

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conti, Joy Flowers	2. Court or Organization U.S. District Court WDPA	3. Date of Report 05/06/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Joseph F. Weis Jr. U.S. Courthouse 700 Grant Street, Suite 5250 Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Honorary Board Member	Catholic Charities
2. Regent	Kushkushkee Trail Chapter of the Daughters of the American Revolution
3. Member	University of South Carolina School of Law Cybersecurity Legal Task Force
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Boenning & Scattergood - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	George Mason University Foundation, Inc	January 31 - February 6, 2020	Key West, FL	Short Course on Economics and Case Analysis Seminar	Lodging, Transportation, Meals
2.	The Naples Roundtable, Inc.	February 15-20, 2020	Naples, FL	2020 3rd Annual Leahy Institute of Advanced Patent Studies	Lodging, Transportation, Meals
3.	University of South Carolina	March 4-5, 2020	Columbia, SC	Ransomware - National Cybersecurity Legal Institute	Lodging, Transportation, Meals
4.	Arizona State University	March 10-12, 2020	Phoenix, AZ	2020 ASU - Arkfeld eDiscovery Conference	Lodging, Transportation, Meals
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BROKERAGE ACCOUNT #1 - J (H)									
2. -Standard Bank Deposit Account	A	Interest	J	T					
3. ROTH IRA ROLLOVER ACCOUNT #1 - W (H)									
4. -New Residential Invt Corp Stock	B	Dividend	J	T	Sold (part)	04/01/20	J		
5.					Buy (add'l)	04/14/20	J		
6. -SPDR S&P 500 ETF Trust Units	A	Dividend	K	T	Sold (part)	01/28/20	K	E	
7. -Fidelity Advusor Ser VII Technology Fund Cl A	C	Dividend	L	T					
8. -JP Morgan Chase & Co Stock	A	Dividend			Sold	04/01/20	K		
9. -Standard Bank Deposit Account	A	Interest	K	T					
10. -Conifer Hldgs 6.75% Pfd Inc Sr Note due 09/30/23	B	Interest	J	T					
11. -Priority Income Fund Pfd Ser C 6.625% due 06/30/24	A	Dividend	J	T					
12. -Galectin Therapeutics Stock		None	J	T					
13. -Merchants Bancorp 7% Pfd Perp Mty Call 04/01/24	A	Interest	J	T					
14. -First Internet 6% Pfd Bancorp due 6/30/29	A	Interest	J	T					
15. -Apple Inc Stock	A	Dividend	J	T	Buy	08/05/20	J		
16. -Disney Walt Company		None	K	T	Buy	05/08/20	J		
17. -Invesco QQQ Trust	A	Dividend	J	T	Buy	04/14/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -Morgan Stanley Insight Fund	A	Dividend	J	T	Buy	06/11/20	J		
19. -Oxford Lane Capital Corp Stock	A	Dividend	J	T	Buy	06/03/20	J		
20. -Oceanfirst Fin 7% Pfd Stock	A	Dividend	K	T	Buy	05/01/20	J		
21. RETIREMENT PLAN #2 - W (H)									
22. -TIAA Traditional Annuities	D	Interest	M	T					
23. -Vanguard REIT Index Fund	A	Dividend	J	T	Sold (part)	01/15/20	J	A	
24.					Sold (part)	02/18/20	J	A	
25.					Sold (part)	03/16/20	J	A	
26.					Sold (part)	04/15/20	J	A	
27.					Sold (part)	05/15/20	J	A	
28.					Sold (part)	06/15/20	J	A	
29.					Sold (part)	07/15/20	J	A	
30.					Sold (part)	08/17/20	J	A	
31.					Sold (part)	09/15/20	J	A	
32.					Sold (part)	10/15/20	J	A	
33.					Sold (part)	11/16/20	J	A	
34.					Sold (part)	12/15/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -CREF Stock R2 Fund		None	L	T	Sold (part)	01/15/20	J	A	
36.					Sold (part)	02/18/20	J	A	
37.					Sold (part)	03/16/20	J	A	
38.					Sold (part)	04/15/20	J	A	
39.					Sold (part)	05/15/20	J	A	
40.					Sold (part)	06/15/20	J	A	
41.					Sold (part)	07/15/20	J	A	
42.					Sold (part)	08/17/20	J	A	
43.					Sold (part)	09/15/20	J	A	
44.					Sold (part)	10/15/20	J	A	
45.					Sold (part)	11/16/20	J	A	
46.					Sold (part)	12/15/20	J	A	
47. -CREF Money Market R2		None	L	T	Sold (part)	01/15/20	J	A	
48.					Sold (part)	02/18/20	J	A	
49.					Sold (part)	03/16/20	J	A	
50.					Sold (part)	04/15/20	J	A	
51.					Sold (part)	05/15/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52.					Sold (part)	06/15/20	J	A	
53.					Sold (part)	07/15/20	J	A	
54.					Sold (part)	08/17/20	J	A	
55.					Sold (part)	09/15/20	J	A	
56.					Sold (part)	10/15/20	J	A	
57.					Sold (part)	11/16/20	J	A	
58.					Sold (part)	12/15/20	J	A	
59. -Vanguard Small Cap Index Fund	A	Dividend	K	T	Sold (part)	01/15/20	J	A	
60.					Sold (part)	02/18/20	J	A	
61.					Sold (part)	03/16/20	J		
62.					Sold (part)	04/15/20	J		
63.					Sold (part)	05/15/20	J		
64.					Sold (part)	06/15/20	J	A	
65.					Sold (part)	07/15/20	J	A	
66.					Sold (part)	08/17/20	J	A	
67.					Sold (part)	09/15/20	J	A	
68.					Sold (part)	10/15/20	J	A	

