

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dearie, Raymond J.	2. Court or Organization Eastern District of New York	3. Date of Report 06/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge, Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse Room 910 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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Name of Person Reporting

Dearie, Raymond J.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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Dearie, Raymond J.	06/14/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Checking and Savings	A	Interest	M	T					
2. Citibank IRS Money Market AIC	A	Interest	J	T					
3. I.R.E.B. Federal Credit Union - Regular Shares	A	Interest	K	T					
4. USAA Checking and Savings (X)	A	Interest	J	T					
5. First National Bank of St. Louis (X)	A	Interest	J	T					
6. Municipal Credit Union (X)	A	Interest	J	T					
7. Apple common stock	A	Dividend	J	T					
8. Berkshire Hathaway Inc.(X) common stock	A	Dividend	K	T	Sold (part)	04/16/20	J		
9. Chevron Corp comon stock	A	Dividend	J	T					
10. Duke Energy common stock	A	Int./Div.	J	T					
11. General Mills common stock	A	Int./Div.	J	T					
12. McCormick & Company common stock	A	Int./Div.	J	T	Buy (add'l)	09/21/20	J		
13. Microsoft Common Stock	A	Int./Div.	J	T	Buy	03/26/20	J		
14. Nike common stock	A	Dividend	J	T	Sold (part)	04/16/20	J		
15. Simon Property Group common stock	A	Int./Div.	J	T	Sold (part)	04/16/20	J		
16. Starbucks common stock	A	Int./Div.	J	T					
17. Union Pacific common stock	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Walt Disney common stock	A	Int./Div.	J	T					
19. MFS Global Equity Fund CL A	A	Int./Div.	J	T					
20. Federated Muni & Stock ADV F	A	Int./Div.	J	T	Sold (part)	04/16/20	J		
21. JP Morgan Chase	A	Int./Div.	J	T					
22. Edward Jones Investment - Act (H)									
23. Bridge Builder Bond Fund	A	Int./Div.	J	T	Sold (part)	03/26/20	J		
24. Bridge Builder Core Plus Bond Assoc.	A	Int./Div.	J	T	Buy (add'l)	01/03/20	J		
25. Columbia Small Cap Value I	A	Int./Div.	J	T					
26. Dimensional DFA Int'l Value Fund	A	Int./Div.	J	T					
27. Dodge & Cox Stock	A	Int./Div.	J	T					
28. Europacific Growth	A	Int./Div.	J	T					
29. Franklin Mutual Shares	A	Int./Div.	J	T					
30. Ironbridge Smid Cap	A	Int./Div.	J	T					
31. Jh Disciplined Value Mid Cap	A	Int./Div.	J	T					
32. JP Morgan Fed Mon Mkt	A	Int./Div.	J	T					
33. MFS Int'l Value	A	Int./Div.	J	T					
34. Pimco Total Return IV (X)	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Prudential High Yield	A	Int./Div.	J	T					
36. Prudential Jenn Mid Cap GR	A	Int./Div.	J	T					
37. T. Rowe Price Instl Lrge Cp G (X)R	B	Int./Div.	J	T					
38. T. Rowe Price Int'l Stock (X)	A	Int./Div.	J	T					
39. Victory Small Company Opport	A	Int./Div.	J	T					
40. Edward Jones Act. 2 - Roth IRA (X) (H)									
41. AFS Int'l Growth Fund CL I	A	Int./Div.	J	T					
42. Ally Bk Sandy Utah	A	Int./Div.	J	T					
43. Amazon Com Ins	A	Int./Div.	J	T					
44. American Cap Inc.	A	Int./Div.	J	T					
45. American Balanced	A	Int./Div.	J	T					
46. American Balanced F3	A	Int./Div.	J	T	Sold (part)	09/10/20	J		
