

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Aron, Catharine R.	2. Court or Organization U S Bankruptcy Court MDNC	3. Date of Report 05/05/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address U. S. Bankruptcy Court P. O. Box 448 Greensboro, NC 27402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Valic-Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Aron, Catharine R.	05/05/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Aron, Catharine R.

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05/05/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1.	First National Bank Bank money market	A	Interest	K	T				
2.									
3.	AIG-Valic Aim Intern.ADR Growth Fund								
4.	BTI	A	Dividend	J	T	Sold (part)	04/03/20	J	
5.	CNI	A	Dividend	J	T	Buy (add'l)	06/19/20	J	
6.	CMPGY	A	Dividend			Sold	03/16/20	J	B
7.	FANUY		None	J	T	Buy (add'l)	03/12/20	J	
8.						Sold (part)	11/17/20	J	A
9.	FMX	A	Dividend			Sold (part)	04/29/20	J	
10.						Sold	07/23/20	J	
11.	JBAXY		None			Sold (part)	01/17/20	J	A
12.						Sold	04/03/20	J	
13.	NVS	A	Dividend	J	T	Sold (part)	10/06/20	J	A
14.						Sold (part)	11/04/20	J	A
15.	RELX	A	Int./Div.			Sold (part)	05/27/20	J	A
16.						Sold (part)	08/03/20	J	A
17.						Sold (part)	10/14/20	J	A

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold	11/23/20	J	A	
19. SAP	A	Dividend			Sold (part)	04/02/20	J	A	
20.					Sold (part)	04/15/20	J	A	
21.					Sold (part)	04/28/20	J	A	
22.					Sold (part)	11/04/20	J	A	
23.					Sold (part)	11/16/20	J		
24.					Sold	12/16/20	J		
25. TSM	A	Dividend	J	T	Sold (part)	01/27/20	J	A	
26.					Sold (part)	09/11/20	J	A	
27.					Sold (part)	11/19/20	J	B	
28.					Sold (part)	12/07/20	J	A	
29. AMADY	A	Dividend			Sold (part)	04/30/20	J	A	
30.					Sold	08/12/20	J	A	
31. GIB	A	Dividend	J	T	Sold (part)	02/04/20	J	A	
32.					Buy (add'l)	08/10/20	J		
33.					Buy (add'l)	09/14/20	J		
34. SU	A	Dividend			Sold	10/14/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. SBGSY		None	J	T	Buy (add'l)	04/01/20	J		
36. AVGO	A	Dividend	J	T	Buy (add'l)	03/05/20	J		
37. AZSEY		None			Sold (part)	10/06/20	J	A	
38.					Sold	10/22/20	J	A	
39. AMCRY		None	J	T	Sold (part)	10/30/20	J	A	
40. CABGY	A	Int./Div.	J	T	Buy (add'l)	04/14/20	J		
41.					Sold (part)	10/14/20	J	A	
42. PPERY	A	Int./Div.			Sold (part)	04/03/20	J		
43.					Sold	05/22/20	J		
44. UOVEY	A	Dividend	J	T	Sold (part)	07/17/20	J		
45. CFRUY		None			Sold (part)	01/29/20	J	A	
46.					Sold	02/06/20	J		
47. WTKWY		None	J	T	Sold (part)	03/16/20	J	A	
48. CSLLY		None	J	T	Sold (part)	02/06/20	J	A	
49. PDRDY		None	J	T	Sold (part)	03/31/20	J	A	
50.					Sold (part)	04/02/20	J		
51.					Sold (part)	05/04/20	J	A	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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52. IFJPY		None			Sold	04/14/20	J		
53. BBD	A	Int./Div.	J	T					
54. DBOEY	A	Int./Div.	J	T	Buy (add'l)	11/12/20	J		
55. ESLOY	A	Int./Div.			Sold (part)	06/23/20	J	A	
56.					Sold	09/25/20	J	A	
57. ING	A	Int./Div.			Sold (part)	07/07/20	J		
58.					Sold	07/23/20	J		
59. LVS	A	Int./Div.			Sold (part)	02/25/20	J		
60.					Sold	05/26/20	J		
61. MDIBY	A	Int./Div.			Sold (part)	03/16/20	J		
62.					Sold (part)	04/03/20	J		
63.					Sold	07/23/20	J		
64. MDLZ	A	Int./Div.	J	T					
65. OTEX	A	Int./Div.	J	T	Sold (part)	04/03/20	J	A	
66.					Sold (part)	10/30/20	J	A	
67. PDYPY	A	Int./Div.	J	T	Buy (add'l)	07/15/20	J		
68.					Sold (part)	12/14/20	J	A	

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3 Value Method Codes (See Column C2)					

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69. RBGLY		None			Sold	04/03/20	J		
70. VCISY		None			Sold	04/16/20	J		
71. BABA		None	J	T					
72. CRTO		None	J	T					
73. HOCPY	A	Int./Div.	J	T	Sold (part)	01/29/20	J	A	
74.					Sold (part)	09/14/20	J	A	
75.					Sold (part)	11/03/20	J	A	
