274.					Sold (part)	02/05/20	K	E	
275.					Buy (add'l)	03/03/20	J		
276.					Buy (add'l)	03/12/20	K		
277. Mondelez International	A	Dividend	K	T					
278. Monolithic Power Sys Inc.	A	Dividend	L	T	Buy	03/10/20	K		
279.					Buy (add'l)	04/14/20	K		
280.					Sold (part)	10/27/20	K	D	
281. Nestle SA ADR	A	Dividend	J	T	Sold (part)	02/20/20	J	B	
282. New Oriental Education SP ADR	A	Dividend	K	T	Buy (add'l)	04/03/20	J		
283. Nike Inc.	A	Dividend	L	T	Sold (part)	03/05/20	K	B	
284.					Buy (add'l)	08/05/20	K		
285. NVIDIA	A	Dividend	L	T	Sold (part)	02/20/20	K	E	
286.					Buy (add'l)	02/27/20	K		
287.					Sold (part)	10/22/20	K	E	
288. Oneok Partners LP	B	Dividend			Sold	12/11/20	K	A	
289. Pepsico Inc.	B	Dividend	K	T	Buy (add'l)	01/23/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	09/14/20	K	A	
291.					Buy (add'l)	11/24/20	K		
292. Republic Services	A	Dividend	K	T	Buy (add'l)	04/21/20	K		
293.					Buy (add'l)	11/24/20	J		
294. Ross Stores	A	Dividend	K	T	Buy (add'l)	09/14/20	J		
295.					Buy (add'l)	12/01/20	J		
296. SAP AG ADR	A	Dividend			Sold (part)	10/27/20	K	A	
297.					Sold	11/17/20	J	A	
298. Starbucks	A	Dividend	L	T	Buy (add'l)	02/28/20	K		
299. Stryker Corp.	A	Dividend	K	T	Buy (add'l)	03/03/20	J		
300.					Sold (part)	07/31/20	K	D	
301. Svenska Cellulosa a/k/a Essity Aktiebolag	A	Dividend			Sold	01/08/20	J	C	
302. Taiwan Semiconductor	A	Dividend	K	T	Buy (add'l)	02/12/20	J		
303.					Sold (part)	04/01/20	J	A	
304.					Sold (part)	07/28/20	J	B	
305.					Sold (part)	10/19/20	J	D	
306. Techtronic Inds. SP ADR	A	Dividend	K	T	Buy (add'l)	04/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307.					Sold (part)	09/16/20	J	C	
308. TELUS Corp.	A	Dividend	J	T	Sold (part)	10/01/20	J	A	
309. Ten Cent Holdings	A	Dividend	K	T	Buy (add'l)	03/20/20	J		
310.					Buy (add'l)	04/03/20	J		
311. Treasury Bills	A	Dividend	M	T	Buy	07/22/20	M		
312.					Sold (part)	09/29/20	K	A	
313. Unilever NV	A	Dividend	J	T					
314. UnitedHealth Group	A	Dividend	L	T	Sold (part)	01/13/20	K	D	
315.					Buy (add'l)	04/01/20	J		
316. Union Pacific Corp.	B	Dividend	L	T	Sold (part)	03/10/20	K	A	
317.					Buy (add'l)	09/10/20	K		
318.					Buy (add'l)	09/14/20	K		
319. Visa Inc.	A	Dividend	K	T	Sold (part)	10/30/20	K	D	
320. West Pharmaceutical Services	A	Dividend	L	T	Sold (part)	06/05/20	K	D	
321.					Sold (part)	07/08/20	K	D	
322.					Sold (part)	07/16/20	J	C	
323.					Buy (add'l)	11/24/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. Wisdom Tree Europe	A	Dividend	J	T					
325. WNS Holdings Ltd-ADR		None	J	T	Buy (add'l)	04/03/20	J		
326.					Sold (part)	05/13/20	K	A	
327. Zoetis Inc.	A	Dividend	K	T	Sold (part)	07/08/20	K	C	
328.					Sold (part)	07/16/20	J	A	
329. Retirement Funds "(H)"									
330. American Mutual Class F2	A	Dividend	K	T	Buy (add'l)	09/03/20	J		
331. AQR Diversified Arbitrage Fund	A	Dividend			Sold	09/03/20	J	B	
332. Artisan International Fund	A	Dividend	K	T	Sold (part)	01/27/20	J	A	
333.					Sold (part)	06/09/20	J	A	
334. Artisan Int'l Small - Mid Fund		None	K	T	Buy	08/04/20	J		
335.					Buy (add'l)	08/30/20	J		
336. Artisan Small Cap Fund	A	Dividend			Buy	06/09/20	K		
337.					Sold	09/03/20	J	A	
