

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Sammartino, Janis L.	2. Court or Organization Southern District of California	3. Date of Report 05/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 221 W. Broadway, Suite 4194 San Diego, CA 92101		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust I
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	City of San Diego Pension	\$51,682.13
2. 2020	California State Judges Retirement	\$109,927.56
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	California State Judges Retirement
2. 2020	PDR Corp. - salary
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Sammartino, Janis L.	05/12/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	PARENT PLUS LOANS	UNSECURED LOANS FOR COLLEGE TUITION	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MITEK		None	J	T					
2. FISERV		None	N	T					
3. TEVA	A	Dividend	J	T					
4. PRUDENTIAL	A	Dividend	J	T					
5. AMERICAN GEN LIFE INS CO POLICY-- CASH VALUE		None	K	T					
6. RENTAL PROPERTY #1, SAN DIEGO, CA (TRUST I)	E	Rent	O	W					
7. RENTAL PROPERTY #2, DEL MAR, CA	E	Rent	O	W					
8. MORGAN STANLEY SELECT UMA, TRADITIONAL IRA (-777) (H)									
9. -MS Bank Account	A	Interest	J	T					
10. -Exchange-Traded & Closed-End Funds									
11. --Ivesco QQQ Trust, Series 1	A	Dividend	L	T	Buy	07/22/20	L		
12. --Vanguard Index FDS S&P 500 ETF	A	Dividend	M	T	Buy	12/28/20	M		
13. -Mutual Funds:									
14. --Artisan Developing World Adv		None			Buy	05/20/20	K		
15.					Sold	07/17/20	K	D	
16. --Blackrock Mid Cap GRW EQ Inst		None	L	T	Buy	07/22/20	L		
17. --Bny Mellon Intl Stk I		None			Buy	05/20/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold	07/17/20	L	D	
19. --Causeway Emerging Mkts Inst		None			Sold	05/20/20	L	D	
20. --Columbia Dividend Income Inst	B	Dividend	M	T	Buy	07/22/20	M		
21. --Delaware Value Instl	A	Dividend			Sold	05/20/20	L		
22. --Diamond Hill Long-Short I		None			Buy	01/31/20	K		
23.					Buy (add'l)	05/20/20	J		
24.					Sold	07/17/20	K		
25. --E V Income Fund of Boston	A	Dividend			Buy	04/08/20	J		
26.					Buy (add'l)	05/20/20	J		
27.					Sold	07/17/20	J	A	
28. --Edgewood Growth Instl		None			Buy (add'l)	03/20/20	J		
29.					Sold (part)	05/20/20	J	B	
30.					Sold	07/17/20	K	E	
31. --Goldman Sachs Abslt Ret Trck		None			Buy	01/31/20	L		
32.					Sold (part)	03/20/20	J		
33.					Buy (add'l)	04/08/20	J		
34.					Buy (add'l)	05/20/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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35.					Sold	07/17/20	L		
36. --Goldman Sachs Multi Mgr Alt I		None			Sold	01/31/20	L		
37. --GQG Partners Emrg Mkts Eq Ins					Sold	05/20/20	K		
38. --Harding Loevner Intl Eqty Inst		None			Sold	05/20/20	L	D	
39. --Hartford Balanced Inc I	B	Dividend	L	T	Buy	07/22/20	K		
40.	A	Distribution							
41. --Hartford Dividend & Growth	A	Dividend			Buy	05/20/20	L		
42.					Sold	07/17/20	L	D	
43. --Hartford Midcap I					Sold	07/17/20	K	D	
44. --Hartford Schroder Em Mkt Eq		None			Buy	05/20/20	L		
45.					Sold	07/17/20	L	D	
46. --Hartford Small Cap Growth I		None			Sold	07/17/20	J	A	
47. --JHF Seaport Long/Short Fund I		None			Sold	01/31/20	K	B	
48. --JPMorgan Value Advantage I		None			Sold (part)	05/20/20	J		
49.					Sold	07/17/20	K		
50. --Lazard Intl Strat Eq Ptf Inst		None			Sold	07/17/20	L	D	
51. --Locorr Market Trend I		None			Sold	07/17/20	K	B	

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52. --Loomis Growth Y		None			Sold	07/17/20	L	E	
53. --Lord Abbett Bond Deb F	A	Dividend	L	T	Buy	07/24/20	L		
54. --Lyrical US Value Equity Inst		None			Sold	01/31/20	K	C	
55. --Matthews Asian Japan Inv		None			Sold	05/20/20	K	B	
56. --Metropolitan West Tot Ret Bd	A	Dividend			Buy	03/19/20	J		
57.					Sold	07/17/20	J	A	
58. --Neuberger Intrinsic Value Inst					Sold	07/17/20	J	A	
59. --Nuance Mid Cap Value Instrl	A	Dividend			Buy (add'l)	03/19/20	J		
60.					Buy (add'l)	03/20/20	J		
61.					Sold	07/17/20	K	D	
62. --Pear Tree Polaris Fgn VI I		None			Sold (part)	05/20/20	K		
63.					Sold	07/17/20	L		
64. --Pgim High Yield Z	A	Dividend			Buy	04/08/20	J		
65.					Buy (add'l)	05/20/20	J		
66.					Sold	07/17/20	K	B	
67. --Pgim Jenn Gbl Opportunities Z	B	Distribution	M	T	Buy	07/22/20	M		
68. --Pimco Comm Real Ret Strat 12	A	Dividend			Buy	04/08/20	K		

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold	07/17/20	K	B	
70. --Pimco Long Term Credit BD 12	B	Dividend			Sold (part)	03/16/20	J	A	
71.	A	Distribution			Sold	03/20/20	L		
72. --Pimco Short Term I2	A	Dividend			Sold (part)	03/16/20	J		
73.					Sold	04/08/20	J		
74. --TortoiseMLP & Pipeline Inst		None			Buy (add'l)	03/20/20	J		
75.					Sold	04/08/20	J		
76. --Virtus Kar Sm-Cap Value I		None			Buy	05/20/20	J		
77.					Sold	07/17/20	J	A	
78. --Western Asset Cor Plus Bd I	A	Dividend			Buy	03/19/20	J		
79.					Buy (add'l)	03/20/20	J		
80.					Buy (add'l)	05/20/20	J		
81.					Sold	07/17/20	K	B	
82. MORGAN STANLEY TRADITIONAL IRA (-778) (H)									
83. -MS Bank Account	A	Interest	J	T					
84. -Preferred Stocks									
85. --Athene Holding Ltd 6.375%	B	Dividend	L	T	Buy	07/21/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