76. KAOOY		None			Sold (part)	06/09/20	J	A	
77.					Sold (part)	06/16/20	J	A	
78.					Sold	12/22/20	J		
79. NTR	A	Int./Div.			Sold	02/11/20	J		
80. PM	A	Int./Div.	J	T	Sold (part)	04/03/20	J		
81.					Sold (part)	07/23/20	J		
82.					Buy (add'l)	12/22/20	J		
83. FTI	A	Int./Div.			Sold	04/03/20	J		
84. VIVHY	A	Int./Div.			Sold	04/03/20	J		
85. YUMC	A	Int./Div.	J	T	Sold (part)	01/30/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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86. AAGIY	A	Int./Div.	J	T	Buy (add'l)	07/15/20	J		
87. ALC		None	J	T	Buy (add'l)	04/01/20	J		
88.					Sold (part)	05/15/20	J	A	
89.					Sold (part)	11/18/20	J	A	
90. ABEV	A	Int./Div.			Sold	02/21/20	J		
91. BDRFY	A	Int./Div.	J	T	Buy (add'l)	03/12/20	J		
92.					Sold (part)	11/03/20	J		
93. PAC	A	Int./Div.			Sold	04/01/20	J		
94. ICLR		None	J	T	Buy (add'l)	02/25/20	J		
95. KOTMY		None	J	T					
96. KMTUY	A	Int./Div.	J	T	Buy (add'l)	03/12/20	J		
97. KHNGY	A	Int./Div.	J	T	Sold (part)	04/15/20	J		
98.					Sold (part)	11/03/20	J	A	
99. EDU		None	J	T	Buy (add'l)	04/15/20	J		
100.					Sold (part)	06/26/20	J	A	
101. SMCAY	A	Int./Div.	J	T	Sold (part)	04/21/20	J	A	
102.					Sold (part)	09/15/20	J	A	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
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O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
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D =\$5,001 - \$15,000
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103.					Sold (part)	12/10/20	J	A	
104. BKNG		None	J	T					
105. xASML	A	Int./Div.	J	T	Buy	04/01/20	J		
106. xCIADY		None	J	T	Buy	04/01/20	J		
107.					Buy (add'l)	06/15/20	J		
108. xPAC	A	Int./Div.	J	T	Buy	04/01/20	J		
109. xHDB	A	Int./Div.	J	T	Buy	04/01/20	J		
110.					Buy (add'l)	08/04/20	J		
111.					Buy (add'l)	09/14/20	J		
112. xHEINY	A	Int./Div.	J	T	Buy	10/09/20	J		
113. xJD		None	J	T	Buy	04/01/20	J		
114.					Buy (add'l)	10/28/20	J		
115.					Buy (add'l)	11/17/20	J		
116.					Buy (add'l)	12/03/20	J		
117. xKNRRY	A	Int./Div.	J	T	Buy	02/04/20	J		
118.					Buy (add'l)	11/12/20	J		
119. xLIN	A	Int./Div.	J	T	Buy	04/01/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	05/12/20	J		
121. xLOGI	A	Int./Div.	J	T	Buy	04/01/20	J		
122. xLVMUY	A	Int./Div.	J	T	Buy	04/01/20	J		
123.					Buy (add'l)	10/20/20	J		
124. xNSRGY	A	Int./Div.	J	T	Buy	02/19/20	J		
125.					Buy (add'l)	03/05/20	J		
126. xNJDCY	A	Int./Div.	J	T	Buy	04/16/20	J		
127.					Sold (part)	08/03/20	J	A	
128.					Sold (part)	09/15/20	J	A	
129. xNVO	A	Int./Div.	J	T	Buy	04/01/20	J		
130.					Buy (add'l)	07/20/20	J		
131. xOCPNY	A	Int./Div.	J	T	Buy	09/16/20	J		
132.					Buy (add'l)	11/17/20	J		
133.					Buy (add'l)	12/18/20	J		
134.					Buy (add'l)	12/22/20	J		
135. xPROSY	A	Int./Div.	J	T	Buy	02/04/20	J		
136.					Buy (add'l)	03/05/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	05/20/20	J		
138. xRHHBY	A	Int./Div.	J	T	Buy	03/27/20	J		
139.					Buy (add'l)	06/08/20	J		
140.					Buy (add'l)	07/24/20	J		
141.					Buy (add'l)	12/22/20	J		
142. xSCHYY	A	Int./Div.	J	T	Buy	05/13/20	J		
143.					Buy (add'l)	07/15/20	J		
144.					Buy (add'l)	09/14/20	J		
145. xSDVKY		None	J	T	Buy	07/20/20	J		
146.					Buy (add'l)	10/02/20	J		
147.					Buy (add'l)	10/22/20	J		
148.					Buy (add'l)	12/16/20	J		
149. xSNY	A	Int./Div.	J	T	Buy	10/06/20	J		
150. xSNE	A	Int./Div.	J	T	Buy	05/12/20	J		
151.					Buy (add'l)	10/12/20	J		
152.					Buy (add'l)	10/30/20	J		
153.					Buy (add'l)	12/18/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. xTCEHY	A	Int./Div.	J	T	Buy	01/31/20	J		
155.					Buy (add'l)	12/03/20	J		
156. xWMMVY	A	Int./Div.	J	T	Buy	01/04/20	J		
157.					Buy (add'l)	04/09/20	J		
158.					Buy (add'l)	07/24/20	J		
159.					Buy (add'l)	09/10/20	J		
160.					Buy (add'l)	09/14/20	J		
161. SSGAMoney Market Fund	A	Int./Div.	J	T					
162.									