47. American Express	A	Int./Div.	J	T					
48. American Inc. Fund	A	Int./Div.	J	T					
49. AT & T	A	Int./Div.			Sold	09/10/20	J		
50. Alphabet Inc.	A	Int./Div.	J	T					
51. Artisian Int'l Value	A	Int./Div.	J	T	Buy	06/12/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Blackrock	A	Int./Div.	J	T	Buy (add'l)	10/19/20	J		
53. Blackrock High Yield	A	Int./Div.	J	T	Buy	06/12/20	J		
54. Boeing	A	Int./Div.	J	T	Buy	09/10/20	J		
55. Bridge Builder Bond Fund	A	Int./Div.	J	T					
56. Bridge Buildger Large Growth	A	Int./Div.	J	T	Buy	06/12/20	J		
57. Cba Aggressive Growht I	A	Int./Div.	J	T					
58. Citibank Nat'l Assn.	A	Int./Div.	J	T					
59. Columbia Acorn Int'l	A	Int./Div.	J	T					
60. Core US Aggregate	A	Int./Div.	J	T					
61. Discover Bank CD	A	Int./Div.	J	T					
62. Dimensional Int'l Small Co. Fund	A	Int./Div.	J	T					
63. Dodge & Cox Stock	A	Int./Div.	J	T					
64. Franklin Mutual Shares CI Z	A	Int./Div.	J	T					
65. Fundamental Investors	A	Int./Div.	J	T					
66. Goldman Sachs Bank	A	Int./Div.	J	T					
67. Goldman Fs Gov't	A	Int./Div.	J	T	Buy (add'l)	07/01/20	J		
68. Harbor Capital Appreciation	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Invesco Cornstock	A	Int./Div.	J	T					
70. Invesco Op Global Opport R6	A	Int./Div.	J	T					
71. JP Morgan Emerging Markets	A	Int./Div.	J	T	Buy (add'l)	09/10/20	J		
72. JP Morgan Fed Mon Mkt	A	Int./Div.	J	T					
73. JP Morgan High Yield	A	Int./Div.	K	T					
74. JP Morgan High Yield	A	Int./Div.	K	T					
75. JP Morgan Mid Cap Value Instl	A	Int./Div.	K	T					
76. JP Morgan US Gov't Money Market Fund	A	Int./Div.	J	T					
77. Loomis Sayles INV Grade BD	A	Int./Div.	J	T					
78. Metropolitan West Ttl Red Bd	A	Int./Div.	J	T					
79. MFS Global Growth	A	Int./Div.	J	T					
80. MFS International Value	A	Int./Div.	J	T					
81. MFS Value	A	Int./Div.	J	T					
82. Morgan Stanley Private	A	Int./Div.	J	T					
83. MSCI EAFE Value ETF	A	Int./Div.	J	T					
84. MSCI EAFE Growth ETF	A	Int./Div.	J	T	Buy (add'l)	03/26/20	J		
85. MSCI EAFE Small Cap	A	Int./Div.	J	T					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. Pimco Total Return IV	A	Int./Div.	J	T					
87. Prudential High-Yield	A	Int./Div.	J	T					
88. Russ 2000 ETF	A	Int./Div.	J	T	Buy (add'l)	03/26/20	J		
89. Russ 1000 Value ETF	A	Int./Div.	J	T	Buy (add'l)	03/26/20	J		
90. Russ MC Value ETF	A	Int./Div.	J	T	Buy (add'l)	03/26/20	J		
91. Russ MC Growth ETF	A	Int./Div.	J	T					
92. S & P 500 Growth ETF	A	Int./Div.	J	T					
93. T. Rowe Price Blue Ship Gr	A	Int./Div.	J	T					
94. T. Rowe Price Intl Bond	A	Int./Div.	J	T					
95. Vanguard TH BD Mkt ETF	A	Int./Div.	J	T					
96. Vanguard Lg Cap ETF	A	Int./Div.	J	T					
97. Vanguard Value ETF	A	Int./Div.	J	T	Buy (add'l)	03/26/20	J		
98. Vanguard FTSE All-World	A	Int./Div.	J	T	Buy (add'l)	03/26/20	J		
99. Visa Inc.	A	Int./Div.	J	T					
100. Edward Jones Act. 3 - Traditional IRA (X) (H)									
101. Bridge Builder Bond Fund	A	Int./Div.	J	T	Buy (add'l)	03/26/20	J		
102. Bridge Builder Small Mid-Growth	A	Int./Div.	J	T	Buy (add'l)	03/26/20	J		

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. Bridge Builder Small Mid-Value	A	Int./Div.	J	T	Buy (add'l)	03/26/20	J		
