

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Quattlebaum, Jr, Arthur M.	2. Court or Organization United States Fourth Circuit Court of Appeals	3. Date of Report 5/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Clement Haynesworth Federal Building 300 East Washington Street Greenville, SC, 29601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Shareholder	Enveritas
2. Director	Greater Greenville YMCA
3. Director	Christ Church Episcopal School
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Quattlebaum, Jr, Arthur M.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
Quattlebaum, Jr, Arthur M.	5/12/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Future Scholar 529 Plan (H)									
2. -Columbia Conservative E Agg Track	A	Interest	L	T					
3. Future Scholar 529 Plan (H)									
4. -Columbia Bank Deposit 529 E	A	Interest	M	T					
5. Future Scholar 529 Plan (H)									
6. -Columbia Bank Deposit Plan	A	Interest	M	T					
7. AT&T, Inc.	A	Dividend	J	T					
8. LQ Janus Henderson IRA (H) Account (H)									
9. -Janus Henderson Research D Shr	C	Dividend	L	T					
10. -Foster Victor IRA (H)									
11. -JP Morgan Divers Ret US Mid Cp Eq ETF	A	Dividend			Sold	06/18/20	M	E	
12. -JP Morgan Diversified Return US Eq ETF	A	Dividend			Sold	06/18/20	M	E	
13. -Schwab US Large-Cap Value ETF	A	Dividend			Sold	06/18/20	M	E	
14. -Schwab US Large-Cap Growth ETF	A	Dividend			Sold	06/18/20	J	A	
15. -American Funds New World F1	C	Dividend	L	T	Sold (part)	04/02/20	J	B	
16.					Sold (part)	09/14/20	K	C	
17. -JP Morgan Divers Ret US Small Cap Eq ETF	A	Dividend			Sold	06/18/20	M	E	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -Prudential Short-Term Corporate Bd Z	A	Dividend			Sold	03/05/20	L	C	
19. -MFS International New Discovery A	A	Dividend			Sold	09/14/20	M	E	
20. -Ishares Edge Min VolEAFE ETF	B	Dividend	L	T	Buy (add'l)	05/14/20	K		
21.					Sold (part)	09/14/20	K	C	
22. -PPL Corporation	B	Dividend	K	T					
23. -Genuine Parts Co	D	Dividend	M	T	Buy (add'l)	04/02/20	K		
24.					Sold (part)	08/06/20	K	B	
25.					Sold (part)	09/14/20	J	A	
26. -Altria Group Inc	C	Dividend			Sold	09/23/20	M	D	
27. -Alliant Energy Corp	A	Dividend			Sold	03/06/20	J	A	
28. -Compass Minerals Int	A	Dividend			Sold	03/06/20	J	A	
29. -Lamar Advertising Co REIT	A	Dividend			Sold	03/06/20	J	A	
30. -Pepsico Incorporated	B	Dividend	K	T	Sold (part)	03/19/20	J	A	
31. -Emerson Electric Co	B	Dividend	L	T	Sold (part)	11/20/20	J	A	
32. -Verizon Communication	B	Dividend	K	T	Sold (part)	03/19/20	J	A	
33.					Buy (add'l)	07/23/20	J		
34. -Amer Electric Power Co	C	Dividend	L	T	Sold (part)	03/05/20	J	B	

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold (part)	03/19/20	J	A	
36.					Buy (add'l)	04/16/20	J		
37.					Buy (add'l)	09/17/20	J		
38. -Southern Company	B	Dividend	K	T	Sold (part)	03/05/20	J	A	
39.					Buy (add'l)	09/03/20	J		
40.					Sold (part)	10/29/20	J	A	
41. -Hanesbrands Inc	A	Dividend			Sold	03/06/20	J	A	
42. -Wells Fargo Bank	C	Dividend			Sold	08/28/20	M	C	
43. -Truist Financial Corp (F/K/A BB&T Corporation)	B	Dividend	L	T	Buy (add'l)	03/19/20	K		
44.					Sold (part)	11/20/20	K	A	
45. -Amgen Inc	D	Dividend	M	T	Sold (part)	01/23/20	K	B	
46.					Sold (part)	03/19/20	K	B	
47.					Buy (add'l)	11/12/20	J		
48.					Buy (add'l)	11/25/20	J		
49. -Johnson & Johnson	C	Dividend	M	T	Sold (part)	03/19/20	K	B	
50.					Buy (add'l)	04/30/20	J		
51.					Buy (add'l)	11/12/20	J		

