

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                        |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>St. John, Stephen C.                                                                                                                 | <b>2. Court or Organization</b><br><br>U.S. Bankruptcy Court, Eastern District of Virginia                                                                                                                                             | <b>3. Date of Report</b><br><br>06/15/2021                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>United States Bankruptcy Judge                                                | <b>5a. Report Type (check appropriate type)</b><br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final | <b>6. Reporting Period</b><br><br>01/01/2020<br>to<br>12/31/2020 |
|                                                                                                                                                                                                           | <b>5b.</b> <input checked="" type="checkbox"/> Amended Report                                                                                                                                                                          |                                                                  |
| <b>7. Chambers or Office Address</b><br><br>600 Granby Street<br>4th Floor<br>Norfolk, Virginia 23510                                                                                                     |                                                                                                                                                                                                                                        |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                        |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|                       |                                                                         |
|-----------------------|-------------------------------------------------------------------------|
| 1. Planning Committee | Mid-Atlantic Bankruptcy Conference, Virginia Continuing Legal Education |
| 2.                    |                                                                         |
| 3.                    |                                                                         |
| 4.                    |                                                                         |
| 5.                    |                                                                         |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

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Name of Person Reporting

St. John, Stephen C.

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## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

### A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME  
(yours, not spouse's)

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |

## IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

|    |  |  |  |  |
|----|--|--|--|--|
| 1. |  |  |  |  |
| 2. |  |  |  |  |
| 3. |  |  |  |  |
| 4. |  |  |  |  |
| 5. |  |  |  |  |

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## V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

| <u>CREDITOR</u>    | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|--------------------|--------------------|-------------------|
| 1. Bank of America | Credit Card        | J                 |
| 2. Chase Bank      | Credit Card        | J                 |
| 3. SunTrust Bank   | Credit Card        | J                 |
| 4.                 |                    |                   |
| 5.                 |                    |                   |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Wells Fargo Bank, N.A. Cash Account                                                                                     | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. BB&T Bank Trust Department IRA (H)                                                                                      |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 3. -Sterling Capital Cash Account                                                                                          | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. -Sterling Capital Total Return Bond Fund                                                                                | A                                      | Dividend                                      |                                                |                                           | Buy<br>(add'l)                                  | 01/16/20                | J                               |                                |                                                                   |
| 5.                                                                                                                         |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 03/12/20                | J                               | A                              |                                                                   |
| 6.                                                                                                                         |                                        |                                               |                                                |                                           | Sold                                            | 05/28/20                | K                               | B                              |                                                                   |
| 7. -Sterling Capital Equity Income Fund                                                                                    | A                                      | Dividend                                      |                                                |                                           | Buy<br>(add'l)                                  | 01/16/20                | J                               |                                |                                                                   |
| 8.                                                                                                                         |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/12/20                | J                               |                                |                                                                   |
| 9.                                                                                                                         |                                        |                                               |                                                |                                           | Sold                                            | 06/18/20                | K                               | B                              |                                                                   |
| 10. -Harding Loevner International Equity Fund                                                                             |                                        | None                                          |                                                |                                           | Sold<br>(part)                                  | 01/16/20                | J                               | A                              |                                                                   |
| 11.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/12/20                | J                               |                                |                                                                   |
| 12.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 06/18/20                | J                               | B                              |                                                                   |
| 13. -Lazard Emerging Markets Portfolio Fund                                                                                | A                                      | Dividend                                      |                                                |                                           | Buy<br>(add'l)                                  | 01/16/20                | J                               |                                |                                                                   |
| 14.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/12/20                | J                               |                                |                                                                   |
| 15.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 07/21/20                | J                               |                                |                                                                   |
| 16.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 08/24/20                | J                               |                                |                                                                   |
| 17. -Virtus KAR Small Cap Fund                                                                                             |                                        | None                                          |                                                |                                           | Sold<br>(part)                                  | 01/16/20                | J                               | A                              |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

St. John, Stephen C.

