

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SELYA, BRUCE M.	2. Court or Organization UNITED STATES COURT OF APPEALS	3. Date of Report 04/19/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US CIRCUIT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 316 FEDERAL COURTHOUSE ONE EXCHANGE TERRACE PROVIDENCE, RI 02903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. ADJUNCT PROFESSOR	BOSTON COLLEGE LAW SCHOOL
2. ADJUNCT PROFESSOR	BOSTON UNIVERSITY LAW SCHOOL
3. CHAIRMAN EMERITUS	BRYANT UNIVERSITY
4. GENERAL PARTNER < INVESTMENT PROPERTY ONLY >	FRIENDSHIP REALTY GROUP (REAL ESTATE GENERAL PARTNERSHIP)
5. CHAIRMAN EMERITUS	LIFESPAN CORPORATION (NON-PROFIT HOLDING COMPANY FOR RHODE ISLAND, MIRIAM, EMMA P BRADLEY & NEWPORT HOSPITALS)
6. TRUSTEE	LIFESPAN OF MASSACHUSETTS (NON-PROFIT HOLDING COMPANY)
7. ADJUNCT PROFESSOR	ROGER WILLIAMS UNIVERSITY SCHOOL OF LAW
8. TRUSTEE EMERITUS	RHODE ISLAND HOSPITAL (A NON-PROFIT CORPORATION)
9. CHAIRMAN	RHODE ISLAND STATE - FEDERAL JUDICIAL COUNCIL
10. MEMBER	YDNIC, LLC (REAL ESTATE INVESTMENT SINGLE MEMBER LLC)
11. MEMBER	YDNIC II, LLC (REAL ESTATE INVESTMENT SINGLE MEMBER LLC)

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. BRISTOL COUNTY SAVINGS BANK	MORTGAGE (FRIENDSHIP RLTY GRP-40%)	N
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT # 1 (H)									
2. FRIENDSHIP REALTY 40%, LINCOLN, RI APARTMENT BUILDING	F	Rent	O	W					
3. MFS: MA INVESTOR TRUST MUTUAL FUND	B	Dividend	K	T					
4. MET LIFE COMMON STOCK	A	Dividend	J	T					
5. ORBIS INC COMMON STOCK	A	Dividend	J	W					
6. SILVER RESOURCES, INC COMMON STOCK	A	Dividend	J	W					
7. WASHINGTON TRUST BANCORP COMMON STOCK	A	Dividend	K	T					
8. YDNIC, LLC REAL ESTATE, PORTSMOUTH, NH	G	Rent	P1	W					
9. BROKERAGE ACCOUNT #2 (H)									
10. BANK OF AMERICA CASH ACCOUNTS	A	Interest	M	T					
11. BANK RHODE ISLAND CASH ACCOUNTS	A	Interest	M	T					
12. CAMBRIDGE SAVINGS BANK CASH ACCOUNT	A	Interest	M	T					
13. RBS CITIZENS CASH ACCOUNT	A	Interest	J	T					
14. FIDELITY: FIDELITY GOVT CASH RES CASH EQUIV	A	Dividend	K	T					
15. DELAWARE LIFE FIXED RATE ANNUITY	D	Interest	M	T					
16. PHOENIX MUTUAL LIFE INS CO WHOLE LIFE INSURANCE POLICY		None	K	T					
17. BROKERAGE ACCOUNT #3 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. BANK OF AMERICA CASH ACCOUNT	A	Interest	P1	T					
19. ISA TRUST (FKA BB&T)	A	Interest	M	T					
20. HUNTINGTON NATIONAL CASH ACCOUNT	A	Interest	M	T					
21. BANK OF CHINA CASH ACCOUNT	A	Interest	M	T					
22. BANK OF CALIFORNIA CD	A	Interest			Buy	03/26/20	M		
23.					Matured	09/30/20	M	A	
24. BMW BK NORTH AMERICA CD	B	Interest			Matured	12/11/20	M	A	
25. DISCOVER BANK CD	A	Interest			Buy	03/26/20	M		
26.					Matured	10/01/20	M	A	
27. GOLDMAN SACHS BANK CD	C	Interest			Matured	12/10/20	M	A	
28. MORGAN STANLEY BANK CD	C	Interest			Matured	09/11/20	M	A	
29. SYNOVUS BANK CD	A	Interest			Buy	03/26/20	M		
30.					Matured	10/15/20	M	A	
31. US BANK NATIONAL ASSOCIATION	A	Interest			Matured	02/18/20	M	A	
32. US TREASURY BILL	C	Interest			Matured	03/19/20	N	A	
33. PUERTO RICO COMM PUB IMPROV BOND MUNI BOND	A	Interest	K	T					
34. RHODE ISLAND DEPOSITORS BOND MUNI BOND	A	Interest			Matured	08/03/20	J	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. RI HEALTH & EDUCATIONAL BUILDING CORP MUNI BOND	D	Interest	M	T					
36. RI HOUSING & MORTGAGE FINANCE CORP BOND MUNI BOND	B	Interest	K	T	Matured (part)	04/01/20	J	A	
37.					Matured (part)	10/01/20	J	A	
38. RI INFRASTR BK BOND MUNI BOND	B	Interest	K	T					
39. RI PROV PLANTATIONS BOND MUNI BOND	C	Interest	M	T					
40. RHODE ISLAND CLEAN WATER BOND MUNI BOND	D	Interest	M	T					
41. AGILENT TECHNOLOGIES INC. COMMON STOCK	A	Dividend	J	T					
42. AQUILA NARRAGANSETT MUTUAL FUND	D	Dividend	N	T					
43. BARRICK GOLD CORPORATION COMMON STOCK	A	Dividend	K	T					
44. BROOKLINE BANCORP INC COMMON STOCK	A	Dividend	J	T					
45. COHEN AND STEERS TAX MUTUAL FUND	D	Dividend	M	T	Buy	10/27/20	M		
46. INTEL CORP COMMON STOCK	B	Dividend	K	T					
47. INVESCO OPPENHEIMER FUNDS: GOLD AND SPECIAL MINERALS FUND MUTUAL FUND	B	Dividend	M	T					
48. ISHARES TR ISHARES ETF	B	Dividend	K	T	Buy	06/18/20	K		
49. KEYSIGHT TECHNOLOGIES INC COMMON STOCK	A	Dividend	J	T					
50. NEWMONT MINING CORP.COMMON STOCK	B	Dividend	L	T					