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86. --Corporate Fixed Income									
87. --Citizens Financial Group Inc Fxd to 102525	A	Dividend	K	T	Buy	07/21/20	K		
88. --Huntington Bancshares Inc/oh Fxd to 072030	A	Dividend	K	T	Buy	07/21/20	K		
89. -Unit Investment Trusts									
90. --Unit Aam Ubiquitous Strategy 2020-3Q	A	Dividend	L	T	Buy	07/21/20	L		
91. TRUST #2 (MS Brokerage Account) (H)									
92. -Common Stocks									
93. --Alibaba Group Holdg Ltd		None	L	T	Buy (add'l)	12/22/20	K		
94. --Apple Inc	A	Dividend	L	T					
95. --Boeing Co		None	J	T					
96. --Exact Sciences Corp		None	L	T					
97. --Facebook Inc		None	K	T					
98. --Home Depot Inc	A	Dividend	K	T					
99. --Microsoft Corp		None	L	T					
100. --Nano Dimension Ltd Adr		None	J	T	Buy	05/20/20	J		
101. --NIO Inc Spon Ads		None	K	T	Buy	11/09/20	K		
102.					Buy (add'l)	11/13/20	J		

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103. --Tencent Hldgs Ltd Unspn Adr	A	Dividend	J	T					
104. --Preferred Stocks									
105. --Fifth Third Bancorp 6.625%	A	Dividend	K	T	Buy	04/15/20	J		
106. --Keycorp 6.125% Ser-E	A	Dividend	K	T	Buy	04/15/20	K		
107. --Regions Financial Co 6.375%-B	A	Dividend	K	T	Buy	04/15/20	K		
108. -Exchange-Traded & Closed-End Funds									
109. --Invesco QQQ Trust, Series 1	A	Dividend	K	T	Buy	03/12/20	J		
110.					Buy (add'l)	03/25/20	J		
111. --SPDR DJIA Trust	A	Dividend	K	T	Buy	03/12/20	J		
112.					Buy (add'l)	03/25/20	J		
113. --Vanguard Index FDS S&P 500 ETF	A	Dividend	K	T	Buy	03/12/20	J		
114.					Buy (add'l)	03/25/20	J		
115. -Municipal Bonds:									
116. --Galt Schs Jt Pwrs Auth	A	Interest			Redeemed	04/09/20	K		
117. --Liberty Calif Un High Sch Dist Ref	B	Interest	K	T					
118. --CA St Pub Wks Brd Lease Rev	B	Interest	K	T					
119. --CA Health Facs Fing Auth Rev Ser-D	B	Interest	K	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. --Los Angeles Community College Dist Ser-G	B	Interest	K	T					
121. --Montebello Calif Uni Sch Dis Oid	B	Interest	L	T					
122. --Chula Vista Calif Ctfs Part Rev Ref	B	Interest	L	T					
123. --El Centro Calif Fing Auth Wtr Rev Ref-A	B	Interest	L	T					
124. --California St For Previous Issues See 13	B	Interest	K	T					
125. --Hayward Calif Uni Sch Dis Oid	B	Interest	K	T					
126. --Cupertino Calif Un Sch Dist Ser-D	B	Interest	L	T					
127. -Corporate Bonds									
128. --Bank of America Corp 6.1% Fixed	C	Interest	L	T					
129. --Bank of America Corp Fxd to 062024 Var Thrafter 5.8750%	C	Interest	L	T					
130. --Citigroup Inc 6.3% Fixed Div	C	Interest	L	T					
131. --ETrade Financial Corp 5.875% (exchange to Morgan Stanley Fxd)	C	Interest			Closed	10/01/20	L		
132. --General Electric Co Fxd to 012021 5.00%	B	Interest	K	T					
133. --JPMorgan Chase & Co Fxd to 082024 5.00%	B	Interest	K	T					
134. --JPMorgan Chase & Co Fxd to 102024 6.10%	B	Interest	K	T					
135. --Morgan Stanley Fxd to 092026 (exchange from ETrade Fin Corp)		None	L	T	Open	10/01/20	K		
136. --Wells Fargo & Co Fxd to 062024 5.90%	B	Interest	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Mutual Funds									
138. --Catalyst Millburn Hgd Strat C		None			Sold	04/29/20	L		
139. --John Hancock Intl Growth C		None	J	T					
140. --MSIF Global Franchise C	B	Distribution	K	T					
141.	A	Dividend							
142. -MSIF Global Opportunity Ptf C	A	Distribution	K	T	Buy	04/30/20	K		
143. -MSIF Growth C	C	Distribution	K	T	Buy	04/30/20	K		
144. --Principal Glb Divers Inc C	B	Dividend	K	T					
145. -Equity Trusts									
146. --Unit First Trust Value Line Target Safety 30 2Q19	A	Int./Div.			Sold	06/11/20	K		
147. --Unit First Trust S&P Dividend Aristocrats Target 25 4Q19	B	Dividend			Sold	12/14/20	J	A	
148. --Unit Aam Ubiquitous Strategy 2019-2	A	Dividend	L	T					
149. --Unit First Trust Value Line Target Safety 30 2Q20	A	Dividend	K	T	Buy	06/11/20	K		
150. --Unit FTP S&P Dividend Aristocrats Target 25 4Q2020		None	L	T	Buy	12/14/20	L		
151. -MS Bank Acct	A	Interest	K	T					
152. TRUST #3 (MS Brokerage Account) (H)									
153. -MS Bank account	A	Interest	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. --Preferred stocks:									
155. --Alabama Power Co 5%	A	Dividend	J	T					
156. --Allstate Corp 5.100 H	A	Dividend	J	T	Buy	02/26/20	J		
157.					Sold (part)	12/18/20	J	A	
158. --Allstate Corp Dep 1/1000-G	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
159. --American International 5.85%	A	Dividend	J	T	Sold (part)	11/15/20	J		
160. --Arch Cap Group Ltd 5.25	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
161. --Arch Cap Group Ltd 5.45%	A	Dividend	J	T	Buy (add'l)	05/12/20	J		
162.					Sold (part)	12/18/20	J	A	
163. --Associated Banc-Corp 5.625%	A	Dividend	J	T	Buy	06/26/20	J		
164.					Sold (part)	12/18/20	J	A	
165. --AT&T Inc 4.750% Ser-C	A	Dividend	J	T	Buy	02/26/20	J		
166.					Sold (part)	12/18/20	J	A	
167. --AT&T Inc 5.00% Ser-A	A	Dividend	J	T	Buy	01/14/20	J		
168. --Axis Cap Holdings Ltd 5.5%-E	A	Dividend			Sold	05/14/20	J		
169. --Bank New York Mellon	A	Dividend			Sold (part)	02/20/20	J	A	