163. AIG- Valic money market account	A	Interest	J	T					
164.									
165. AIG/Valic Select IRA 1 Transamerica Large Growth									
166. AAPL	A	Dividend	L	T					
167. V	A	Dividend	K	T					
168. DIS	A	Dividend			Sold	08/04/20	J	D	
169. GOOG		None	J	T					
170. A	A	Int./Div.	K	T					

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. LOW	A	Dividend	J	T					
172. ATVI	A	Int./Div.	J	T					
173. AMGN	A	Int./Div.	J	T					
174. BLK	A	Int./Div.	J	T					
175. MSFT	A	Int./Div.	K	T					
176. UTX	A	Int./Div.			Sold	05/08/20	J	A	
177. FB		None	K	T					
178. NVDA	A	Dividend	K	T					
179. NXPI		None	J	T					
180. AKAM		None	J	T					
181. TJX		None			Sold	07/30/20	J	B	
182. INTU	A	Dividend	K	T					
183. NKE	A	Dividend	J	T					
184. NOC	A	Dividend	J	T					
185. AMZN		None	K	T					
186. AVGO	A	Dividend	K	T					
187. COST	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. CRM		None	K	T					
189. LHX	A	Dividend	K	T					
190. PANW		None	J	T					
191. IQV		None	J	T					
192. CSCO		None			Sold	09/21/20	J	A	
193. WM	A	Dividend	J	T					
194. WMT	A	Dividend	J	T					
195. ZTS	A	Dividend	K	T					
196. XLNX	A	Dividend	J	T	Sold (part)	02/05/20	J	B	
197.					Buy (add'l)	10/06/20	J		
198. BAH	A	Dividend	J	T					
199. PLNT		None			Sold	04/30/20	J	B	
200. ILMN		None	J	T	Sold (part)	11/25/20	J		
201.					Buy (add'l)	12/29/20	J		
202. GPN	A	Dividend	J	T					
203. GH		None	J	T					
204. LMT	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. QRVO		None	J	T					
206. TTD		None	K	T					
207.									
208. TW	A	Dividend	J	T					
209. VRTX		None	J	T					
210. ZS		None	K	T					
211. xBX	A	Int./Div.	J	T	Buy	04/14/20	J		
212. xCSX	A	Int./Div.	J	T	Buy	08/10/20	J		
213. xIIVI		None	K	T	Buy	05/18/20	J		
214.					Buy (add'l)	05/22/20	J		
215. xLITE		None	J	T	Buy	08/17/20	J		
216.					Sold (part)	11/25/20	J		
217.					Buy (add'l)	12/24/20	J		
218. xQCOM	A	Int./Div.	J	T	Buy	08/13/20	J		
219. xSNPS		None	J	T	Buy	06/17/20	J		
220. xEXEL		None			Buy	09/21/20	J		
221.					Sold	11/25/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. SSGA Money Market	A	Interest	J	T					
223.									
224. AIG- Valic Select IRA 2 Columbia value and restruct									
225.									
226. JPM	A	Dividend	J	T	Buy (add'l)	06/08/20	J		
227.					Sold (part)	07/09/20	J	A	
228.					Sold (part)	08/19/20	J	A	
229. MA	A	Dividend	J	T					
230. CVX	A	Dividend	J	T	Sold (part)	02/05/20	J		
231.					Buy (add'l)	03/16/20	J		
232.					Sold (part)	09/29/20	J		
233. CI	A	Dividend	J	T					
234. GOOG		None	J	T	Sold (part)	04/15/20	J	A	
235. AON	A	Dividend	J	T					
236. ABT	A	Dividend	J	T	Buy (add'l)	03/02/20	J		
237.					Sold (part)	12/23/20	J	B	
238. AAPL	A	Dividend	K	T	Sold (part)	08/07/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Sold (part)	09/15/20	J	B	
240.					Sold (part)	10/14/20	J	C	
241. BRKB		None	K	T	Buy (add'l)	03/02/20	J		
242.					Sold (part)	04/30/20	J	A	
243.					Sold (part)	06/24/20	J	A	
244.					Sold (part)	12/23/20	J	B	
245. BLK	A	Dividend	J	T					
246. C	A	Dividend	J	T	Sold (part)	03/04/20	J	A	
247.					Sold (part)	05/27/20	J		
248.					Sold (part)	06/10/20	J	A	
249.					Buy (add'l)	10/12/20	J		
250.					Buy (add'l)	11/23/20	J		
251. CMCSA	A	Dividend	K	T	Buy (add'l)	09/25/20	J		
252. HON	A	Dividend	J	T	Sold (part)	04/15/20	J	B	
253.					Sold (part)	05/13/20	J	A	
254.					Buy (add'l)	08/03/20	J		
255.					Buy (add'l)	08/17/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. JNJ	A	Dividend	J	T	Buy (add'l)	03/02/20	J		
257. LOW	A	Dividend	J	T	Sold (part)	09/15/20	J	B	
258. MSFT	A	Dividend	K	T	Sold (part)	01/07/20	J	B	
259.					Sold (part)	03/04/20	J	B	
