

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gorman, Mary P.	2. Court or Organization Central District of Illinois	3. Date of Report 05/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 600 East Monroe St. #235 Springfield, Illinois 62701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|------------|---|
| 1. Trustee | Trust #1. Brother-in-law is settlor. Sole beneficiaries are my sister, nieces and nephews. |
| 2. Trustee | Trust #2. Brother -in-law is settlor. Sole beneficiaries are my sister, nieces and nephews. |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | |
| 2. | |
| 3. | |

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Name of Person Reporting

Gorman, Mary P.

Date of Report

05/10/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Gorman, Mary P.	05/10/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Gorman, Mary P.

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05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1- checking account at JP Morgan Chase		None	J	T					
2. Trust #2 - checking account at JP Morgan Chase		None	J	T					
3. Trust #1- whole life policy with Gen. Amer. Life	A	Dividend	K	T					
4. Trust #2 - whole life policy with Gen. Amer. Life	A	Dividend	O	T					
5. Whole life policy with Northwestern Mutual Life Ins.	A	Dividend	K	T					
6. AMG Funds Timessquare Mid Cap Gr.	C	Dividend	K	T	Sold (part)	08/06/20	J	A	
7. American Funds Euro Pac.Growth Fd.	A	Dividend	K	T					
8. Artisan Partners Fds. Small Cap Fd.	A	Dividend	K	T	Sold (part)	08/06/20	J	A	
9. Baird Funds-AGS BondFund	A	Dividend	K	T	Buy	02/24/20	K		
10.					Sold (part)	10/13/20	J	A	
11. BlackrockGlobal Alloc. Instit.	B	Dividend	K	T	Sold (part)	10/13/20	J	A	
12. Delaware Group Equity FDS II Value Fd.	B	Dividend	K	T	Buy (add'l)	06/16/20	K		
13.					Buy (add'l)	08/06/20	J		
14. BNY Mellon Fds Dynamic Total Return (Dreyfus)(name change)		None			Sold	02/24/20	K	A	
15. Fidelity Advisor Strategic Income Fd.	A	Dividend	J	T	Sold (part)	10/13/20	J	A	
16. Fidelity 500 Index Fund	A	Dividend	K	T					
17. First Eagle Funds Global Fd.	A	Dividend	K	T	Buy (add'l)	04/07/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Gorman, Mary P.	05/10/2021

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	10/13/20	J		
19. Forward Funds Tactical Growth Fund	A	Dividend	J	T	Sold (part)	10/13/20	J		
20. Goldman Sachs Finl Square Treas. Instrs. Fd.	A	Dividend	J	T	Sold (part)	01/10/20	J	A	
21.					Buy (add'l)	02/25/20	J		
22.					Sold (part)	04/09/20	J	A	
23.					Buy (add'l)	05/04/20	J		
24.					Buy (add'l)	05/19/20	J		
25.					Sold (part)	07/10/20	J	A	
26.					Buy (add'l)	08/06/20	J		
27.					Sold (part)	10/09/20	J	A	
28.					Buy (add'l)	10/13/20	J		
29.					Buy (add'l)	10/14/20	J		
30. Harbor Cap. Apprec. Fd.	C	Dividend	K	T	Sold (part)	07/10/20	J	A	
31.					Sold (part)	08/06/20	J	C	
32. Hartford Mut. Funds FDS- Midcap Funds	B	Dividend	K	T	Sold (part)	05/08/20	L	C	
33. Hartford Mut Funds - Cap. Apprec. Fund	A	Dividend	L	T	Buy	04/16/20	L		
34.					Buy (add'l)	04/17/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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35. Jensen Portfolio Income	C	Dividend	K	T	Buy (add'l)	02/24/20	J		
36.					Sold (part)	04/16/20	J	A	
37.					Buy (add'l)	05/18/20	J		
38.					Buy (add'l)	06/16/20	J		
39.					Buy (add'l)	06/17/20	J		
40.					Buy (add'l)	08/06/20	J		
41.					Buy (add'l)	10/13/20	J		
42. John Hancock Classic Value Fund		None			Sold	06/16/20	J	B	
43. John Hancock Funds Absolute Return Currency		None			Sold	02/24/20	J	A	
44. John Hancock Funds III Disciplined Value Cap Fd	A	Dividend			Sold	04/16/20	J	A	
45.			K	T	Buy	05/18/20	J		
46.					Buy (add'l)	08/06/20	J		
47. JP Mprgan Tr. I US Equity Fund	A	Dividend			Sold	06/16/20	J	C	
48. JP Mprgan Tr.1 Global Alloc. Fund	A	Dividend	K	T	Buy	02/24/20	K		
49.					Buy (add'l)	10/13/20	J		
50. JP Morgan Chase - bank account		None	M	T					
51. JP Morgan Chase- bank account	A	Interest	K	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Lazard Funds - US Equity	A	Dividend	J	T	Buy	02/24/20	J		
53.					Buy (add'l)	10/13/20	J		
54. LoCorr Invt. Trust Macro Strategies Fund	A	Dividend	J	T					
55. Mainstay Large Cap Growth Fd Cl. I	B	Dividend	K	T					
56. Meridian FD Inc. Sm Cap Growth Fds	A	Dividend	K	T					
57. Metropolitan West Funds Total Return Bond Fund	B	Dividend	K	T	Buy (add'l)	10/13/20	J		
58. MFS Ser. TR III Municipal High Inc. Fund CL I	A	Dividend	J	T	Buy (add'l)	08/06/20	J		
59. MFS Ser. TR X Emerging Mkts Class I	A	Dividend	J	T	Buy (add'l)	08/06/20	J		
60. MFS Ser. Tr.I Value Fund	A	Dividend	K	T					
61. Natixis Fds. - Alpha Simplex (Managed Futures)	A	Dividend	J	T	Sold (part)	02/24/20	J	B	
62. Invesco Oppenheimer Dev. Mkts Fd. (name change)	A	Dividend	K	T	Sold (part)	08/06/20	J	A	
63. InvescoOppenheimer Global Fund (name change)	A	Dividend	J	T	Sold (part)	01/02/20	J	A	
64.					Sold (part)	10/13/20	J	A	
65. Pimco All Asset Fund	A	Dividend	K	T	Sold (part)	05/18/20	L	B	
66.					Buy (add'l)	10/13/20	J		
67. Pimco Funds Pacific Investment	A	Dividend	J	T	Buy (add'l)	04/16/20	L		
68. Principal Funds High Yield Fd.	A	Dividend	J	T					

