

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Miller, Douglas E.	2. Court or Organization United States District Court, Eastern District of Virginia	3. Date of Report 04/20/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge, full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States District Court Eastern District of Virginia 600 Granby Street, Room 181 Norfolk, VA 23510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Charles H. Taylor Memorial Library
2. Board of Managers Member	The Edward L. Williams and Mary B. Williams Foundation
3. Rear Commodore (Board Member)	Hampton Yacht Club - 501(c)(7) recreational/social club
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Miller, Douglas E.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Rental Property-Hampton VA (2020 Assessment: \$123,700)	D	Rent	M	S					
2. Merrill-Lynch Money Market Acct.	A	Interest	J	T					
3. Merrill-Lynch Mutual Funds:									
4. -Alger Small Cap Focus	A	Dividend	J	T	Sold (part)	06/09/20	J		
5. -Blackrock Large Cap Fcs	A	Dividend	J	T	Sold (part)	09/30/20	J		
6. -Columbia Dividend Income	A	Dividend			Buy	03/23/20	J		
7. -Columbia Dividened Income	A	Dividend			Sold (part)	06/09/20	J		
8. -Columbia Dividend Income	A	Dividend	K	T	Buy (add'l)	09/30/20	J		
9. -Columbia LTD Duration	A	Dividend	K	T	Buy	09/30/20	K		
10. -Delaware Value Fund	A	Dividend			Sold	03/23/20	K		
11. -Eaton Vance Floating Rate	A	Dividend			Sold	03/23/20	J		
12. -Federated Hermes Total	A	Dividend			Buy	03/26/20	J		
13. -Federated Hermes Total	A	Dividend	K	T	Buy (add'l)	06/10/20	J		
14. -Hartford World	A	Dividend	J	T	Buy (add'l)	06/10/20	J		
15. -Ishares MSCI USA Minimum Volatility ETF	A	Dividend	K	T	Sold (part)	06/11/20	J		
16. -Janus Henderson Short	A	Dividend	K	T	Buy (add'l)	06/09/20	J		
17. -Loomis Sayles Growth Fund	A	Dividend	J	T	Sold (part)	06/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. -Lord Abbett Convertible	A	Dividend			Buy	03/23/20	J		
19. -Lord Abbett Convertible	A	Dividend			Sold (part)	06/09/20	J		
20. -Lord Abbett Convertible	A	Dividend	J	T	Sold (part)	09/30/20	J		
21. -MFS Corporate Bond Fund	A	Dividend	K	T	Buy (add'l)	06/11/20	J		
22. -MFS Emerging Markets	A	Dividend	K	T	Buy	09/30/20	J		
23. -MFS International Diversificaion Fund	A	Dividend	J	T	Sold (part)	06/09/20	J		
24. -MFS Mid Cap Growth Fund	A	Dividend	J	T	Sold (part)	06/09/20	J		
25. -Pacific Funds Core	A	Dividend			Buy	03/26/20	J		
26. -Pacific Funds Core	A	Dividend	K	T	Buy (add'l)	06/11/20	J		
27. -PGIM Absolute Return Bond Fund	A	Dividend			Sold	03/23/20	K		
28. -PGIM Jennison Global	A	Dividend			Buy	03/23/20	J		
29. -PGIM Jennison Global	A	Dividend			Sold (part)	06/09/20	J		
30. -PGIM Jennison Global	A	Dividend	J	T	Sold (part)	09/30/20	J		
31. -PGIM Short Dur High Yld Income	A	Dividend			Sold	03/23/20	J		
32. -Pimco GNMA & Government	A	Dividend			Buy	03/23/20	J		
33. -Pimco GNMA & Government	A	Dividend	J	T	Buy (add'l)	06/11/20	J		
34. -Pimco Income Fund	A	Dividend			Buy (add'l)	06/10/20	J		

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35. -Pimco Income Fund	A	Dividend	K	T	Sold (part)	09/30/20	J		
36. -Touchstone Flexible Income Fund	A	Dividend	K	T	Buy (add'l)	06/10/20	J		
37. -Vanguard 500 Index Fund	A	Dividend	K	T	Buy (add'l)	06/09/20	J		
38. Northwestern Mutual Whole Life Policy	A	Dividend	K	T					
39. SunTrust Savings Acct.	A	Interest	M	T					
40. Newport News Municipal Employees C.U.	A	Interest	J	T					
41. ICMA-Retirement Corp. 503-B		None	M	T					
42. TIAA CREF-Retirement Acct:									
43. -TIAA Traditional		None	K	T					
44. -CREF Stock		None	K	T					
45. VA Education Savgs Trust, Potomac Portfolio, FBO minor child		None	J	T					
46. VA Education Savgs Trust, Potomac Portfolio, FBO minor child		None	J	T					
47. Nelson's Grant Development LLC- Investment Membership		None	K	U					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Douglas E. Miller**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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