

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kuntz, William F.	2. Court or Organization U.S. District Court, Eastern District of New York	3. Date of Report 04/28/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court 225 Cadman Plaza East Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Department of Veterans Affairs, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Kuntz, William F.	04/28/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Account #1 (H)									
2. Fidelity Government Cash Reserves (FDRXX)	A	Int./Div.	N	T					
3. Fidelity Growth & Income Retirement Fund (FGRIX)	F	Dividend	P1	T	Buy (add'l)	01/13/20	J		
4. Account #2 (H)									
5. Blackrock High Yield Bond A (BHYAX)	C	Dividend	M	T	Buy (add'l)	02/11/20	J		
6.					Buy (add'l)	12/09/20	J		
7. Blackrock High Yield Bond C (BHYCX)	B	Dividend	K	T	Sold (part)	02/11/20	J		
8.					Sold (part)	12/09/20	J		
9. Blackrock High Yield Inst (BHYIX)	E	Dividend	N	T					
10. Account #3 (H)									
11. UBS Bank USA Deposit Account APY (cash)	A	Interest	K	T					
12. Lord Abbett US Govt Money Mkt Fund (LCCXX)	A	Dividend			Sold	01/24/20	K		
13. American Funds, Washington Mutual Investors Fund Cl. A (AWSHX)	B	Dividend	L	T					
14. Blackrock Equity Dividend Fund A (MDDVX)	B	Dividend	K	T					
15. Clearbridge Large Cap Growth Fund Cl. A (SBLGX)	C	Dividend	L	T					
16. Invesco American Franchise Fund Cl. A (VAFAX)	C	Dividend	K	T					
17. Putnam Growth Opportunities Fund Cl. A (POGAX)	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. CAPT ONE NATL A VA US (cash)	A	Interest			Redeemed	01/21/20	K		
19. Bankunited NA Flor FL US CD (cash)		None			Redeemed	02/21/20	K		
20. Bank Leumi NJ US CD (cash)	A	Interest			Redeemed	05/19/20	K		
21. JPMORGAN CHASE BK DE US (cash)	A	Interest			Redeemed	01/21/20	K		
22. First Natl Bk Ne US (cash)		None	K	T	Buy	01/28/20	K		
23. TBK Bank SSB TX US (cash)		None	K	T	Buy	01/29/20	K		
24. Access Bk Ne US (cash)		None	K	T	Buy	02/24/20	K		
25. Wells Fargo Natl B NV US (cash)	A	Interest	K	T	Buy	01/29/20	K		
26. Ally Bk Midvale UT US (cash)	A	Interest	K	T	Buy	01/30/20	K		
27. Morgan Stanley Bk US (cash)	A	Interest	K	T					
28. Synovus Bk GA US (cash)	A	Interest	K	T	Buy	02/25/20	K		
29. BMO Harris BK US (cash)	A	Interest	K	T	Buy	05/22/20	K		
30. TINT Treasury (912833LFS)		None	J	T	Redeemed (part)	11/16/20	J		
31. Account #4 (H)									
32. UBS Bank USA Deposit Account APY (cash)	A	Interest	M	T					
33. UBS Prime Investor Fund (UPIXX) (cash equiv.)	A	Dividend	O	T	Buy	01/07/20	N		
34. Abbott Laboratories, Inc. (ABT)	B	Dividend	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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35. Abbvie Inc Com (ABBV) (X)		None	K	T	Buy	03/02/20	L		See Part VIII
36.					Sold	03/20/20	L		
37. Aflac Inc. (AFL)	B	Dividend	L	T					
38. Air Products and Chemicals Inc. (APD)	B	Dividend	M	T					
39. Akamai Technologies Inc. (AKAM)		None	K	T					
40. Alphabet Inc. Cl. C (GOOG)		None	K	T					
41. Altria Group Inc (MO) (X)		None	K	T					
42. Amazon.com Inc. (AMZN)		None	L	T					
43. American Express Co. (AXP)	B	Dividend	L	T	Sold (part)	04/03/20	K	E	
44. American Tower Corp REIT (AMT)	A	Dividend	K	T					
45. Anheuser-Busch Inbev Spons ADR (BUD)		None			Sold	04/03/20	K		
46. Analog Devices Inc (ADI) (X)		None	K	T					
47. Ansys Inc. (ANSS)		None	L	T					
48. Automatic Data Processing Inc. (ADP)	C	Dividend	M	T	Sold (part)	01/07/20	K	E	
49. Bank United, Inc. (BKU)	A	Dividend			Sold	03/23/20	J		
50. Berkshire Hathaway Inc. New Class B (BRK.B)		None	L	T	Sold (part)	04/03/20	K	E	
51. Bristol Myers Squibb Co. (BMY)	C	Dividend	M	T	Sold (part)	03/26/20	K	E	

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52. CDK Global Inc. Com. (CDK)	A	Dividend	K	T					
53. Charter Communications Inc. New Cl A (CHTR)		None	K	T					
54. Chevron Corp. (CVX) (X)	B	Dividend	J	T	Sold	03/10/20	L	E	See Part VIII
55. Cigna Corp (CI)	A	Dividend	J	T					
56. Cisco Systems Inc (CSCO) (X)		None	K	T					
57. Coca-Cola Co. Com. (KO)	B	Dividend	L	T					
58. Colgate Palmolive Co (CL)	A	Dividend			Sold	03/23/20	K		
59. Comcast Corp New Cl A (CMCSA)	B	Dividend			Sold	07/15/20	L	E	
60. ConocoPhillips (COP)	A	Dividend			Sold	03/10/20	K	D	
61. CVS Health Corp (CVS)	C	Dividend	M	T					
62. Dentsply Sirona Inc. (XRAY)	A	Dividend	J	T					
63. Dominion Energy Inc. Va. New (D)	B	Dividend	L	T					
64. Dow Inc (DOW)	A	Dividend			Sold	04/03/20	J	D	
65. Dupont De Nemours Inc (DD)	A	Dividend	K	T					
66. Ecolab Inc. (ECL)	A	Dividend	L	T					
67. Exxon Mobil Corp. (XOM)	B	Dividend			Sold	03/02/20	L	E	
68. Essential Utils Inc (WTRG) (Frmly Aqua Amer Inc. (WTR))	B	Dividend	L	T					

