

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ahler, James R.	2. Court or Organization Indiana Northern Bankruptcy Court	3. Date of Report 08/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States Courthouse 5400 Federal Plaza Hammond, IN 46230		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust #1 (reportable assets are listed in Part VII)
2. Trustee	Trust #2 (reportable assets are listed in Part VII)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2015	Indiana Public Retirement System (no control)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. CHASE BANK (cash)	A	Interest	L	T					
3. PNC BANK (cash)	A	Interest	J	T					
4. Account #1 (H)									
5. DFA EMERGING MARKETS SMALL CP PTF (DEMSX)	A	Dividend	K	T					
6. DFA INTL SM CAP VAL PORT (DISVX)	A	Dividend	K	T					
7. DFA EMERGING MKTS PTF (DFEMX)	A	Dividend	J	T					
8. Account #2 (H)									
9. DFA SHORT TERM GOVT PTF (DFFGX)	A	Dividend	K	T					
10. DFA ONE-YEAR FIXED INCOME PTF (DFIHX)	A	Dividend	K	T					
11. DFA INTL SML PTFL (DFISX)	A	Dividend	J	T					
12. DFA TWO-YEAR GLOBAL FIXED PTF (DFGFX)	A	Dividend	K	T					
13. DFA US SM CAP VAL PORT (DFSVX)	A	Dividend	K	T					
14. DFA GLOBAL REAL ESTATE SEC PTF (DFGEX)	B	Dividend	K	T					
15. DFA INTERNATIONAL VALUE (DFIVX)	A	Dividend	K	T					
16. Account #3 (H)									
17. FIDELITY SALEM STR 500 IDX FD INST PREM (FXAIX)	A	Dividend	J	T	Buy (add'l)	03/10/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. FIDELITY SALEM STR TR INTL IDX FD (FSPSX)	A	Dividend	J	T	Sold (part)	02/11/20	J	A	
19.					Sold (part)	03/10/20	J		
20. ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)	A	Dividend	J	T	Buy	10/20/20	J		
21. ISHARES INC ISHARES MSCI JAPAN ETF (EWJ) (X)	A	Dividend	J	T					
22. ISHARES MSCI CANADA ETF (EWC)	A	Dividend	J	T					
23. VANGUARD INDEX TR VANG 500 IDX ADM (VFIAX)	A	Dividend	K	T					
24. VANGUARD INTL EQUITY INDEX FD FTSE EUROPE ETF (VGK)	A	Dividend	J	T	Buy (add'l)	02/11/20	J		
25.					Buy (add'l)	06/05/20	J		
26. ISHARES 20 PLUS YEAR TREASURY BD ETF (TLT) (X)		None	J	T					
27. PGIM HIGH YIELD FUND CL R6 (PHYQX)	A	Dividend	J	T	Buy	03/30/20	J		
28. PGIM TOTAL RETURN BOND (PTRQX) (Y)									
29. PIMCO HIGH YIELD FUND INST CL (PHIYX)	A	Dividend	J	T	Buy	05/12/20	J		
30. VANGUARD TOTAL INTL BD ETF (BNDX)	A	Dividend	J	T					
31. WELLS FARGO FDS TR BD FD R6 (WTRIX)	A	Dividend	J	T					
32. Account #4 (H)									
33. JPMORGAN IRA DEPOSIT SWEEP MGD (cash equiv)	A	Interest	K	T					
34. FID SALEM STR TR FID 500 INDX FD (FXAIX)	D	Dividend	M	T	Buy (add'l)	03/10/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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35.					Sold (part)	03/20/20	L		
36.					Sold (part)	06/08/20	K	B	
37.					Buy (add'l)	09/21/20	K		