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51. NTN BUZZTIME INC. COMMON STOCK	A	Dividend	J	T					
52. ROYAL GOLD INC COMMON STOCK	A	Dividend	K	T					
53. WELLS FARGO & CO COMMON STOCK	A	Dividend	K	T					
54. WHEATON PRECIOUS METALS COMMON STOCK	A	Dividend	K	T					
55. MFS: MA INVESTORS TRUST MUTUAL FUND	A	Dividend	J	T					
56. SOUTH AMERICAN MINERALS COMMON STOCK	A	Dividend	J	T					
57. SPDR PORTFOLIO SHORT TERM ETF	A	Dividend	K	T	Buy	06/18/20	K		
58. UNION PAC CORP COMMON STOCK	C	Dividend	M	T					
59. WASHINGTON TRUST COMMON STOCK	A	Dividend	J	T					
60. BROKERAGE ACCOUNT # 4 (H)									
61. 3M CORP. COMMON STOCK	C	Dividend	L	T					
62. ALCON, INC COMMON SOCK	A	Dividend			Sold	06/11/20	K	D	
63. ALLSTATE CORP. COMMON STOCK	B	Dividend	L	T	Sold (part)	06/11/20	L	F	
64. ALPHABET COMMON STOCK	A	Dividend	N	T	Buy	06/15/20	M		
65. AMAZON COMMON STOCK	A	Dividend	N	T	Buy	06/15/20	N		
66. AMERIPRISE FINANCIAL, INC. COMMON STOCK	B	Dividend	L	T	Sold (part)	06/11/20	L	E	
67. AMGEN INC. COMMON STOCK	C	Dividend	M	T	Sold (part)	06/11/20	M	F	