338. ASG Global Alternatives Fund	A	Dividend			Sold	09/03/20	J	A	
339. Baillie Gifford Emerging Markets	A	Dividend	J	T					
340. Baird Aggregate	A	Dividend	K	T	Sold (part)	07/08/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341. Bristol Myers	A	Dividend	J	T					
342. Causeway International	A	Dividend	K	T	Buy (add'l)	01/27/20	J		
343. Clearbridge Dvidend Strategy Fund	A	Dividend	K	T					
344. Comcast Corporation	A	Dividend	J	T					
345. Delta Airlines	A	Dividend	J	T					
346. Disney Walt Company Com Disney	A	Dividend	K	T					
347. Dodge & Cox Income Fund	A	Dividend	K	T	Sold (part)	07/08/20	J	A	
348. Dreyfus Treas Prime Cash Equivalent	A	Dividend	L	T					
349. Europacific Growth Fund Class F1	A	Dividend	K	T	Sold (part)	01/27/20	J	A	
350. Exxon Mobil - IRA	A	Dividend	K	T					
351. Federated Institutional High Yield Bond	A	Dividend	J	T	Buy (add'l)	06/08/20	J		
352.					Buy (add'l)	07/08/20	J		
353. Federated Short Term Incom	A	Dividend	K	T	Buy (add'l)	07/08/20	J		
354. Harding Loevner Emerging Markets	A	Dividend	J	T					
355. GQG Partners	A	Dividend	J	T	Buy (add'l)	01/27/20	J		
356. Hartford Midcap Fund		None	K	T	Sold (part)	09/03/20	J	A	
357. Heritage Cash Trust - Cash Equivalent	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. International Paper Co.	B	Dividend	K	T					
359. iShares TR Core MSCI EAFE	B	Dividend	K	T	Buy (add'l)	02/10/20	K		
360.					Sold (part)	06/08/20	J	A	
361. iShares TR Min Vol USA	A	Dividend	K	T	Buy (add'l)	09/03/20	J		
362. Janus Henderson		None	K	T	Sold (part)	09/03/20	J	A	
363. John Hancock Disciplined Value Fund	A	Dividend	K	T	Buy (add'l)	09/03/20	J		
364. John Hancock Global Absolute Return		None			Sold	09/03/20	J	A	
365. John Hancock International Growth	A	Dividend	K	T	Buy	06/09/20	J		
366. Knoll Incorporated	A	Dividend	J	T					
367. Loomis Sayles Growth Fund	A	Dividend	K	T	Buy (add'l)	07/08/20	J		
368.					Sold (part)	09/03/20	J	A	
369. Meridian Growth Fund	A	Dividend	K	T	Buy (add'l)	09/03/20	J		
370. Metropolitan West Total Return Bond Fund	A	Dividend	K	T	Sold (part)	07/08/20	J	A	
371. MFS International Growth Fund	A	Dividend	K	T	Sold (part)	01/27/20	J	A	
372. Nuance Mid. Cap. Value Fund	A	Dividend	K	T	Buy (add'l)	09/03/20	J		
373. Oakmark International	A	Dividend	J	T	Buy (add'l)	01/27/20	J		
374. Parnassus Core Equity Fund	A	Dividend	K	T	Sold (part)	09/03/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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375. PGIM Absolute	A	Dividend	J	T	Buy (add'l)	07/08/20	J		
376. Pimco Low Duration Fund	A	Dividend	J	T	Buy	06/09/20	J		
377.					Buy (add'l)	07/08/20	J		
378. Raymond James Bank Deposit Program - Cash Equivalent	A	Interest	L	T					
379. Raytheon Company	A	Dividend	J	T					
380. Sabra Healthcare	A	Dividend	J	T					
381. Starbucks	A	Dividend	K	T					
382. T. Rowe Price - Latin America - IRA	A	Dividend	K	T					
383. T. Rowe Price Overseas Stock Fund	A	Dividend	K	T	Buy	06/10/20	J		
384.					Buy (add'l)	09/30/20	J		
385. T Rowe Price Small Cap Value Fund	A	Dividend	K	T	Buy (add'l)	09/03/20	J		
386. Transamerica High Yield Bond	A	Dividend	J	T	Buy (add'l)	06/08/20	J		
387.					Buy (add'l)	07/08/20	J		