170.					Sold	07/16/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. --Bank of Amer Corp 5.375%	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
172. --Bank of Amer Corp 5.00% Ser LL	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
173. --Bank of America Cor 4.375%		None			Buy	11/05/20	J		
174.					Buy (add'l)	11/06/20	J		
175.					Sold (part)	12/18/20	J	A	
176. --Bank of America Corp 6%-EE	A	Dividend			Sold	12/30/20	J	A	
177. --Bank of America Corp 6.2%-CC	A	Dividend	J	T					
178. --Bank of America Corp 6.5%-Y	A	Dividend			Redeemed	01/27/20	K		
179. --Capital One Financial 4.80%-J	A	Dividend	J	T	Buy	02/07/20	J		
180. --Capital One Financial 5%-I Prf	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
181. --Capital One Financial Co 6%-H	A	Dividend	J	T					
182. --Capital One Finl Corp 6.20%-F	A	Dividend			Redeemed	12/01/20	J		
183. --Charles Schwab Corp 5.95%-D	A	Dividend	J	T					
184. --Citigroup Inc 6.875%-K	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
185. --Citigrp Inc 7.125%-J	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
186. --Citizens Fin Group 6.35%-D	A	Dividend	J	T					
187. --Citizens Finl Grp Inc 5.00% E	A	Dividend	J	T	Sold (part)	12/18/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. --Digital Realty Trust 5.850-K	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
189. --Digital Realty Trust 5.20% SerL	A	Dividend	J	T					
190. --Duke Energy Corp 5.75%-A	A	Dividend	J	T					
191. --Equitable Holdgs Dep (name change from Axa Equitable Hldg Inc 5.25%)	A	Dividend			Buy (add'l)	03/06/20	J		
192.					Sold	08/11/20	J		
193. --Federal Rlty Invt 5% Cum Ser C	A	Dividend			Sold	06/05/20	J	A	
194. --Fifth Third Bancorp 4.95% S-K	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
195. --First Rep Bk San Fcsc 5.5%I	A	Dividend	J	T					
196. --First Republic Bank 5.125%	A	Dividend	J	T					
197. --First Repulblic Bk 4.125% Pfd K	A	Dividend	J	T	Buy	09/24/20	J		
198. --First Republic Bk 4.7% Ser-J	A	Dividend	J	T					
199. --Goldman Sachs Group Inc 6.3%-N	A	Dividend	J	T					
200. --Goldman Sachs Grp Inc 5.5%	A	Dividend	K	T	Sold (part)	12/18/20	J	A	
201. --Goldman Sachs Grp Inc 6.375%-K	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
202. --JPMorgan Chase & Co 6.0% S-Ee	A	Dividend	J	T					
203. --JPMorgan Chase & Co 6.125%-Y	A	Dividend			Sold	03/02/20	J		
204. --JPMorgan Chase & Co 6.15% BB	A	Dividend			Sold	10/08/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting

Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. --JPMorgan Chase and Co DP Rep P	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
206. --Keycorp 5.625% Ser-G	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
207. --Keycorp 5.65% Ser-F	A	Dividend	J	T					
208. --Keycorp 6.125% Ser-E	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
209. --Kimco Realty	A	Dividend			Sold (part)	07/29/20	J		
210.					Sold	08/12/20	J	A	
211. --Kimco Realty Corp 5.25%-M	A	Dividend			Sold	08/12/20	J	A	
212. --Metlife Inc 4.75% F	A	Dividend	J	T	Buy	01/24/20	J		
213.					Sold (part)	12/18/20	J	A	
214. --Morgan Stanley 4.875% Ser-L	A	Dividend	J	T					
215. --Morgan Stanley 5.85%	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
216. --Morgan Stanley 6.625%-G	A	Dividend			Sold	01/15/20	J	A	
217. --Morgan Stanley 6.875%-F	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
218. --Morgan Stanley 7.125%-E	B	Dividend	K	T	Sold (part)	12/18/20	J	A	
219. --National Retail Prop 5.2%-F	A	Dividend			Sold (part)	07/30/20	J		
220.					Sold	08/05/20	J	A	
221. --New York Cmnty Bc Inc Ser A	A	Dividend			Sold	12/18/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. --Northern Trust Corp 4.70% S-E	A	Dividend			Sold	12/18/20	J	A	
223. --Peoples United Fin Inc Ser A	A	Dividend			Sold	12/18/20	J	A	
224. --PNC Finl-P 6.125% FLTS	B	Dividend	K	T	Buy (add'l)	08/14/20	J		
225.					Sold (part)	12/18/20	J		
226. --PS Business Parks Inc 4.875%-Z	A	Dividend	J	T					
227. --PS Business Parks 5.25%-X	A	Dividend	J	T					
228. --PS Business Parks Inc 5.7%-V					Sold	01/27/20	J		
229. --PS Busnss Prks Inc 5.20% Ser-Y	A	Dividend	J	T	Buy (add'l)	05/13/20	J		
230. --Public Storage 3.9% Ser-O		None	J	T	Buy	12/08/20	J		
231.					Sold (part)	12/18/20	J	A	
232. --Public Storage 4.875% Ser-I	A	Dividend	J	T	Buy (add'l)	03/05/20	J		
233.					Sold (part)	12/18/20	J	A	
234. --Public Storage 5.15%-F	A	Dividend	J	T					
235. --Public Storage 5.60% Ser-H	A	Dividend	J	T					
236. --Regions Financial Co 6.375%-B	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
237. --Regions Financial Corp 5.70%-C	A	Dividend	J	T					
238. --Renaissancere Hldgs Ltd Pfd F	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. --Renaissancere Hlds Ser-E 5.375	A	Dividend			Sold (part)	06/09/20	J		
240.					Sold (part)	10/13/20	J	A	
241.					Sold	12/09/20	J	A	
242. --Schwab Charles Corp Ser C	A	Dividend	J	T	Sold (part)	02/04/20	J	A	
243.					Sold (part)	10/14/20	J	A	
244.					Sold (part)	12/18/20	J	A	
245. --Site Centers Corp 6.375% CLA	A	Dividend			Sold	05/13/20	J		
246. --Spire Inc 5.90% Ser-A	A	Dividend	J	T					
247. --State St Co 5.90%-D	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