104. Bridge Builder Intl Equity	A	Int./Div.	J	T	Buy (add'l)	03/26/20	J		
105. Dodge & Cox Stock	A	Int./Div.	J	T	Buy (add'l)	03/26/20	J		
106. Fundamental Investors	A	Int./Div.	J	T					
107. Harbor Capital Appreciation	A	Int./Div.	J	T					
108. Invesco Cornstock	A	Int./Div.	J	T					
109. JP Morgan Fed Mon Mkt	A	Int./Div.	J	T					
110. JP Morgan High Yield	A	Int./Div.	J	T					
111. JP Morgan Mid Cap Value	A	Int./Div.	J	T					
112. Loomis Sayles Inv Grade Bd	A	Int./Div.	J	T					
113. Metropolitan West Ttl Ret Bd	A	Int./Div.	J	T					
114. MFS Value	A	Int./Div.	J	T					
115. Pimco Total Return IV	A	Int./Div.	J	T					
116. Prudential Jenn Mid Cap Gr	A	Int./Div.	J	T					
117. Ranier Small/Mid Cap Eq Instl	A	Int./Div.	J	T					
118. Scout Int'l	A	Int./Div.	J	T					
119. T. Rowe Price Blue Chip Gr	A	Int./Div.	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. T. Rowe Price Intl Bd	A	Int./Div.	J	T					
121. T. Rowe Price Intl Stock	A	Int./Div.	J	T					
122. Federate Municipal & Stock Advantage Fund	A	Int./Div.	J	T					
123. Rollover IRA (H) Mutual Funds									
124. JP Morgan Large Cap Growth	A	Int./Div.	K	T	Sold (part)	10/19/20	J		
125. Newberger Berman Mid Cap Growth	A	Interest	J	T					
126. Newberger Int'l Equity Fund	A	Int./Div.	K	T					
127. Clearbridge Small Cap Grw Cl A	A	Int./Div.	J	T					
128. Prudential Jennison Int'l Fund	A	Int./Div.	K	T	Sold (part)	10/19/20	J		
129. Prudential QMA Small Cap Value	A	Int./Div.	J	T					
130. Victory Integrity Mid Cap Value	A	Int./Div.	K	T					
131. Prudential Equities Individual Account (H)									
132. Advanced Micro Device	A	Int./Div.	J	T	Buy	01/22/20	J		
133. Annaly Cap Mgmt. Inc.	A	Int./Div.	J	T					
134. Annaly Cap Mgmt. Inc.	A	Int./Div.	J	T					
135. Apple Inc.	A	Int./Div.	J	T					
136. Berkshire Hathway Inc.	A	Int./Div.	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Dearie, Raymond J.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Blackrock Large Cap Value Fund	A	Int./Div.	L	T	Buy (add'l)	10/20/20	J		
138. Calamos High Income Fund	A	Int./Div.	J	T					
139. Celgene Corp.	A	Int./Div.	J	T					
140. Fleetcor Tech	A	Int./Div.	J	T					
141. Garmin Ltd. Co.	A	Int./Div.	J	T	Buy	01/22/20	J		
142. Gilead Sciences Inc.	A	Int./Div.	J	T					
143. Harmon Int'l Industries Inc.	A	Int./Div.	J	T					
144. Henry Jack & Assoc.	A	Int./Div.	J	T					
145. Mastercard Inc.	A	Int./Div.	J	T					
146. Samsung Elec.	A	Int./Div.	J	T					
147. JP Morgan Chase & Co.	A	Dividend	J	T					
148. KKR & Co.	A	Dividend	J	T					
149. Newberger Berman	A	Dividend	J	T					
150. Stryker Corp	A	Int./Div.	J	T					
151. TJX Cos Inc.	A	Dividend	J	T					
152. iShares MSCI EAGE Index Fund	A	Dividend	J	T					
153. SPDR Five Hundred	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. Vanguard Whitehall Funds	A	Dividend	J	T					
155. Starwood Property Inc.	A	Dividend	J	T					

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Dearie, Raymond J.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Raymond J. Dearie**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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