388. Under Armour		None	J	T	Buy	01/07/20	J		
389. United Parcel Service Inc.	A	Dividend	K	T	Buy	01/07/20	J		
390. Vanguard Group - Energy Fund	B	Dividend	K	T					
391. Vanguard Index Funds S&P 500	B	Dividend	M	T	Sold (part)	06/08/20	K	B	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold (part)	09/03/20	J	B	
393. Vanguard Short-Term Government Bond	A	Dividend			Buy	01/27/20	J		
394.					Sold	06/08/20	J	A	
395. Victory Integrity Small Cap Value Fund	A	Dividend			Sold	06/09/20	J	A	
396. Victory Trivalent Intl	A	Dividend	J	T	Sold (part)	01/27/20	J	A	
397.					Sold (part)	08/03/20	J	A	
398. Wells Fargo Advantage Absolute Return Fund	A	Dividend			Sold	06/09/20	J	A	
399. Wells Fargo Advantage Intl	A	Dividend			Sold	06/09/20	J	A	
400. Wells Fargo Core Bond Fund	A	Dividend			Sold	02/10/20	K	B	
401. Wells Fargo International Value Fund	A	Dividend			Buy (add'l)	01/27/20	J		
402.					Sold	06/09/20	J	A	
403. Western Asset Inflation	A	Dividend	J	T	Sold (part)	07/08/20	J	A	
404. Western Asset Macro	A	Dividend	J	T					
405. Western Asset Total Return	A	Dividend	K	T	Buy (add'l)	07/08/20	J		
406. William Blair Marco	A	Dividend	J	T					
407. Dow Chemical Retirement Health Care Assoc.		None	J	T					
408. Dow Chemical Elective Deferral Plan		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. Freeland State Bank - Certificate of Deposit - IRA	A	Dividend	K	T					
410. YUM, Inc	A	Dividend	J	T					
411. Auto Owners Insurance - Annuity - Fixed Rate	B	Interest	K	T					
412. SEP-IRA - SRO "(H)"									
413. Abiomed Inc.		None	K	T	Buy	08/27/20	J		
414.					Buy (add'l)	10/26/20	K		
415. Amazon.com Inc.		None	K	T	Buy (add'l)	02/13/20	J		
416.					Sold (part)	07/28/20	J	C	
417. Amedisys Inc.		None	J	T	Buy	06/26/20	J		
418. American Tower Corp.	A	Dividend	J	T					
419. BNY Deposit - Cash Equivalent	A	Dividend	K	T					
420. Chegg Inc.		None	K	T	Buy	01/16/20	J		
421.					Buy (add'l)	02/13/20	J		
422.					Sold (part)	05/13/20	J	B	
423.					Sold (part)	06/23/20	J	C	
424.					Buy (add'l)	09/10/20	K		
425.					Sold (part)	10/22/20	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426. Edwards Lifesciences		None	K	T	Buy (add'l)	07/28/20	J		
427.					Sold (part)	11/19/20	J	D	
428. EPAM Systems		None	K	T	Sold (part)	01/14/20	J	B	
429.					Sold (part)	09/16/20	J	D	
430. Equinix Inc.	A	Dividend	K	T	Sold (part)	03/26/20	J	A	
431. Euronet Worldwide Inc.		None	K	T	Sold (part)	02/13/20	J	B	
432.					Sold (part)	03/26/20	J	A	
433.					Buy (add'l)	09/16/20	J		
434. Facebook Inc.		None	K	T	Buy (add'l)	03/19/20	J		
435.					Buy (add'l)	07/29/20	J		
436. Fleetcor Technologies		None	J	T	Sold (part)	04/01/20	J	A	
437. Google Inc. C1 a/k/a A/Alphabet C1 A		None	K	T					
438. Guidewire Software		None	J	T					
439. IDEXX Laboratories Inc.		None	K	T					
440. Illumina Inc.		None			Sold	09/24/20	J	C	
441. Intuitive Surgical Inc.		None	K	T	Sold (part)	02/04/20	J	B	
442.					Buy (add'l)	07/24/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
443.					Buy (add'l)	08/13/20	J		
444.					Buy (add'l)	10/26/20	J		
445. Omnicell Inc.		None	K	T	Sold (part)	05/14/20	J	A	
446. Palo Alto Networks		None	K	T	Buy (add'l)	06/02/20	J		
447.					Buy (add'l)	11/05/20	J		