38. FID SALEM STR TR INTL INDX FD (FSPSX)	C	Dividend	M	T	Sold (part)	02/11/20	K	C	
39.					Sold (part)	03/10/20	K		
40.					Sold (part)	03/20/20	K		
41.					Sold (part)	06/08/20	J		
42. ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)	A	Dividend	K	T	Buy	10/20/20	K		
43. ISHARES INC ISHARES MSCI JAPAN ETF (EWJ)	A	Dividend	K	T	Buy (add'l)	06/08/20	J		
44. ISHARES MSCI CANADA ETF (EWC)	B	Dividend	K	T					
45. ISHARES MSCI PACIFIC EX JAPAN (EPP)	A	Dividend	K	T					
46. VANGUARD INDEX TR VANGUARD 500 INDEX FD ADM (VFIAX)	D	Dividend	O	T					
47. VANGUARD INTL EQUITY INDX FD (VGK)	B	Dividend	L	T	Buy (add'l)	02/11/20	L		
48.					Sold (part)	03/20/20	J		
49.					Buy (add'l)	06/08/20	K		
50.					Sold (part)	10/20/20	J		
51. ISHARES 20 PLUS YEAR TREASURY BD ETF (TLT)	A	Dividend	K	T	Sold (part)	03/10/20	J	A	

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	09/21/20	J		
53. ISHARES TRUST 7 10 YEAR TREASURY BD ETF (IEF)	A	Dividend			Sold (part)	04/28/20	J	A	
54.					Sold	05/14/20	J	B	
55. ISHARES TRUST ISHARES MBS ETF EST YIELD (MBB)	A	Dividend	K	T					
56. LORD ABBETT INVT TR HIGH YIELD FD CL F3 (LHYOX)	B	Dividend	L	T	Buy	04/14/20	K		
57. LORD ABBETT INVT TR SHT DUR INC (LOLDX)	A	Dividend	K	T					
58. PGIM HIGH YIELD FUND CL R6 (PHYQX)	B	Dividend	K	T	Buy	04/01/20	K		
59. VANGUARD BOND INDEX FD TOT BD MKT (VBTLX)	B	Dividend	L	T	Sold (part)	09/21/20	J	A	
60. VANGUARD INTERMEDIATE TERM CORP BD ETF (VCIT)	A	Dividend	K	T	Buy	11/02/20	K		
61. VANGUARD TOTAL INTL BD ETF (BNDX)	C	Dividend	L	T	Buy (add'l)	03/10/20	J		
62.					Sold (part)	06/08/20	J	A	
63.					Sold (part)	09/21/20	J	A	
64.					Sold (part)	10/20/20	K	B	
65.					Sold (part)	11/02/20	K	B	
66. WELLS FARGO CORE BD FD R6 (WTRIX) (FORMERLY INST (MBFIX))	A	Dividend			Sold	09/21/20	K	C	
67. BLACKSTONE ALTRNTV INVT FDS ALT MULT STRAT (BXYX)	B	Dividend	K	T	Sold (part)	03/10/20	J		
68.					Sold (part)	05/29/20	J		

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69. BLACKROCK EVENT DRIVEN EQUITY FD INSTL CL (BILPX)	A	Dividend	K	T	Buy (add'l)	01/16/20	J		
70. NEUBERGER BERMAN ALT FDS LONG SHORT FD (NLSIX)	A	Dividend	K	T	Buy	01/09/20	J		
71.					Buy (add'l)	01/16/20	J		
72. PIMCO MORTGAGE OPP & BD FD (PMZIX)	A	Dividend	K	T	Buy	05/29/20	J		
73. CRM MUT FD TR LNG SHT OPPORTUNITIES FD (CRIHX)	A	Dividend			Sold (part)	01/09/20	J		
74.					Sold	01/16/20	J		
75. EQUINOX FDS TR IPM SYSTEMATIC MACRO FD CL (EQIPX)		None			Sold	01/16/20	J		
76. Account #5 (H)									
77. DFA US MICRO CAP FD (DFSCX)	A	Dividend			Sold	06/04/20	K		
78. DFA US SM CAP VAL PORT (DFSVX)	A	Dividend			Sold	06/04/20	J		
79. DFA FIVE-YEAR GLOBAL FIXED PTF (DFGBX)		None			Sold	06/04/20	K		
80. Account #6 (H)									
81. DFA EMERGING MKTS VALUE PTF (DFEVX)	A	Dividend	K	T	Buy (add'l)	06/09/20	K		
82. DFA INTL SML PTFL (DFISX)	A	Dividend	J	T					