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
68. ANALOG DEVICES, INC. COMMON STOCK	C	Dividend	N	T					
69. APPLE INC COMMON STOCK	C	Dividend	N	T	Buy	06/15/20	N		
70. ARIZONA ST TRANSN BRD MUNI BOND	D	Interest			Matured	07/01/20	L	A	
71. AT&T INC COMMON STOCK	C	Dividend	K	T					
72. AUTOMATIC DATA PROCESSING INC. COMMON STOCK	C	Dividend	M	T					
73. BONNEY LAKE WA WATER & SEWER MUMICIPAL BOND	C	Interest	L	T					
74. BRISTOL RI MUNI BOND	A	Interest			Matured	02/15/20	K	A	
75. CAPITAL ONE FINANCIAL CORP COMMON STOCK	A	Dividend			Sold	04/06/20	L	A	
76. CARRIER GLOBAL CORP COMMON STOCK	A	Dividend	K	T	Spinoff (from line 130)	04/06/20	K		
77. CELANESE CORP COMMON STOCK	B	Dividend	L	T	Sold (part)	06/11/20	L	E	
78. CHEVRON CORPORATION COMMON STOCK	B	Dividend			Sold	10/01/20	K	A	
79. CHUBB CORP. COMMON STOCK	A	Dividend			Sold	04/06/20	L	A	
80. COGNIZANT TECHONLOGY SOLUTIONS CORP COMMON STOCK	B	Dividend	M	T					
81. COLORADO RIVER TX WATER DISTRICT MUNICIPAL BOND	A	Interest	L	T					
82. CRA BNY MELLON, N.A. CASH ACCOUNT	A	Interest	O	T	Buy (add'l)	01/01/20	P1		
83.					Sold (part)	12/31/20	O	A	
84. DREYFUS FUNDS BNY MELLON FLOATING RATE INCOME FUND	D	Interest	M	T	Buy	06/16/20	M		

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85. DREYFUS FUNDS BNY MELLON HIGH YIELD FUND MUTUAL FUND	D	Interest	M	T	Buy	06/16/20	M		
86. DREYFUS FUNDS: BNY MELLON INTERN'L SMALL CAP FUND MUTUAL FUND	A	Dividend			Sold	04/06/20	M	A	
87. DREYFUS FUNDS BNY MELLON MUNICIPAL OPPORTUNITIES	D	Interest	N	T	Buy	06/16/20	N		
88. DREYFUS FUNDS: BNY MELLON NATIONAL ST MUNICIPAL FUND MUTUAL FUND	A	Dividend			Sold	06/15/20	O	D	
89. EATON CORPORATION PLC COMMON STOCK	A	Dividend	M	T					
90. EXXON MOBIL CORP. COMMON STOCK	A	Dividend			Sold	04/06/20	K	A	
91. FACEBOOK INC COMMON STOCK	A	Dividend	N	T	Buy	06/15/20	N		
92. FLORIDA ST DEPT OF MGMT SVCS MUNICIPAL BOND	C	Interest	M	T					
93. FORT WORTH TX WTR SWR MUNICIPAL BOND	C	Interest	M	T					
94. HARRIS COUNTY TX FLOOD CONTROL MUNICIPAL BOND	A	Interest			Matured	10/01/20	M	A	
95. HOME DEPOT, INC. COMMON STOCK	D	Dividend	N	T					
96. HONEYWELL INTL INC COMMON STOCK	C	Dividend	M	T					
97. ISHARES CORE MSCI EAFE ETF	C	Dividend	M	T					
98. ISHARES CORE MSCI EMERGING ETF	B	Dividend	M	T					
99. ISHARES JP MORGAN ETF	C	Interest	M	T	Buy	09/16/20	M		
100. ISHARES MSCI EAFE INDEX FUND	D	Dividend	N	T					