448. PayPal Holdings Inc.		None	K	T	Sold (part)	02/13/20	J	A	
449.					Sold (part)	06/23/20	J	C	
450.					Buy (add'l)	07/29/20	J		
451. Penumbra Inc.		None	J	T	Sold (part)	05/12/20	K	D	
452. Proofpoint, Inc.		None	J	T					
453. Salesforce.com		None	J	T	Buy (add'l)	03/19/20	J		
454.					Sold (part)	07/16/20	J	B	
455.					Sold (part)	08/27/20	J	C	
456.					Buy (add'l)	10/26/20	J		
457.					Sold (part)	12/03/20	J	C	
458. ServiceNow, Inc.		None	K	T	Buy	04/30/20	J		
459.					Buy (add'l)	05/14/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460. Splunk Inc.		None	K	T	Sold (part)	12/09/20	J	C	
461. Signature Bank NY	A	Dividend	K	T					
462. Tyler Technologies		None	J	T	Sold (part)	02/04/20	J	A	
463.					Sold (part)	03/05/20	J	B	
464. Ulta Salon		None			Sold	06/26/20	J	B	
465. Vertex Pharmaceuticals		None	K	T	Sold (part)	04/15/20	J	B	
466.					Sold (part)	07/14/20	J	C	
467. Worday Inc. C1 A		None	K	T	Buy	09/24/20	J		
468.					Buy (add'l)	10/26/20	K		
469. Zendesk		None	L	T	Buy (add'l)	09/01/20	J		
470.					Buy (add'l)	11/19/20	J		
471. Broker Account - SRO, DSO, TO amd MO "(H)"									
472. Abbott Labs	A	Dividend	J	T					
473. Abiomed Inc.		None	J	T	Buy	08/27/20	J		
474. Accenture LTD C1 A	A	Dividend	J	T					
475. Agilent Technologies	A	Dividend	J	T					
476. Amazon.com Inc.		None	K	T	Buy (add'l)	02/13/20	J		

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477. Amedisys Inc.		None	J	T	Buy	06/26/20	J		
478. American Tower Corp.	A	Dividend	J	T					
479. Amphenol Corp. C1 A	A	Dividend	J	T	Buy (add'l)	12/01/20	J		
480. Apple Computer Inc.	A	Dividend	J	T	Sold (part)	01/14/20	J	A	
481. AptarGroup Inc.	A	Dividend	J	T					
482. Ball Corp	A	Dividend	J	T	Buy (add'l)	04/14/20	J		
483. Baxter Int'l Inc.	A	Dividend	J	T	Sold (part)	08/07/20	J	A	
484. Becton Dickinson	A	Dividend	J	T					
485. BlackRock, Inc.	A	Dividend	J	T					
486. Boeing Co.		None	J	T					
487. Broadcom Inc.	A	Dividend	J	T	Buy (add'l)	02/20/20	J		
488. Chegg Inc.		None	J	T	Buy	01/16/20	J		
489.					Buy (add'l)	02/13/20	J		
490.					Sold (part)	05/13/20	J	C	
491.					Sold (part)	06/23/20	J	B	
492. Chevron Corp.	A	Dividend	J	T	Sold (part)	04/14/20	J	A	
493. Cisco Systems Inc.	A	Dividend			Sold	10/22/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. Colgate-Palmolive Co.	A	Dividend			Sold	01/02/20	J	A	
495. Comcast Corp. C1 A	A	Dividend	J	T	Sold (part)	01/16/20	J	A	
496. Costco Wholesale	A	Dividend	J	T					
497. CVS Health Corp.	A	Dividend	J	T	Buy (add'l)	07/16/20	J		
498. Google Inc. C1 a/k/a A/Alphabet Inc. C1		None	K	T					
499. EPAM Systems		None	J	T	Sold (part)	09/16/20	J	B	
500. Edwards Lifesciences		None	J	T	Buy (add'l)	07/28/20	J		
501.					Sold (part)	11/19/20	J	B	
502. Equinix Inc.	A	Dividend	J	T					
503. Euronet Worldwide Inc.		None	J	T	Sold (part)	02/13/20	J	A	
504. Facebook Inc.		None	K	T	Buy (add'l)	03/19/20	J		
505. Fastenal Co.	A	Dividend	J	T					
506. Fin Select SPDR ETF	A	Dividend	J	T					
507. Fleetcor Technologies		None	J	T	Sold (part)	04/01/20	J	A	
508. General Dynamics	A	Dividend	J	T	Sold (part)	09/10/20	J	A	
509. Global Pmts Inc.	A	Dividend	J	T					
