

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Greenberg, William S.	2. Court or Organization U.S. Court of Appeals for Veterans Claims	3. Date of Report 5/15/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 625 Indiana Avenue, NW Suite 900 Washington, DC 20004		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Chairman Emeritus, Board of Trustees	Mary Sachs Charitable Trust (private foundation)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2002	Public Employee Retirement System Pension Fund, State of New Jersey, pension upon retirement age 60, no control
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 18

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	New Jersey Division of Pensions and Benefits, pension	\$5,945.16
2. 2020	Charles Schwab & Co. Inc., retirement plan distribution	\$92,035.90
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Charles Schwab & Co., Inc., retirement plan distribution
2. 2020	Northwestern Mutual Life Insurance Co., insurance contract distribution
3. 2020	Bristol Myers Squibb Company, nonemployee compensation re one-time pharmaceutical consultancy
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Greenberg, William S.	5/15/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 18

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Checking	A	Interest	M	T					
2. Brokerage Account #1 (H)									
3. -MN State HFA Fin. Rev. Muni. Bond	B	Interest			Matured	07/01/20	K		
4. -SPDR S & P Mid-Cap 400 ETF Trust	A	Int./Div.			Sold (part)	01/03/20	J	D	
5.					Sold	10/30/20	L	F	
6. -NY NYC Trans Fin Auth Future Tax Rev Muni. Bond	C	Interest			Sold	04/06/20	L		
7. -CA St GO Muni. Bond	B	Interest			Sold	04/07/20	L	B	
8. -IL DuPage & Will Cntys Muni. Bond	B	Interest			Sold	04/06/20	M		
9. -MN St COPs NC Muni. Bond	C	Interest	M	T					
10. -TX St GO Muni. Bond	C	Interest	M	T					
11. -MI St GO NC Muni. Bond	C	Interest	L	T					
12. -NY Port Auth NY & NJ Rev Muni. Bond	B	Interest			Sold	04/06/20	L		
13. -CT St GO Muni. Bond	B	Interest			Sold	04/06/20	L	C	
14. -Schwab Bank Sweep	A	Interest	M	T					
15. -UT St Bldg Ownership Auth Lease Rev Muni. Bond	B	Interest	K	T					
16. -WA Snohomish Cnty SD No 201 GO School Board Guar. Muni. Bond	B	Interest			Sold	04/08/20	L	C	
17. -JBG Smith Properties	C	Int./Div.	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 18

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -Adobe Systems Inc. Stock		None	K	T					
19. -Alphabet Inc. Class A Stock		None	J	T					
20. -Apple Inc. Stock	A	Dividend	K	T					
21. -ASML Holding NV-NY Reg Shs. Stock	A	Dividend	J	T					
22. -Automatic Data Processing Inc. Stock	A	Dividend	J	T					
23. -Cognizant Tech Solutions Corp. Stock	A	Dividend	J	T					
24. -Marsh & McLennan Companies Inc. Stock	A	Dividend	J	T					
25. -Mastercard Inc. Stock	A	Dividend	K	T					
26. -Microsoft Inc. Stock	A	Dividend	J	T					
27. -Varian Medical Systems Inc. Stock		None	J	T					
28. -Dentsply Sirona Inc. Stock	A	Dividend			Sold	01/03/20	J		
29. -Edwards Lifesciences Corp. Stock		None	K	T					
30. -FMC Corp. Stock	A	Dividend	J	T					
31. -NVIDIA Corp. Stock		None			Sold	01/03/20	J		
32. -Stanley Black & Decker Inc. Stock		None			Sold	01/03/20	J	C	
33. -Cerner Corp. Stock	A	Dividend	J	T					
34. -Flir Systems Inc. Stock	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 18

