

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ramirez, Irma C.	2. Court or Organization U.S. District Court - NDTX	3. Date of Report 05/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States District Court 1100 Commerce St., Room 1567 Dallas, Texas 75242		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee, Board of Trustees	Texas Center for Legal Ethics
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2020

Self-employed barber

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Allstate Life (IRA Annuity) (H)									
2. ---Putnam VT Investments (H)									
3. -----Equity Inc.	B	Int./Div.	J	T					
4. -----Growth Opportunities	B	Int./Div.	J	T					
5. -----Multi-Cap Core Fund	B	Int./Div.	J	T					
6. -----Sustainable Leaders Fund	B	Int./Div.	J	T					
7.									
8. Parcel 1 (Hobbs, NM)		None	J	S					
9. Parcel 2 (Hobbs, NM)		None	J	S					
10. Parcel 3 (Hobbs, NM)		None	J	S					
11. Parcel 4 (Hobbs, NM)		None	J	S					
12.									
13. Tony & Adam's Hair Design, Inc.		None	J	W					
14.									
15. Talcott Resolution Life & Annuity Ins. Co. (variable life policy) (H)									
16. -----PVT George Putnam	A	Int./Div.	J	T					
17. -----AMR Growth-Income	E	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. -----PVT Int'l Equity	B	Int./Div.	J	T					
19. -----HLS Midcap	D	Int./Div.	J	T					
20. -----AMR Growth	D	Int./Div.	J	T					
21. -----AMR Global Small Cap	C	Int./Div.	J	T					
22. -----PVT Growth Opportunities	D	Int./Div.	J	T					
23. -----PVT Sustainable Leaders Fund	C	Int./Div.	J	T					
24.									
25. Comerica Bank (cash accounts)		None	K	T					
26.									
27. LPL Financial IRA (H)									
28. -----Lord Abbett Mid Cap Stock Cl A (LAVLX)	A	Int./Div.	J	T					
29. -----Growth Fund of America Class A (AGTHX)	D	Int./Div.	K	T					
30. -----Investment Co. of America Cl A (AIVSX)	D	Int./Div.	K	T					
31. -----Putnam International Equity Cl A (POVSX)	A	Int./Div.	J	T					
32. -----Invesco Comstock Cl A (ACSTX)	A	Int./Div.	K	T					
33. -----Invesco Small Cap Discovery Cl A (VASCX)	C	Int./Div.			Merged (with line 47)	05/18/20	J		
34. -----Lord Abbett Affiliated Cl A (LAFFX)	A	Int./Div.	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. -----Voya Global Equity Dividend & Premium Opp Fund IGD	A	Int./Div.	J	T					
36. -----Federated Prudent Bear Fund Cl C (PBRCX)	D	Int./Div.	J	T					
37. -----Oppenheimer DVLP Mkts Fd Cl C (ODVCX)	A	Int./Div.	J	T					
38. -----AIM Invesco Am. Franchise Cl A (VAFAX)	C	Int./Div.	J	T					
39. -----Hartford Midcap Fund Cl A (HFM CX)	D	Int./Div.	K	T					
40. -----Oppenheimer Senior Floating Rate Cl C (OOSC X)	A	Int./Div.			Sold	01/22/20	J	A	
41. -----Capital World Growth & Inc Cl A (CWGLX)	C	Int./Div.	K	T					
42. -----Capital Income Builder Cl A (CAIBX)	A	Int./Div.	K	T					
43. -----Putnam Growth Opptys Cl A (POGAX)	C	Int./Div.	J	T					
44. -----Hartford Cap. Appr. Fund Cl A(ITHAX)	C	Int./Div.	K	T					
45. -----Federated Global Alloc Cl A (FSTBX)	A	Int./Div.			Sold	01/24/20	J	A	
46. -----Federated Hermes Prudent Bear CL A (BEARX)	A	Int./Div.	J	T					
47. -----Invesco Small Cap Growth Cl A (GTSAX)	C	Int./Div.	J	T					
48. -----Invesco Dev. Mkts CL A (ODMAX)	A	Int./Div.	J	T					
49.									
50. Texas Federal Credit Union (cash accounts)		None	K	T					
51.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VIII

Lines 8-11: The assessed value for each parcel is approximately \$1334.

Line 33: Invesco Small Cap Discovery Cl A (VASCX) shares were exchanged for Invesco Small Cap Growth Cl A (GTSAX) shares (see line 47) on May 18, 2020. The transaction was an even exchange, per the manager of the account.

Line 40: Oppenheimer Senior Floating Rate Cl C (OOSCX) shares were sold on January 22, 2020.

Line 45: Federated Global Alloc Cl A (FSTBX) shares were sold on January 24, 2020.

Line 46: Some Federated Prudent Bear Fund Cl C (PBRXC) shares (line 36) were exchanged for Federated Hermes Prudent Bear Cl A (BEARX) shares (see line 46) on April 16, 2020, based on the length of time the shares had been held, per the manager of the account.

Line 48: Some Oppenheimer DVLP Mkts Fd Cl C (ODVCX) shares (line 37) were exchanged for Invesco Dev. Mkts Cl A (ODMAX) shares (see line 48) on July 27, 2020, and December 28, 2020, based on the length of time the shares had been held, per the manager of the account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Irma C. Ramirez**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544