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69.					Sold (part)	11/16/20	J	A	
70.					Sold (part)	12/15/20	J	A	
71. -Vanguard Mid Cap Index Fund	A	Dividend	K	T	Sold (part)	01/15/20	J	A	
72.					Sold (part)	02/18/20	J	A	
73.					Sold (part)	03/16/20	J		
74.					Sold (part)	04/15/20	J		
75.					Sold (part)	05/15/20	J	A	
76.					Sold (part)	06/15/20	J	A	
77.					Sold (part)	07/15/20	J	A	
78.					Sold (part)	08/17/20	J	A	
79.					Sold (part)	09/15/20	J	A	
80.					Sold (part)	10/15/20	J	A	
81.					Sold (part)	11/16/20	J	A	
82.					Sold (part)	12/15/20	J	A	
83. -American Mutual Fund R6	A	Dividend	J	T	Sold (part)	01/15/20	J	A	
84.					Sold (part)	02/18/20	J	A	
85.					Sold (part)	03/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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86.					Sold (part)	04/15/20	J		
87.					Sold (part)	05/15/20	J		
88.					Sold (part)	06/15/20	J		
89.					Sold (part)	07/15/20	J	A	
90.					Sold (part)	08/17/20	J	A	
91.					Sold (part)	09/15/20	J	A	
92.					Sold (part)	10/15/20	J	A	
93.					Sold (part)	11/16/20	J	A	
94.					Sold (part)	12/15/20	J	A	
95. -Dodge & Cox Income Fund	B	Dividend	K	T	Sold (part)	01/15/20	J	A	
96.					Sold (part)	02/18/20	J	A	
97.					Sold (part)	03/16/20	J	A	
98.					Sold (part)	04/15/20	J	A	
99.					Sold (part)	05/15/20	J	A	
100.					Sold (part)	06/15/20	J	A	
101.					Sold (part)	07/15/20	J	A	
102.					Sold (part)	08/17/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.					Sold (part)	09/15/20	J	A	
104.					Sold (part)	10/15/20	J	A	
105.					Sold (part)	11/16/20	J	A	
106.					Sold (part)	12/15/20	J	A	
107. ROTH IRA ROLLOVER ACCOUNT #3 - H (H)									
108. -JP Morgan Value Advantage Fund	A	Dividend			Sold	08/24/20	K	A	
109. -JP Morgan Chase & Co Stock	A	Dividend	K	T					
110. -SPDR S&P 500 ETF Trust Units	A	Dividend	J	T	Sold (part)	01/28/20	K	D	
111. -Standard Bank Deposit Account	A	Interest	J	T					
112. -Assured Guaranty Ltd	A	Dividend			Sold	06/22/20	J		
113. -Conifer Hldgs 6.75% Pfd Inc Sr Note due 09/30/23	A	Dividend	J	T					
114. -Invesco Global Opport Fund (Name Change)	A	Dividend	J	T					
115. -Southerly Hotels 8.25% Pfd Perp Mty Call 04/18/24		None	J	T					
116. -ETF Managers Trust Prime Cyber Sec	A	Dividend	J	T					
117. -First Internet 6% Pfd Bancorp Note Due 6/30/29	A	Interest	K	T					
118. -New Residential Invt Corp Stick	B	Dividend	J	T	Buy (add'l)	04/07/20	J		
119. -Apple Inc Stock	A	Dividend	J	T	Buy	08/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Conti, Joy Flowers