83. DFA US SM CAP VAL INST (DFSVX)	A	Dividend	K	T	Buy	06/09/20	K		
84. Account #7 (H)									
85. FIDELITY DIVERSIFIED INTERNATIONAL FUND (FDIVX) (X)	A	Dividend	L	T					

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86. FIDELITY DIVERSIFIED INTL K6 (FKIDX) (Y)									
87. VANGUARD CAPITAL OPPORTUNITY FUND (mid cap growth) (VHCOX)	D	Dividend	L	T					
88. MFS MID CAP VALUE R6 (MVCKX) (Y)									
89. MFS MID CAP VALUE R3 (MVCHX) (X)	A	Dividend	K	T					
90. STATE ST RUSSELL SM/MID IDX SL CL II		None	K	T					
91. BNYM MELLON NSL US DYNAMIC LARGCP VAL II		None	L	T					
92. PIMCO TOTAL RETURN INSTL (PTTNX) (Y)									
93. PIMCO TOTAL RETURN A (X)	C	Dividend	L	T					
94. Account #8 (H)									
95. FIDELITY DIVERSIFIED INTERNATIONAL FUND (FDIVX) (X)	A	Dividend	J	T					
96. FIDELITY DIVERSIFIED INTL K6 (FKIDX) (Y)									
97. VANGUARD CAPITAL OPPORTUNITY FUND (VHCOX)	A	Dividend	J	T					
98. BNYM MELLON NSL US DYNAMIC LARGCP VAL II (X)		None	J	T					
99. PIMCO TOTAL RETURN INSTL (PTTNX) (Y)									
100. PIMCO TOTAL RETURN A (X)	A	Dividend	J	T					
101. Account #9 (H)									
102. DFA TAX MGD MKTWIDE VAL PORT (DTMMX)	B	Dividend	M	T					

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103. SSGA FUNDS S&P 500 INDEX N (SVSPX)	A	Dividend			Sold (part)	02/07/20	J		
104.					Sold	11/10/20	J		
105. Account #10 (H)									
106. DFA TAX MGD US EQUITY PORT (DTMEX)	B	Dividend	M	T					
107. DFA TAX MGD US SM CAP FUND (DFTSX)	A	Dividend	J	T					
108. STATE STREET GLOBAL ADVISORS S&P 500 INDEX N (SVSPX)	A	Dividend	J	T	Sold (part)	02/07/20	J		
109. Account #11 (H)									
110. ADVISORS EDGEWOOD GROWTH FD INSTL CL DIV & CAP GAIN (EGFIX)		None			Sold	02/11/20	J	B	
111. BLACKROCK INDEX FDS INC ISHARES MSCI EAFE INTL IDX (BTMKX)	B	Dividend	K	T					
112. CLEARBRIDGE APPRECIATION FUND CLASS IS (LMESX)		None			Sold	02/11/20	J	B	
113. DIAMOND HILL FDS LARGE CAP FD CL Y SHS (DHLYX)		None			Sold	02/11/20	J	A	
114. FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND (FXAIX)	C	Dividend	M	T	Buy (add'l)	02/11/20	K		
115.					Buy (add'l)	03/02/20	K		
116.					Buy (add'l)	03/10/20	J		
117.					Sold (part)	03/20/20	J		
118.					Sold (part)	06/08/20	J	A	
119.					Buy	09/21/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Ahler, James R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. FIDELITY SALEM STR TR INTL INDEX FD (FSPSX)	A	Dividend	J	T	Sold (part)	02/11/20	J		
121.					Buy (add'l)	03/02/20	J		
122.					Sold (part)	03/10/20	J		
123.					Sold (part)	03/20/20	J		
124. ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)	A	Dividend	J	T	Buy	10/20/20	J		
125. ISHARES INC ISHARES MSCI JAPAN ETF (EWJ)	A	Dividend	J	T	Buy (add'l)	06/08/20	J		
126. ISHARES MSCI CANADA ETF (EWC)	A	Dividend	J	T	Buy (add'l)	03/02/20	J		