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101. ISHARES MSCI EAF SMALL CAP ETF	D	Dividend	N	T	Buy	10/05/20	N		
102. ISHARES TR S&P 500 INDX FUND	C	Dividend			Sold (part)	06/11/20	O	F	
103.					Sold	10/01/20	K	D	
104. ISHARES TR S&P MIDCAP 400 INDEX FUND	E	Dividend	P1	T	Buy (add'l)	04/06/20	M		
105.					Sold (part)	10/01/20	M	E	
106. ISHARES TR S&P SMALLCAP 600 INDEX FUND	D	Dividend	O	T					
107. JOHNSON & JOHNSON COMMON STOCK	C	Dividend	M	T					
108. JOHNSON CONTROLS INTL PLC CONNOM STOCK	A	Dividend			Sold	04/06/20	L	A	
109. JP MORGAN CHASE & CO COMMON STOCK	D	Dividend	M	T					
110. LINDE PUBLIC LIMITED COMPANY COMMON STOCK	B	Dividend	M	T					
111. MASSACHUSETTS TRANSPORTATION MUNI BOND	C	Interest	M	T					
112. MCKESSON CORPORATION COMMON STOCK	A	Dividend	L	T	Sold (part)	06/11/20	L	E	
113. MERCK & CO., INC. COMMON STOCK	D	Dividend	M	T					
114. MICHIGAN ST TRUNK LINE MUNI BOND	C	Interest	M	T					
115. MICROCHIP TECHNOLOGY, INC. COMMON STOCK	C	Dividend	M	T					
116. MICROSOFT INC COMMON STOCK	C	Dividend	N	T	Buy	06/15/20	N		
117. MONDELEZ INTERNATIONAL WI COMMON STOCK	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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SELYA, BRUCE M.