510. Graco Inc.	A	Dividend	J	T	Buy	09/25/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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☐

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511.					Buy (add'l)	10/27/20	J		
512. Guidewire Software		None	J	T					
513. Home Depot	A	Dividend	J	T	Buy (add'l)	08/13/20	J		
514. Illumina Inc.		None			Sold	09/25/20	J	A	
515. Intuitive Surgical Inc.		None	K	T	Sold (part)	02/04/20	J	A	
516.					Buy (add'l)	08/13/20	J		
517. Johnson & Johnson	A	Dividend	J	T					
518. Marsh & McLennan	A	Dividend	J	T	Sold (part)	07/16/20	J	A	
519.					Sold (part)	09/25/20	J	A	
520. McCormick & Co.	A	Dividend	J	T					
521. Microsoft Corp.	A	Dividend	J	T	Buy (add'l)	03/12/20	J		
522. Mondelez Int'l Inc.	A	Dividend	J	T					
523. Monolithic Power Sys. Inc.	A	Dividend	J	T	Buy	03/10/20	J		
524.					Buy (add'l)	03/17/20	J		
525. NVIDIA Corp.	A	Dividend	J	T					
526. Nike Inc.	A	Dividend	J	T	Sold (part)	03/05/20	J	A	
527. Omnicell Inc.		None	J	T	Sold (part)	05/14/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Opperman, Daniel S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
528. Palo Alto Networks		None	J	T	Buy (add'l)	06/02/20	J		
529. PayPal Holdings Inc.		None	J	T	Sold (part)	02/13/20	J	A	
530.					Sold (part)	06/23/20	J	B	
531. Penumbra Inc.		None	J	T	Sold (part)	05/12/20	J	A	
532. Pepsico Inc.	A	Dividend	J	T	Buy (add'l)	01/23/20	J		
533. Proofpoint, Inc.		None	J	T	Sold (part)	01/16/20	J	A	
534. RBC Bank Account - Cash Equivalent	A	Interest	J	T					
535. Reins Grp of America	A	Dividend	J	T	Sold (part)	01/23/20	J	A	
536. Republic Services	A	Dividend	J	T	Buy (add'l)	03/17/20	J		
537. Ross Stores		None	J	T	Buy (add'l)	12/01/20	J		
538. Royal Caribbean Cruises Ltd.	A	Dividend			Sold	03/17/20	J	A	
539. Salesforce.com		None	J	T	Buy (add'l)	03/19/20	J		
540.					Sold (part)	07/16/20	J	A	
541.					Sold (part)	08/28/20	J	B	
542.					Sold (part)	12/03/20	J	B	
543. Schlumberger Ltd.	A	Dividend	J	T	Buy (add'l)	07/28/20	J		
544. ServiceNow Inc.		None	K	T	Buy	04/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545.					Buy (add'l)	05/14/20	J		
546. Splunk Inc.		None	J	T					
547. Starbucks Corp.	A	Dividend	J	T					
548. Stryker Corp.	A	Dividend	J	T	Sold (part)	07/31/20	J	A	
549. Tyler Technologies Inc.		None	J	T	Sold (part)	03/05/20	J	A	
550. Ulta Beauty Inc.		None			Sold	06/26/20	J	A	
551. Union Pacific Corp.	A	Dividend	J	T	Sold (part)	03/10/20	J	A	
552.					Buy (add'l)	09/10/20	J		
553. United Health Group	A	Dividend	J	T	Buy	03/05/20	J		
554. Vertex Pharmaceuticals		None	J	T	Sold (part)	01/31/20	J	A	
555.					Buy (add'l)	03/04/20	J		
556.					Sold (part)	04/15/20	J	A	
557. Visa Inc. C1 A	A	Dividend	J	T	Sold (part)	10/30/20	J	A	
558. West Pharmaceutical Services	A	Dividend	J	T	Sold (part)	06/05/20	J	A	
559.					Sold (part)	07/16/20	J	B	
560. Workday Inc. C1 A		None	J	T	Buy	09/25/20	J		
561.					Buy (add'l)	11/05/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
562. Zendesk Inc.		None	K	T	Buy (add'l)	09/01/20	J		
563.					Buy (add'l)	11/19/20	J		
564. Zoetis Inc.	A	Dividend	J	T	Sold (part)	07/16/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel S. Opperman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544