

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) RASURE, DANA L.	2. Court or Organization U.S. BANKR. COURT, N.D. OF OK	3. Date of Report 03/18/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge-full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address THE FEDERAL BUILDING 224 SOUTH BOULDER AVENUE TULSA, OKLAHOMA 74103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Revocable Trust #1
2. Trustee	Revocable Trust #2
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch - cash, money accounts	A	Interest	M	T					
2. Invesco International Mutual Fund	A	Dividend	K	T					
3. Invesco Oppenheimer Global Fund A Mutual Fund (IRA)		None	L	T					
4. Invesco Oppenheimer Global Fund A Mutual Fund (IRA)		None	K	T					
5. Mass Mutual Whole Life Ins. (856)	D	Dividend	M	T					
6. Mass Mutual Whole Life Ins. (90)	D	Dividend	N	T					
7. Mass Mutual Whole Life Ins. (43)	D	Dividend	O	T					
8. Royal Dutch Shell - Spons ADR B	A	Dividend	J	T					
9. Royal DutchShell - Spons ADR A	A	Dividend	J	T					
10. MFS Growth Mutual Fund, A class shares		None	K	T					
11. Invesco Oppenheimer Global Opportunities Fund A		None	L	T					
12. Invesco Oppenheimer Int'l Equity Fund A fka Opp Int'l Fund A	A	Dividend	K	T					
13. Invesco Oppenheimer Developing Markets Fund A		None	K	T					
14. Invesco Oppenheimer Intl. Small Mid Co. Fund A		None	L	T					
15. Invesco Oppenheimer Global Opportunities Fund A		None	L	T					
16. Invesco Oppenheimer Developing Markets Fund A		None	J	T					
17. Invesco Oppenheimer Int'l. Small Mid Co. Fund A		None	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Amer Fund Wash Mut Investors Fund	B	Dividend	M	T					
19. UBS Fin Serv (cash, money, accts) (Y)									
20. UBS Fin Serv (cash & equiv)	A	Interest	J	T					
21. Johnson Cnty KS Uni Sch Dist (4.0%)	A	Interest	J	T					
22. American Fund: Capital Inc. Builder Fund A	A	Dividend	J	T					
23. Davis Fin. Fd. Cl.	A	Dividend	J	T					
24. American Fund: New Perspective Fd Cl. A	A	Dividend	J	T					
25. Putnam Global Nat'l Res A (fka Putnam Global Res Fd A)		None	J	T					
26. Invesco Am. Franchise Fd CL A		None	K	T					
27. Invesco Oppenheimer Int'l Growth Fund A		None	K	T					
28. Invesco Oppenheimer Int'l Equity Fund A fka Opp Int'l Value Fund	A	Dividend	K	T					
29. UBS Fin Serv FHR 2693 MD-Gov Collateralized Mortgage Obligation	A	Interest	J	T					
30. Fidelity Trend Fund fka Fidelity Trend	A	Dividend	K	T					
31. DRRB Nebraska, LLC -- Property located in Ord, NE	E	Rent	P1	U					
32. BOK Fin. Securities Accts fka BOSCO, Inc. (BOKF)	E	Interest	P1	T					
33. DRRB Nebraska, LLC cash, money accounts at Bank of Oklahoma		None	J	T					
34. RBDR Idaho, LLC cash, money accounts at Bank of Oklahoma		None	J	T					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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35. Bank of Oklahoma cash equivalent account	A	Interest	K	T					
36. Wells Fargo Advisors cash/sweep balance acct #1	A	Interest	J	T					
37. Wells Fargo Advisors cash/sweep balance acct #2	E	Interest	P1	T					
38. Wells Fargo Advisors cash/sweep balance acct #3	A	Interest	K	T					
39. First Trust Value Line Target Safely (Unit Investm Tr)	B	Dividend	K	T					
40. ABBOTT LABORATORIES	A	Dividend			Sold	03/02/20	K	C	
41. ALPHABET INC		None	K	T					
42. AMAZON COM INC		None	K	T					
43. AMERICAN TOWER REIT COM	A	Dividend			Sold	10/30/20	K	D	
44. AMERICAN WATER WORKS CO INC		None			Sold	03/24/20	K	B	
45. APPLE INC	A	Dividend	K	T	Sold (part)	09/11/20	K	E	
46. ASTRAZENECA PLC		None			Sold	03/13/20	K	A	
47. CHURCH & DWIGHT INC	A	Dividend	K	T					
48. CISCO SYS INC	A	Dividend			Sold	02/25/20	K	A	
49. COCO-COLA EUROPEAN PARTNERS PLC		None			Sold	03/24/20	J	A	
50. COSTCO WHSL CORP	A	Dividend	K	T					
51. ESTEE LAUDER CO INC CL A	A	Dividend	K	T					