Date of Report

04/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
118. NEWPORT RI MUNI BOND	B	Interest	L	T					
119. NEXTERA ENERGY INC COMMON STOCK	B	Dividend	L	T	Sold (part)	06/11/20	M	G	
120. NOVARTIS AG COMMON STOCK	C	Dividend	M	T	Sold (part)	11/25/20	J	A	
121. OHIO ST HIGHER EDUCATION MUNI BOND	B	Interest	L	T					
122. ORACLE CORP. COMMON STOCK	B	Dividend	M	T					
123. OREGON ST DEPT OF TR MUNI BOND	C	Interest	M	T					
124. ORLANDO FL CONTRACT TOURIST MUNI BOND'	B	Interest	K	T					
125. OTIS WORLDWIDE COMMON STOCK	A	Dividend	K	T	Spinoff (from line 130)	04/06/20	K		
126. PEPSICO INC. COMMON STOCK	D	Dividend	N	T					
127. PFIZER, INC. COMMON STOCK	C	Dividend	M	T					
128. PHILIP MORRIS INTERNATIONAL, INC. COMMON STOCK	C	Dividend			Sold	06/11/20	L	F	
129. QUALCOMM, INC. COMMON STOCK	C	Dividend	M	T					
130. RAYTHEON (FKA UNITED TECHNOLOGIES CORP) COMMON STOCK	B	Dividend	L	T					
131. RESIDEO TECHNOLOGIES, INC COMMON STOCK	A	Dividend			Sold	04/06/20	J	A	
132. RI CLEAN WATER MUNICIPAL BOND	C	Interest			Matured	10/01/20	L	A	
133. RI HEALTH AND EDUCATION MUNICIPAL BOND	D	Interest	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
134. RI ST & PROV PLANTATIONS MUNICIPAL BOND	D	Interest	M	T					
135. S & P GLOBAL INC. COMMON STOCK	C	Dividend	N	T					
136. SCITUATE RI MUNICIPAL BOND	C	Interest	L	T					
137. SEAGATE TECHNOLOGY COMMON STOCK	D	Dividend	M	T					
138. SIMON PROPERTY GROUP, INC. REIT COMMON STOCK	B	Dividend			Sold	04/06/20	K	A	
139. SNOHOMISH CTY WA SCHOOL DISTRICT MUNICIPAL BOND	C	Interest	M	T					
140. SPDR DJ GLOBAL REAL ESTATE ETF	C	Dividend	M	T	Buy (add'l)	04/06/20	M		
141.					Sold (part)	10/01/20	L	A	
142. THE WALT DISNEY CO. COMMON STOCK	A	Dividend	M	T					
143. UTAH STATE UNIVERSITY BOND	B	Interest			Matured	04/01/20	L	A	
144. VALERO ENERGY CORP COMMON STOCK	B	Dividend			Sold	04/06/20	L	C	
145. VIATRIS INC COMMON STOCK	A	Dividend	J	T	Spinoff (from line 127)	11/17/20	J		
146.					Sold (part)	11/25/20	J	A	
147. WASHINGTON CHRT TWP MI BOND MUNICIPAL BOND	C	Interest	M	T					
148. WILLIAMS COS, INC. COMMON STOCK	C	Dividend	K	T					
149. BROKERAGE ACCOUNT # 5 (H)									
150. CITIBANK NA CASH ACCOUNT	A	Interest	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
151. NUVEEN FUNDS: NUVEEN INTERM DURATION MUN BOND MUTUAL FUND	B	Dividend	M	T					
152. BROKERAGE ACCOUNT # 6 (H)									
153. ABILITY INC. COMMON SOCK	A	Dividend	J	T					
154. BANK OF AMERICA CASH ACCOUNT	A	Interest	J	T					
155. BANK OF AMERICA CORP. COMMON STOCK	A	Dividend	K	T					
156. BROKERAGE ACCOUNT #7 (H)									
157. CITIZENS BANK CASH	A	Dividend	J	T					
158. AB HIGH INCOM CLASS A MUTUAL FUND	A	Dividend			Sold	03/09/20	J	A	
159. BLACKROCK HIGH YIELD BOND INSTITUTIONAL MUTUAL FUND	A	Dividend	J	T	Buy (add'l)	04/09/20	J		
160.					Buy (add'l)	07/30/20	J		
161.					Sold (part)	03/09/20	J	A	
162.					Sold (part)	06/29/20	J	A	
163.					Sold (part)	07/02/20	J	A	
164. DBX ETF TR XTRACK USD HIGH ETF	A	Dividend	J	T	Sold (part)	02/26/20	J	A	
165.					Buy (add'l)	03/30/20	J		
166.					Sold (part)	06/29/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
167.					Buy (add'l)	07/30/20	J		
168. ISHARES 1-3 TREASURY BOND	A	Dividend			Buy	03/09/20	K		
169.					Sold	03/27/20	K	A	
170. ISHARES BROAD USD HIGH YIELD CORP BOND ETF	A	Dividend	J	T	Buy	03/27/20	J		
171.					Sold (part)	06/29/20	J	A	
172.					Buy (add'l)	07/30/20	J		
173. ISHARES TR BARCLAYS 7 10 YR IEF ETF	A	Dividend			Buy (add'l)	02/26/20	K		
174.					Sold (part)	03/09/20	K	A	
175.					Buy (add'l)	06/29/20	K		
176.					Sold	07/30/20	K	A	
177. ISHARES TR IBOXX HI YD ETF	A	Dividend	K	T	Sold (part)	02/26/20	J	A	
178.					Sold (part)	03/09/20	J	A	
179.					Buy (add'l)	04/09/20	K		
180.					Buy (add'l)	05/04/20	J		
181.					Sold (part)	06/29/20	K	A	
182.					Sold (part)	06/29/20	J	A	
183.					Buy (add'l)	07/30/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

