

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vilardo, Lawrence J.	2. Court or Organization United States District Court Western District of New York	3. Date of Report 05/17/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Robert H. Jackson Courthouse 2 Niagara Square Buffalo, NY 14202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Desmond Law Society of Canisius College
2. Co-Trustee	Family Trust (no reportable assets)
3. President	FWGA Corporation
4. Member	Canisius High School President's Advisory Council
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mass Mutual Financial Group - Universal Life Insurance	E	Int./Div.	N	T					
2. John Hancock Whole Life		None			Sold	10/27/20	K	A	
3. M&T Bank Account	A	Interest	L	T					
4. RBC Bank Account	A	Interest	K	T					
5. TD Ameritrade FDIC Insured Deposit Money Market Account	A	Interest	J	T					
6. Schwab US Large Cap (aka Schwab US Large Cap)	A	Dividend	J	T					
7.					Sold (part)	01/24/20	J	A	
8.					Sold	06/08/20	J	A	
9.					Spinoff (from line 62)	12/03/20	J		
10. Ishares JPM USD Emerg Mkt	A	Dividend	J	T					
11.					Sold	06/08/20	J	A	
12.					Open	12/03/20	J		
13. Ishares US Preferred Stock	A	Dividend			Sold	06/08/20	J		
14. Vanguard FTSE Dev Mkts	A	Dividend	J	T					
15.					Sold	02/24/20	J	A	
16.					Spinoff (from line 61)	12/03/20	J		
17. Vanguard FTSE Emerg Mkt (Vanguard SMG Mkts)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold	02/24/20	J	A	
19.					Spinoff (from line 65)	12/03/20	J		
20. Vanguard REIT	A	Dividend			Sold	06/08/20	J	A	
21. Vanguard US Mid Cap (ala Vanguard CRSP US Mid Cap)	A	Dividend	J	T					
22.					Sold	02/24/20	J	A	
23.					Spinoff (from line 68)	12/03/20	J		
24. Vanguard Small Cap (aka Vanguard US Small Cap)	A	Dividend	J	T					
25.					Sold	02/24/20	J		
26.					Spinoff (from line 63)	12/03/20	J		
27. Ishares NY Muni	A	Interest			Sold	06/08/20	J	A	
28. Ishares Trust Core Total USD	A	Dividend			Sold	06/08/20	J	A	
29. Vanguard Inter Term Corp	A	Dividend	K	T	Buy	08/20/20	K		
30.					Sold (part)	03/24/20	J	A	
31.					Sold	06/08/20	J	A	
32.					Spinoff (from line 67)	12/03/20	J		
33. Ishares Gold Trust	A	Dividend			Sold	06/10/20	J	A	
34.			J	T	Buy	12/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Vilardo, Lawrence J.	05/17/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Vaneck Vectors Morningstar Wide	A	Dividend	J	T	Spinoff (from line 63)	12/03/20	J		
36. Vanguard Bond Market	A	Dividend	J	T	Spinoff (from line 64)	12/03/20	J		
37. Ishares JPM USD Emer Mkt Bond	A	Interest	L	T					
38. Schwab Strategic US Large Cap (aka Schwab US Large Cap)	A	Dividend	O	T					
39.					Sold (part)	08/24/20	J	A	
40.					Sold (part)	12/04/20	K	A	
41.					Sold (part)	12/10/20	J	A	
42. Vanguard FTSE Developed Mkts	A	Dividend	M	T					
43. Vanguard S/T Inflation Protected	A	Dividend	L	T					
44. Vanguard S/T Corp Bond	A	Interest	L	T					
45. Vanguard Mortgage Backed Sec	A	Dividend	L	T					
46. Vanguard REIT	A	Dividend	K	T					
47. Vanguard US Mid Cap (aka Vanguard CSRP US Mid Cap)	A	Dividend	M	T					
48. Vanguard Small Cap	A	Dividend	M	T					
49. Ishares IBOXX Investment Grade Bonds	A	Interest	M	T					
50. Ishares PFD & Inc Sec	A	Dividend	K	T					
51. Ishares High Yield	A	Interest			Sold	05/06/20	K	A	

1 Income Gain Codes: A =\$1,000 or less B =\$1,001 - \$2,500 C =\$2,501 - \$5,000 D =\$5,001 - \$15,000 E =\$15,001 - \$50,000
(See Columns B1 and D4) F =\$50,001 - \$100,000 G =\$100,001 - \$1,000,000 H1 =\$1,000,001 - \$5,000,000 H2 =More than \$5,000,000
2 Value Codes J =\$15,000 or less K =\$15,001 - \$50,000 L =\$50,001 - \$100,000 M =\$100,001 - \$250,000
(See Columns C1 and D3) N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P1 =\$1,000,001 - \$5,000,000 P2 =\$5,000,001 - \$25,000,000
P3 =\$25,000,001 - \$50,000,000 P4 =More than \$50,000,000
3 Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
(See Column C2) U =Book Value V =Other W =Estimated

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Vanguard FTSE Emerging Markets (aka Vanguard Emerging Markets)	A	Dividend	L	T					
53. Ishares Trust Core Total USD	A	Dividend	L	T					
54. Ishares Gold Trust	A	Dividend	K	T	Buy	05/08/20	K		
55.					Buy	08/24/20	J		
56. Vanguard ESG US Stk	A	Dividend	L	T	Spinoff (from line 60)	11/23/20	K		
57. First Trust Large Cap Alphadex	A	Dividend			Sold	05/06/20	K	A	
58. First Trust Mid Cap Core Alphadex	A	Dividend			Sold	05/06/20	K	A	
59. Vanguard ESG US Stk	A	Dividend			Buy	05/06/20	K		
60.					Closed	11/23/20	K		
61. IShares TR JP Morgan USD EMG	A	Dividend			Closed	12/03/20	J		
62. Schwab Strategic TR US Large Cap	A	Dividend			Closed	12/03/20	J		
63. Vaneck Vectors TR Morning Star Wide	A	Dividend			Closed	12/03/20	J		
64. Vanguard Total Bond MKT	A	Interest			Closed	12/03/20	J		
65. Vanguard FTSE DEV MKT	A	Dividend			Closed	12/03/20	J		
66. Vanguard FTSE EMR MKT	A	Dividend			Closed	12/03/20	J		
67. Vanguard Int - Term Corp	A	Dividend			Closed	12/03/20	J		
68. Vanguard Mid Cap	A	Dividend			Closed	12/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Vanguard Small Cap	A	Dividend			Closed	12/03/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Positions.

Linie 2. Co-Trustee - Family Trust (No Reportable Assets).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lawrence J. Vilardo**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544