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. -Palantir Technologies Inc Stock		None	K	T	Buy	11/25/20	K		
121. -Morgan Stanley Insight Fund\	B	Dividend	K	T	Buy	06/22/20	K		
122. -Oxford Lane Capital Corp Stock	A	Dividend	J	T	Buy	06/03/20	J		
123. IRA ROLLOVER ACCOUNT #6 - H (H)									
124. -S&T Bancorp Stock	A	Dividend	J	T					
125. -Standard Bank Deposit Account	A	Interest	K	T					
126. -JP Morgan Value Advantage Fund		None			Sold	08/24/20	K	A	
127. -SPDR SER TR S&P REGL BKG ETF	A	Dividend	J	T					
128. -SPDR SER TR S&P BK ETF		None			Sold	03/09/20	J		
129. -SPDR S&P 500 ETF Trust Units	A	Dividend	J	T	Sold	01/28/20	K	D	
130.					Buy	03/09/20	J		
131.					Buy (add'l)	03/20/20	J		
132. -JP Morgan Chase & Co Stock	A	Dividend	J	T					
133. -New Residential Invt Corp Stock (REIT)	B	Dividend	J	T	Buy (add'l)	03/20/20	J		
134. -Vanguard Information ETF Technology	A	Dividend	J	T					
135. -Weatherford International PLC Stock New (Name Change)		None			Sold	04/08/20	J		
136. -Priority Income Fund Pfd Ser C 6.625% Mty 06/30/24	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -Merchants Bancorp 7% Pfd Perp Mty Call 04/01/24	B	Dividend	K	T					
138. -Tristate Cap 6.375% Pfd Perp Call 07/01/24	A	Dividend	K	T					
139. - Invesco QQQ Trust	A	Dividend	J	T	Buy	03/09/20	J		
140.					Buy (add'l)	06/11/20	J		
141. -MTBC Inc 11% Pfd Stock	B	Dividend	J	T	Buy	04/23/20	J		
142. -Columbia Small Cap Growth Fund	B	Dividend	K	T	Buy	08/25/20	K		
143. -Vanguard Information ETF Technology	A	Dividend	K	T	Buy	08/25/20	J		
144. CITIZENS BANK ACCOUNTS		None	J	T					
145. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					
146. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					
147. METROPOLITAN LIFE WHOLE LIFE INS POLICY		None	K	T					
148. METRO LIFE (Name Change from Genl Amer) WHOLE LIFE INS POLICY		None	J	T					
149. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					
150. METROPOLITAN LIFE WHOLE LIFE INS POLICY		None	J	T					
151. NORTHWESTERN WHOLE LIFE INS POLICY		None	J	T					
152. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	J	T					
153. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. HUNTINGTON BANK ACCOUNT		None	J	T					
155. BROKERAGE ACCOUNT #2 (W) (H)									
156. -New Residential Investment Corp Stock (REIT)	A	Dividend	J	T					
157. -Standard Bank Deposit Account	A	Interest	J	T					
158. ROTH IRA ROLLOVER ACCOUNT #7 - H (H)									
159. -Safe-T-Shade LLC		None	K	T					
160. -Averatek Inc Stock		None	J	T					
161. -Averatek 8% Convertible Note due 4/30/22	A	Interest	J	T					
162. SEVENTH STREET INVESTMENT LP		None	K	T					
163. TAASERA INC STOCK		None			Sold	09/15/20	J		
164. 401(K) PLAN #1 - H (H)									
165. -Standard Bank Deposit Account	A	Interest	J	T					
166. -Invesco QQQ ETF Trust Units	A	Dividend	J	T					
167. -SPDR S&P 500 ETF Trust Units	A	Dividend	J	T					
168.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ADDITIONAL INFORMATION FOR:

Part VII Investments and Trusts

From prior years:

There is no Retirement Plan #1

There is no IRA Rollover/Roth IRA Rollover Accounts # 2, 4 or 5

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joy Flowers Conti**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544