260.					Sold (part)	04/01/20	J	B	
261.					Sold (part)	09/02/20	J	B	
262.					Sold (part)	10/14/20	J	B	
263. CNQ		None	J	T	Buy (add'l)	06/08/20	J		
264. EA		None			Sold (part)	04/28/20	J	A	
265.					Sold	05/13/20	J	A	
266. MDT	A	Dividend	J	T	Sold (part)	04/28/20	J	A	
267.					Buy (add'l)	08/28/20	J		
268. VZ	A	Dividend			Sold	06/10/20	J	A	
269. ATVI	A	Int./Div.	J	T	Sold (part)	06/10/20	J		
270.					Sold (part)	08/19/20	J	A	
271.					Buy (add'l)	12/07/20	J		
272. GOOGL		None	J	T	Buy (add'l)	06/22/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Aron, Catharine R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

273. AMT	A	Int./Div.	J	T	Sold (part)	08/19/20	J	A	
274.					Sold (part)	09/29/20	J	A	
275. FB		None	J	T	Sold (part)	03/18/20	J		
276.					Sold (part)	09/29/20	J	A	
277.					Buy (add'l)	12/14/20	J		
278. MCD	A	Int./Div.	J	T	Buy (add'l)	01/23/20	J		
279.					Sold (part)	12/23/20	J	A	
280. PFE		None			Sold	11/25/20	J	A	
281. PM	A	Int./Div.	J	T	Buy (add'l)	04/13/20	J		
282.					Sold (part)	07/09/20	J		
283. ALXN		None	J	T					
284. ANTM	A	Int./Div.	J	T	Buy (add'l)	06/22/20	J		
285.					Sold (part)	10/14/20	J	A	
286.					Sold (part)	10/30/20	J	A	
287. AVGO	A	Int./Div.			Sold	01/15/20	J	A	
288. FIS	A	Int./Div.	J	T					
289. MS	A	Int./Div.	J	T	Sold (part)	03/04/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	06/22/20	J		
291. PANW		None	J	T	Buy (add'l)	11/23/20	J		
292. SHW		None	J	T	Sold (part)	05/13/20	J	B	
293. T		None			Sold (part)	04/01/20	J		
294.					Sold (part)	04/30/20	J		
295.					Sold	05/13/20	J		
296. AGN		None			Sold (part)	04/01/20	J		
297.					Sold	04/15/20	J		
298. AMZN		None	K	T	Buy (add'l)	02/14/20	J		
299.					Buy (add'l)	03/30/20	J		
300.					Sold (part)	07/09/20	J	B	
301.					Sold (part)	08/07/20	J	B	
302. CSCO	A	Int./Div.	J	T	Sold (part)	11/12/20	J	A	
303.					Buy (add'l)	12/07/20	J		
304. CAG		None			Sold	09/29/20	J		
305. EOG	A	Int./Div.	J	T					
306. MDLZ	A	Int./Div.	J	T	Buy (add'l)	04/13/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. SYY	A	Int./Div.	J	T	Sold (part)	04/15/20	J		
308.					Buy (add'l)	09/25/20	J		
309. APD		None	J	T	Sold (part)	10/28/20	J		
310.					Sold (part)	11/25/20	J		
311.					Sold (part)	12/08/20	J		
312. CAT	A	Int./Div.			Sold (part)	01/23/20	J		
313.					Sold	03/18/20	J		
314. XRAY		None	J	T	Sold (part)	03/18/20	J		
315. EBAY	A	Int./Div.	J	T	Sold (part)	04/30/20	J	A	
316.					Sold (part)	09/29/20	J	A	
317.					Buy (add'l)	11/23/20	J		
318. EMR	A	Int./Div.			Sold (part)	02/05/20	J	A	
319.					Sold	03/18/20	J		
320. LRCX		None	J	T	Sold (part)	03/04/20	J	B	
321. NOC		None			Sold (part)	06/10/20	J	A	
322.					Sold	10/14/20	J	A	
323. NVDA		None	J	T	Sold (part)	04/09/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Aron, Catharine R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. TMUS		None	J	T	Buy (add'l)	07/07/20	J		
325. NXPI	A	Int./Div.	J	T	Sold (part)	02/19/20	J	A	
326.					Sold (part)	04/30/20	J	A	
327.					Buy (add'l)	07/07/20	J		
328. ADBE		None	J	T	Sold (part)	09/29/20	J	B	
329. ARMK	A	Int./Div.			Sold	04/01/20	J		
330. ADSK		None	J	T	Buy (add'l)	09/25/20	J		
331.					Buy (add'l)	10/12/20	J		
332.					Sold (part)	11/25/20	J	A	
333. BDX	A	Int./Div.	J	T					
334. CDK	A	Int./Div.			Sold	05/13/20	J		
335. CL	A	Int./Div.	J	T					
336. CPRI		None			Sold	02/19/20	J		
337. CAG	A	Int./Div.			Sold (part)	09/29/20	J		
338.					Sold	12/23/20	J	A	
339. GWL	A	Int./Div.			Sold (part)	03/04/20	J		
340.					Sold	04/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Aron, Catharine R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. CTVA	A	Int./Div.	J	T	Buy (add'l)	03/30/20	J		
