

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) William, Stickman S.	2. Court or Organization U.S. District Court, Western District of Pennsylvania	3. Date of Report 05/07/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Stictict Judge, Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 700 Grant Street; Suite 8b Pittsburgh, PA 15219		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	South Hills Catholic Academy
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

William, Stickman S.

Date of Report

05/07/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Giant Eagle, Inc. Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
William, Stickman S.	05/07/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. American Education Services	Student Loan	K
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. PNC Bank Checking Account	A	Interest	J	T					
2. PNC Bank Saving Account	A	Interest	L	T					
3. COLLEGE 529 PLAN (H)									
4. -College 2024 Fund 529A	A	Dividend	K	T					
5. -College 2024 Fund 529A	A	Dividend	K	T					
6. -College 2030 Fund 529A	A	Dividend	K	T					
7. LPL STRATEGIC ASSET MANAGEMENT II SIMPLE IRA ROLLOVER ACCOUNT (H)									
8. -ISHARES MSCI Momentum Factor ETF	A	Dividend	J	T	Buy	03/23/20	J		
9.					Sold (part)	10/08/20	J		
10. -Invesco QQQ ETF	A	Dividend	J	T	Buy	10/08/20	J		
11. -AMCAP CL F2	A	Dividend	K	T	Buy	10/08/20	K		
12. -Loomis Sayles Growth CL Y	A	Dividend	K	T	Buy	03/23/20	K		
13.					Sold (part)	10/08/20	J		
14. -Washington Mutual Investors CL F2	A	Dividend	K	T	Buy	10/08/20	J		
15. -American Mutual CL F2	A	Dividend	K	T	Buy	04/16/20	K		
16.					Sold (part)	10/08/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

William, Stickman S.

Date of Report

05/07/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. -Sector Technology Select Sctro SPDR	A	Dividend	J	T	Buy	03/23/20	J		
18.					Sold (part)	10/08/20	J		
19. -Sector Consumer Staples Select Sector SPDR ETF	A	Dividend	J	T	Buy	04/16/20	K		
20.					Sold (part)	10/08/20	J		
21. -Select Ulitiites Select Sector SPDR ETF	A	Dividend	J	T	Buy	04/16/20	K		
22.					Sold (part)	10/08/20	J		
23. -Sector Healthcare Select Sector SPDR ETF	A	Dividend	K	T	Buy	03/23/20	J		
24.					Buy (add'l)	04/16/20	K		
25.					Sold (part)	10/08/20	J		
26. -New Perspective CL F2	A	Dividend	K	T	Buy	10/08/20	K		
27. -Bond Fund of America CL F2	A	Dividend	K	T	Buy	10/08/20	J		
28. -JP Morgan Corporate Bond CL I	A	Dividend	J	T	Buy	03/23/20	J		
29.					Buy (add'l)	10/08/20	J		
30. -Guggenheim Total Return Bond INSTL CL	A	Dividend	J	T	Buy	10/08/20	J		
31. -PIMCO Income CL 12	A	Dividend	J	T	Buy	03/23/20	J		
32.					Sold (part)	10/08/20	J		
33. -Performace Trust Strategic Bond	A	Dividend	J	T	Buy	04/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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William, Stickman S.

Date of Report

05/07/2021

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34.					Buy (add'l)	10/08/20	J		
35. -Blackrock Equity Divident Instl CL MADVX	A	Dividend			Buy	03/23/20	K		
36.					Sold (part)	04/16/20	J		
37.					Sold	10/08/20	J		
38. -Deposit Cash Balance	A	Interest	J	T					
39. LPL STRATEGIC ASSET MANAGMENT INVESTMENT ACCOUNT (H)									
40. -Invesco QQQ ETF	A	Dividend	J	T	Buy	10/09/20	J		
41. -ISHARES MSCI Momentum Factor ETF	A	Dividend	J	T	Buy	04/07/20	J		
42.					Buy (add'l)	10/09/20	J		
43. -AMCAP CL F2	A	Dividend	K	T	Buy	10/02/20	K		
44. -Loomis Sayles Growth CL Y	A	Dividend	K	T	Buy	04/07/20	K		
45.					Sold (part)	10/09/20	J		
46. -Washington Mutual Investors CL F2	A	Dividend	J	T	Buy	10/09/20	J		
47. -American Mutual CL F2	A	Dividend	J	T	Buy	04/07/20	J		
48.					Sold (part)	10/09/20	J		
49. -Sector Technology Select Sestro SPDR	A	Dividend	J	T	Buy	04/07/20	J		
50.					Sold (part)	10/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

William, Stickman S.

Date of Report

05/07/2021

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51. -Sector Consumer Staples Select Sector SPDR ETF	A	Dividend	J	T	Buy	04/16/20	J		
52.					Sold (part)	10/09/20	J		
53. -Select Ulitiites Select Sector SPDR ETF	A	Dividend	J	T	Buy	04/16/20	J		
54.					Sold (part)	10/09/20	J		
55. -Sector Healthcare Select Sector SPDR ETF	A	Dividend	J	T	Buy	04/07/20	J		
56.					Buy (add'l)	04/16/20	J		
57.					Sold (part)	10/09/20	J		
58. -New Perspective CL F2	A	Dividend	J	T	Buy	10/09/20	J		
59. -Blackrock Equity Divident Instl CL MADVX	A	Dividend			Buy	04/07/20	J		
60.					Sold	10/09/20	J		
61. -Cash Balance	A	Interest	J	T					
62. SIMPLE IRA (H)									
63. -American Funds Fundamental Investors A	B	Dividend			Sold	03/23/20	M		
64. 401K(H)									
65. -Vanguard Total Bond Market Index Institutional Fund	A	Dividend	K	T					
66. -Vanguard Target Retirement 2045 Inv. FUnd	A	Dividend	J	T					
67. -Vanguard Institutional Index Institutioanl Fund	A	Dividend	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

William, Stickman S.

Date of Report

05/07/2021

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
68. -Vanguard Mid-Cap Index Institutional Fund	A	Dividend	L	T					
69. -American Funds Euro-Pacific Growth R5 Fund	A	Dividend	K	T					
70. INVESTMENT ACCOUNT--SEI ASSET MANAGEMENT (H)									
71. -Schwab US Large Cap ETF	A	Dividend			Sold	04/07/20	K	A	
72. -Schwab US Small Cap ETF	A	Dividend			Sold	04/07/20	J		
73. -Vanguard FTSE Dev. Maret ETF	A	Dividend			Sold	04/07/20	K		
74. -Vanguard FTSE Emerging Markets ETF	A	Dividend			Sold	04/07/20	J		
75. -SPDR Bloomberg Barclays 1-3 Month T Bill ETF	A	Dividend			Sold	01/07/20	J		
76. -SPDR Bloomberg Barclays High Yield Bond ETF	A	Dividend			Sold	04/07/20	J		
77. -ISHARES JP Morgan Emerging Markets ETF	A	Dividend			Sold	04/07/20	J		
78. -VanEck Vectors Morgan Currency Bond ETF	A	Dividend			Sold	04/07/20	J	A	
79. -Government Fund SEOXX	A	Dividend			Sold	03/20/20	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting	Date of Report
William, Stickman S.	05/07/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

William, Stickman S.

Date of Report

05/07/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stickman S. William

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544