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52. -Ventas Inc REIT	A	Dividend			Sold	03/06/20	J	A	
53. -Welltower REIT	C	Dividend			Sold	05/07/20	M	D	
54. -Procter & Gamble	B	Dividend	L	T	Sold (part)	03/19/20	J	A	
55.					Buy (add'l)	04/30/20	J		
56.					Sold (part)	10/29/20	J	A	
57. -Duke Energy Corp	A	Dividend			Sold	03/06/20	J	A	
58. -Pfizer Incorporated	B	Dividend	L	T					
59. -PIMCO Income Class A	C	Dividend			Sold	03/05/20	L	D	
60. -Phillip Morris Intl	C	Dividend	L	T	Sold (part)	03/05/20	J	A	
61.					Buy (add'l)	11/20/20	J		
62. -General Mills	B	Dividend	K	T	Sold (part)	03/19/20	J	A	
63.					Buy (add'l)	09/14/20	J		
64. -Enbridge Inc	C	Dividend	L	T	Buy (add'l)	11/20/20	J		
65. -Realty Incm Corp REIT	C	Dividend	M	T	Buy (add'l)	03/19/20	K		
66.					Sold (part)	09/14/20	K	B	
67.					Buy (add'l)	12/10/20	J		
68. -T. Rowe Price Real Estate Fund Inv	C	Dividend			Sold	06/25/20	L	B	

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69. -United Parcel Srvc	B	Dividend	K	T	Buy (add'l)	03/05/20	J		
70.					Sold (part)	03/19/20	J	A	
71.					Buy (add'l)	04/30/20	J		
72.					Sold (part)	07/23/20	J	A	
73.					Sold (part)	08/06/20	J	A	
74. -Oakmark Intl Fund Inv	B	Dividend			Sold	06/11/20	M	D	
75. -T. Rowe Price Blue Chip Growth Fd Inv	C	Dividend	M	T	Sold (part)	04/02/20	J	A	
76.					Sold (part)	05/14/20	K	C	
77.					Buy (add'l)	06/26/20	L		
78.					Sold (part)	09/14/20	M	D	
79. -T Rowe Price Oversease Stock Fund Inv	C	Dividend	M	T	Buy (add'l)	06/11/20	L		
80.					Sold (part)	09/14/20	L	D	
81.					Buy (add'l)	10/01/20	J		
82. -Schwab Bank Cash Sweep	A	Interest	L	T					
83. -PIMCO Total Return Fund Cl A	A	Dividend			Sold	03/05/20	K	C	
84. -Broadcom Inc	D	Dividend	M	T	Buy (add'l)	03/19/20	K		
85.					Sold (part)	04/02/20	K	B	

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86.					Sold (part)	05/22/20	J	A	
87.					Sold (part)	09/03/20	K	B	
88. -Keurig Dr Pepper Inc	C	Dividend	M	T	Buy (add'l)	01/23/20	K		
89.					Sold (part)	04/02/20	K	B	
90.					Buy (add'l)	04/30/20	J		
91. -Metlife Inc	C	Dividend	L	T	Buy (add'l)	03/19/20	J		
92.					Sold (part)	11/12/20	J	A	
93. -Valero Energy Corp	D	Dividend	M	T	Buy (add'l)	03/05/20	K		
94.					Buy (add'l)	03/19/20	K		
95.					Sold (part)	04/30/20	L	C	
96.					Buy (add'l)	08/06/20	K		
97.					Buy (add'l)	10/01/20	J		
98.					Buy (add'l)	10/29/20	K		
99.					Sold (part)	11/12/20	K	B	
100. -AmerisourceBergen Corp	B	Dividend	K	T	Buy	09/14/20	K		
101. -Adobe Inc	B	Dividend	L	T	Buy	09/14/20	L		
102. -Amern Tower Corp	B	Dividend	L	T	Buy	09/14/20	K		