342.					Sold (part)	06/24/20	J		
343.					Buy (add'l)	08/28/20	J		
344. DD	A	Int./Div.			Sold	03/18/20	J		
345. DHI	A	Int./Div.			Sold	04/01/20	J		
346. DXC		None			Sold	03/04/20	J		
347. INTC	A	Int./Div.			Sold (part)	06/24/20	J	A	
348.					Sold (part)	07/09/20	J	A	
349.					Sold	08/07/20	J		
350. IBM	A	Int./Div.			Buy (add'l)	02/05/20	J		
351.					Sold	07/09/20	J		
352. LHX	A	Int./Div.			Sold	05/27/20	J	A	
353. LYFT		None			Sold	09/15/20	J		
354. MPC	A	Int./Div.			Sold	03/18/20	J		
355. MHK		None			Sold (part)	03/18/20	J		
356.					Sold (part)	06/24/20	J	A	
357.					Sold	10/28/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. MRVL		None	J	T					
359. NEM	A	Int./Div.	J	T					
360. SLB	A	Dividend			Sold	04/01/20	J		
361. SPR	A	Int./Div.			Sold	03/18/20	J		
362. MMM	A	Int./Div.			Sold	01/23/20	J	A	
363. VLO	A	Int./Div.			Sold	02/19/20	J		
364. VIAC		None			Sold	02/05/20	J		
365. xAEP	A	Int./Div.	J	T	Buy	10/12/20	J		
366. xAXP	A	Int./Div.	J	T	Buy	05/11/20	J		
367.					Buy (add'l)	08/17/20	J		
368. xAZO		None	J	T	Buy	03/30/20	J		
369.					Buy (add'l)	12/21/20	J		
370. xBMRN		None	J	T	Buy	12/21/20	J		
371. xCARR	A	Int./Div.	J	T	Buy	03/16/20	J		
372.					Buy (add'l)	04/24/20	J		
373.					Buy (add'l)	11/09/20	J		
374. xKO	A	Int./Div.	J	T	Buy	09/11/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	09/25/20	J		
376. xCVS	A	Int./Div.	J	T	Buy	11/23/20	J		
377. xDRI		None	J	T	Buy	08/03/20	J		
378.	A	Int./Div.			Sold (part)	12/09/20	J	A	
379. xDLTR		None	J	T	Buy	03/30/20	J		
380.					Buy (add'l)	04/24/20	J		
381.					Buy (add'l)	06/22/20	J		
382. xLLY		None	J	T	Buy	12/21/20	J		
383. xFISV		None	J	T	Buy	08/28/20	J		
384. xINTU	A	Int./Div.	J	T	Buy	08/05/20	J		
385.					Buy (add'l)	09/11/20	J		
386. xNTR	A	Int./Div.	J	T	Buy	06/08/20	J		
387.					Buy (add'l)	09/25/20	J		
388.					Buy (add'l)	11/09/20	J		
389. xPYPL		None	J	T	Buy	03/30/20	J		
390. xDGX	A	Int./Div.	J	T	Buy	04/24/20	J		
391.					Sold (part)	12/23/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
392. xRTX	A	Int./Div.	J	T	Buy	03/16/20	J		
393.					Buy (add'l)	06/08/20	J		
394.					Buy (add'l)	10/12/20	J		
395.					Buy (add'l)	11/23/20	J		
396. xLUV	A	Int./Div.	J	T	Buy	08/17/20	J		
397. xSWK	A	Int./Div.	J	T	Buy	03/30/20	J		
398.					Buy (add'l)	05/11/20	J		
399.					Buy (add'l)	05/22/20	J		
400.					Buy (add'l)	06/22/20	J		
401. xSTT	A	Int./Div.	J	T	Buy	10/26/20	J		
402. xSYK	A	Int./Div.	J	T	Buy	05/22/20	J		
403.					Buy (add'l)	09/25/20	J		
404.					Sold (part)	12/23/20	J	A	
405. xTPR		None	J	T	Buy	10/26/20	J		
406. xTEL	A	Int./Div.	J	T	Buy	05/11/20	J		
407.					Buy (add'l)	07/07/20	J		
408.					Buy (add'l)	10/12/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409. xAES	A	Int./Div.	J	T	Buy	07/07/20	J		
410.					Buy (add'l)	10/26/20	J		
411. xUBER		None	J	T	Buy	05/11/20	J		
412.					Buy (add'l)	09/11/20	J		
413.					Sold (part)	11/12/20	J	A	
414. xULTA		None	J	T	Buy	10/26/20	J		
415. xUAA		None	J	T	Buy	10/26/20	J		
416. xUNP	A	Int./Div.	J	T	Buy	03/02/20	J		
417.					Buy (add'l)	03/11/20	J		
418.					Buy (add'l)	11/23/20	J		
419. xDIS		None	J	T	Buy	03/16/20	J		
420.					Buy (add'l)	03/30/20	J		
421.					Buy (add'l)	06/22/20	J		
422. xWDC		None	J	T	Buy	03/30/20	J		
423.					Buy (add'l)	05/22/20	J		
424. xELAN		None			Buy	02/05/20	J		
425.					Sold	04/01/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Aron, Catharine R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426. xBA		None			Buy	02/05/20	J		
427.					Sold	03/18/20	J		
428.									