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69. General Mills Inc. (GIS)	C	Dividend	M	T	Sold (part)	01/07/20	K	E	
70.					Sold (part)	03/26/20	K	E	
71.					Buy (add'l)	12/15/20	L		
72.					Sold (part)	12/21/20	K		
73. Genuine Parts Co. (GPC)	A	Dividend			Sold	03/20/20	J		
74. Gilead Sciences Inc. (GILD)	A	Dividend	J	T					
75. Glaxo Smithline PLC ADR (GSK) (X)		None	J	T					
76. Hubbell Inc. Class B (HUBB)	B	Dividend	M	T					
77. Intel Corp (INTC)	B	Dividend			Buy	07/15/20	L		
78.					Buy (add'l)	09/17/20	L		
79.					Sold	11/30/20	M		
80. Intl Business Match (IBM) (X)		None	J	T					
81. Intl Flavor & Fragrances (IFF)		None	L	T	Buy	11/25/20	L		
82. Johnson & Johnson Com. (JNJ)	C	Dividend	M	T	Sold (part)	03/26/20	K	E	
83. Koninklijke Philips Electronics NS Spon ADR New		None	J	T					
84. Labratory Corp Amer Hldgs New (LH)		None	L	T	Buy	07/15/20	L		
85. Linde PLC (LIN)	A	Dividend			Sold	03/23/20	L		

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86. Lockheed Martin Corp (LMT)	C	Dividend	M	T					
87. Lowes Companies Inc. (LOW)	A	Dividend	K	T					
88. Marsh & McLennan Cos. Inc. (MMC)	B	Dividend	M	T	Sold (part)	01/07/20	K	F	
89.					Sold (part)	03/26/20	K	E	
90. Medtronic PLC (MDT)	A	Dividend			Sold	03/18/20	L		
91. Merck & Co. Inc. New Com. (MRK)	C	Dividend	L	T	Sold (part)	04/03/20	K	E	
92. Microsoft Corp. (MSFT)	B	Dividend	N	T	Sold (part)	01/07/20	K	E	
93. Mondelez Intl Inc. (MDLZ)	B	Dividend	M	T					
94. Moodys Corp. (MCO)	A	Dividend			Sold	04/03/20	K	E	
95. Nestle S A Sponsored ADR (NSRGY)	B	Dividend	L	T					
96. Nextera Energy Inc. Com. (NEE)	B	Dividend	M	T					
97. Nike Inc. Cl. B (NKE)	A	Dividend	K	T					
98. Norfolk Southern Corp. (NSC)	B	Dividend	L	T	Sold (part)	01/07/20	K	E	
99.					Sold (part)	03/26/20	K		
100.					Sold (part)	04/03/20	K	D	
101. Nortonlifelock Inc (NLOK)	A	Dividend			Buy	08/28/20	L		
102.					Sold	11/30/20	L		

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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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103. Pepsico Inc. (PEP)	B	Dividend	L	T					
104. Philip Morris Intl Inc (PM) (X)		None	K	T					
105. Phillips 66 (PSX)	A	Dividend			Sold	03/10/20	J	E	
106. Raytheon Co New (RTN)	A	Dividend			Buy	01/08/20	L		
107.					Sold	03/18/20	K		
108. Restaurant Brands Intl Inc (QSR)	A	Dividend	L	T	Buy	09/17/20	L		
109. Royal Dutch Shell PLC ADS REPSTG 2 Cl B (RDS.B)	A	Dividend			Sold	03/10/20	K		
110. Salesforce.Com Inc (CRM)		None	L	T	Buy	07/15/20	L		
111.					Sold	08/28/20	M	E	
112.					Buy	10/19/20	M		
113. Stanley Black and Decker Inc. Com. (SWK)	A	Dividend	K	T					
114. Sysco Corp. (SYU)	A	Dividend			Sold	04/03/20	K	D	
115. Unilever PLC (UL)	A	Dividend			Sold	03/18/20	L		
116. Union Pacific Corp. (UNP)	B	Dividend	M	T	Sold (part)	03/26/20	K	D	
117. United Parcel Service Inc Cl B (UPS) (X)	B	Dividend	K	T					
118. US Bancorp Del (New) (USB)	A	Dividend			Sold	03/26/20	J	D	
119. Verizon Communications (VZ)	C	Dividend	M	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Viacomcbs Inc Cl B (VIAC)		None			Buy	01/06/20	K		
121.					Sold	02/24/20	K		
122. Visa Inc. Cl A (V)	A	Dividend	M	T					
123. Walt Disney Co. Com. (DIS)	A	Dividend	M	T					
124. Waste Mgmt Inc New (WM) (X)		None	J	T					
125. 3M Co. (MMM)	C	Dividend	L	T					
126. ETFMG PRIME CYBER SECURITY ETF (HACK)	B	Dividend			Sold	03/20/20	L		
127. SPDR S&P Biotech ETF (XBI)		None			Sold	03/23/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Kuntz, William F.

Date of Report

04/28/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, lines 35 and 54: Following complete sales, additional quantities of these holdings became reportable without corresponding reportable transactions.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kuntz, William F.

Date of Report

04/28/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William F. Kuntz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544