127. ISHARES MSCI PACIFIC EX JAPAN ETF (EPP)	A	Dividend	J	T					
128. VANGUARD INTL EQUITY INDEX FD FTSE EUROPE ETF (VGK)	A	Dividend	K	T	Buy (add'l)	02/11/20	J		
129.					Buy (add'l)	03/02/20	J		
130.					Sold (part)	03/20/20	J		
131.					Buy (add'l)	06/08/20	J		
132.					Sold (part)	10/20/20	J		
133. ISHARES 20 PLUS YEAR TREAS BD ETF (TLT)	A	Dividend	J	T	Sold (part)	03/10/20	J		
134.					Buy (add'l)	09/21/20	J		
135. ISHARES 7 10 YEAR TREAS BD ETF EST (IEF)	A	Dividend			Sold	05/13/20	J	A	
136. ISHARES MBS ETF EST (MBB)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. LORD ABBETT INVT TR HIGH YIELD FD CL F3 (LHYOX)	A	Dividend	J	T	Buy	05/13/20	J		
138. LORD ABBETT INVT TR SHORT DURATION INCOME FD CL (LOLDX)	A	Dividend	J	T					
139. PGIM HIGH YIELD FUND CL R6 (PHYQX)	A	Dividend	J	T	Buy	03/30/20	J		
140. VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX (VBT LX)	A	Dividend	J	T	Sold (part)	09/21/20	J	A	
141. VANGUARD INTERMEDIATE TERM CORP BD ETF (VCIT)	A	Dividend	J	T	Buy	11/02/20	J		
142. VANGUARD TOTAL INTERNATIONAL BOND ETF (BNDX)	A	Dividend	K	T	Buy (add'l)	03/02/20	J		
143.					Sold (part)	09/21/20	J	A	
144.					Sold (part)	10/20/20	J		
145.					Sold (part)	11/02/20	J	A	
146. BLACKROCK EVENT DRIVEN EQUITY FD INSTL CL EST (BILPX)	A	Dividend	J	T	Buy (add'l)	01/09/20	J		
147. BLACKSTONE ALTRNTV INVT FDS ALTRNTV MULTI STRTGY FD (BXYMX)	A	Dividend	J	T	Sold (part)	05/29/20	J		
148. NEUBERGER BERMAN ALT FDS LONG SHORT FD (NLSIX)	A	Dividend	J	T	Buy	01/16/20	J		
149. PIMCO MORTGAGE OPP & BD FD (PMZIX)	A	Dividend	J	T	Buy	05/29/20	J		
150. CRM MUT FD TR LNG SHT OPPORTUNITIES FD(CRIHX)	A	Dividend			Sold	01/16/20	J	A	
151. EQUINOX FDS TR IPM SYSTEMATIC MACRO FD CL (EQIPX)		None			Sold	01/16/20	J	A	
152. Account #12 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
153. JPMORGAN US GOVT MONEY MKY FD (IJGXX) (cash equiv)	A	Dividend	M	T					
154. Account #13 (H)									
155. JPMORGAN US GOVT MONEY MKY FD (IJGXX) (cash equiv)	B	Dividend	N	T					
156. Account #14 (H)									
157. MY529 AGE-BASED AGGRESSIVE GLOBAL	B	Dividend			Sold	09/11/20	M		
158. MY529 AGE-BASED AGGRESSIVE DOMESTIC	A	Dividend	M	T	Buy	09/11/20	M		
159. Account #15 (H)									
160. MY529 AGE-BASED AGGRESSIVE GLOBAL	B	Dividend			Sold	09/11/20	L		
161. MY529 AGE-BASED AGGRESSIVE DOMESTIC	A	Dividend	M	T	Buy	09/11/20	L		
162. Account #16 (H)									
163. BRIGHTSTART AGGRESSIVE AGE BASED 13-14		None			Sold	12/07/20	L		
164. BRIGHTSTART AGGRESSIVE AGE BASED 15-16		None	L	T	Buy	12/07/20	L		
165. Account #17 (H)									
166. BRIGHTSTART AGGRESSIVE AGE BASED 11-12		None	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James R. Ahler**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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