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52. FIRST ENERGY CORP	A	Dividend			Sold	03/24/20	K	A	
53. FIRST TR DORSEY ETF		None			Sold	03/17/20	K	A	
54. FIRST TR FD IV ETF NORTH AMERICAN ENERGY		None			Sold	03/17/20	K	A	
55. HOME DEPOT INC	A	Dividend	K	T					
56. INVESCO TR II S&P ULTRA		None			Sold	03/13/20	K	A	
57. JACK HENRY & ASSOC INC	A	Dividend			Sold	08/19/20	K	D	
58. MARSH & MCLENNAN CO INC	A	Dividend			Sold	03/17/20	K	B	
59. MICROSOFT CORP	A	Dividend	K	T	Sold	09/09/20	K	D	
60. ONEOK INC	A	Dividend			Sold	03/26/20	J	A	
61. PROGRESSIVE CORP OHIO	A	Dividend			Sold	05/08/20	K	C	
62. TAIWAN SMCNDCTR MFG CO LOTD ADR	A	Dividend	K	T	Sold (part)	09/09/20	K	D	
63. THERMO FISHER SCIENTIFIC INC	A	Dividend	K	T					
64. VERIZON COMMUNICATIONS COM	A	Dividend			Sold	02/27/20	K	A	
65. WEC ENERGY GROUP INC	A	Dividend			Sold	03/26/20	K	A	
66. WESTERN DIGITAL CORP	A	Dividend			Sold	02/27/20	K	C	
67. YUM BRANDS INC		None			Sold	02/27/20	K	A	
68. ABBOTT LABORATORIES	A	Dividend	K	T	Buy	09/22/20	K		

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69. AMEDISYS INC		None			Buy	02/19/20	K		
70.					Sold	03/06/20	K	A	
71. AM FUNDS NEW PERSPECTIVE	A	Dividend	K	T	Buy	03/25/20	K		
72.					Sold (part)	09/09/20	J	C	
73. AM FUNDS WASHINGTON MUTUAL	A	Dividend	K	T	Buy	03/25/20	K		
74.					Sold (part)	09/09/20	K	C	
75. AT&T	A	Dividend			Buy	03/03/20	K		
76.					Sold	05/08/20	K	A	
77. AVERY DENNISON CORP	A	Dividend	K	T	Buy	11/06/20	K		
78. BALL CORP	A	Dividend			Sold	05/08/20	K	D	
79. BANK OF AMERICA CORP	A	Dividend			Buy	03/03/20	K		
80.					Sold	03/24/20	K	A	
81. BEST BUY CO IN	A	Dividend	K	T	Buy	09/11/20	K		
82. BOSTON SCIENTIFIC CORP		None			Buy	10/08/20	K		
83.					Sold	12/03/20	K	A	
84. BROADCOM INC	A	Dividend	K	T	Buy	11/06/20	K		
85. CAMPBELL SOUP CO	A	Dividend			Buy	03/19/20	K		

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86.					Sold	05/19/20	K	B	
87. CHENIERE ENERGY INC NEW		None	K	T	Buy	11/19/20	K		
88. CHEVRON CORP	A	Dividend			Buy	04/28/20	K		
89.					Sold	07/31/20	K	A	
90. CITRIX SYSTEMS INC	A	Dividend			Buy	03/25/20	K		
91.					Sold	09/09/20	K	B	
92. CONSOLIDATED EDISON INC	A	Dividend			Buy	03/19/20	K		
93.					Sold	06/12/20	K	A	
94. EOG RESOURCES INC	A	Dividend			Buy	03/13/20	K		
95.					Sold	07/31/20	K	D	
96. FIRST TR SMALL CAP VALUE ALPHADEX FD		None	K	T	Buy	11/27/20	K		
97. FIRST TR SMALL CAP GROWTH ALPHADEX FD		None	K	T	Buy	11/27/20	K		
98. GARMIN LTD		None	K	T	Buy	09/22/20	K		
99. GILEAD SCIENCES INC	A	Dividend			Buy	03/25/20	K		
100.					Sold	06/12/20	K	A	
101. INTUIT INC		None	K	T	Buy	10/08/20	K		
102. INVESCO TR II DWA EMERG MKTS MOMENTUM ETF	A	Dividend	K	T	Buy	07/09/20	K		

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103. INVESCO QQQ TR		None			Buy	02/27/20	K		
104.					Sold	03/17/20	K	A	
105. I SHARES SILVER TR ETF		None			Buy	05/21/20	K		
106.					Sold	11/27/20	K	D	
107. KELLOGG CO	A	Dividend			Buy	03/19/20	K		
108.					Sold	09/09/20	K	A	
109. LIVEPERSON INC		None	K	T	Buy	08/04/20	K		
110. MARATHON PETROL CORP		None	K	T	Buy	12/09/20	K		
111. NETFLIX.COM INC		None			Buy	03/03/20	K		
112.					Sold	11/19/20	K	D	
113. POLARIS INC		None			Buy	08/04/20	K		
114.					Sold	09/18/20	K	A	
115. REGENERON PHARM INC		None			Buy	03/19/20			
116.					Sold	10/23/20	K	C	
117. RIO TINTO PLC ADR		None	K	T	Buy	11/19/20	K		
118. ROPER TECH INC	A	Dividend	K	T	Buy	09/11/20	K		
119. SPDR GOLD TRUST ETF		None			Buy	03/19/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Sold	11/27/20	K	C	
121. UNITED PARCEL SERVICE -B	A	Dividend	K	T	Buy	03/25/20	K		
122. VANGUARD FTSE EUROPEAN ETF	A	Dividend	K	T	Buy	05/21/20	K		
123. WINNEBAGO INDUSTRIES INC	A	Dividend			Buy	05/21/20	K		
124.					Sold	10/23/20	K	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

RASURE, DANA L.

Date of Report

03/18/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re: Part VII. All assets of the two revocable trusts described in Part I are included in Part VII.

The asset listed on Line 78 was reported as "sold" on my 2019 report. The asset was only sold in part in 2019. The remaining value of the asset was sold in 2020.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

RASURE, DANA L.

Date of Report

03/18/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DANA L. RASURE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544