

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FRENSLEY , JEFFERY S.	2. Court or Organization U. S. DISTRICT COURT, TENNESSEE	3. Date of Report 4/21/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE - FULL TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address U. S. COURTHOUSE 801 BROADWAY NASHVILLE, TENNESSEE 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. EXECUTOR	ESTATE #1 (No Reportable Assets)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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FRENSLEY , JEFFERY S.	4/21/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SUNTRUST BANK	A	Int./Div.	K	T					
2. CRITERION CAPITAL ADVISORS									
3. SGOIX FIRST EAGLE FUNDS OVERSEAS	A	Dividend	K	T					
4. WEIMX TETON WESTWOOD EQUITY	A	Dividend			Sold	5/15/20	J	A	
5. FDRXX Fidelity Gov. Reserves	A	Dividend	J	T					
6. PGXFX American Funds GLBL Growth PORT CL	D	Dividend	K	T					
7. FMACX AMCAP Fund	C	Dividend	L	T					
8. FNPFX New Perspective Fund F3	C	Dividend	L	T					
9. FNWFX American New World Fund F3	A	Dividend	K	T					
10. SFCWX American Smallcap World Fund F3	A	Dividend	L	T	Buy	5/15/20	J		
11. FWMIX Washington Mutual Investors FDCL F3	B	Dividend	L	T					
12. ROTH IRA									
13. VAFAX INV AMER FRANCH CL A	A	Dividend	J	T					
14. UTMA									
15. RAYMOND JAMES BANK DEPOSIT PROGRAM	A	Interest	J	T					
16. ICSH IShares TR ULTRA SH TRM BD	A	Dividend	K	T	Sold (part)	11/12/20	K	A	
17. IVV Ishares TR. Cores S & P 500 ETF	A	Dividend	J	T	Buy	11/12/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. IWR Ishares TR RUS Mid Cap ETF	A	Dividend	J	T	Buy	11/12/20	J		
19. IJR Ishares TR Core S & P SLP ETF	A	Dividend	J	T	Buy	11/12/20	J		
20. IBDN Ishares TR BONDS Dec 22 ETF	A	Dividend	J	T	Buy	11/12/20	J		
21. PIMCO Active Bond ETF (Bond)	A	Dividend	J	T	Buy	11/12/20	J		
22. BND Vanguard Total Bond Market ETF	A	Dividend	J	T	Buy	11/12/20	J		
23. 529									
24. AAFXX American VA 529 U.S. Government Money Market	A	Dividend			Sold	01/21/20	K	A	
25. IRA #1									
26. FDRXX Fidelity Gov. Reserves	A	Dividend	J	T					
27. ASPZX Alger Spectra CLZ	A	Dividend	J	T	Sold (part)	06/17/20	J	A	
28. CMNIX Calamos Mkt Neutral Income	A	Dividend			Sold	4/28/20	J	A	
29. SGOIX First Eagle Overseas	A	Dividend	J	T	Sold (part)	02/22/20	J	A	
30.					Sold (part)	06/17/20	J	A	
31.					Buy	10/20/20	J		
32. WOBDX JP Morgan Core Bond	A	Dividend	K	T	Buy	02/21/20	J		
33.					Buy	05/01/20	J		
34.					Sold (part)	10/20/20	J	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. TTRZX Templeton Global Total RTADV	A	Dividend	J	T	Sold (part)	02/21/20	J	A	
36.					Sold (part)	10/20/20	J	A	
37. AZMIX Allianzgi NFS Emerg Mrkts Value Ins H	A	Dividend			Sold	06/07/20	J	A	
38. OAYIX Oakmark INTL Advisor Fund	A	Dividend	J	T	Sold (part)	02/21/20	J	A	
39.					Sold (part)	06/17/20	J	A	
40.					Buy	10/20/20	J		
41. BPAIX Boston Partners All Cap Value INSTL	A	Dividend	J	T	Buy	02/21/20	J	A	
42.					Sold (part)	06/17/20	J	A	
43.					Buy	10/20/20	J		
44. LLDYX Lord Abbett Short Duration Income	A	Dividend	K	T	Sold (part)	06/17/20	J	A	
45.					Sold (part)	10/20/20	J	A	
46. TRGLX T Rowe Price Global Stock Fund CL1	A	Dividend	J	T	Buy	06/17/20	J		
47. OTIIX T Rowe Price Small Cap Stock 1 Class	A	Dividend	J	T	Buy	05/15/20	J		
48.					Buy	06/17/20	J		
49.					Buy	10/20/20	J		
50. MISIX Victory Trivalent Intl Small Cap 1	A	Dividend	J	T	Buy	02/21/20	J		
51.					Buy	10/20/20	J		

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52. RGGYX Victory RS Global FD CLY	A	Dividend	J	T	Buy	06/17/20	J		
53.					Buy	10/20/20	J		
54. ALZFX Alger Focus Equity CLZ	A	Dividend	J	T	Buy	02/21/20	J		
55.					Buy	10/20/20	J		
56. General Investment Account									
57. FDRXX Fidelity Gov. Reserves	A	Dividend	J	T					
58. ASPZX Alger Spectra CLZ	A	Dividend	J	T	Sold (part)	03/03/20	J	A	
59.					Sold (part)	6/17/20	J	A	
60.					Sold (part)	10/20/20	J	A	
61. AZMIX Allianzgi NFJ Emerg Mrkts	A	Dividend			Sold	06/17/20	J	A	
62. CMNIX Calamos Mkt Neutral Income	A	Dividend	J	T	Sold (part)	04/14/20	J	A	
63.					Sold	04/22/20	J	A	
64. OAYIX Oakmark Intl Advisor Fund	A	Dividend			Sold (part)	06/17/20	J	A	
65. BPAIX Boston partners ALL CAP	A	Dividend	J	T					
66. TTRZX Templeton Global Total RTADV	A	Dividend	J	T	Sold (part)	04/15/20	J	A	
67.					Sold (part)	04/22/20	J	A	
68. FDZXX Fidelity MMKT	A	Interest	J	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. LLDYX Lord Abbett Short Duration Income	A	Dividend	K	T	Sold (part)	10/20/20	J	A	
70. TRGLX T Rowe Price Global Stock Fund CL 1	A	Dividend	J	T	Buy	06/17/20	J		
71. RGGYX Victory RS Global FD CL Y	A	Dividend	J	T	Buy	06/17/20	J		
72. TIMUX Transamerica MUNI 1	A	Dividend	K	T	Buy	01/31/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- I. 1. Estate #1 is the estate of a family member. There are no reportable assets in the estate.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ JEFFERY S. FRENSLEY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544