

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Williams, Mary Ellen C.	2. Court or Organization US Court of Federal Claims	3. Date of Report 07/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 717 Madison Place, N.W. Suite 612 Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member - Content Advisory Board	ABA Section of Intellectual Property Law
2. Council Member, Section Delegate	ABA Section of Public Contract Law
3. Member - Board of Visitors	Duke University School of Law
4. Member - Advisory Comm. to the Standing Comm. on Law & National Security	ABA
5. Adjunct Professor	Johns Hopkins University

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Williams, Mary Ellen C.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Self-employed financial advisor
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Willams, Mary Ellen C.	07/13/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Williams, Mary Ellen C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. AT&T Inc. (T)	A	Dividend	J	T					
2. Abbott Lab Common Stock (ABVIE)	A	Dividend	J	T					
3. Abbott Laboratories (ABT)	A	Dividend	J	T					
4. Charter Communications Inc New CL A (CHTR)	A	Dividend	J	T					
5. Wells Fargo Checking	A	Interest	K	T					
6. Riversource Variable Universal Life Ins. (H)									
7. - Fixed Account		None	K	T					
8. - VP Aggressive Class 4		None	L	T					
9. - Riversource Variable Universal Life Ins. (H)									
10. - Fixed Account		None	K	T					
11. - VP Mod Aggressive Class 4		None	M	T					
12. - Wells Fargo (MM)	A	Interest	J	T					
13. - Wells Fargo Checking	A	Interest	K	T					
14. - Fidelity Advisor New Insights Cl A (FNIAX)	A	Dividend	K	T					
15. - Ameriprise (AMP)	B	Dividend	L	T					
16. -Invesco QQQETF (QQQ)	B	Dividend	N	T	Buy (add'l)	03/05/20	M		
17. IShares Core S&P 500 ETF (IVV)	D	Dividend	N	T	Buy (add'l)	03/24/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. IShares Core S&P 500 ETF (IVV)	D	Dividend	N	T	Buy (add'l)	10/30/20	J		
19. Vanguard Info Tech ETF (VGT)	C	Dividend	N	T					
20. Ameriprise MM	A	Interest	J	T					
21. Fidelity Advisor Strategic Income CL I (FSRIX)	C	Dividend	M	T					
22. Pimco Income Cl.12 (PONPX)	D	Dividend	M	T					
23. Invesco QQQ ETF (QQQ)	B	Dividend	M	T					
24. Hlther Sel Sect SPDR ETF (XLV)	A	Dividend	J	T					
25. Vanguard Info Tech ETF (VGT)	A	Dividend	L	T					
26. Williams Family Rev Trust									
27. - Cash Ameriprise MM	A	Interest	M	T					
28. - Eaton Vance Floating Rate CL A (EVLX)	A	Dividend	K	T					
29. - Eaton Vance Short Duration Govt Income Cl A (EALDX)	A	Dividend			Sold	03/05/20	J	A	
30. - Eaton Vance Global Macro Absolute Return Advntg CL A (EGRAX)	A	Dividend	K	T					
31. - Federated High Income Bond CL A (FHIIX)	A	Dividend	J	T					
32. - T Rowe Price Maryland Tax Free Bond (MDXBX)	D	Interest	M	T					
33. - Medtronic PLC (MDT)	A	Dividend			Sold	03/27/20	J	A	
34. - Alphabet Inc Cl C (GOOG)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Alphabet Inc CL A (GOOGL)	A	Dividend	J	T					
36. - Amazon.Com Inc (AMZN)	A	Dividend	K	T					
37. - Ameriprise Financial Inc (AMP)	A	Dividend	J	T					
38. - Apple Inc (AAPL)	B	Dividend	L	T					
39. - ARK Innovation ETF (ARKK)	A	Dividend	K	T					
40. - Brighthouse Financial Inc (BHF)	A	Dividend			Sold	03/24/20	J	A	
41. - Bristol Myers Squibb Company (BMY))	A	Dividend			Sold	03/24/20	J	A	
42. - Cisco Systems Inc. (CSCO)	A	Dividend			Sold	10/09/20	J	B	
43. - Coca-Cola Company (KO)	A	Dividend			Sold	03/24/20	J	A	
44. - Comcast Corp CL A New (CMCSA)	A	Dividend			Sold	03/27/20	J	A	
45. - Conocophillips (COP)	A	Dividend			Sold	03/05/20	J	A	
46. - Walt Disney Co (DIS)	A	Dividend			Sold	03/27/20	J	A	
47. - Exxon Mobil Corp (XOM)	A	Dividend			Sold	03/05/20	J	A	
48. - Gilead Sciences Inc. (GILD)	A	Dividend			Sold	03/05/20	J	A	
49. - Innovator Loup Frontier Tech ETF (LOUP)	A	Dividend	K	T	Buy	12/16/20	K		
50. - Intel Corp (INTC)	A	Dividend	J	T					
51. - Invesco QQQ ETF (QQQ) (X)	A	Dividend	N	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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52. - IShares Core S&P 500 ETF (IVV)	D	Dividend	N	T					
53. - Johnson & Johnson (JNJ)	A	Dividend			Sold	03/27/20	J	A	
54. - Mastercard Inc CL A (MA)	A	Dividend	K	T					
55. - McDonalds Corp (MCD)	A	Dividend			Sold	03/27/20	J	C	
56. - Merck & Company Inc New (MRK)	A	Dividend			Sold	03/27/20	J	C	
57. - Metlife Inc. (MET)	A	Dividend			Sold	03/24/20	J	A	
58. - Netflix Inc. (NFLX)	A	Dividend	K	T					
59. - Oracle Corp (ORCL)	A	Dividend	J	T					
60. - PNC Financial Services Group Inc. (PNC)	A	Dividend			Sold	03/27/20	J	C	
61. - Proctor & Gamble Company (PG)	A	Dividend			Sold	03/27/20	J	B	
62. - Sector Healthcare Select Sector SPDR ETF (XLV)	A	Dividend	K	T					
63. - Sector Energy Select Sector SPDR ETF (XLE)	A	Dividend			Sold	03/05/20	J	A	
64. - Sector Financial Select Sector SPDR ETF (XLF)	A	Dividend			Sold	03/24/20	J	A	
65. - Sector Industrial Select Sector SPDR ETF (XLI)	A	Dividend	K	T					
66. - Select Sector TR Real Estate SPDR ETF (XLI)	A	Dividend			Sold	03/24/20	J	A	
67. - Starbucks Corp (SBUX)	A	Dividend	J	T					
68. - United Technologies Corp (UTX)	A	Dividend			Sold	03/24/20	J	A	