248. --State Street Corp 5.350%-G	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
249. --Stifel Financial Corp 6.125%-C	A	Dividend	J	T	Buy	06/04/20	J		
250. --SVB Financial Group 5.25%	A	Dividend	J	T	Buy	07/28/20	J		
251. --Synovus Finl Corp Ser E 5.875%	A	Dividend	J	T	Buy (add'l)	07/28/20	J		
252.					Sold (part)	12/18/20	J	A	
253. --The Allstate Corp 4.75% Ser I	A	Dividend	J	T	Buy	01/14/20	J		
254.					Buy (add'l)	01/23/20	J		
255.					Sold (part)	12/18/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. --Truist Financial Corp 5.25%	A	Dividend	J	T	Buy	06/04/20	J		
257.					Sold (part)	12/18/20	J	A	
258. --Truist Fincl Corp 4.75 Ser-R	A	Dividend	J	T	Buy	09/21/20	J		
259. --Truist Finl Corp Dep Pfd F	A	Dividend			Sold	06/04/20	J	A	
260. --Truis Finl Corp Dep Rp Pfd G	A	Dividend			Sold	06/10/20	J	A	
261. --Truist Finl Corp Dep Rp Pfd H	A	Dividend			Sold	11/03/20	J	A	
262. --US Bancorp	A	Dividend	J	T					
263. --U.S. Bancorp 3.75 Ser-L		None	J	T	Buy	11/05/20	J		
264.					Sold (part)	12/18/20	J	A	
265. --U.S. Bancorp 5.5%-K	A	Dividend	J	T	Buy (add'l)	02/27/20	J		
266. --US Bancorp-F 6.5% Flts	A	Dividend	J	T	Sold (part)	12/18/20	J		
267. --Vornado Realty Tr 5.25% Ser-N	A	Dividend	J	T	Buy	12/08/20	J		
268. --Vornado Realty Trust 5.25%-M	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
269. --Voya Financial Inc 5.35% Ser-B	A	Dividend	J	T					
270. --Webster Finl Corp Conn Dep S-F	A	Dividend	J	T					
271. --Wells Fargo & Co 4.75% Ser-Z	A	Dividend	J	T	Buy	01/29/20	J		
272. --Wells Fargo & Co 5.5% Ser-X	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. --Wells Fargo & Co 5.7%-W	A	Dividend	J	T					
274. --Wells Fargo & Company 5.625%-Y	A	Dividend	J	T					
275. --Wells Fargo & Company 6.625%-R	A	Dividend	J	T	Sold (part)	12/18/20	J		
276. --Wells Fargo 5.85-Q	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
277. -Fixed Rate Capital Securities:									
278. --Hartford finl Svc Grp 7.875%	A	Interest	J	T	Sold (part)	12/18/20	J		
279. --Reinsurance Group America Inc (RZA)	A	Interest	J	T	Sold (part)	05/13/20	J		
280. --Stifel Financial Corp 5.2%	A	Interest	J	T					
281. --Allstate Corp. 5.1%	A	Interest	J	T					
282. --American Financial Group	A	Interest			Sold	11/15/20	J		
283. --Berkley Corp	A	Interest	J	T					
284. --WR Berkley Corp	A	Interest			Sold	12/18/20	J	A	
285. --Globe Life Inc 6.125	A	Interest			Sold	07/29/20	J	A	
286. --Reinsurance Grp of America 5.750%	A	Interest			Sold	05/13/20	J		
287. --WR Berkley Corp 5.7%	A	Interest	J	T					
288. --Prudential Financial Inc 5.625%	A	Interest	J	T					
289. --Affiliated Managers Group Inc 5.875%	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. --American Financial Group 5.8750	A	Interest	J	T					
291. --American Financial Group 5.125%	A	Interest	J	T	Buy	01/15/20	J		
292. --Prudential Financial Inc	A	Interest	J	T	Buy	09/21/20	J		
293. --Affiliated Managers Gr 4.75%	A	Interest	J	T	Buy	12/08/20	J		
294. --National Rural Util Coop 5.50%	A	Interest	J	T					
295. --Entergy New Orleans Inc	A	Interest			Sold	11/02/20	J	A	
296. --Gatx Corp	A	Interest	J	T					
297. --Entergy Arkansas Inc 4.875%	A	Interest	J	T	Sold (part)	12/18/20	J	A	
298. --Entergy Louisiana LLC 4.875%	A	Interest	J	T	Sold (part)	12/18/20	J	A	
299. --Entergy Mississippi Inc 4.90%	A	Interest	J	T					
300. --AT&T Inc 5.35%	A	Interest	J	T					
301. --AT&T 5.625%	A	Interest	J	T					
302. --Nextera Energy Capital	A	Interest			Sold	12/18/20	J	A	
303. --Integrays Energy Grp Inc 6.00% Fixed	A	Interest			Sold	11/11/20	J	A	
304. --DTE Energy Co Jr Deb	A	Interest	J	T					
305. --Nextera Energy Capital Holdings Inc 5.25%	A	Interest	J	T	Sold (part)	02/10/20	J	A	
306.					Sold (part)	02/27/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307.					Sold (part)	03/02/20	J	A	
308. --Dominion Energy Inc 5.25%	A	Interest	J	T					
309. --The Southern Co 5.25% Ser-A	A	Interest	J	T					
310. --DTE Energy Co Ser F 6% Pfd	A	Interest	J	T					
311. --Georgia Power Co 5%-A	A	Interest	J	T	Sold (part)	12/18/20	J	A	
312. --DTE Energy Co Ser E 5.25%	A	Interest	J	T					
313. --The Southern Co 5.25% Ser 2017B	A	Interest	J	T					
314. --CMS Energy Corp 5.625%	A	Interest	J	T					
315. --Enbridge Inc 6.375%-B	A	Interest	J	T	Sold (part)	12/18/20	J	A	
316. --Duke Energy Corp 5.625%	A	Interest	J	T					
317. --Algonquin Power & Utilities Corp	A	Interest	J	T					
318. --Nextera Energy Capital Holdings Inc 5.65%	A	Interest	J	T					
319. --Algonquin Pwr & Utils Corp A	A	Interest	J	T					
320. --DTE Energy Co 4.375%		None	J	T	Buy	12/09/20	J		
321. -Mutual Funds:									
322. --Principle Capital Sec S	E	Dividend	N	T	Buy (add'l)	07/16/20	K		
323.					Buy (add'l)	08/14/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	11/05/20	J		
325. TRUST #4 (MS Brokerage Account) (H)									
326. -Morgan Stanley Bank Account	A	Interest	J	T					
327. -Common stocks:									
328. --Abbott Laboratories	A	Dividend	J	T	Buy	06/19/20	J		
329. --Abbvie Inc Com	A	Dividend	J	T	Buy	06/19/20	J		
330. --Accenture PLC Ireland Cl A	A	Dividend	J	T					
331. --Advanced Micro Devices		None	J	T	Buy	07/29/20	J		
332. --Aegon NV Adr		None			Sold	04/01/20	J		