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Putnam Absolute Return		None			Sold	02/24/20	J	B	
70. Russell Invt. Co Emerging Mkts. Fd	A	Dividend			Sold	04/16/20	K	A	
71.			L	T	Buy	05/18/20	K		
72.					Buy (add'l)	05/19/20	J		
73. Russell Invt. Co. Developed Mkts Fd.	A	Dividend			Sold	04/16/20	L	A	
74.			L	T	Buy	05/18/20	L		
75. Russell Invt. Co. Strategic Bond Fd.	A	Dividend	K	T					
76. Russell Invt. Co. US Small Cap Equity Fd.	A	Dividend			Sold	04/16/20	K	A	
77.			L	T	Buy	05/18/20	L		
78. Russell Invt. Co. US Strat. Equity Fd.	A	Dividend			Sold	04/16/20	M	A	
79.			M	T	Buy	05/18/20	L		
80.					Buy (add'l)	05/19/20	J		
81. Russell Multi Asset Growth Strategy Fd.	A	Dividend	L	T	Buy	05/18/20	L		
82.					Buy (add'l)	05/19/20	J		
83. T. Rowe Price IntrnlFund-Overseas Stock	A	Dividend			Sold	04/16/20	K	A	
84.			K	T	Buy	05/18/20	J		
85.					Buy (add'l)	08/06/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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86. T. Rowe Price Summit Mun. Fds.	A	Dividend	J	T	Buy (add'l)	08/06/20	J		
87. Thornburg Inv. Tr. Global Opportunities Fund	A	Dividend			Sold	02/24/20	J	A	
88. Tributary Fds. Sm Co. Fund	A	Dividend			Sold	04/16/20	J	A	
89.			J	T	Buy	05/18/20	J		
90.					Buy (add'l)	05/19/20	J		
91.					Buy (add'l)	08/06/20	J		
92. Undiscovered Managers Behavioral Value Fund	A	Dividend	K	T					
93. Victory Portfolios Small Co Opportunity Fd.	A	Dividend			Sold	04/16/20	K	A	
94.			K	T	Buy	05/19/20	K		
95.					Buy (add'l)	08/06/20	J		
96. Virtus Emerging Mkts Opp. Ff n/k/a Virtus Opportunities	A	Dividend	K	T					
97. Wells Fargo Fds. Emerging Mkts Equity Fund	A	Dividend	K	T	Sold (part)	08/06/20	J	A	
98. Wells Fargo Global Sm Cap	A	Dividend	K	T	Buy (add'l)	10/13/20	J		
99. Wells Fargo Funds Spec. Mid Cap Value	A	Dividend	K	T					
100. Wells Fargo Absolute Return Fund	B	Dividend	L	T	Sold (part)	02/25/20	J	A	
101.					Sold (part)	10/13/20	K	B	
102. American Funds Int.Gr. & Inc. Fund		None			Buy	04/16/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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103.					Sold	05/18/20	L	B	
104. Fundamental Invs. Inc.		None			Buy	04/16/20	L		
105.					Buy (add'l)	04/17/20	K		
106.					Sold	05/18/20	M	C	
107. Lazard Fund-Emerging Mkts.		None			Buy	04/16/20	K		
108.					Buy (add'l)	04/17/20	J		
109.					Sold	05/18/20	K	B	
110. Royce Premier Fund		None			Buy	04/16/20	L		
111.					Sold	05/18/20	L	D	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Gorman, Mary P.	05/10/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gorman, Mary P.

Date of Report

05/10/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary P. Gorman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544