

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Knowles, III, Daniel E.	2. Court or Organization District Court-LAED	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Mag. Judge-Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U. S. District Court 500 Poydras Street, Room B335 New Orleans, Louisiana 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Private Music Teacher
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. 2016 LSU Trial Advocacy Training Program	08/08/2016-08/10/2016	Baton Rouge, Louisiana	Teaching Trial Advocacy	Transportation, Hotel
2. American Conference Institute	09/26/2016-09/30/2016	New York, New York	Speak at CLE	Transportion, Hotel
3. Louisiana State Bar Association	02/29/16-03/02/16	Sonoma, California	Speak at CLE	Transporttion, Hotel
4. LSU	04/07/16-04/08/16	Baton Rouge, LA	Speak at CLE	Transportation, Hotel
5. Markel Marine Summit	05/16/16-05/18/16	Milwaukee, WI	Speak at CLE	Transportation, Hotel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Prime Money Market Fund	B	Dividend	M	T	Buy	09/20/16	M		
2. Vanguard Prime Money Market Fund	B	Dividend	M	T	Buy	11/17/16	K		
3. Vanguard Intermediate Term Tax Exempt Fund	B	Dividend	M	T					
4. T. Rowe Price Municipal Income Fund	B	Dividend	J	T					
5. Bank of America Common Stock	A	Dividend			Sold	06/28/16	J	A	
6. General Electric Co. Common Stock	A	Dividend			Sold	06/28/16	J	A	
7. IRA-T. Rowe Price Equity Income Fund	A	Dividend	M	T					
8. IRA-Personal Strategy Growth Fund	A	Dividend	M	T					
9. IRA-Retirement 2015 Fund	C	Dividend	O	T					
10. IRA-New ERA Fund	A	Dividend	K	T					
11. IRA-Financial Services Fund	A	Dividend	K	T					
12. Inherited IRA-Vanguard Conservative Growth Fund	A	Dividend	M	T					
13. Vanguard Star Fund	B	Dividend	M	T	Buy	06/24/16	K		
14. IRA Short Term Bond Fund	A	Dividend			Sold	06/28/16	J	A	
15. Vanguard Short Term Tax Exempt Fund	A	Dividend			Sold	06/28/16	J	A	
16. Hombek Offshore	A	Dividend	J	T	Buy	08/25/16	J		
17. Vanguard Energy Fund	A	Dividend	L	T	Buy	01/19/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Halliburton Common Stock	A	Dividend	J	T					
19. Baker Hughes cf Common Stock	A	Dividend	J	T	Buy	06/28/16	J		
20. Nabors Industries Common Stock	A	Dividend	J	T					
21. Linco Common Stock		None	J	T					
22. Vanguard Emerging Markets Stock Fund	A	Dividend	K	T					
23. Vanguard Precious Metals Fund	A	Dividend	K	T	Buy	10/13/16	K		
24. Vanguard Long-Term Tax Exempt Fund	A	Dividend	L	T	Buy	09/14/16	L		
25. Rangold Resources, Ltd.		None	J	T	Buy	10/18/16	J		
26. American Airlines Group		None			Buy	03/28/16	J		
27. American Airlines Group		None			Sold	07/01/16	J	A	
28.									
29.									
30.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel E. Knowles, III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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