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -Qiagen N.V. Stock		None	J	T					
36. -Enterprise Products Partners L.P. interest	A	Dividend	J	T					
37. -Prologis, Inc. Stock	A	Dividend	J	T	Sold (part)	02/05/20	J	A	
38. -United States Treasury Bond	A	Interest	O	T	Buy	04/08/20	O		
39. Brokerage Account #2 (H)									
40. -Schwab Bank Sweep	A	Interest	J	T					
41. Brokerage Account #3 (H)									
42. Brokerage Account #4 (H)									
43. -ASML Holding NV-NY Reg Shares	A	Dividend	K	T	Sold (part)	11/02/20	J	D	
44. -Adobe Systems Inc. Stock		None	L	T	Sold (part)	04/16/20	J	D	
45.					Sold (part)	04/21/20	J	E	
46. -Apple Inc. Stock	A	Dividend	L	T	Sold (part)	04/21/20	J	E	
47.					Sold (part)	11/02/20	J	D	
48. -Automatic Data Processing Inc. Stock	A	Dividend	K	T					
49. -Cognizant Tech Solutions Corp. Stock	A	Dividend			Sold (part)	05/13/20	K	E	
50.					Sold	05/14/20	J	E	
51. -Alphabet Inc. Stock (Class A)		None	K	T	Sold (part)	11/02/20	J	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 18

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Medtronic Stock	B	Dividend	K	T	Sold (part)	11/02/20	J	C	
53. -Marsh & McLennan Companies Inc. Stock	A	Dividend	K	T	Sold (part)	11/02/20	K	E	
54. -Microsoft Corp. Stock	A	Dividend	L	T	Sold (part)	02/05/20	J	D	
55.					Sold (part)	04/16/20	K	E	
56.					Sold (part)	04/21/20	J	E	
57. -Johnson & Johnson Company Stock	A	Dividend	K	T					
58. -Cisco Systems Inc. Stock	B	Dividend			Sold (part)	04/16/20	J	B	
59.					Sold	11/05/20	K	E	
60. -Varian Medical Systems Inc. Stock		None	K	T	Sold (part)	11/02/20	K	E	
61. -Edwards Lifesciences Corp. Stock		None	L	T	Sold (part)	04/16/20	J	D	
62. -Stanley Black & Decker Inc. Stock	A	Dividend	K	T					
63. -Cerner Corp. Stock	A	Dividend	K	T					
64. -Flir Systems Inc. Stock	A	Dividend	K	T					
65. -Prologis Inc. Stock	A	Int./Div.			Sold	04/16/20	K	D	
66. -EOG Resources Stock	A	Dividend			Sold	06/05/20	K	C	
67. -Qiagen N. V. Stock		None			Sold (part)	02/06/20	J	D	
68.					Sold	03/05/20	K	E	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 8 of 18

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -Enterprise Products Partners L.P. interest	A	Int./Div.			Sold	04/16/20	K	A	
70. -Energy Transfer Partners L.P. interest	A	Int./Div.			Sold	04/16/20	J		
71. -MasterCard Inc. Stock	A	Dividend	L	T	Sold (part)	04/16/20	J	C	
72. -FMC Corp. Stock	A	Dividend	K	T					
73. -Albemarle Corp. Stock	A	Dividend	K	T	Buy (add'l)	06/08/20	J		
74.					Buy (add'l)	06/09/20	J		
75.					Sold (part)	11/02/20	J		
76.					Sold (part)	11/24/20	K	C	
77. -IQVIA Holdings Inc. Stock		None	K	T	Sold (part)	11/02/20	J	C	
78. -Broadcom Inc. Stock	B	Dividend			Sold (part)	04/16/20	J		
79.					Sold	12/17/20	L	E	
80. -HDFC Bank Ltd. Stock		None	K	T					
81. -Beckton Dickinson & Co. Stock	A	Dividend	K	T	Buy (add'l)	11/24/20	K		
82. -Vertex Pharmaceuticals Inc. Stock		None	K	T	Sold (part)	04/16/20	K	D	
83.					Sold (part)	11/02/20	J	B	
84. -AstraZeneca PLC-Spons ADR Stock	B	Dividend	K	T	Sold (part)	04/16/20	J	B	
85.					Sold (part)	11/02/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 18