SELYA, BRUCE M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
184. JPMORGAN HIGH YIELD BOND FUND CLASS I MUTUAL FUND	A	Dividend	J	T	Sold (part)	03/09/20	J	A	
185.					Buy (add'l)	07/30/20	J		
186. LORD ABBETT HIGH YIELD FD CL F MUTUAL FUND	A	Dividend			Sold	03/09/20	J	A	
187. NAVIGATOR TACTICAL FIXED INCOME CL I MUTUAL FUND	B	Dividend	L	T	Sold (part)	07/30/20	J	A	
188. NEUBERGER BERMAN HIGH INC BOND INSTL MUTUAL FUND	A	Dividend	J	T	Sold (part)	03/09/20	J	A	
189.					Buy (add'l)	07/30/20	J		
190. PIMCO ETF TRUST 0-5 YR HIGH YLD CORP BD ETF	A	Dividend	J	T	Sold (part)	02/26/20	J	A	
191.					Buy (add'l)	03/30/20	J		
192.					Sold (part)	06/29/20	J	A	
193.					Buy (add'l)	07/30/20	J		
194. PIMCO HIGH YIELD MUNI BD INSTL CL	B	Dividend	K	T	Sold (part)	02/26/20	J	A	
195.					Buy (add'l)	03/30/20	J		
196. PIMCO HIGH YIELD INSTL MUTUAL FUND	A	Dividend	J	T	Sold (part)	03/09/20	J	A	
197.					Buy (add'l)	07/30/20	J		
198. PIMCO INCOME FUND INSTITUTIONAL FUND MUTUAL FUND	D	Dividend	M	T	Buy (add'l)	03/30/20	J		
199.					Sold (part)	05/04/20	J	A	
200. SPDR PORTFOLIO INTERMEDIATE ETF	A	Dividend			Buy	02/26/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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201.					Sold (part)	03/09/20	K	A	
202.					Buy (add'l)	06/29/20	K		
203.					Sold	07/30/20	K	A	
204. SPDR PORTFOLIO SHORT TERM ETF	A	Dividend			Buy	03/09/20	K		
205.					Sold	03/27/20	K	A	
206. SPDR SERIES TRUST BLOOMBERG BRCLYS ETF	A	Dividend	J	T	Buy (add'l)	03/30/20	J		
207.					Buy (add'l)	07/30/20	J		
208.					Buy (add'l)	07/30/20	J		
209. SPDR SERIES TRUST BLOOMBERG SRT TR ETF	A	Dividend	J	T	Sold (part)	02/26/20	J	A	
210.					Buy (add'l)	03/30/20	J		
211.					Sold (part)	06/29/20	J	A	
212. TIAA-CREF HIGH YIELD INSTL MUTUAL FUND	A	Dividend	J	T	Buy	07/30/20	J		
213. VANECT VECTORS FALLEN ANGEL ETF	A	Dividend	J	T	Buy	07/31/20	J		
214. BROKERAGE ACCOUNT #8 (H)									
215. CITIZENS BANK CASH	A	Interest	J	T	Open	01/02/20	J		
216. PIMCO HIGH YIELD MUNI BOND INSTL CL	A	Dividend	J	T	Buy	01/02/20	J		
217. PIMCO INCOME FUND INSTITUTIONAL FUND	A	Dividend	J	T	Buy	01/02/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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218. ISHARES CORE MSCI EUROPE ETF	A	Dividend	J	T	Buy	01/02/20	J		
219.					Sold (part)	02/03/20	J	A	
220.					Buy (add'l)	03/20/20	J		
221. ISHARES CORE MSCI PACIFIC ETF	A	Dividend	J	T	Buy	01/02/20	J		
222.					Sold (part)	02/03/20	J	A	
223. ISHARES INC CORE MSCI EMERGING MKTS ETF	A	Dividend	J	T	Buy	01/02/20	J		
224.					Sold (part)	02/03/20	J	A	
225.					Buy (add'l)	03/20/20	J		
226. ISHARES INC MSCI CDA ETF	A	Dividend	J	T	Buy	01/02/20	J		
227.					Buy (add'l)	03/20/20	J		
228. ISHARES MSCI SWITZERLAND ETF	A	Dividend	J	T	Buy	01/02/20	J		
229.					Sold (part)	03/20/20	J	A	
230. ISHARES RUSSELL 1000 VALUE ETF	A	Dividend	J	T	Buy	01/02/20	J		
231.					Buy (add'l)	02/03/20	J		
232.					Buy (add'l)	06/09/20	J		
233. ISHARES RUSSELL 2000 VALUE ETF	A	Dividend	J	T	Buy	01/02/20	J		
234.					Sold (part)	02/03/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

SELYA, BRUCE M.

