

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CHESNEY, MAXINE M.	2. Court or Organization U.S. DISTRICT CT./NO. DIST. CA	3. Date of Report 03/31/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address U.S. District Court 450 Golden Gate Avenue San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 02/26/93	With SF City & Cty Employees' Retirement System for pension payable monthly until death, based on former employment with SF Dist.Atty.
2. 10/29/05	With State of California Judges' Retirement System for retirement benefits payable monthly until death, based on former employment as SF Superior Court Judge
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

CHESNEY, MAXINE M.

Date of Report

03/31/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	San Francisco City & County Employees' Retirement System - Pension	\$16,824.00
2. 2020	California Judges' Retirement System - Pension	\$129,057.00
3. 2020	California Judges' Retirement System - Survivor Benefit	\$69,870.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

CHESNEY, MAXINE M.

Date of Report

03/31/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

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03/31/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mutual Fund - Columbia CA Tax - Exempt	C	Interest	M	T					
2. Bond - Calipatria CA Redev Agy	A	Interest			Redeemed	09/01/20	J	A	
3. Bond - Sequoia CA Hospital District	A	Interest	J	T					
4. Bank Accts. - Wells Fargo	C	Interest	P1	T					
5. Common Stock - Cisco Systems	B	Dividend	L	T					
6. IRA - Raymond James (H)									
7. -Common Stock - Berkshire Hathaway Hldg Co. CL B		None	K	T					
8. -Common Stock - Alphabet Inc.		None	J	T					
9. -Common Stock - Merck & Co.	A	Dividend	J	T					
10. -Mutual Fund - FPA New Income	A	Dividend	J	T	Sold (part)	07/07/20	J		
11. -Common Stock - Apple Inc.	A	Dividend	J	T	Sold (part)	01/31/20	J	B	
12. -Bond Fund - T. Rowe Price Short Term		None			Sold	01/31/20	J		
13. -Common Stock - Verizon	A	Dividend	J	T					
14. -Common Stock - Bristol-Meyers Squibb (formerly Celgene Corp.)	A	Dividend			Sold	07/07/20	J		
15. -Money Mkt Fund - Fimm Treasury Only	A	Dividend			Sold	07/07/20	J		
16. -Money Mkt Fund - Raymond James Bank Dep.	A	Interest	J	T					
17. -Common Stock - Walt Disney Co.		None	J	T	Buy	01/31/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -Corp. Bond - IShares	A	Interest	J	T	Buy	07/07/20	J		
19. Bond - Cal. Edl. Facs. Rev.	A	Interest			Redeemed	11/02/20	J		
20. Bond - Pomona Cal. Redev. Agy Tax Alloc.	A	Interest	J	T					
21. Common Stock - Microsoft	C	Dividend	N	T					
22. Bond - CA Edl Facs	A	Interest	J	T					
23. Common Stock - American Express	A	Dividend	K	T					
24. Common Stock - Berkshire Hathaway CL A		None	N	T					
25. Common Stock - Berkshire Hathaway CL B		None	N	T					
26. Common Stock - Farmers & Merchants Bank Long Beach	A	Dividend	K	T					
27. Common Stock - Oracle Corp.	A	Dividend	L	T					
28. Bond - Hercules CA Imp't Bd	A	Interest			Redeemed	03/20/20	J		
29. Bond - Holtville CA Redev Agy	A	Interest	K	T					
30. Bond - Riverbank CA Redev Agy	A	Interest	J	T	Redeemed (part)	01/31/20	J		
31.					Redeemed (part)	07/01/20	J		
32. Bond - Long Beach CA Fin. Auth.	A	Interest	K	T					
33. Bond - CA Stwde Cmnty Rev	A	Interest	J	T	Redeemed (part)	08/03/20	J		
34. Bond - Daly City CA Hsg Dev	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 13