429. SSGA Money Market	A	Interest	J	T					
430.									
431. AIG Valic IRA DBA DavidsonMid Cap									
432.									
433. STE	A	Int./Div.	J	T	Sold (part)	01/27/20	J	A	
434.					Sold (part)	04/15/20	J	A	
435. ACHC		None	J	T	Buy (add'l)	11/10/20	J		
436. ACC	A	Int./Div.	J	T	Sold (part)	06/11/20	J		
437. ATR	A	Int./Div.	J	T	Buy (add'l)	03/27/20	J		
438. APAM	A	Int./Div.	J	T					
439. BURL		None	J	T	Sold (part)	01/28/20	J	A	
440.					Sold (part)	04/08/20	J	A	
441. CTLT		None	J	T	Sold (part)	01/28/20	J	A	
442.					Buy (add'l)	03/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
443.					Sold (part)	06/23/20	J	A	
444.					Sold (part)	08/10/20	J	A	
445. CVCO		None	J	T					
446. CGNX	A	Int./Div.	J	T	Sold (part)	01/27/20	J	A	
447.					Sold (part)	01/30/20	J	A	
448. DORM		None	J	T					
449. DRQ		None	J	T					
450. EXPO	A	Int./Div.	J	T	Sold (part)	01/27/20	J	A	
451. FIVE		None	J	T	Sold (part)	01/28/20	J	A	
452.					Sold (part)	07/10/20	J	A	
453.					Sold (part)	12/08/20	J	A	
454. IT		None	J	T					
455. GBCI	A	Int./Div.	J	T					
456. ICUI		None			Sold (part)	06/23/20	J	A	
457.					Sold	07/10/20	J	A	
458. MKTX	A	Int./Div.	J	T	Sold (part)	01/27/20	J	A	
459.					Sold (part)	04/24/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
460.					Sold (part)	06/04/20	J	A	
461.					Sold (part)	08/10/20	J	A	
462.					Sold (part)	11/13/20	J	A	
463. MAA	A	Int./Div.			Sold	06/11/20	J	A	
464. MIDD		None			Sold	03/25/20	J		
465. NDSN	A	Int./Div.	J	T	Sold (part)	01/28/20	J	A	
466.					Sold (part)	04/08/20	J	A	
467. POR	A	Int./Div.	J	T					
468. POWI	A	Int./Div.	J	T					
469. PINC		None			Sold	01/16/20	J	A	
470. KWR	A	Int./Div.	J	T					
471. RPM	A	Int./Div.	J	T	Sold (part)	01/27/20	J	A	
472. ROLL		None	J	T	Sold (part)	01/28/20	J	A	
473. RBA	A	Int./Div.	J	T	Sold (part)	01/28/20	J	A	
474. SSNC	A	Int./Div.			Sold (part)	01/16/20	J	A	
475.					Sold (part)	01/30/20	J	A	
476.					Sold	07/10/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
477. SBNY		None	J	T					
478. SUI	A	Int./Div.	J	T	Sold (part)	01/28/20	J	A	
479. TTC	A	Int./Div.	J	T	Sold (part)	01/28/20	J	A	
480.					Sold (part)	04/15/20	J	A	
481. TYL		None			Sold (part)	01/27/20	J	A	
482.					Sold (part)	07/10/20	J	A	
483.					Sold	12/21/20	J	B	
484. WAB		None			Sold (part)	01/27/20	J	A	
485.					Sold	03/10/20	J		
486. WBS	A	Int./Div.			Sold (part)	06/23/20	J		
487.					Sold	08/10/20	J		
488. WST	A	Int./Div.			Sold (part)	01/27/20	J	A	
489.					Sold (part)	05/01/20	J	A	
490.					Sold (part)	08/31/20	J	A	
491.					Sold (part)	09/08/20	J	A	
492.					Sold (part)	09/14/20	J	A	
493.					Sold	11/19/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Aron, Catharine R.

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05/05/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
494. ZBRA		None	J	T	Sold (part)	01/28/20	J	A	
495. BAH	A	Int./Div.	J	T	Sold (part)	01/27/20	J	A	
496.					Sold (part)	04/15/20	J	A	
497.					Sold (part)	11/19/20	J	A	
498. BJRI	A	Int./Div.			Sold	03/25/20	J		
499. CRI	A	Int./Div.	J	T					
500. COR	A	Int./Div.	J	T					
501. EXP	A	Int./Div.	J	T	Sold (part)	01/28/20	J	A	