333. --Agree Realty Corp	A	Dividend			Sold	06/12/20	J	B	
334. --Air Prod & Chem Inc	A	Dividend	K	T	Buy	02/03/20	J		
335.					Buy (add'l)	02/04/20	J		
336.					Buy (add'l)	02/05/20	J		
337.					Buy (add'l)	06/19/20	J		
338. --Alexandria Real Estate Eq Inc	A	Dividend			Sold	06/12/20	J	C	
339. --Allianz Se Adr (Exchanged for Allianz Se Ads)	A	Dividend			Sold	06/19/20	J		
340. --Allstate Corp	A	Dividend	J	T	Sold (part)	06/19/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341. --Ally Financial Inc	A	Dividend			Sold	06/19/20	J		
342. --Alphabet Inc Cl A		None	J	T	Sold (part)	12/21/20	J	A	
343. --Altria Group Inc	B	Dividend	J	T	Buy (add'l)	03/13/20	J		
344.					Sold (part)	12/21/20	J		
345. --Amedisys Inc		None	J	T					
346. --American Campus Cmmtys Inc	A	Dividend			Sold	06/12/20	J		
347. --American Electric Power Co	A	Dividend	J	T					
348. --American Express Co	A	Dividend	J	T	Sold (part)	05/12/20	J		
349.					Sold (part)	09/22/20	J		
350. --American St Water Co Hldg	A	Dividend			Sold	11/17/20	J	A	
351. --American Tower REIT Com	A	Dividend			Sold	06/12/20	K	A	
352. --Americold Realty Trust	A	Dividend			Sold	06/12/20	J	A	
353. --Ameriprise Fincl Inc	A	Dividend	J	T	Sold (part)	06/19/20	K	B	
354. --Amerisourcebergen Corp	A	Dividend			Sold	06/19/20	K	C	
355. --Amgen Inc	A	Dividend	J	T					
356. --Analog Devices Inc	A	Dividend	J	T	Buy	07/30/20	J		
357. --Anglo Amern Plc Sponsored Adr	A	Dividend			Sold	06/19/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. --ANSYS Inc		None	J	T	Sold (part)	12/21/20	J		
359. --Apartment Invt & Mgmt Co	A	Dividend			Sold	06/12/20	J	A	
360. --Apple Inc	A	Dividend	K	T	Sold (part)	02/03/20	J	C	
361.					Sold (part)	04/02/20	J	A	
362.					Sold (part)	07/23/20	J	D	
363.					Sold (part)	09/01/20	J	D	
364.					Sold (part)	12/21/20	J	C	
365. --Applied Materials Inc	A	Dividend	J	T	Buy	01/13/20	J		
366. --Aptargroup Inc	A	Dividend	J	T	Buy	12/08/20	J		
367. --Aptargroup Inc		None	J	T	Buy	12/08/20	J		
368. --Assurant Inc	A	Dividend	J	T					
369. --AT&T Inc	A	Dividend			Sold	08/06/20	J		
370. --Automatic Data Processing	A	Dividend	J	T	Buy	06/19/20	J		
371. --Avalonbay Comm Inc	A	Dividend	J	T					
372. --Aviva Plc Adr		None			Sold	04/30/20	J		
373. --Axa Ads		None			Buy	05/15/20	J		
374.					Sold	06/04/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Sammartino, Janis L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375. --Banco Bilbao Viz Arg Sa Ads	A	Dividend			Sold	04/30/20	J		
376. --Bank of America Corp	A	Dividend	J	T	Buy (add'l)	06/19/20	J		
377. --Baxter Intl Inc	A	Dividend	J	T					
378. --Berkshire Hathaway Cl-B New		None	K	T	Buy (add'l)	07/23/20	J		
379. --Best Buy Co	A	Dividend	J	T	Sold (part)	04/30/20	J		
380.					Sold (part)	06/19/20	K	C	
381.					Sold (part)	12/08/20	J	A	
382. --Biogen Inc Com		None			Sold	08/27/20	J		
383. --Blackrock Inc	A	Dividend	K	T					
384. --Bloomin'brands Inc Com		None	J	T	Buy	12/10/20	J		
385. --BNP Paribas Sp Adr Repstg		None			Sold	04/06/20	J		
386. --Boeing Co		None	J	T	Buy	11/10/20	J		
387. --Boston Properties Inc.	A	Dividend			Sold (part)	06/12/20	J		
388.					Sold	06/19/20	J		
389. --Bristol Myers Squibb Co	A	Dividend	J	T	Buy	06/19/20	J		
390. --Broadcom Inc	A	Dividend	J	T	Buy	06/19/20	J		
391. --Caci International Inc Cl A		None	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. --Cadence Design System		None	J	T					
393. --Calif Water Svc Gp Del	A	Dividend			Sold	10/16/20	J		
394. --Camden Property Trust	A	Dividend			Sold	06/12/20	J	A	
395. --Cardinal Health Inc	A	Dividend			Sold	06/19/20	J		
396. --Carnival Cp New Paired Com	A	Dividend			Sold	03/13/20	J		
397. --Caterpillar Inc	A	Dividend	J	T					
398. --Celanese Corp Series A Com stk	A	Dividend	J	T	Sold (part)	06/19/20	J		
399. --Centerpoint Energy Inc	A	Dividend			Sold	03/11/20	J		
400. --Centurylink Inc		None			Buy	06/02/20	J		
401.					Sold	06/19/20	J		
402. --Chevron Corp	B	Dividend	K	T	Buy (add'l)	05/04/20	J		
403.					Buy (add'l)	06/19/20	J		
404.					Sold (part)	12/21/20	J		
405. --China Mobile Ltd	A	Dividend			Sold	06/19/20	J		
406. --China Pete&Chem Cp Ads		None			Sold (part)	01/28/20	J		
407.					Sold (part)	02/26/20	J		
408.					Sold	04/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409. --China Telecom Ltd	A	Dividend			Buy	03/24/20	J		
410.					Sold	06/19/20	J		
411. --China Unicom	A	Dividend			Buy	04/30/20	J		
412.					Sold	06/19/20	J		
413. --Chubb Ltd	A	Dividend	J	T	Buy	06/19/20	J		
414. --Churchill Downs Inc	A	Dividend			Sold	04/02/20	J	A	
415. --Ciena Corp New		None			Sold (part)	08/27/20	J	A	
416.					Sold (part)	09/09/20	J	A	
417.					Sold	10/08/20	J	A	
418. --Cincinnati Financial Ohio	A	Dividend	J	T	Sold (part)	12/21/20	J	A	
419. --Cintas Corp	A	Dividend	J	T					
420. --Cisco Sys Inc	A	Dividend	K	T	Buy (add'l)	06/19/20	J		
421.					Sold (part)	12/21/20	J	A	
422. --Citigroup Inc New	A	Dividend			Sold	06/19/20	J		
423. --Ck Hutchison Hldgs Ltd Adr	A	Dividend			Buy	04/30/20	J		
424.					Sold	06/19/20	J		
425. --CME Group Inc	A	Dividend	J	T	Buy	06/19/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Sammartino, Janis L.