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

35. Bond - CA Stwde Comntys Dev Auth	A	Interest	K	T	Redeemed (part)	07/24/20	K	A	
36. Common Stock - Alphabet Inc.		None	N	T					
37. Bond - Lake Elsinore CA Pub Fin'g	A	Interest			Redeemed	09/01/20	J	A	
38. Common Stock - Clorox	A	Dividend	K	T					
39. Common Stock - Merck & Co.	B	Dividend	K	T					
40. Bank Accts. - Provident Credit Union	D	Interest	N	T					
41. Mutual Fund - FPA New Incom	D	Interest	N	T					
42. Bond - Chula Vista CA Elem Sch Dist	A	Interest	J	T					
43. Common Stock - Apple Inc.	C	Dividend	N	T					
44. Bond Fund - T. Rowe Price		None			Sold	01/08/20	J		
45. Corp. Bond - Hewlett-Packard	B	Interest	L	T					
46. Corp. Bond - CSX Corp.	B	Interest	L	T					
47. Corp. Bond - Internat'l Paper		None			Redeemed	01/27/20	K		
48. Common Stock - Phillips 66	A	Dividend	K	T					
49. Money Mkt Acct. - Hilltop Securities	A	Interest	L	T					
50. Corp. Bond - Bank of America	A	Interest			Redeemed	08/17/20	J		
51. Corp. Bond - Bank of America	A	Interest			Redeemed	06/15/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 13

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52. Bond - Rowland CA Uni Sch. Dist.	A	Interest	J	T					
53. Bond - CA St. Dept. of Vets Affairs Home Purch. Rev. A	A	Interest	J	T					
54. Bond - San Gorgonio Mem. Healthcare	A	Interest			Redeemed	09/21/20	K	A	
55. Bond - Chula Vista CA Partn	A	Interest	J	T					
56. Common Stock - Gilead Sciences	B	Dividend	L	T					
57. Common Stock - Verizon	B	Dividend	L	T					
58. Common Stock - Walt Disney Co.	A	Dividend	M	T					
59. Common Stock - Abbvie, Inc.	B	Dividend	L	T					
60. Bond - CA Stwide Comnty Dev Auth	A	Interest	J	T					
61. Bond - Mt. San Jacinto CA Cmnty G.O.	A	Interest	J	T					
62. Bond - Rio CA Elem Sch Dist G.O.	A	Interest	J	T					
63. Bond - Bakersfield CA City Sch Dist	A	Interest	K	T					
64. Bond - Merced CA Sch Dist G.O.	A	Interest	J	T					
65. Common Stock - Kinder Morgan Inc	C	Dividend	K	T					
66. REIT - Iron Mtn Inc	B	Dividend	K	T					
67. Common Stock - Facebook, Inc.		None	L	T					
68. Common Stock - Bristol-Meyers Squibb	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 13

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69. Common Stock - At&T, Inc.	C	Dividend	K	T	Buy (add'l)	10/15/20	J		
70. Corp. Bond - Advance Auto Parts	B	Interest	L	T					
71. Corp. Bond - Corning, Inc.	B	Interest	L	T					
72. Corp. Bond - Arrow Electronics, Inc.	C	Interest	L	T					
73. Corp. Bond - Autozone, Inc.	B	Interest			Redeemed	08/17/20	L		
74. Bond - Rd 17 CA Levee Area Pub. Fin.	A	Interest	J	T					
75. Bond - Amador Water Agy CA Water Rev.	A	Interest	K	T					
76. Bond - Stockton CA Redev. Agy Successor	A	Interest	J	T					
77. Bond - Washington TWP CA Health G.O. Ref.	A	Interest	K	T					
78. Bond - W. Contra Costa CA Unif. Sch. Dist	A	Interest	J	T					
79. Common Stock - FedEx Corp.	A	Dividend	K	T					
80. Corp. Bond - Avnet, Inc.	B	Interest	K	T					
81. Corp. Bond - Abbott Labs	B	Interest	K	T					
82. Corp. Bond - Markel Corp.	A	Interest	K	T					
83. Corp. Bond - O'Reilly Automotive	B	Interest	K	T	Redeemed (part)	10/14/20	J		
84. Corp. Bond - KLA-Tencor Corp.	A	Interest			Redeemed	03/02/20	K	A	
85. Bond - N. Cal. Transmission AG	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 13