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103.					Buy (add'l)	11/25/20	J		
104. -Amazon.com Inc	B	Dividend	L	T	Buy	09/14/20	L		
105. -Baird Aggregate Bond Inv	D	Dividend	M	T	Buy	06/26/20	M		
106.					Sold (part)	10/01/20	K	C	
107. -Booking Holdings Inc	B	Dividend	L	T	Buy	09/14/20	K		
108. -Blackrock Inc	B	Dividend	K	T	Buy	09/14/20	K		
109.					Sold (part)	11/25/20	J	B	
110. -Vanguard Short-Term Bond ETF	A	Dividend	K	T	Buy	09/14/20	J		
111.					Buy (add'l)	10/01/20	K		
112. -Cerner Corp	A	Dividend	K	T	Buy	09/14/20	K		
113. -Cisco Systems Inc	C	Dividend	M	T	Buy	05/22/20	L		
114.					Buy (add'l)	09/03/20	K		
115.					Buy (add'l)	11/12/20	J		
116. -Facebook Inc	A	Dividend	L	T	Buy	09/14/20	L		
117. -Alphabet Inc	A	Dividend	L	T	Buy	09/14/20	L		
118. -iShares Core S&P Small Cap ETF	A	Dividend	L	T	Buy	06/18/20	L		
119.					Sold (part)	09/14/20	K	C	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Quattlebaum, Jr, Arthur M.

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5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Illumina Inc	A	Dividend	L	T	Buy	09/14/20	K		
121.					Buy (add'l)	11/12/20	J		
122. -Lowes Companies Inc	A	Dividend	K	T	Buy	09/14/20	K		
123. -Lam Research Corp	A	Dividend	L	T	Buy	09/14/20	L		
124.					Sold (part)	10/01/20	J	A	
125.					Sold (part)	11/12/20	J	A	
126. -Mastercard Inc	A	Dividend	L	T	Buy	09/14/20	L		
127. -Mondelez Intl	B	Dividend	K	T	Buy	08/28/20	K		
128. -Microsoft Corp	A	Dividend	K	T	Buy	09/14/20	K		
129. -iShares Short Maturity Bond ETF	A	Dividend	K	T	Buy	09/14/20	J		
130.					Buy (add'l)	10/01/20	K		
131. -Loomis Sayles Core Plus Bond Fund Cl A	C	Dividend			Sold	03/05/20	M	D	
132. -Novartis AG	B	Dividend	L	T	Buy	05/22/20	L		
133.					Buy (add'l)	11/12/20	J		
134. -NXP Semiconductors	A	Dividend	L	T	Buy	09/14/20	K		
135. -O Reilly Automotive	A	Dividend	K	T	Buy	09/14/20	K		
136. -Paypal Holdings Incorpor	A	Dividend	K	T	Buy	09/14/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Sold (part)	10/01/20	J	A	
138. -Schwab US Large Cap ETF	A	Dividend	N	T	Buy	06/18/20	N		
139.					Sold (part)	09/14/20	M	E	
140. -TE Connectivity LTD	C	Dividend	M	T	Buy	08/28/20	M		
141.					Sold (part)	10/29/20	J	B	
142. -iShares 20 Pls Year Treasury Bnd ETF	A	Dividend	K	T	Buy	03/05/20	M		
143.					Sold (part)	03/19/20	K	A	
144.					Buy (add'l)	06/11/20	J		
145.					Sold (part)	06/25/20	M	D	
146.					Sold (part)	09/14/20	J	A	
147. -Vanguard Intermediate Trm Treasury ETF	B	Dividend	L	T	Buy	06/26/20	L		
148.					Sold (part)	09/14/20	K	B	
149. -Vulcan Materials Com	A	Dividend	K	T	Buy	09/14/20	K		
150.					Sold (part)	10/29/20	J	A	
151. -Vanguard Real Estate ETF	C	Dividend	K	T	Buy	06/26/20	K		
152.					Sold (part)	09/14/20	J	B	
153. -Vanguard Mid Cap ETF	A	Dividend	M	T	Buy	06/18/20	M		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Quattlebaum, Jr, Arthur M.

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5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	09/14/20	K	B	
155. -Vanguard Value ETF	A	Dividend	L	T	Buy	06/18/20	L		
156.					Buy (add'l)	07/23/20	J		
157.					Sold (part)	09/14/20	K	C	
158. FVWA Taxable Account (H)									
159. -Fidelity Adv LTD Term Muni INCM Fd A	B	Dividend			Sold	06/25/20	K	C	
160. -JP Morgan Diversified Return US Mid	B	Dividend			Sold	06/18/20	K	C	
161. -JP Morgan Diversified Return US Small	A	Dividend			Sold	06/18/20	J	B	
162. -JP Morgan Diversified Return US Equity	B	Dividend			Sold	06/18/20	K	C	
163. -MFS Intl New Discovery A	B	Dividend			Sold	01/23/20	K	C	
164. -Nuveen High Yield Muni Bond Fund Cl A	B	Dividend			Sold	06/25/20	K	B	
165. -American Fund New World Fd Cl F1	A	Dividend	K	T	Sold (part)	01/24/20	J	A	
166.					Buy (add'l)	04/30/20	J		
167.					Buy (add'l)	08/06/20	J		
168. -PIMCO Income Cl A	A	Dividend			Sold	01/23/20	K	A	
169. -T. Rowe Price Tax Free Short Interim Inv	A	Dividend			Sold	01/23/20	K	A	
170. -Schwab US Large Cap Value ETF	A	Dividend			Sold	06/18/20	K	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Quattlebaum, Jr, Arthur M.

