

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Roby, Karen W.	2. Court or Organization Eastern District of Louisiana	3. Date of Report 05/16/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Fulltime	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 500 Poydras Street B437 New Orleans, Louisiana 70131		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member	Robert C. Blakes Sr. Scholarship Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Self- Employed Attorney
2. 2020	Clerk of Court Orleans Parish
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Liberty Bank & Trust	A	Int./Div.	J	T					
2. Vanguard Inflation Protected Securities	A	Int./Div.	J	T					
3. Louisiana Start 529 Plan-Principle Protection Pln	A	Dividend	J	T					
4. Fidelity Cash Reserves	A	Int./Div.	J	T					
5. Microsoft Corp	A	Dividend	J	T					
6. ETRADE Money Market	B	Dividend	J	T					
7. SPDR TRUST GOLD	A	Dividend	J	T					
8. Amazon	A	Dividend	J	T					
9. AIG	A	Dividend	J	T					
10. Wells Fargo	A	Dividend			Sold	02/12/20	J	B	MONEY MARKET
11. Berkshire Hathaway Inc.	A	Dividend	J	T					
12. The Walt Disney Co.	A	Dividend			Sold	05/11/20	J	A	MONEY MARKET
13. Facebook	A	Dividend	J	T					
14. FedexCorp	A	Dividend	J	T					
15. Fidelity Contrafund	A	Dividend	J	T					
16. Netflix	A	Int./Div.	J	T	Sold (part)	04/20/20	J	E	MONEY MARKET
17. Sector SPDR TR SHS Ben Inc. Consumer	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. APPL	A	Dividend	J	T					
19. Bxter Int'l Inc.	A	Int./Div.	J	T					
20. Bristol-Myers- Squibb	A	Dividend	J	T					
21. Planet Fitness Inc.	A	Dividend			Sold	05/20/20	J	A	MONEY MARKET
22. Roper Technologies	B	Dividend	J	T					
23. GW Pharmecutic	A	Dividend			Sold	01/08/20	J	A	MONEY MARKET
24. CHARLES SCHAWB SWEEP	A	Int./Div.	J	T					
25. Walt Disney Co	A	Int./Div.	J	T	Sold	05/11/20	J	A	MONEY MARKET
26. CAPITAL ONE	A	Int./Div.			Sold	05/12/20	J	A	MONEY MARKET
27. Delta	A	Dividend			Sold	06/04/20	J	A	MONEY MARKET
28. Intuit	A	Dividend	J	T					
29. Zoom Video Communications	A	Int./Div.	J	T	Buy	04/20/20	J		MONEYMARKET
30.					Buy (add'l)	07/13/20	J	C	MONEY MARKET
31. Shopify Inc.	A	Dividend	J	T	Buy	04/23/20	J		MONEY MARKET
32. Dollar General Corp.	A	Int./Div.	J	T	Buy	06/16/20	J		MONEY MARKET
33. Abbott Laboratories	A	Int./Div.	J	T	Buy	04/14/20	J		MONEY MARKET
34. Salesforce.com Inc.	A	Int./Div.	J	T	Buy	05/15/20	J		MONEY MARKET

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	07/13/20	J		MONEY MARKET
36. MODERNA	A	Int./Div.	J	T	Buy	05/28/20	J		MONEY MARKET
37.					Sold	05/29/20	J	A	MONEY MARKET
38. DOCUSIGN INC.		Dividend			Sold	07/07/20	J	A	MONEY MARKET
39. Crowstrike Hldgs Inc. CLA	A	Dividend	J	T	Buy	07/15/20	J		MONEY MARKET
40. ADOBE INC.COM	A	Dividend	J	T	Buy	07/14/20	J		MONEY MARKET
41.					Buy (add'l)	07/16/20	J		MONEY MARKET
42. Thermo Fisher Scientific	A	Dividend	J	T	Buy	07/16/20	J		MONEY MARKET
43. BYND	A	Dividend	J	T	Buy	07/09/20	J		MONEY MARKET
44. Paypal	A	Dividend	J	T	Buy	07/04/20	J		MONEY MARKET
45. Clorox	A	Int./Div.	J	T	Buy	08/11/20	J		MONEY MARKET
46. FLIR	A	Dividend	J	T	Buy	05/12/20	J		MONEY MARKET
47. Kimberly Clark Corp	A	Dividend	J	T	Buy	05/27/20	J		MONEY MARKET

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen W. Roby**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544