

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Edwards, Harry T.	2. Court or Organization USCA for D.C. Circuit	3. Date of Report 05/04/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 5500 U.S. Courthouse 333 Constitution Ave., NW Washington, D.C. 20001		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Member American Law Institute
2. Member	American Academy of Arts & Sciences
3. Member	American Bar Association
4. Board of Directors	Institute of Judicial Administration, NYU Law School
5. Fellow	American Bar Foundation
6. Professor of Law	NYU Law School
7. Member	Supreme Court Historical Society

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2020	NYU School of Law, Professor of Law
2.	
3.	

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Edwards, Harry T.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	NYU Law School, Professor of Law	\$231,855.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	NYU School of Law, New York, NY	1-15 - 1-16-20	New York, NY	Teaching	Room, meals, transportation
2.	NYU School of Law, New York, NY	1-20 - 1-21-20	New York, NY	Teaching	Room, meals, transportation
3.	NYU School of Law, New York, NY	1-23-20	New York, NY	Teaching	Meals, transportation
4.	NYU School of Law, New York, NY	1-27 - 1-30-20	New York, NY	Teaching	Room, meals, transportation
5.	NYU School of Law, New York, NY	2-3 - 2-4-20	New York, NY	Teaching	Room, meals, transportation

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6.	NYU School of Law, New York, NY	2-10 - 2-13-20	New York, NY	Teaching	Room, meals, transportation
7.	NYU School of Law, New York, NY	2-17 - 2-18-20	New York, NY	Teaching	Room, meals, transportation
8.	NYU School of Law, New York, NY	3-3 - 3-5-20	New York, NY	Teaching	Room, meals, transportation
9.	NYU School of Law, New York, NY	3-24 - 3-27-20	New York, NY	Teaching	Room, meals, transportation

Name of Person Reporting	Date of Report
Edwards, Harry T.	05/04/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Citibank Accounts	A	Interest	J	T					
2. TD Bank		None	J	T					
3. Justice Federal Credit Union		None	J	T					
4. Wells Fargo IRA money market		None	J	T					
5. Bank of America		None	J	T					
6. Vanguard total stock market Admiral index	A	Dividend	L	T	Buy (add'l)	01/16/20	J		
7. Vanguard total stock market Admiral index					Buy (add'l)	02/14/20	J		
8. Vanguard total stock market Admiral index					Buy (add'l)	03/16/20	J		
9. Vanguard total stock market Admiral index					Sold (part)	04/06/20	J		
10. Vanguard total stock market Admiral index					Buy (add'l)	04/16/20	J		
11. Vanguard total stock market Admiral index					Buy (add'l)	05/15/20	J		
12. Vanguard total stock market Admiral index					Buy (add'l)	06/16/20	J		
13. Vanguard total stock market Admiral index					Buy (add'l)	07/16/20	J		
14. Vanguard total stock market Admiral index					Buy (add'l)	08/14/20	J		
15. Vanguard total stock market Admiral index					Buy (add'l)	9/16/20	J		
16. Vanguard total stock market Admiral index					Buy (add'l)	10/16/20	J		
17. Vanguard total stock market Admiral index					Buy (add'l)	11/16/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Vanguard total stock market Admiral index					Buy (add'l)	12/16/20	J		
19. Vanguard Csh Rsrv (formerly Prime Money Market)	A	Dividend	L	T	Buy (add'l)	01/16/20	J		
20. Vanguard Csh Rsrv (formerly Prime Money Market)					Buy (add'l)	02/14/20	J		
21. Vanguard Csh Rsrv (formerly Prime Money Market)					Buy (add'l)	03/16/20	J		
22. Vanguard Csh Rsrv (formerly Prime Money Market)					Buy (add'l)	04/06/20	J		
23. Vanguard Csh Rsrv (formerly Prime Money Market)					Buy (add'l)	05/15/20	J		
24. Vanguard Csh Rsrv (formerly Prime Money Market)					Buy (add'l)	06/16/20	J		
25. Vanguard Csh Rsrv (formerly Prime Money Market)					Buy (add'l)	07/16/20	J		
26. Vanguard Csh Rsrv (formerly Prime Money Market)					Buy (add'l)	08/14/20	J		
27. Vanguard Csh Rsrv (formerly Prime Money Market)					Buy (add'l)	09/16/20	J		
28. Vanguard Csh Rsrv (formerly Prime Money Market)					Buy (add'l)	10/16/20	J		
29. Vanguard Csh Rsrv (formerly Prime Money Market)					Buy (add'l)	11/16/20	J		
30. Vanguard Csh Rsrv (formerly Prime Money Market)					Buy (add'l)	12/16/20	J		
31. Vanguard Wellesley Fund	C	Dividend	M	T	Sold (part)	04/06/20	J		
32. Vanguard Wellesley Fund					Buy (add'l)	01/16/20	J		
33. Vanguard Wellesley Fund					Buy (add'l)	02/14/20	J		
34. Vanguard Wellesley Fund					Buy (add'l)	03/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Vanguard Wellesley Fund					Buy (add'l)	04/16/20	J		
36. Vanguard Wellesley Fund					Buy (add'l)	05/15/20	J		
37. Vanguard Wellesley Fund					Buy (add'l)	06/16/20	J		
38. Vanguard Wellesley Fund					Buy (add'l)	07/16/20	J		
39. Vanguard Wellesley Fund					Buy (add'l)	08/14/20	J		
40. Vanguard Wellesley Fund					Buy (add'l)	09/16/20	J		
41. Vanguard Wellesley Fund					Buy (add'l)	10/16/20	J		
42. Vanguard Wellesley Fund					Buy (add'l)	11/16/20	J		
43. Vanguard Wellesley Fund					Buy (add'l)	12/16/20	J		
44. Vanguard Life Strategy Growth	A	Dividend	J	T	Buy (add'l)	03/06/20	J		
45. Vanguard Life Strategy Growth					Sold (part)	04/06/20	J		
46. VNGRD INTL COR STK INVS	A	Dividend	J	T	Sold (part)	10/03/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The valuation that I marked for Wells Fargo Money Market in 2019 was a mistake. That amount was and still is under \$15,000.00

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Harry T. Edwards**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544