

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gravelle, Christine M.	2. Court or Organization Bankruptcy Court of NJ	3. Date of Report 05/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address U.S. Bankruptcy Court 402 East State Street Trenton, NJ 08608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Gravelle, Christine M.

Date of Report

05/12/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Gravelle, Christine M.

Date of Report

05/12/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Gravelle, Christine M.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Brokerage Account #1 (H)									
2. American AMCAP Class A	A	Dividend	J	T					
3. American High Income Trust Class A	A	Dividend	J	T					
4. American New World Fund Class A	A	Dividend	J	T					
5. American Washington Mutual Invstrs Class A	A	Dividend	J	T					
6. Apple Inc	A	Dividend	J	T					
7. AT&T Inc	A	Dividend	J	T					
8. PNC Deposit Sweep	A	Interest	J	T					
9. Brokerage Account #2 (H)									
10. American Water Works Co	A	Dividend			Sold	03/12/20	J	D	
11. Honeywell International	A	Dividend	K	T					
12. Microsoft Corp	A	Dividend	K	T	Sold (part)	12/07/20	K	D	
13. Wells Fargo Standard Bank Deposit	A	Dividend	J	T					
14.									
15. Brokerage Account IRA (H)									
16. Wells Fargo Cash	A	Interest	J	T					
17. Wells Fargo Expanded Bank Deposit	A	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Gravelle, Christine M.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Accenture PLC Ireland	B	Dividend			Sold	03/11/20	K	A	
19. American Express Company	A	Dividend			Sold	04/20/20	K	A	
20. Apple Inc	A	Dividend	K	T	Sold (part)	09/03/20	J	C	
21. Arthur J Gallagher & Co	A	Dividend			Sold	02/20/20	K	D	
22. Comcast Corp	A	Dividend			Sold	03/11/20	K	A	
23. Crown Castle International Corp	B	Dividend	K	T					
24. Docusign Inc	A	Dividend			Sold	06/23/20	L	E	
25. Dollar General Corp	A	Dividend	K	T					
26. First American Fin Corp	A	Dividend			Sold	04/20/20	K	A	
27. Generac Holdings Inc	A	Dividend	L	T					
28. IDEX Corp	A	Dividend			Sold	03/25/20	K	A	
29. Lululemon Athletica Inc	A	Dividend			Sold	06/03/20	K	E	
30. Mid-Amer Apt Communities	A	Dividend			Sold	05/22/20	K	A	
31. Toro Company	A	Dividend	K	T					
32. Trade Desk inc	A	Dividend			Sold	03/11/20	K		
33. World Fuel Services Corp	A	Dividend			Sold	02/26/20	K	A	
34. Xylem Inc	A	Dividend			Sold	05/06/20	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Gravelle, Christine M.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. US Treasury Notes Due 2/29/20	A	Interest			Sold	03/02/20	K	A	
36. US Treasury Note Due 5/21/20	A	Interest			Sold	05/21/20	K	A	
37. Pepsico Inc	A	Dividend	K	T	Sold (part)	01/09/20	K	A	
38. Pacific Premier Bancorp	A	Dividend			Sold	01/29/20	K	A	
39. OKTA Inc	A	Dividend			Sold	05/21/20	K	D	
40. Siteone Landscape Supply Inc.	A	Dividend	L	T	Sold (part)	03/25/20	K	A	
41. Oracle Corp	A	Dividend	K	T	Buy	04/08/20	K		
42. Draftkings Inc.	A	Dividend			Sold	05/06/20	K	D	
43. Resmed Inc	A	Dividend	K	T	Buy	05/12/20	K		
44. Vivint Smart Home Inc	A	Dividend	K	T	Buy	06/15/20	K		
45. Insulet Corp	A	Dividend	K	T	Buy	06/23/20	K		
46. JM Smucker Co	A	Dividend			Sold	06/15/20	K	A	
47. Slack technologies Inc	A	Dividend			Sold	07/06/20	K	A	
48. Hess Corporation	A	Dividend			Sold	07/10/20	K	A	
49. Sunrun Inc	A	Dividend			Sold	07/06/20	K	D	
50. Pool Corp	A	Dividend	K	T	Buy	07/06/20	K		
51. MSA Safety Inc	A	Dividend	K	T	Buy	07/10/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Gravelle, Christine M.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Visa Inc	A	Dividend	K	T	Buy	08/21/20	K		
53. Advanced Micro	A	Dividend			Sold	08/04/20	K	E	
54. II-VI Inc	A	Dividend			Sold	08/21/20	K	A	
55. Bristol Myers Squibb	A	Dividend			Sold	09/03/20	K	A	
56. Badger Meter Inc	A	Dividend			Sold	09/03/20	K	A	
57. National beverage Corp	A	Dividend			Sold	09/03/20	K	D	
58. Cloudfare Inc	A	Dividend			Sold	09/14/20	K	A	
59. Builders Firstsource Inc.	A	Dividend	K	T	Buy	09/09/20	K		
60. IQVA Holdings	A	Dividend	K	T	Buy	09/09/20	K		
61. NXP Semiconductors NV	A	Dividend	K	T	Buy	09/09/20	K		
62. NASDAQ Inc	A	Dividend			Sold	10/01/20	K	A	
63. Syneos Health Inc	A	Dividend			Sold	10/01/20	K	A	
64. Avery Dennison Corp	A	Dividend	K	T	Buy	10/01/20	K		
65. Crocs Inc	A	Dividend			Sold	11/02/20	K	D	
66. EVO Payments Inc	A	Dividend			Sold	11/06/20	K	A	
67. REMAX Holdings Inc	A	Dividend			Sold	11/12/20	K	A	
68. Aerovironment Inc	A	Dividend	K	T	Buy	11/06/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Gravelle, Christine M., 05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A (Description of Assets), B (Income during reporting period), C (Gross value at end of reporting period), D (Transactions during reporting period), and others. Rows include Automation Inc, Vista Outdoor Inc, Zillow Grp Inc, Dell Technologies Inc, and an empty row 73.

Table with 6 columns: 1 Income Gain Codes, 2 Value Codes, 3 Value Method Codes, and others. Rows include codes A through W and their corresponding value ranges or descriptions.

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Gravelle, Christine M.

Date of Report

05/12/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Gravelle, Christine M.

Date of Report

05/12/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christine M. Gravelle**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544