502. DEA	A	Int./Div.	J	T					
503. GDI* name change to IR		None			Merged (with line 504)	03/02/20	J		
504. IR		None	J	T					
505. GGG	A	Int./Div.	J	T					
506. JRVR	A	Int./Div.			Sold	01/17/20	J		
507. LAD	A	Int./Div.	J	T					
508. MTDR		None			Sold	03/19/20	J		
509. OGE	A	Int./Div.	J	T					
510. DOC	A	Int./Div.	J	T	Buy (add'l)	11/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
511. PNFP	A	Int./Div.	J	T	Sold (part)	01/28/20	J		
512. PII	A	Int./Div.	J	T	Sold (part)	01/27/20	J	A	
513. POOL	A	Int./Div.	J	T	Sold (part)	01/28/20	J	A	
514.					Sold (part)	07/10/20	J	A	
515.					Sold (part)	11/19/20	J	A	
516. SLAB		None	J	T	Sold (part)	01/27/20	J	A	
517.					Buy (add'l)	10/30/20	J		
518. SNDR	A	Int./Div.	J	T	Sold (part)	01/27/20	J	A	
519. INN	A	Int./Div.	J	T	Sold (part)	01/27/20	J		
520. WAL		None	J	T					
521. BERY		None			Sold	01/16/20	J		
522. BIO		None	J	T	Sold (part)	01/28/20	J	A	
523. EPAM		None	J	T					
524. HXL		None	J	T	Sold (part)	01/28/20	J	A	
525. JAZZ		None	J	T					
526. NBIX		None	J	T					
527. PE		None	J	T	Buy (add'l)	11/24/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
528. RPD		None	J	T	Sold (part)	01/28/20	J	A	
529. TXRH	A	Int./Div.	J	T					
530. AUB	A	Int./Div.	J	T	Sold (part)	01/28/20	J		
531. BJ		None	J	T	Sold (part)	01/28/20	J		
532. ENTG	A	Int./Div.	J	T					
533. ENV		None	J	T	Sold (part)	03/18/20	J		
534. ROCK		None	J	T	Sold (part)	01/27/20	J	A	
535. LOPE		None	J	T					
536. HUBS		None	J	T	Buy (add'l)	02/19/20	J		
537. PODD		None			Sold (part)	01/27/20	J	A	
538.					Sold	12/21/20	J	B	
539. PFGC		None	J	T					
540. TCF	A	Int./Div.	J	T	Buy (add'l)	11/17/20	J		
541. MTN	A	Int./Div.	J	T	Sold (part)	03/18/20	J		
542. xBFAM		None	J	T	Buy	04/13/20	J		
543. xCRNC		None	J	T	Buy	06/23/20	J		
544.					Buy (add'l)	07/23/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Aron, Catharine R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
545. xCHE	A	Int./Div.	J	T	Buy	03/16/20	J		
546.					Buy (add'l)	03/23/20	J		
547. xESI		None	J	T	Buy	12/23/20	J		
548. xFSS	A	Int./Div.	J	T	Buy	03/06/20	J		
549.					Buy (add'l)	03/23/20	J		
550. xGMED		None	J	T	Buy	07/08/20	J		
551. xHZNP		None	J	T	Buy	12/17/20	J		
552. xHPP	A	Int./Div.	J	T	Buy	06/09/20	J		
553.					Buy (add'l)	11/17/20	J		
554. xIDA	A	Int./Div.	J	T	Buy	07/08/20	J		
555.					Buy (add'l)	11/24/20	J		
556. xITGR		None	J	T	Buy	04/29/20	J		
557. xKMPPR	A	Int./Div.	J	T	Buy	01/28/20	J		
558.					Buy (add'l)	03/06/20	J		
559. xKNSL	A	Int./Div.	J	T	Buy	04/22/20	J		
560. xLANC	A	Int./Div.	J	T	Buy	01/14/20	J		
561.					Buy (add'l)	06/19/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
562. xMOH		None	J	T	Buy	01/14/20	J		
563.					Sold (part)	11/13/20	J	A	
564. xPIPR	A	Int./Div.	J	T	Buy	08/14/20	J		
565. xSYNH		None	J	T	Buy	06/19/20	J		
566.					Buy (add'l)	08/06/20	J		
567.					Buy (add'l)	12/04/20	J		
568. xVOYA	A	Int./Div.	J	T	Buy	01/14/20	J		
569.					Buy (add'l)	06/09/20	J		
570. xQDEL		None			Buy	08/31/20	J		
571.					Sold	11/24/20	J	A	
572.									
573. SSGAMoney Market Fund	A	Int./Div.	J	T					
574.									
575.									