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05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426. --Cnooc Ltd Ads	A	Dividend			Sold	06/19/20	J		
427. --Coca Cola Co	A	Dividend	J	T	Sold (part)	12/21/20	J		
428. --Colgate Palmolive Co	A	Dividend	J	T					
429. --Comcast Corp (New) Class A	A	Dividend	K	T	Buy	06/19/20	J		
430.					Buy (add'l)	09/22/20	K		
431.					Sold (part)	12/21/20	J		
432. --Conocophillips	A	Dividend	J	T	Buy	09/22/20	J		
433. --Corsite Realty Corp	A	Dividend			Sold	06/12/20	J	A	
434. --Crown Castle Intl Corp New Com	A	Dividend	K	T	Sold (part)	06/12/20	J	C	
435. --Cubesmart Com	A	Dividend			Sold	06/12/20	J	A	
436. --Cummins Inc	A	Dividend			Sold	06/19/20	K	A	
437. --CVS Health Corp Com	A	Dividend	J	T					
438. --Danaher Corp	A	Dividend	J	T	Sold (part)	12/21/20	J	B	
439. --Darden Restaurants	A	Dividend			Sold	03/17/20	J		
440. --Deere & Co	A	Dividend	J	T	Buy	10/01/20	J		
441. --Delta Air Lines Inc New	A	Dividend			Sold (part)	03/24/20	J		
442.					Sold	05/04/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
443. --Diageo Plc Spon Adr New	A	Dividend	K	T					
444. --Diamondback Energy Inc	A	Dividend			Sold	10/01/20	J		
445. --Digital Realty Trust Inc	A	Dividend	J	T	Sold (part)	06/12/20	J	A	
446.					Buy (add'l)	06/19/20	J		
447. --Dominion Energy Inc	A	Dividend	K	T	Sold (part)	12/21/20	J		
448. --Eastgroup Properties Inc	A	Dividend			Sold	06/12/20	J	C	
449. --Eastman Chemical Company	A	Dividend			Sold	06/19/20	J	A	
450. --Eaton Corp Plc Shs	A	Dividend	J	T	Buy	06/19/20	J		
451. --Ebay Inc	A	Dividend	J	T	Sold (part)	01/30/20	J		
452. --Ecopetrol S A Sponsored Ads	A	Dividend			Sold	06/02/20	J		
453. --Edward Lifesciences Corp		None	J	T					
454. --Eli Lilly & Co	A	Dividend	J	T	Buy	06/19/20	J		
455. --Eni Spa Amer Dep Rcpt		None			Sold	02/26/20	J		
456. --EPR Pptys Com	A	Dividend			Sold	06/12/20	J		
457. --Equinix Inc Com Par	A	Dividend			Sold	06/12/20	J	C	
458. --Equity Lifestyle Properties	A	Dividend	J	T	Sold (part)	06/12/20	J	A	
459. --Essex Property Trust Inc	A	Dividend			Sold	06/12/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460. --Etsy Inc Com		None			Buy	08/06/20	J		
461.					Sold	11/10/20	J		
462. --Euronet Worldwide Inc		None			Sold	02/19/20	J	A	
463. --Eversource Energy Com	A	Dividend	J	T	Buy	06/19/20	J		
464. --Expedia Group Inc		None	J	T	Buy	04/29/20	J		
465. --Extra Space Storage Inc	A	Dividend			Sold	06/12/20	J	A	
466. --Facebook Inc Cl-A		None	J	T	Buy (add'l)	01/30/20	J		
467.					Buy (add'l)	04/20/20	J		
468.					Sold (part)	12/21/20	J	A	
469. --Fairfax Fin Hldg Sub Vtg	A	Dividend			Sold (part)	02/26/20	J		
470.					Sold	06/19/20	J	A	
471. --Fastenal Co	A	Dividend	J	T	Sold (part)	08/31/20	J	B	
472.					Sold (part)	09/01/20	J	C	
473. --Fedex Corp	A	Dividend	J	T					
474. --Fedl Rlty Invt Trust S B I	A	Dividend			Sold (part)	04/22/20	J		
475.					Sold	04/23/20	J		
476. --Fiat Chrysler Automobiles Nv		None			Sold	05/14/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477. --First Indust Realty Tr Inc	A	Dividend			Sold	06/12/20	J	B	
478. --Fleetcor Technologies		None			Sold	08/13/20	J		
479. --Fortinet Inc		None	J	T	Sold (part)	05/22/20	J	A	
480. --Franklin Resources Inc	A	Dividend	J	T	Sold (part)	12/21/20	J		
481. --Fujifilm Hldgs Corp Adr	A	Dividend			Buy	02/26/20	J		
482.					Sold	06/19/20	J		
483. --Fujitsu Ltd Adr New	A	Dividend			Sold	06/19/20	J	B	
484. --Gaming & Leisure Pptys Inc Com	A	Dividend			Sold	06/12/20	J	A	
485. --Hartford Fin Sers Grp Inc	A	Dividend	J	T					
486. --Hawaiian Electric Ind	A	Dividend			Sold	10/26/20	J		
487. --Herman Miller Inc	A	Dividend			Sold	04/14/20	J		
488. --Hershey Company	A	Dividend	J	T	Sold (part)	04/29/20	J	A	
489. --Hewlett Packard Enterprise	A	Dividend			Buy	02/26/20	J		
490.					Sold	06/19/20	J		
491. --Hitachi 10 Com New Adr	A	Dividend			Sold	06/02/20	J	A	
492. --Home Depot Inc	A	Dividend	J	T	Buy (add'l)	06/19/20	J		
493. --Honda Motor Company Ltd Adr	A	Dividend			Sold	06/19/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. --Honeywell International Inc	A	Dividend	J	T	Buy (add'l)	06/19/20	J		
495. --Host Hotel & Resorts Inc	A	Dividend			Sold (part)	06/12/20	J		
496.					Sold	06/19/20	J		
497. --Ill Tool Works Inc	A	Dividend	J	T	Buy	06/19/20	J		
498. --Intel Corp	A	Dividend	K	T	Sold (part)	07/29/20	J		
499. --International Cons Airs Grp		None			Sold	04/06/20	J		