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. -Schwab Bank Sweep	A	Interest	L	T					
87. -Advanced Energy Inds. Inc. Stock		None	K	T	Buy (add'l)	05/28/20	J		
88. -Dentsply Sirona Inc. Stock	A	Dividend	K	T	Buy (add'l)	03/30/20	J		
89. -NVIDIA Corp. Stock	A	Dividend	L	T	Sold (part)	04/21/20	J		
90.					Sold (part)	05/19/20	J		
91.					Sold (part)	08/18/20	K	C	
92.					Sold (part)	11/02/20	J	D	
93. -Schlumberger Ltd. Stock	A	Dividend			Buy	02/04/20	J		
94.					Sold	04/16/20	J		
95. -Leidos Holdings Inc. Stock	A	Dividend	K	T	Buy	06/09/20	K		
96.					Buy (add'l)	12/03/20	K		
97.					Sold (part)	11/02/20	J		
98. -Vertiv Holdings Co. Stock		None	K	T	Buy	12/18/20	K		
99. -Cree Inc. Stock		None	K	T	Buy	11/09/20	K		
100. -Universal Display Corp. Stock	A	Dividend	K	T	Buy	05/18/20	K		
101.					Buy (add'l)	06/08/20	J		
102.					Buy (add'l)	06/09/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 10 of 18

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold (part)	11/02/20	J		
104. IRA #1 (H)									
105. -PA Commonwealth Fing Auth Muni. Bond	A	Interest			Matured	06/01/20	K		
106. -KY Asset/Liability Commn Muni. Bond	A	Interest			Sold	04/23/20	K		
107. -PA Montgomery Cnty Muni. Bond	B	Interest	K	T					
108. -CA Univ of Cal Rev Muni. Bond	B	Interest	L	T					
109. -WA State Muni. Bond	B	Interest	L	T					
110. -Target Corporate Bond	A	Interest			Sold	01/28/20	K	A	
111. -Berkshire Hathaway Corporate Bond	B	Interest	L	T					
112. -US Treasury Note Government Bond NC	D	Interest	K	T	Matured (part)	10/31/20	M		
113.					Matured (part)	11/30/20	M		
114. -FHLB US Government Agency Bond	B	Interest	M	T					
115. -Colgate-Palmolive Co. Corporate Bond	C	Interest			Matured	11/01/20	L		
116. -Exxon Mobil Corp. Corporate Bond	A	Interest			Sold	04/20/20	L	B	
117. -Schwab Bank Sweep	A	Interest	O	T					
118. -Johnson & Johnson Corporate Bond	B	Interest	L	T					
119. -Procter & Gramble Corporate Bond	B	Interest	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 11 of 18

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Schwab Value Advantage Money Fund (T+1)	B	Interest			Sold	03/18/20	O		
121. -Schwab U.S. Treasury Money Fund (T+1)	A	Interest	O	T	Buy	03/18/20	O		
122. IRA #2 (H)									
123. -Vanguard Bond Index - Short Term	A	Int./Div.	K	T	Sold (part)	01/02/20	J		
124. -Vanguard S-T Investment Grade Fund	A	Int./Div.	K	T					
125. -Schwab Bank Sweep		None	J	T					
126. 401(k) #1 (H)									
127. Trust #1 (H)									
128. -CRA 12 Deposit Account	A	Interest			Sold (part)	05/20/20	M		
129.					Sold (part)	06/19/20	M		
130.					Sold	09/21/20	J		
131. -S&P 500 Depository Receipts	D	Int./Div.	N	T					
132. -SPDR S&P Mid-Cap 400 ETF Trust	C	Int./Div.	N	T					
133. -BNY Mellon Smallcap Stock Index Fund		None			Sold	06/15/20	L	E	
134. -Harris Assoc Invt TR Oakmark Intl Fund		None			Sold	06/25/20	M	A	
135. -BNY Mellon Emerging Markets Fund - MPAM Shares		None			Sold	06/25/20	L	E	
136. -DC GO NC Muni. Bond	D	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 18