576. Highwoods Pptys Inc	A	Dividend	K	T					
577. Hooker Furniture	A	Dividend	J	T					
578. Regency CTRS Corp	A	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
579. Carter Bank and Trust		None	K	T					
580. Calamos Growth Mutual Fund A	A	Int./Div.	K	T					
581. Mutual Series Mutual Shares A	A	Int./Div.	K	T					
582. Tempelton Growth Mutual Fund A	A	Int./Div.	K	T					
583. Franklin Income Mutual Fund A	A	Int./Div.	K	T					
584. Valic Freedom Plus IRA Annuity (Porfolio Director Fixed and Variable)	A	Int./Div.	L	T					
585. AIG 401K									
586. BSCFX	A	Int./Div.	K	T					
587. JNTFX	A	Int./Div.	K	T					
588. VPMCX	A	Int./Div.	K	T					
589. VEXMX	A	Int./Div.	K	T					
590. FTSE	A	Int./Div.	K	T					
591. TGVAX	A	Int./Div.	K	T					
592. VINIX	A	Int./Div.	K	T					
593. VWELX	A	Int./Div.	K	T					
594. MEIAX	A	Int./Div.	K	T					
595. JSIVX	A	Int./Div.	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Aron, Catharine R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
596. VBMFX	A	Int./Div.	K	T					
597. AIG Stable Value Fund	A	Interest	K	T					
598. Sun America NQDA	A	Int./Div.	L	T					
599. Jackson National NQDA	A	Int./Div.	M	T					
600. VFA TransAmerica Laarge Growth	A	Int./Div.	N	T					
601. VFA Columbia Value	A	Int./Div.	O	T					
602. VFA Sterling Mid Cap Value	A	Int./Div.	N	T					
603. VFA GWK Small Core	A	Int./Div.	O	T					
604. VFA Nuveen Intermediate Muni	C	Interest	M	T					
605. VFA Intergrated Manager Account									
606. A	A	Dividend	K	T					
607. V	A	Dividend	K	T					
608. MSFT	A	Dividend	L	T					
609. AMGN	A	Distribution	K	T					
610. ATVI	A	Int./Div.	K	T					
611. BLK	A	Int./Div.	K	T					
612. DIS	A	Int./Div.			Sold	08/04/20	K	D	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
613. GOOG		None	K	T					
614. UTX	A	Int./Div.			Sold	05/06/20	J	A	
615. CRM		None	K	T					
616. LOW	A	Dividend	K	T					
617. NXPI		None	K	T					
618. FB		None	K	T					
619. NVDA	A	Dividend	K	T					
620. AKAM		None	K	T	Sold (part)	07/28/20	J	B	
621. TJX		None			Sold	07/20/20	J	B	
622. NKE	A	Int./Div.	K	T					
623. GOOGL		None	K	T					
624. APPL	A	Int./Div.	L	T					
625. NOC	A	Int./Div.	J	T					
626. INTU	A	Int./Div.	K	T					
627. XLNX	A	Int./Div.	J	T	Sold (part)	02/03/20	J		
628. AVGO	A	Int./Div.	K	T					
629. LHX	A	Int./Div.	K	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
630. AMZN		None	L	T					
631. WM	A	Int./Div.	J	T					
632. ZTS	A	Int./Div.	K	T					
633. PAWN		None	K	T					
634. IQV		None	K	T					
635. COST	A	Int./Div.	J	T					
636. WMT	A	Int./Div.	J	T					
637. CSCO	A	Int./Div.			Sold	09/21/20	J	A	
638. BAH	A	Int./Div.	K	T					
639. PLNT		None			Sold	04/28/20	J	B	
640. GPN	A	Int./Div.	J	T					
641. GH	A	Int./Div.	J	T					
642. LMT	A	Int./Div.	J	T					
643. QRVO	A	Int./Div.	J	T					
644. TTD	A	Int./Div.	L	T					
645. TW	A	Int./Div.	J	T					
646. VRTX	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
647. xBX	A	Int./Div.	J	T	Buy	04/16/20	J		
648. xCSX	A	Int./Div.	J	T	Buy	08/12/20	J		
649. xIIVI		None	K	T	Buy	05/20/20	J		
650.					Buy (add'l)	05/27/20	J		
651. xLITE		None	J	T	Buy	08/17/20	J		
652.					Sold (part)	11/23/20	J		
653. xQCOM	A	Int./Div.	J	T	Buy	08/18/20	J		
654. xSNPS		None	J	T	Buy	06/19/20	J		
655. xEXEL		None	J	T	Buy	09/21/20	J		
656.					Sold (part)	11/25/20	J		
657. xRTX		None			Buy	04/16/20	J		
658.					Sold	11/17/20	J	B	
659.									
660. SPAXX money market fund	A	Interest	K	T					
661.									
662. Diversified equity mutual Funds									
663. FSPSX	B	Int./Div.	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
664. FSSNX	A	Int./Div.	K	T					
665. FXAIX	B	Int./Div.	M	T					
666. PMDEX	B	Int./Div.	M	T					
667. AXBIS	B	Int./Div.	L	T					
668. WSBIS	A	Int./Div.	J	T					
669.									
670. SPDR (cash)	A	Interest	J	T					
671. Genworth Variable Life Insurance (Fixed Index Annuities)	A	Int./Div.	J	T					
672.									
673.									
674.									
675.									
676.									
677.									
678.									
679.									
680.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
681.									
682.									
683.									
684.									
685.									
686.									
687.									
688.									
689.									
690.									
691.									
692.									
693.									
694.									
695.									
696.									
697.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
698.									
699.									
700.									
701.									
702.									
703.									
704.									
705.									
706.									
707.									
708.									
709.									
710.									
711.									
712.									
713.									
714.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
715.									
716.									
717.									
718.									
719.									
720.									
721.									
722.									
723.									
724.									
725.									
726.									
727.									
728.									
729.									
730.									
731.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
732.									
733.									
734.									
735.									
736.									
737.									
738.									
739.									
740.									
741.									
742.									
743.									
744.									
745.									
746.									
747.									
748.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
749.									
750.									
751.									
752.									
753.									
754.									
755.									
756.									
757.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Aron, Catharine R.	05/05/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catharine R. Aron**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544