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86. Bond - Santa Clara CA Unif. Sch. Dist.	A	Interest	K	T					
87. Bank Accts. - Union Bank	D	Interest	O	T					
88. Corp. Bond - Amgen, Inc.	B	Interest			Redeemed	12/16/20	L	B	
89. Corp. Bond - Analog Devices	B	Interest	K	T	Redeemed (part)	03/12/20	L	A	
90. Corp. Bond - Church & Dwight	A	Interest	K	T					
91. Common Stock - Pfizer, Inc.	B	Dividend	K	T					
92. Common Stock - Covanta Hldg Corp.	A	Dividend	K	T					
93. Corp. Bond - American Elec. Power, Inc.	A	Interest			Redeemed	11/13/20	K		
94. Corp. Bond - CVS Caremark Corp.	B	Interest	K	T					
95. Corp. Bond - Dominion Energy, Inc.	A	Interest			Redeemed	07/01/20	K		
96. Corp. Bond - General Mills	B	Interest	K	T					
97. Corp. Bond - Wells Fargo	C	Interest	L	T					
98. Corp. Bond - Kellog Co.	B	Interest			Redeemed	12/15/20	K		
99. Bond - Charter Oak Ca Unified Sch. Dist	A	Interest	K	T					
100. Bond - Stockton Ca Unified Sch. Dist.	A	Interest	J	T					
101. Bond - Jefferson Ca Union High Sch. Dist.	A	Interest	J	T					
102. Bond - Imperial CA Comm. College Dist.	A	Interest	J	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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FINANCIAL DISCLOSURE REPORT

Page 10 of 13

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103. Bond - Lompoc CA Water Rev.	A	Interest	J	T					
104. Bond - Rio Vista CA Pub. Fin. Auth.	A	Interest	K	T					
105. Bond - Alhambra CA Insd Rev.	A	Interest	J	T					
106. Bond - Elk Grove CA Fin. Auth.	A	Interest	J	T					
107. Bond - Rincon Vy CA Unif. Sch. Dist.	A	Interest	J	T					
108. Bond - Dixie CA Sch. Dist.	A	Interest	J	T					
109. Bond - W. Hollywood CA Pub. Fing. Auth.	A	Interest	J	T					
110. Bond - Centinella Valley CA Union Sch. Dist.	A	Interest	J	T					
111. Bond - Callexico CA Unif. Sch. Dist.	A	Interest	J	T					
112. Bond - Ripon CA Unif. Sch. Dist.	A	Interest	K	T					
113. Bond - Indep. Cities CA Fing. Auth.	A	Interest	K	T					
114. Bond - CA Infrastructure & Econ. Dev.	A	Interest	K	T					
115. Money Mkt Fund - Fimm Treasury Only	A	Dividend	K	T					
116. Corp. Bond - Dollar Tree	D	Interest	M	T	Buy (add'l)	01/22/20	L		
117. Corp. Bond - Verizon	A	Interest	K	T					
118. Corp. Bond - American Express	B	Interest	K	T	Redeemed (part)	09/29/20	L	A	
119. Corp. Bond - Kohls	B	Interest			Sold	05/11/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

CHESNEY, MAXINE M.

Date of Report

03/31/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Money Mkt Acct - Raymond James Bank	A	Interest	N	T					
121. Corp. Bond - Constellation Brands	B	Interest	L	T					
122. Corp. Bond - EBay Inc.	B	Interest	K	T					
123. Corp. Bond - American Tower	B	Interest	L	T					
124. Corp. Bond - Comerica	B	Interest	L	T					
125. Corp. Bond - Kansas City Southern	B	Interest	K	T					
126. Bank Acct. - Charles Schwab	A	Interest	K	T					
127. Bank Acct. - First Republic	C	Interest	N	T	Open	02/07/20	M		
128. Bond - Fresno CA Unif. Sch. Dist.		None	K	T	Buy	09/29/20	K		
129. Bond - Walnut Creek CA Sch. Dist.		None	J	T	Buy	10/20/20	J		
130. Corp. Bond - Goldman Sachs Corp.	D	Interest	M	T	Buy	01/22/20	M		
131. Common Stock - Viatris Inc.		None	J	T	Spinoff (from line 91)	11/16/20	J		
132. Corp. Bond - Fed Ex Corp.	B	Interest	M	T	Buy	07/07/20	M		
133. Corp. Bond - AT&T Inc.	B	Interest	L	T	Buy	07/07/20	M		
134. Common Stock - Heron Therapeutics		None	J	T	Buy	06/04/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

CHESNEY, MAXINE M.

Date of Report

03/31/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I./Part VII: All Family Trust #1 assets are included in Part VII.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

CHESNEY, MAXINE M.

Date of Report

03/31/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ MAXINE M. CHESNEY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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