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Seafarer Overseas Growth & Income Fund	A	Int./Div.	L	T	Buy (add'l)	06/25/20	K		
138. -Vanguard Energy Vipers Fund	B	Int./Div.	K	T					
139. -BNY Mellon Intl Stock Fund	B	Int./Div.	M	T					
140. -Texas Bexar Met Wtr Dist Muni. Bond	B	Interest			Redeemed	05/01/20	K		
141. -OH St Dept Admin COP Muni. Bond	B	Interest	L	T					
142. -DC Wtr & Swr Auth Pub Util Rev Muni. Bond	B	Interest	L	T					
143. -BNY Mellon Municipal Opportunities - CL M Fund	B	Int./Div.			Sold	06/25/20	L	B	
144. -Brookfield Global Listed Infrastructure Fund	B	Int./Div.	K	T					
145. -PNC Bank Sweep - Fiduciary Trust	A	Interest	M	T	Buy	05/20/20	M		
146.					Buy (add'l)	06/19/20	M		
147.					Buy (add'l)	09/21/20	J		
148. -Texas Lubbock Cooper Indpt School Dist Muni. Bond		None	L	T	Buy	08/19/20	L		
149. -Macon GA Wtr & Swr Auth Rev Muni. Bond		None	L	T	Buy	08/27/20	L		
150. -Alphabet Inc. Class C Stock		None	K	T	Buy	06/26/20	J		
151. -Blackstone Group Inc. Stock	A	Dividend	J	T	Buy	06/26/20	J		
152. -Charter Communications Inc.-A Stock		None	K	T	Buy	06/26/20	J		
153. -Home Depot Stock	A	Dividend	J	T	Buy	06/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 18

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -McDonalds Corp. Stock	A	Dividend	J	T	Buy	06/26/20	J		
155. -Ross Stores Inc. Stock		None	K	T	Buy	06/26/20	J		
156. -Sysco Corp. Stock	A	Dividend	K	T	Buy	06/26/20	J		
157. -Twitter Inc. Stock		None	K	T	Buy	06/26/20	J		
158. -Visa Inc. - Class A Shares Stock	A	Dividend	J	T	Buy	06/26/20	J		
159. -Intercontinental Exchange Group Stock	A	Dividend	K	T	Buy	06/26/20	J		
160. -Salesforce.com Inc. Stock		None	J	T	Buy	06/26/20	J		
161. -SS&C Technologies Holdings Inc. Stock	A	Dividend	K	T	Buy	06/26/20	J		
162. -Church & Dwight Co. Inc. Stock	A	Dividend	J	T	Buy	06/26/20	J		
163. -Adidas-Salomon AG - Spons ADR Stock		None	K	T	Buy	06/26/20	J		
164. -Bayer AG - Spons ADR Stock		None	J	T	Buy	06/26/20	J		
165. -LVMH Moet Hennessy Louis Vuitton SE Stock	A	Dividend	K	T	Buy	06/26/20	J		
166. -Ryanair Holdings PLC - SP ADR Stock		None	K	T	Buy	06/26/20	J		
167. -Sea Limited - ADR Stock		None	K	T	Buy	06/26/20	J		
168. -Siemens AG - Spons ADR Stock	B	Dividend	K	T	Buy	06/26/20	J		
169. -Alibaba Group Holding - SP ADR Stock		None	J	T	Buy	06/26/20	J		
170. -Touchstone Sands Capital Emerging Growth-Y		None	L	T	Buy	06/26/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 18