Date of Report

06/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/12/20                | J                               |                                |                                                                   |
| 19.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 07/21/20                | J                               | A                              |                                                                   |
| 20.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 08/24/20                | J                               | B                              |                                                                   |
| 21. -Doubleline Total Return Bond Fund                                                                                     | B                                      | Dividend                                      | K                                              | T                                         | Buy<br>(add'l)                                  | 01/16/20                | J                               |                                |                                                                   |
| 22.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 03/12/20                | J                               |                                |                                                                   |
| 23.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 06/18/20                | J                               |                                |                                                                   |
| 24.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 07/21/20                | J                               |                                |                                                                   |
| 25.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 08/24/20                | J                               |                                |                                                                   |
| 26. -Metropolitan West T/R Bd-Pln                                                                                          | A                                      | Dividend                                      |                                                |                                           | Buy<br>(add'l)                                  | 01/16/20                | J                               |                                |                                                                   |
| 27.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 03/12/20                | J                               | A                              |                                                                   |
| 28.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 06/18/20                | K                               | B                              |                                                                   |
| 29. -Neuberger Berman Strategic Income Fund-I                                                                              | A                                      | Dividend                                      |                                                |                                           | Buy<br>(add'l)                                  | 01/16/20                | J                               |                                |                                                                   |
| 30.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 03/12/20                | J                               |                                |                                                                   |
| 31.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 07/21/20                | K                               | A                              |                                                                   |
| 32. -PIMCO Real Return Fund-Inst. Fund                                                                                     | A                                      | Dividend                                      |                                                |                                           | Sold<br>(part)                                  | 01/16/20                | J                               | A                              |                                                                   |
| 33.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 03/12/20                | J                               | A                              |                                                                   |
| 34.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 07/21/20                | J                               | A                              |                                                                   |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

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Name of Person Reporting

St. John, Stephen C.

Date of Report

06/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. -Causeway International Value-Ins                                                                                      |                                        | None                                   |                                                |                                    | Sold<br>(part)                            | 01/16/20         | J                        | A                       |                                                            |
| 36.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/12/20         | J                        |                         |                                                            |
| 37.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 05/29/20         | J                        |                         |                                                            |
| 38. -Natixis Loomis Say Growth Fund                                                                                        | B                                      | Dividend                               | K                                              | T                                  | Sold<br>(part)                            | 01/16/20         | J                        | A                       |                                                            |
| 39.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/12/20         | J                        |                         |                                                            |
| 40.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 06/18/20         | J                        | A                       |                                                            |
| 41.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/21/20         | J                        | A                       |                                                            |
| 42.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 08/24/20         | J                        | A                       |                                                            |
| 43. -Vanguard 500 Index Fund                                                                                               | A                                      | Dividend                               | L                                              | T                                  | Buy<br>(add'l)                            | 01/16/20         | J                        |                         |                                                            |
| 44.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/12/20         | J                        |                         |                                                            |
| 45.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 06/18/20         | J                        | A                       |                                                            |
| 46.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/21/20         | J                        |                         |                                                            |
| 47.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 08/24/20         | J                        | A                       |                                                            |
| 48. -Touchstone Mid Cap Value Fund                                                                                         | A                                      | Dividend                               |                                                |                                    | Sold<br>(part)                            | 01/16/20         | J                        | A                       |                                                            |
| 49.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/12/20         | J                        |                         |                                                            |
| 50.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 06/18/20         | J                        |                         |                                                            |
| 51.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 07/21/20         | J                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

FINANCIAL DISCLOSURE REPORT

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| St. John, Stephen C.     | 06/15/2021     |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. -Vanguard Developed Markets Index Fund                                                                                 | A                                      | Dividend                               |                                                |                                    | Sold<br>(part)                            | 01/16/20         | J                        | A                       |                                                            |
| 53.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/12/20         | J                        |                         |                                                            |
| 54.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 07/21/20         | J                        | A                       |                                                            |
| 55. -PGIM Total Return Bond Fund                                                                                           | A                                      | Dividend                               |                                                |                                    | Buy<br>(add'l)                            | 01/16/20         | J                        |                         |                                                            |
| 56.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 03/12/20         | J                        | A                       |                                                            |
| 57.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 08/24/20         | K                        | B                       |                                                            |
| 58. -Touchstone Mid Cap Growth Fund                                                                                        |                                        | None                                   |                                                |                                    | Sold<br>(part)                            | 01/16/20         | J                        | A                       |                                                            |
| 59.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/12/20         | J                        |                         |                                                            |
| 60.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 05/27/20         | J                        | A                       |                                                            |
| 61. -Federated MDT Small Cap Growth-R6                                                                                     |                                        | None                                   |                                                |                                    | Sold<br>(part)                            | 01/16/20         | J                        | A                       |                                                            |
| 62.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/12/20         | J                        |                         |                                                            |
| 63.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 06/18/20         | J                        | B                       |                                                            |
| 64. -Invesco Oppenheimer Developing Markets<br>Fund                                                                        | A                                      | Dividend                               | K                                              | T                                  | Sold<br>(part)                            | 01/16/20         | J                        | A                       |                                                            |
| 65.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/12/20         | J                        |                         |                                                            |
| 66.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 06/18/20         | J                        | A                       |                                                            |
| 67.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/21/20         | J                        |                         |                                                            |
| 68.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/24/20         | J                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

St. John, Stephen C.

