

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MOSKOWITZ, Barry T.	2. Court or Organization Southern District of California	3. Date of Report 05/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge (as of 01/23/2019)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Courthouse 333 W. Broadway, Suite 1580 San Diego, CA 92101		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Power of Attorney for family member (managing assets and paying bills)	
2. Trustee	Family Trust A
3. Trustee	Family Trust B
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	California State Teachers Retirement System - Pension
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Citi Card (Citi Bank)	Revolving Credit Card Account (1)	J
2. L.L. Bean Master Card (Citi Bank)	Revolving Credit Card Accounts (1) (issued by CitiBank)	J
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cabrillo Credit Union (accounts)	A	Interest	M	T					
2. CA Teachers Retirement System		None	J	T					
3. Ford Common Stock	A	Dividend	J	T	Sold (part)	03/30/20	J	A	
4. Apple Common Stock	A	Dividend	K	T					
5. San Diego County Credit Union (accounts) (Trust A)	A	Interest	K	T					
6. U.S. Bank (accounts) (Trust A)	A	Interest	K	T					
7. Wells Fargo Bank (accounts) (Trust A)	A	Interest	L	T					
8. Schwab brokerage money market (Trust A) cash reserves	A	Interest	K	T					
9. American Century CA (BCITX) Bond Fund (A)	C	Dividend	M	T					
10. Vanguard CA Inter Term Bond Fund (VCADX) (A)	B	Dividend	L	T					
11.	A	Distribution							
12. Vanguard Inflation Bond Fund (VAIPX) (A)	A	Dividend	K	T					
13. I Shares MSCI EAFE ETF (EFA) (A)	A	Dividend	K	T					
14. Select STR Financial ETF (XLF) (A)	A	Dividend	K	T					
15. SPDR S&P Mid Cap 400 ETF (MDY) (A)	A	Dividend	K	T					
16. SPDR S&P 500 ETF (SPY) (A)	B	Dividend	L	T					
17. I Shares Core S&P small cap ETF (IJR) (A)	A	Dividend			Sold	04/06/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Schwab Bank account (B)	A	Interest	K	T					
19. Schwab Trust B brokerage money market (B)	A	Interest	M	T					
20. American Century Inter (twitx) bond fund (B)	C	Dividend	M	T					
21. Doubleline EMRG MKTS (DLENX) bond fund (B)	B	Dividend	K	T					
22. Ridgeworth (Virtus) SEIX INVT bond fund (STTBX) (B)	A	Dividend	L	T					
23.	B	Distribution							
24. Schwab CA Tax Free Bond Fund (SWCAX) (B)	C	Dividend	M	T					
25.	B	Distribution							
26. USAA Tax Exempt Inter bond fund (USATX) (B)	C	Dividend	M	T					
27. Vanguard CA Inter Term bond fund (VCADX) (B)	D	Dividend	M	T					
28.	A	Distribution							
29. Parnassus Core EQTY stock fund (PRBLX) (B)	A	Distribution	J	T					
30.	A	Dividend							
31. I Shares Select DIV. A ETF fund (DVY) (B)	A	Dividend	K	T					
32. SPDR S&P DIV. ETF fund (SDY) (B)	A	Dividend	K	T					
33. Vanguard REIT ETF fund (VNQ)(B)	A	Dividend			Sold	09/01/20	J	A	
34. American Century High MUN bond fund (ABHYX) (B)	B	Dividend	L	T					

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Vanguard High Yield Corp. bond fund (VWEAX) (B)	C	Dividend	L	T					
36. Navy Federal Credit Union (accounts)	A	Interest	K	T					
37. Morgan Stanley Bank (cash account)(Trust B)	B	Interest			Matured	02/10/20	M		
38. Citizens Bank(cash account)(TrustA)	B	Interest			Matured	04/09/20	M		
39. Bank of China NE (cash account)(Trust A)	A	Interest			Matured	04/17/20	L		
40. Bank of America (cash account)(Trust A)	C	Interest			Matured	07/09/20	M		
41. ZYNGA Common Stock		None	J	T	Buy	03/30/20	J		
42. Bank OZARK (cash account)(Trust A)	A	Interest	M	T	Buy	04/17/20	M		
43. Goldman Sacks Bank (cash account)(Trust A)		None	M	T	Buy	04/17/20	L		
44. Bank of India (cash account)(Trust A)	A	Interest			Buy	04/20/20	M		
45.					Matured	09/23/20	M		
46. Bank of China NE (cash account)(Trust A)		None	M	T	Buy	07/15/20	M		
47. State Bank of India (cash account)(Trust A)		None	L	T	Buy	10/05/20	L		
48. Bank Leumi (cash account)(Trust B)	B	Interest			Buy	03/20/20	M		
49.					Matured	12/31/20	M		
50. Discover Bank (cash account)(Trust B)		None	M	T	Buy	03/23/20	L		
51. Apple Common Stock (Appl)(B)	A	Dividend	J	T	Buy	09/02/20	J		

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII.
In 2016, I became the successor trustee for one of my family members who is the lifetime beneficiary of the trusts. I also started acting as power of attorney for the same family member in 2016. Pursuant to the Instruction, the investment assets subject to the power of attorney were not reported. The assets in Trusts A and B are denoted by (A) and (B) respectively.
The sale of stock in IJR (Trust A) resulted in a loss.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Barry T. MOSKOWITZ**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544