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Trust #2 (H)									
172. -Vanguard Intermediate Term Tax-Exempt Bond Fund	B	Int./Div.	L	T					
173. -S&P 500 Depository Receipts	B	Int./Div.	L	T					
174. -SPDR S&P Mid-Cap 400 ETF Trust	A	Int./Div.	L	T					
175. -Longleaf Partners Small Cap	A	Int./Div.	J	T					
176. -Baron Small Cap Fund-I		None	K	T					
177. -Gateway Fund Y Shares	A	Int./Div.	K	T					
178. -AMEX Energy Select Sector SPDR	A	Int./Div.	J	T					
179. -PIMCO Commodity RR Strat-Ins	A	Int./Div.	J	T					
180. -BNY Mellon Intl Stock Fund CLI	A	Int./Div.	K	T					
181. -Oakmark Intl Fund - I	A	Int./Div.	K	T					
182. -Harbor International Fund - Inst	A	Int./Div.	K	T					
183. -Alerian MLP ETF Fund	A	Int./Div.	J	T					
184. -Schwab Bank Sweep	A	Interest	K	T					
185. The Vittoria Fund, LP - passive LP interest	E	Int./Div.	O	U					
186. JBG Investment Fund VIII - passive LP interest	E	Distribution	L	U					
187. Veritable LPC Partners LP - passive LP interest	E	Distribution	M	U					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 18

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. BKWG, Inc. (Y)									
189. Northwestern Mutual Whole Life Insurance Policies	E	Dividend	O	T					
190. MassMutual Whole Life Insurance Policies	B	Dividend	K	T					
191. New York Life Whole Life Insurance Policy		None	J	T					
192. AAFMAA Whole Life Insurance Policy		None	J	T					
193. Wells Fargo Checking		None	J	T					
194. SunTrust Bank Checking		None	K	T					
195. Brokerage Account #5 (H)									
196. -Walt Disney Co. Stock	A	Dividend	L	T					
197. -General Electric Stock	A	Dividend	J	T					
198. -JP Morgan Chase Stock	B	Dividend	L	T					
199. -Pfizer Inc. Stock	B	Dividend	K	T					
200. -Philip Morris International Inc. Stock	B	Dividend	K	T					
201. -Henry Schein Inc. Stock		None	K	T					
202. -Exxon Mobil Corp. Stock	B	Dividend	K	T					
203. -Schlumberger Ltd. Stock	A	Dividend	J	T					
204. -Federated Government Obligations Fund Sel.	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. -Covetrus Inc. Stock		None	J	T					
206. -Wabtec Stock	A	Dividend	J	T					
207. -Viatris Inc. Stock		None	J	T	Spinoff (from line 199)	11/16/20	J		
208.					Sold (part)	11/27/20	J	A	
209. Archean Capital Partners I, L.P. - Advanced CI A - passive LP interest		None			Sold	01/01/20	K		
210. Archean Capital Partners I, L.P. - passive LP interest	E	Distribution	K	U	Buy (add'l)	01/01/20	K		
211.					Sold (part)	01/01/20	K	A	
212.					Sold (part)	12/30/20	K	D	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 17 of 18

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

-Item 37 (Heading: Brokerage Account #1) was purchased as "Liberty Property Trust." Effective on or about February 4, 2020, this company was acquired by Prologis, Inc.

-Item 41 (Heading: Brokerage Account #3) contains no reportable assets. Heading title is included for continuity purposes only.

-Item 65 (Heading: Brokerage Account #4) was purchased as "Liberty Property Trust." Effective on or about February 4, 2020, this company was acquired by Prologis, Inc.

-Item 126 (Heading: 401(k) #1) contains no reportable assets. Heading title is included for continuity purposes only.

-Item 128 (Heading: Trust #1) is a cash equivalent security funded with cash that is alternatively referred to as "CRA 12 Deposit Account" and "BNY Mellon Money Market Fund" by the reporting financial institution. No sale or purchase information is available to indicate that these are treated as separate assets by the reporting financial institution.

-Item 128 (Heading: Trust #1) was exchanged for Item 145 throughout May, June and September 2020. Both assets are cash equivalent securities funded with cash.

-Item 188 corporation dissolved on December 31, 2019. No income or assets remained for distribution in 2020.

FINANCIAL DISCLOSURE REPORT

Page 18 of 18

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William S. Greenberg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544