

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Younge, John M.	2. Court or Organization U.S. District Court for the Eastern District of Pennsylvania	3. Date of Report 08/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. District Court for the Eastern District of Pennsylvania 601 Market Street Philadelphia, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	West Philadelphia Community Mental Health Consortium
2. Director	New Life Credit Union
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2005	Pennsylvania State Employees Retirement System Defined Pension Plan (no control)
2. 1995	Redevelopment Authority of the City of Philadelphia Defined Pension Plan (no control)
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Younge, John M.

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08/12/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Pennsylvania State Employees Retirement System Defined Pension Plan	\$102,789.00
2. 2020	Redevelopment Authority of the City of Philadelphia (pension)	\$17,490.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Inglis House (salary)
2. 2020	Bala Nursing and Rehabilitation Center (salary)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Younge, John M.

Date of Report

08/12/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sallie Mae	Educational Loans	M
2.	Nationstar Mortgage Company	Mortgage on Rental Property #1	L
3.	New York Life Insurance Company	Whole Life Insurance Policy Loans	K
4.	Payoff Loan Company	Personal Consolidation Loan	K
5.	Upstart Loan Company	Personal Consolidation Loan	K

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Philadelphia Federal Credit Union (cash)	A	Interest	J	T					
3. Apple Federal Credit Union (cash) (Y)									
4. Omega Psi Phi Federal Credit Union (cash) (Y)									
5. New Life Credit Union (cash) (Y)									
6. PNC Bank (cash)	A	Interest	J	T					
7. New York Life Insurance Whole Life Policy	A	Dividend	J	T					
8. Rental Property #1, Philadelphia, PA	D	Rent	M	W					
9. Realvest LLC	A	Distribution	J	U					
10. Account #1 (H)									
11. Charles Schwab Bank Sweep (cash)	A	Interest	L	T					
12. Goldman Sachs Bank (cash)	A	Interest	L	T	Open	01/02/20	K		
13. Abbott Laboratories (ABT)	A	Dividend	J	T	Buy	04/17/20	J		
14. Account #2 (H)									
15. Charles Schwab Sweep Account (cash)	A	Interest	K	T					
16. Charles Schwab US REIT ETF (SCHH)	A	Dividend	J	T	Buy	01/13/20	J		
17. Schwab Fundamental Intl Large Co ETF (FNDF)	A	Dividend	K	T	Buy	01/13/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	03/12/20	J		
19. Schwab Fundamental US Large Co ETF (FNDX)	A	Dividend	K	T	Buy	01/13/20	K		
20.					Buy (add'l)	03/12/20	J		
21.					Sold (part)	06/02/20	J		
22.					Sold (part)	06/24/20	K		
23. Schwab Fundamental US Small Co ETF (FNDA)	A	Dividend	J	T	Buy	01/13/20	K		
24.					Buy (add'l)	03/12/20	J		
25.					Sold (part)	06/24/20	J		
26. Schwab Intermediate Term US Treasury ETF (SCHR)	A	Dividend	J	T	Buy	01/13/20	K		
27.					Sold (part)	03/12/20	J	A	
28.					Sold (part)	06/24/20	K	B	
29. Schwab International Equity ETF (SCHF)	A	Dividend	J	T	Buy	01/13/20	J		
30.					Buy (add'l)	06/24/20	J		
31. Schwab US Large Cap ETF (SCHX)	A	Dividend	K	T	Buy	01/13/20	K		
32.					Buy (add'l)	06/24/20	J		
33. Schwab US Small Cap ETF (SCHA)	A	Dividend	K	T	Buy	01/13/20	K		
34.					Buy (add'l)	03/12/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Schwab US Tips ETF (SCHP)	B	Dividend	K	T	Buy	01/13/20	K		
36.					Buy (add'l)	06/24/20	K		
37. SPDR Intermediate Term Corporate Bond ETF (SPIB)	A	Dividend	K	T	Buy	01/13/20	K		
38. Vanguard Intermediate Term Core ETF (VCIT)	A	Dividend	J	T	Buy	06/24/20	J		
39. Vanguard Mortgage Backed Securities ETF (VMBS)	A	Dividend	K	T	Buy	01/13/20	K		
40.					Sold (part)	03/12/20	J		
41. Account #3 (H)									
42. TD Ameritrade Insured Deposit (cash) (Y)									
43. Abbott Laboratories Com (ABT)	A	Dividend	J	T	Buy	04/17/20	J		
44. Principal ETF Price Index (PSET)	A	Dividend	J	T	Sold (part)	11/09/20	J		
45. Vanguard Russell 100 Growth ETF (VONG)	A	Dividend	J	T	Sold (part)	02/21/20	J		
46.					Buy (add'l)	03/04/20	J		
47.					Sold (part)	09/29/20	J		
48.					Sold (part)	11/09/20	J		
49.					Buy (add'l)	11/30/20	J		
50. Berkshire Bank Pittsfield, MA CD (cash)	A	Interest			Sold	04/09/20	K		
51. American Century Disciplined Growth Investor (ADSIX)	B	Dividend	J	T	Buy (add'l)	01/08/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	03/04/20	J		
53.					Sold (part)	09/29/20	J		
54. Account #4 (H)									
55. American Century Growth Fund (ADSIX)	A	Dividend	J	T					
56. Account #5 (H)									
57. Cisco (CSCO) (X)	A	Dividend	J	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Younge, John M.

Date of Report

08/12/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Younge, John M.

Date of Report

08/12/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John M. Younge**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544