Date of Report

06/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. -Morgan Stanley Global Opportunity Institutional Fund                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Sold (part)                                     | 01/16/20                | J                               | A                              |                                                                   |
| 70.                                                                                                                        |                                        |                                               |                                                |                                           | Buy (add'l)                                     | 03/12/20                | J                               |                                |                                                                   |
| 71.                                                                                                                        |                                        |                                               |                                                |                                           | Sold (part)                                     | 06/18/20                | J                               | A                              |                                                                   |
| 72.                                                                                                                        |                                        |                                               |                                                |                                           | Sold (part)                                     | 07/21/20                | J                               | A                              |                                                                   |
| 73.                                                                                                                        |                                        |                                               |                                                |                                           | Sold (part)                                     | 08/24/20                | J                               | A                              |                                                                   |
| 74. -Fidelity Short Term Treasury Bond Index Fund                                                                          | A                                      | Dividend                                      |                                                |                                           | Sold (part)                                     | 01/16/20                | J                               | A                              |                                                                   |
| 75.                                                                                                                        |                                        |                                               |                                                |                                           | Sold (part)                                     | 03/12/20                | J                               | A                              |                                                                   |
| 76.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 05/28/20                | J                               | A                              |                                                                   |
| 77. -iShares MSCI EAFE Small Cap Index Fund                                                                                | A                                      | Dividend                                      |                                                |                                           | Sold (part)                                     | 01/16/20                | J                               | A                              |                                                                   |
| 78.                                                                                                                        |                                        |                                               |                                                |                                           | Buy (add'l)                                     | 03/12/20                | J                               |                                |                                                                   |
| 79.                                                                                                                        |                                        |                                               |                                                |                                           | Sold (part)                                     | 06/18/20                | J                               | A                              |                                                                   |
| 80.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 07/21/20                | J                               | A                              |                                                                   |
| 81. -Johcm International Select Fund                                                                                       | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 05/29/20                | J                               |                                |                                                                   |
| 82.                                                                                                                        |                                        |                                               |                                                |                                           | Buy (add'l)                                     | 06/18/20                | J                               |                                |                                                                   |
| 83.                                                                                                                        |                                        |                                               |                                                |                                           | Buy (add'l)                                     | 07/21/20                | J                               |                                |                                                                   |
| 84.                                                                                                                        |                                        |                                               |                                                |                                           | Buy (add'l)                                     | 08/24/20                | J                               |                                |                                                                   |
| 85. -Artisan International Value Fund                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/18/20                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 06/18/20         | J                        | A                       |                                                            |
| 87.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/21/20         | J                        |                         |                                                            |
| 88. -Eaton Vance Atlanta SMID-R6                                                                                           | B                                      | Dividend                               | K                                              | T                                  | Buy                                       | 05/27/20         | J                        |                         |                                                            |
| 89.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/18/20         | J                        |                         |                                                            |
| 90.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/21/20         | J                        |                         |                                                            |
| 91.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 08/24/20         | J                        | A                       |                                                            |
| 92. -AAM/BAHL & GAYNOR Income Growth Fund-I                                                                                | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 07/21/20         | J                        |                         |                                                            |
| 93.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/24/20         | J                        |                         |                                                            |
| 94. -iShares Short-Term Corporate Bond ETF                                                                                 | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 07/21/20         | K                        |                         |                                                            |
| 95.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/24/20         | J                        |                         |                                                            |
| 96. -iShares Core US Treasury Bond                                                                                         | A                                      | Dividend                               | L                                              | T                                  | Buy                                       | 05/28/20         | L                        |                         |                                                            |
| 97.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/18/20         | J                        |                         |                                                            |
| 98.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/21/20         | J                        |                         |                                                            |
| 99.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/24/20         | J                        |                         |                                                            |
| 100. -John Hancock Funds III Disciplined Value Fund                                                                        | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 06/18/20         | K                        |                         |                                                            |
| 101.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/21/20         | J                        |                         |                                                            |
| 102.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 08/24/20         | J                        | A                       |                                                            |