500. --International Paper Co	A	Dividend	J	T	Buy (add'l)	04/06/20	J		
501.					Sold (part)	06/19/20	J		
502. --Intl Business Machines Corp	A	Dividend	J	T	Buy	06/19/20	J		
503. --Intesa Sanpaolo S.P.A. Adr		None			Sold	04/01/20	J		
504. --Intuit Inc	A	Dividend	J	T					
505. --Investors Real Estate Tr	A	Dividend			Buy	02/24/20	J		
506.					Sold	06/12/20	J		
507. --Invitation Homes Inc	A	Dividend			Sold	06/12/20	J	B	
508. --Jardine Matheson Hldgs Ltd		None			Buy	04/30/20	J		
509.					Sold	06/19/20	J		
510. --Johnson & Johnson	A	Dividend	K	T	Buy (add'l)	06/19/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
511.					Buy (add'l)	09/30/20	J		
512.					Sold (part)	12/21/20	J	A	
513. --JPMorgan Chase & Co	A	Dividend	J	T	Buy (add'l)	06/19/20	J		
514. --KB Financial Grp Inc Sons Adr	A	Dividend			Sold	06/19/20	J		
515. --Keycorp New	A	Dividend	J	T					
516. --Kinder Morgan Incorp	A	Dividend			Sold	05/04/20	J		
517. --KLA Corporation	A	Dividend	J	T	Buy	06/19/20	J		
518. --Kohls Corporation Wisc	A	Dividend			Sold	04/30/20	J		
519. --Koninklijke Ahold Delhaize Nv	A	Dividend			Sold	06/19/20	J	B	
520. --Kroger Co	A	Dividend			Sold (part)	04/01/20	J	A	
521.					Sold	06/19/20	J	A	
522. --Laboratory Cp Amer Holdgs New		None	J	T					
523. --Lam Research Corporation	A	Dividend	J	T	Sold (part)	01/28/20	J	B	
524.					Sold (part)	06/19/20	J	B	
525. --Lear Corp	A	Dividend			Sold	04/01/20	J		
526. --Lennar Corporation	A	Dividend			Buy	04/06/20	J		
527.					Sold	06/19/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
528. --Lockheed Martin Corp	A	Dividend	J	T	Buy (add'l)	06/19/20	J		
529.					Sold (part)	12/18/20	J		
530. --Lowes Companies Inc	A	Dividend	K	T	Sold (part)	12/21/20	J	B	
531. --LTC Properties Inc	A	Dividend			Sold	06/12/20	J		
532. --Lyondellbasell Nv Cl-A	A	Dividend			Sold	06/19/20	J		
533. --Magna International Inc	A	Dividend			Sold	06/19/20	J		
534. --Manhattan Assoc Inc (X)		None	J	T					
535. --Manpowergroup Inc Com		None			Sold	01/13/20	J	A	
536. --Manulife Financial Corp	A	Dividend			Buy	02/26/20	J		
537.					Sold	06/19/20	J		
538. --Marsh & McLennan Cos Inc	A	Dividend	J	T	Buy (add'l)	06/19/20	J		
539. --Mastec Inc		None	J	T	Buy	06/04/20	J		
540. --Mc Donalds Corp	A	Dividend	J	T	Buy	06/19/20	J		
541. --MDC Holdings Inc	A	Dividend			Sold	04/20/20	J		
542. --Medtronic Plc Shs	A	Dividend	J	T	Buy	06/19/20	J		
543. --Merck & Co Inc New Com	A	Dividend	K	T	Buy (add'l)	06/19/20	J		
544.					Sold (part)	12/21/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545. --Metlife Incorporated	A	Dividend	J	T	Sold (part)	01/28/20	J	A	
546.					Sold (part)	02/26/20	J	A	
547.					Sold (part)	06/19/20	J		
548. --Micron Tech Inc		None			Sold	08/21/20	J	A	
549. --Microsoft Corp	A	Dividend	K	T	Sold (part)	02/21/20	J	A	
550. --Mid Amer Apart Comm Inc	A	Dividend	J	T	Sold (part)	06/19/20	J		
551. --Mitsui & Co Ltd Adr		None			Sold	01/28/20	J		
552. --Mobile Telesystems Pjsc		None			Sold	01/28/20	J		
553. --Molina Healthcare Inc (X)		None	J	T					
554. --Mondelez Intl Inc Com	A	Dividend	J	T	Buy	06/19/20	J		
555. --Moody's Corp	A	Dividend	J	T					
556. --Morgan Stanley	A	Dividend			Sold	06/19/20	J	B	
557. --Nestle Spon Adr Rep Reg Shr	A	Dividend	J	T	Buy (add'l)	03/13/20	J		
558.					Sold (part)	12/21/20	J	A	
559. --Netapp Inc Com	A	Dividend			Buy	04/01/20	J		
560.					Sold	06/19/20	J	A	
561. --Nexpoint Resident Tr Inc	A	Dividend			Sold	06/12/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
562. --Nextera Energy Inc	A	Dividend	J	T	Buy	06/19/20	J		
563.					Buy (add'l)	10/22/20	J		
564. --Nice Ltd Adr		None	J	T	Sold (part)	07/17/20	J	A	
565.					Sold (part)	10/01/20	J	A	
566. --Nike Inc B	A	Dividend	J	T					
567. --Nintendo Co Ltd Adr New	A	Dividend	K	T	Buy	09/01/20	K		
568.					Sold (part)	12/21/20	J	A	
569. --Nippon Telegraph&Telephone Ads	A	Dividend			Sold	06/19/20	J	A	
570. --Norfolk Southern Corp	A	Dividend	K	T	Sold (part)	12/21/20	J	C	
571. --Northern Trust Corp	A	Dividend	J	T	Buy	06/19/20	J		
572. --Ntt Docomo Inc Sp Adr	A	Dividend			Sold (part)	04/30/20	J	A	
573.					Sold (part)	06/02/20	J	A	
574.					Sold	06/19/20	J	A	
575. --Nucor Corporation		None			Buy	04/01/20	J		
576.					Sold	06/19/20	J	A	
577. --Nvidia Corporation	A	Dividend	J	T					
578. --Omnicom Group	A	Dividend			Sold	06/19/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Sammartino, Janis L.

