

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carney, Cormac J.	2. Court or Organization District Court - Central District of California	3. Date of Report 04/10/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Ronald Reagan Federal Building 411 West Fourth Street Santa Ana, CA 92701-4516		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	University of California, Irvine - teaching	\$9,974.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	University of California, Irvine - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank Accounts	A	Interest	K	T					
2. Vanguard Wellington Fund	B	Dividend	L	T					
3. Vanguard Health Fund	B	Dividend	K	T					
4. Janus Twenty Fund	D	Dividend	L	T	Redeemed (part)	03/27/15	K	A	
5. Janus Global Technology	A	Dividend			Redeemed	11/11/15	J	D	
6. American Century - Ultra	C	Dividend	L	T					
7. County Deferred Comp. Plan	A	Dividend	J	T					
8. -Stable Value Fund									
9. -Loomis Sayles Cove Plus Bond N									
10. IRA Brokerage	A	Dividend	O	T					
11. -Schwab Total Bond Fund - SWLBX									
12. -Schwab Money Market Fund									
13. -COST									
14. -Clipper Fund - CFIMX									
15. -HD									
16. -MRK									
17. -BRKB									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -TGT									
19. -P&G									
20. -AAPL									
21. -Meridian Growth Fund - MERDX									
22. -Schwab 1000 Index Fund - SNXSX									
23. -Schwab Intl Index Fund - SWINX									
24. -Tweedy Browne Global Value Fund - TBGVX									
25. -Vanguard Small Cap Index Fund - NAESX									
26. SPYG	A	Dividend	J	T	Buy	01/06/15	J		
27. 401(k)	B	Dividend	L	T					
28. -Dodge and Cox Stock									
29. -Inspire Horizon RM 2020									
30. -Metro West Total Return									
31. Brokerage Account									
32. -American Funds - Balanced	A	Dividend	J	T					
33. -Capital Income Bldr FD - CIBCX	A	Dividend	J	T					
34. -American Funds - Growth Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Investco Small Cap Value	A	Dividend	J	T					
36. -Washington Mutual Investors Fund - WSHCX	A	Dividend	J	T					
37. -American Funds - New Perspective Fund	A	Dividend	J	T					
38. -Putnam CA Tax Exempt Income FD - CLC	A	Dividend	K	T					
39. IRA Rollover	B	Dividend	K	T					
40. -Growth FD Amer Inc - GFACX									
41. -Franklin Rising Dividends - FRDTX									
42. -Allianz NFJ Small Cap - PCVCX									
43. -Capital World Growth & Income - CWGCX									
44. -Pimco Total Return - PTTCX									
45. -Amer Blanced Fund - BALCX									
46. -Investco Global Core Eq Fund									
47. Variable Life Insurance	C	Dividend	N	T					
48. -NW Mutual - Mid Cap Grth Stock									
49. -NW Mutual Growth Stock Fund									
50. -NW Mutual Index 400 Stock Fund									
51. -NW Mutual Small Cap Growth Fund									

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52. -Russell Non-US Fund									
53. -Russell Real Estate Securities Fund									
54. -T Rowe Price Small Cap Value									
55. -Delaware Mgmt Domestic Equity									
56. Inherited IRA	D	Distribution	M	T					
57. -JPM									
58. -FDFFX					Sold (part)	11/25/15	J	B	
59. -PRPFX					Sold (part)	11/25/15	J	C	
60. -FDRXX									
61. -FSCRX					Sold (part)	11/25/15	J	B	
62. Retirement Savings Program	A	Dividend	K	T					
63. -UC Equity Fund									
64. -Vang Sm Cap Indx Inst									
65. -UC Bond Fund									
66. -UC Pathway 2060									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII: Item 28: Dodge and Cox Stock replaced BlackRock Equity Fund MSDVX on September 30, 2015.
2. Part VII: Item 30: MetroWest Total Return replaced Pimco Total Return on September 30, 2015.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Cormac J. Carney**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544