1 Income Gain Codes:  
(See Columns B1 and D4)

2 Value Codes  
(See Columns C1 and D3)

3 Value Method Codes  
(See Column C2)

A =\$1,000 or less  
F =\$50,001 - \$100,000  
J =\$15,000 or less  
N =\$250,001 - \$500,000  
P3 =\$25,000,001 - \$50,000,000  
Q =Appraisal  
U =Book Value

B =\$1,001 - \$2,500  
G =\$100,001 - \$1,000,000  
K =\$15,001 - \$50,000  
O =\$500,001 - \$1,000,000  
R =Cost (Real Estate Only)  
V =Other

C =\$2,501 - \$5,000  
H1 =\$1,000,001 - \$5,000,000  
L =\$50,001 - \$100,000  
P1 =\$1,000,001 - \$5,000,000  
P4 =More than \$50,000,000  
S =Assessment  
W =Estimated

D =\$5,001 - \$15,000  
H2 =More than \$5,000,000  
M =\$100,001 - \$250,000  
P2 =\$5,000,001 - \$25,000,000  
T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

St. John, Stephen C.

Date of Report

06/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. -Neuberger Berman High Income Bond Fund                                                                               | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 08/24/20         | J                        |                         |                                                            |
| 104. -Nuveen Small Cap Value-I Fund                                                                                        | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 08/24/20         | J                        |                         |                                                            |
| 105. -PIMCO Investment Grade Credit Bond Fund                                                                              | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 06/18/20         | K                        |                         |                                                            |
| 106.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/21/20         | J                        |                         |                                                            |
| 107.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/24/20         | J                        |                         |                                                            |
| 108. -T Rowe Price QM US S/C GR EQ-I Fund                                                                                  | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/18/20         | J                        |                         |                                                            |
| 109.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/21/20         | J                        | A                       |                                                            |
| 110.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/24/20         | J                        |                         |                                                            |
| 111. -Vanguard Mortgage Backed Securities Index Shares                                                                     | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 08/24/20         | K                        |                         |                                                            |
| 112. Truist Bank (formerly BB&T Bank) Cash Account                                                                         | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 113. Wells Fargo Advisors Cash Account                                                                                     | A                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 114. TD Ameritrade IRA (H)                                                                                                 |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 115. -T. Rowe Price Spectrum Mod. Growth Allocation Mutual Fd. (see note)                                                  | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 116. -Cash Sweep Account                                                                                                   | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 117. Wells Fargo Advisors Brokerage Account (H)                                                                            |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 118. -American Electric Power Inc. common stock                                                                            | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 119. -Wells Fargo Advisors Cash Account                                                                                    | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

St. John, Stephen C.

Date of Report

06/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. -FirstEnergy Corporation common stock                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 121. -Exxon Mobil Corporation common stock                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 122. -Dominion Resources Incorporated common stock                                                                         | D                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 123. -Ameren Corporation common stock                                                                                      | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 124. -Entergy Corporation common stock                                                                                     | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 125. -Avangrid common stock                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 126. -Enbridge Inc. common stock                                                                                           | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 127. -Exelon Corporation common stock                                                                                      | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 128. -Federated Hermes High Income Bond Fund (see note)                                                                    | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 129. -Duke Energy Corporation common stock                                                                                 | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 130. -The Southern Company common stock                                                                                    | C                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 131. Massachusetts Mutual Life Insurance Co. (Whole Life Policy)                                                           | D                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 132. Truist Bank (formerly BB&T) Cash Account                                                                              | A                                      | Interest                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 133. Truist Bank (formerly BB&T Bank) Cash Account                                                                         | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 134. USAA Cash Account                                                                                                     | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

St. John, Stephen C.

Date of Report

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Notes for Part VII. Investments and Trusts

Line 115: The T. Rowe Price Spectrum Moderate Growth Allocation Fund was formerly known as Personal Strategy Growth Mutual Fund. The name of the fund changed effective January 1, 2020.

Line 128: The Federated Hermes High Income Bond Fund was formerly known as the Federal High Income Bond Fund. The name of the fund changed effective April 28, 2020.

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

St. John, Stephen C.

Date of Report

06/15/2021

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Stephen C. St. John**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544