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69. - Verizon Communications Inc. (VZ)	A	Dividend			Sold	03/24/20	J	A	
70. - Walgreens Boots Alliance Inc. (WBA)	A	Dividend			Sold	03/05/20	J	A	
71. GTW/ACW Irrev Trust FBO JTW	A	Interest	N	T					
72. GTW/ACW Irrev Trust FBO JAW	A	Interest	N	T					
73. Fidelity Advisor Strategic Income CL I (FSTAX)	B	Dividend	L	T	Sold (part)	03/05/20	L	B	
74. AKRE Focus Retail CL (AKREX)	A	Dividend	L	T	Buy	03/27/20	L		
75. Blackrock Technology Opptys Instl CL (BGSIX)	A	Dividend	J	T	Buy	11/18/20	J		
76. Fidelity Advisor Strategic Income Cl I (FSRIX)	C	Dividend	M	T					
77. Federated MDT Mid Cap Growth Instl Cl (FGSIX)	A	Dividend	M	T	Sold (part)	03/27/20	K	A	
78. IShares Core S&P 500 ETF (IVV)	D	Dividend	N	T					
79. MFS Intl Diversification Cl I (MDIJX)	B	Dividend	L	T	Sold (part)	03/27/20	K	A	
80. PGIM Total Return Bond Cl Z (PDBZX)	C	Dividend	L	T	Sold (part)	03/27/20	K	A	
81. PIMCO Income Cl I-2 (PONPX)	D	Dividend	M	T					
82.									
83.									
84.									
85.									

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.									

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The assets in Part VII, Rows 1-4 were transferred to a child who is no longer a minor. These were formerly custodial accounts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Mary Ellen C. Willams**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544