Date of Report

5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Deutsche Managed Muni Bd CI S	B	Dividend			Sold	08/06/20	J	A	
172. -Schwab Value Advantage Money Fund	A	Dividend	L	T	Sold (part)	01/03/20	J	A	
173.					Sold (part)	03/05/20	J	A	
174.					Sold (part)	03/17/20	K	A	
175.					Sold (part)	05/06/20	L	A	
176.					Sold (part)	09/14/20	J	A	
177.					Sold (part)	12/09/20	K	A	
178. -T. Rowe Price Overseas Stock Fund Inv	A	Dividend	K	T	Sold (part)	01/24/20	K	B	
179.					Buy (add'l)	04/30/20	J		
180.					Buy (add'l)	06/26/20	J		
181. -Schwab Bank Cash Sweep	A	Dividend	K	T					
182. -Ishares Edge MSCI Min Vol EAFE ETF	A	Dividend	J	T	Sold (part)	01/24/20	J	A	
183.					Buy (add'l)	04/30/20	J		
184.					Buy (add'l)	06/26/20	J		
185. -T. Rowe Price Blue Chip Growth Fund Inv	A	Dividend	K	T	Sold (part)	01/24/20	K	B	
186.					Buy (add'l)	08/06/20	J		
187. -PIMCO Total Return Fund	A	Dividend			Sold	01/23/20	K	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Quattlebaum, Jr, Arthur M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Ishares Core US REIT ETF	A	Dividend			Sold	06/18/20	J	A	
189. -Ishares Edge MSCI Intl QLT FCTR ETF	A	Dividend			Sold	06/18/20	K	A	
190. -Vanguard Short-Term Bond ETF	A	Dividend	J	T	Buy	06/26/20	J		
191. -iShares Core S&P Small Cap ETF	A	Dividend	J	T	Buy	06/26/20	J		
192.					Buy (add'l)	08/06/20	J		
193. -iShares National Muni Bond ETF	A	Dividend	K	T	Buy	06/26/20	K		
194. -T.Rowe Price Summit Municipal Intm Inv	C	Dividend	L	T	Buy	01/23/20	K		
195.					Sold (part)	04/30/20	J	A	
196.					Buy (add'l)	06/26/20	K		
197.					Buy (add'l)	08/06/20	J		
198.					Buy (add'l)	09/17/20	J		
199.					Buy (add'l)	11/25/20	J		
200.					Buy (add'l)	12/23/20	J		
201. -Schwab US Large Cap ETF	A	Dividend	K	T	Buy	06/18/20	K		
202. -iShares MSCI EAFE Small Cap ETF	A	Dividend	K	T	Buy	01/23/20	K		
203.					Sold (part)	03/05/20	J	A	
204.					Sold (part)	06/19/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. -iShares 20+ Year Treasury Bond ETF	A	Dividend	J	T	Buy	06/26/20	J		
206. -Vanguard Real Estate ETF	A	Dividend	J	T	Buy	06/18/20	J		
207.					Sold (part)	07/23/20	J	A	
208. -Vanguard Mid Cap ETF	A	Dividend	K	T	Buy	06/18/20	J		
209.					Buy (add'l)	06/26/20	J		
210. -Vanguard Value ETF	A	Dividend	J	T	Buy	06/18/20	J		
211.					Buy (add'l)	07/23/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. The Foster Victor IRA and FVWA Taxable Account listed in lines 10-211 reflect the information about that account as of 12/31/2020. The amounts in the IRA have since been reinvested, along with the proceeds from other retirement funds associated with my old firm into the FVWA IRA and FVWA Taxable Account described in section VII. Therefore, the value codes that were in the prior FDR have been deleted in this updated FDR.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Arthur M. Quattlebaum, Jr**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544