AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Thacker, Stephanie D.	U.S. Court of Appeals for the Fourth Circuit	4/29/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2020 **to** 12/31/2020
7. Chambers or Office Address		
Robert C. Byrd United States Courthouse 300 Virginia Street, East Room 7404 Charleston, West Virginia 25301		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Teacher - Mini Course	Univeristy of Georgia School of Law
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Thacker, Stephanie D.	4/29/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2020	University of Georgia School of Law - Teaching Income	$5,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	University of Georgia School of Law	February 17-22, 2020	Athens, GA	Teaching Advocacy Mini Course	Compensation; lodging; air fare; some meals
2.					
3.					
4.					
5.					

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Thacker, Stephanie D.	4/29/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Thacker, Stephanie D.	4/29/2021

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Blackrock HighYield Bond Fund BHYIX	A	Dividend	J	T	Buy	01/15/20	J		
2.					Sold (part)	03/12/20	J	A	
3.					Sold (part)	03/17/20	J	A	
4.					Buy	04/15/20	J		
5.					Buy	08/12/20	J		
6. Combiar International CAMYX	A	Dividend	K	T	Buy	01/15/20	J		
7.					Buy	03/17/20	J		
8.					Sold (part)	04/15/20	J	A	
9.					Buy	08/12/20	J		
10. Clearbridge Large Cap SBLYX	A	Dividend	L	T	Buy	01/15/20	J		
11.					Buy	03/17/20	J		
12.					Sold (part)	04/15/20	J	A	
13.					Sold (part)	08/12/20	J	C	
14. Delaware Corporate Bond Fund DGCIX	A	Dividend	K	T	Sold (part)	01/15/20	J	A	
15.					Sold (part)	03/12/20	J	A	
16.					Sold (part)	03/17/20	J	A	
17.					Buy	04/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Thacker, Stephanie D.	4/29/2021

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy	08/12/20	J		
19. Diamond Hill Large Cap Fund DHLRX	A	Dividend	K	T	Sold (part)	01/15/20	J	A	
20.					Buy	03/17/20	J		
21.					Sold (part)	04/15/20	J	A	
22.					Sold (part)	08/12/20	J	A	
23. Edgewood Growth Fund EGFIX	A	Dividend	K	T	Buy	01/15/20	J		
24.					Buy	03/17/20	J		
25.					Buy	04/15/20	J		
26.					Sold (part)	08/12/20	J	C	
27. Harding Loevner International HLMIX	A	Dividend	K	T	Buy	01/15/20	J		
28.					Buy	03/17/20	J		
29.					Sold (part)	04/15/20	J	A	
30.					Buy	08/12/20	J		
31. Hartford Schroders Emerging Fund SEMNX	A	Dividend	J	T	Buy	01/15/20	J		
32.					Buy	03/17/20	J		
33.					Sold (part)	04/15/20	J	A	
34.					Sold (part)	08/12/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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35. JP Morgan Equity Income	B	Dividend	L	T	Sold (part)	01/15/20	J	A	
36.					Buy	03/17/20	J		
37.					Sold (part)	04/15/20	J		
38.					Buy	08/12/20	J		
39. PGIM Short Term PIFZX	A	Dividend	J	T	Sold (part)	01/15/20	J	A	
40.					Sold (part)	03/12/20	J	A	
41.					Sold (part)	03/17/20	J	A	
42.					Buy	04/15/20	J		
43.					Buy	08/12/20	J		
44. Pimco International Bond	A	Dividend	J	T	Buy	01/15/20	J		
45.					Sold (part)	03/12/20	J	A	
46.					Sold (part)	03/17/20	J	A	
47.					Buy	04/15/20	J		
48.					Buy	08/12/20	J		
49. T Rowe Price US Treasury PRTIX	A	Dividend	K	T	Sold (part)	01/15/20	J	A	
50.					Sold (part)	03/12/20	K	B	
51.					Sold (part)	03/17/20	J	A	

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52.					Buy	04/15/20	J		
53.					Buy	08/12/20	J		
54. TCW Total Return TGLMX	A	Dividend	K	T	Buy	01/15/20	J		
55.					Sold (part)	03/12/20	J	A	
56.					Sold (part)	03/17/20	J	A	
57.					Buy	04/15/20	J		
58.					Buy	08/12/20	J		
59. Wells Fargo Special ESPNX	A	Dividend	J	T	Buy	01/15/20	J		
60.					Buy	03/17/20	J		
61.					Buy	04/15/20	J		
62.					Sold (part)	08/12/20	J	A	
63. Wells Fargo Small WSCGX	A	Dividend	J	T	Buy	01/15/20	J		
64.					Buy	03/17/20	J		
65.					Sold (part)	04/15/20	J	A	
66.					Sold (part)	08/12/20	J	A	
67. Putnam Ultra Short PSDYX	A	Dividend	K	T	Buy	03/12/20	K		
68.					Sold (part)	03/17/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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69.					Buy	04/15/20	J		
70.					Buy	08/12/20	J		
71. BlackRock Liquidity Fund TSTXX	A	Dividend	J	T	Sold (part)	01/15/20	J	A	
72.					Sold (part)	03/12/20	J	A	
73.					Sold (part)	03/17/20	J	A	
74.					Buy	08/12/20	J		
75. Bank of America Savings FDIC	A	Interest	K	T					
76. JP Morgan Equity Income OIEJX	A	Dividend	J	T	Sold (part)	08/10/20	J	A	
77.					Sold (part)	09/09/20	J	A	
78.					Sold (part)	10/09/20	J	A	
79.					Sold (part)	11/09/20	J	A	
80.					Sold (part)	12/09/20	J	A	
81. American Tax Exempt Bond Fund TFEBX	A	Dividend	J	T	Sold (part)	12/10/20	J	A	
82. Hartford Dividend & Growth HDGFX	A	Dividend	J	T	Sold (part)	12/10/20	J	A	
83. Hartford International Opportunities IHOFX	A	Dividend	J	T	Sold (part)	12/10/20	J	A	
84. Hartford Midcap HMDFX	A	Dividend	J	T	Sold (part)	12/10/20	J	A	
85. JP Morgan Emerging Markets JEMWX	A	Dividend	J	T	Sold (part)	12/10/20	J	A	

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86. MFS Municipal Income MPMNX	A	Dividend	J	T	Sold (part)	12/10/20	J	A	
87.									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Thacker, Stephanie D.	4/29/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephanie D. Thacker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544