Date of Report

04/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
235.					Buy (add'l)	06/09/20	J		
236. ISHARES TR MSCI USA MIN VOL ETF	A	Dividend	J	T	Buy	01/02/20	J		
237.					Sold (part)	03/20/20	J	A	
238. ISHARES TR RUS 1000 GRW ETF	A	Dividend	J	T	Buy	01/02/20	J		
239.					Buy (add'l)	02/03/20	J		
240.					Buy (add'l)	03/20/20	J		
241.					Sold (part)	06/09/20	J	A	
242. ISHARES TR RUS 2000 GRW ETF	A	Dividend	J	T	Buy	01/02/20	J		
243.					Buy (add'l)	03/20/20	J		
244. SPDR S&P CHINA ETF	A	Dividend	J	T	Buy	01/02/20	J		
245.					Buy (add'l)	03/20/20	J		
246. INVESCO EXCH TRADED FD TR II NATL AMT MUNI ETF	A	Dividend	J	T	Buy	01/02/20	J		
247.					Sold (part)	02/03/20	J	A	
248.					Sold (part)	03/20/20	J	A	
249. ISHARES NATIONAL MUNI BOND ETF	A	Dividend	J	T	Buy	01/02/20	J		
250.					Sold (part)	02/03/20	J	A	
251.					Buy (add'l)	03/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

SELYA, BRUCE M.

Date of Report

04/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
252.					Buy (add'l)	06/09/20	J		
253.					Sold (part)	06/09/20	J	A	
254. ISHARES TR BARCLAYS 7 10 YR ETF	A	Dividend	J	T	Buy	01/02/20	J		
255.					Sold (part)	03/20/20	J	A	
256. ISHARES TR MBS ETF	A	Dividend	J	T	Buy	01/02/20	J		
257.					Buy (add'l)	02/03/20	J		
258.					Sold (part)	03/20/20	J	A	
259. ISHARES TR 10+ YR INVST GRD ETF	A	Dividend	J	T	Buy	01/02/20	J		
260. ISHARES TR 20 YR TR BD ETF	A	Dividend	J	T	Buy	01/02/20	J		
261.					Buy (add'l)	02/03/20	J		
262.					Sold (part)	03/20/20	J	A	
263.					Buy (add'l)	06/09/20	J		
264. ISHARES TRUST UNITED STATES TREASURY ETF	A	Dividend	J	T	Buy	01/02/20	J		
265.					Buy (add'l)	02/03/20	J		
266.					Sold (part)	03/20/20	J	A	
267.					Sold (part)	03/20/20	J	A	
268.					Buy (add'l)	06/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
269. ISHARES TRUST 1-3 YEAR TREASURY BOND ETF	A	Dividend	J	T	Buy	02/03/20	J		
270.					Sold (part)	03/20/20	J	A	
271.					Buy (add'l)	06/09/20	J		
272. PIMCO 0-5 YEAR HIGH YIELD CORPORATE BOND INDEX ETF	A	Dividend	J	T	Buy	01/02/20	J		
273.					Sold (part)	02/03/20	J	A	
274. SPDR SER TR BLOMBERG INTL TR ETF	A	Dividend	J	T	Buy	01/02/20	J		
275.					Sold (part)	02/03/20	J	A	
276. SPDR SER TR NUVEEN BLMBRG SR ETF	A	Dividend	J	T	Buy	01/02/20	J		
277.					Buy (add'l)	03/20/20	J		
278.					Sold (part)	06/09/20	J	A	
279. SPDR SER TR NUVEEN BRC MUNIC ETF	A	Dividend	J	T	Buy	01/02/20	J		
280.					Sold (part)	02/03/20	J	A	
281.					Buy (add'l)	03/20/20	J		
282.					Sold (part)	06/09/20	J	A	
283. VANECK VECTORS ETF TR HIGH YLD MUN ETF	A	Dividend	J	T	Buy	02/03/20	J		
284.					Buy (add'l)	02/03/20	J		
285.					Sold (part)	03/20/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
286. VANGUARD INTL EQUITY INDEX FDS FTSE SMCAP ETF	A	Dividend			Buy	01/02/20	J		
287.					Sold (part)	02/03/20	J	A	
288.					Sold	03/20/20	J	A	
289. VANGUARD REAL ESTATE ETF	A	Dividend	J	T	Buy	01/02/20	J		
290.					Buy (add'l)	06/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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SELYA, BRUCE M.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **BRUCE M. SELYA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544