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05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
579. --Paccar Inc	A	Dividend			Sold (part)	02/03/20	J	A	
580.					Sold	02/04/20	J	A	
581. --Paychex Inc	A	Dividend	J	T	Sold (part)	12/21/20	J	A	
582. --Paypal Hldgs Inc		None	J	T	Buy	09/22/20	J		
583. --Pepsico Inc	A	Dividend	J	T	Buy (add'l)	06/19/20	J		
584. --Petroleo Brasileiro Sa		None			Sold	04/01/20	J		
585. --Pfizer Inc	A	Dividend	K	T	Sold (part)	12/21/20	J	A	
586. --Philip Morris Intl Inc	A	Dividend	J	T	Buy (add'l)	05/04/20	J		
587. --Phillips 66 Com	A	Dividend	J	T	Buy	11/16/20	J		
588. --Physicians Realty Trust	A	Dividend			Sold	06/12/20	J	A	
589. --PJSC Lukoil Sponsored Adr	A	Dividend			Sold (part)	04/01/20	J	A	
590.					Sold	06/19/20	J	C	
591. --PNC Finl Svcs Gp	A	Dividend	J	T	Buy	06/19/20	J		
592. --Posco Ads	A	Dividend			Sold	06/19/20	J		
593. --Proctor & Gamble	A	Dividend	J	T					
594. --Prologis Inc Com	A	Dividend	J	T	Buy	06/19/20	J		
595. --Prudential Financial Inc	A	Dividend			Sold	06/19/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
596. --Pulte Group Inc	A	Dividend	J	T	Sold (part)	06/19/20	J		
597. --Qualcomm Inc	A	Dividend	J	T	Buy	10/13/20	J		
598. --Raytheon Co	A	Dividend			Sold	07/10/20	J		
599. --Realty Income Corp	A	Dividend	J	T	Buy	06/19/20	J		
600. --Regency Ctrs Corp	A	Dividend			Sold	06/19/20	J		
601. --Repsol Sa Spon Adr	A	Dividend			Sold	06/19/20	J		
602. --Republic Services Inc	A	Dividend	J	T	Sold (part)	07/01/20	J	A	
603. --Rockwell Automation Inc	A	Dividend	J	T	Buy	10/08/20	J		
604. --Royal Dutch Shell Plc Cl B	A	Dividend			Sold	09/22/20	J		
605. --Sabre Corporation		None	J	T					
606. --Safehold Inc	A	Dividend			Sold	06/12/20	J	B	
607. --Salesforce.com, Inc		None	J	T	Buy	09/09/20	J		
608.					Buy (add'l)	10/08/20	J		
609. --Seagate Technology PLC	A	Dividend			Sold (part)	04/01/20	J		
610.					Sold (part)	06/02/20	J	A	
611.					Sold	06/19/20	J	B	
612. --Sempra Energy	A	Dividend	J	T	Buy	06/19/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
613. --Service Pptys Tr Sh Ben Int	A	Dividend			Sold	06/02/20	J		
614. --Simon Ppty Group Inc	A	Dividend			Sold (part)	01/02/20	J		
615.					Sold	01/30/20	J		
616. --Skyworks Solutions Inc	A	Dividend	J	T					
617. --Sl Green Realty Cp	A	Dividend			Sold	06/12/20	J		
618. --Societe Generale Sp Adr		None			Sold (part)	01/28/20	J		
619.					Sold	04/06/20	J		
620. --Southern Co	A	Dividend	J	T					
621. --Sony Corp	A	Dividend			Buy	01/28/20	J		
622.					Sold	06/19/20	J	A	
623. --Southwest Airlines	A	Dividend			Sold	05/06/20	J		
624. --Starbucks Corp Washington	A	Dividend	J	T	Buy (add'l)	06/19/20	J		
625. --State Street Corp	A	Dividend	J	T	Buy (add'l)	04/06/20	J		
626.					Sold (part)	06/19/20	J	A	
627. --Stryker Corp	A	Dividend	J	T					
628. --Subaru Corp Unsponsored Adr	A	Dividend			Sold (part)	02/26/20	J		
629.					Sold	05/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
630. --Synaptics Inc (X)		None	J	T					
631. --Synchrony Financial	A	Dividend			Sold	06/19/20	J		
632. --Synopsys Inc		None	J	T	Sold (part)	11/19/20	J	A	
633. --Sysco Corp (X)	A	Dividend	J	T					
634. --T Rowe Price Group Inc (X)	A	Dividend	J	T					
635. --T-Mobile US Inc Com		None	J	T	Sold (part)	12/21/20	J	A	
636. --Target Corporation	A	Dividend	K	T	Sold (part)	12/21/20	J	A	
637. --Terreno Rlty Corp Com	A	Dividend			Sold	06/12/20	J	C	
638. --Tetra Tech Inc	A	Dividend	J	T					
639. --Texas Instruments	A	Dividend	K	T	Buy (add'l)	06/19/20	J		
640.					Buy (add'l)	09/30/20	J		
641.					Sold (part)	12/21/20	J	A	
642. --Textron Inc	A	Dividend			Sold	02/26/20	J		
643. --Thermo Fisher Scientific		None	J	T	Buy	11/04/20	J		
644. --Trane Technologies Plc	A	Dividend	J	T	Buy	06/19/20	J		
645. --Travelers Companies Inc Com	A	Dividend			Sold	06/19/20	J		
646. --Truist Finl Corp	A	Dividend	J	T	Buy	06/19/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. --Tyson Foods Inc	A	Dividend	J	T	Buy	11/16/20	J		
648. --US Bancorp Com	A	Dividend	J	T	Buy	06/19/20	J		
649. --Union Pacific Corp	A	Dividend	J	T	Buy	06/19/20	J		
650. --United Parcel Ser Inc Cl-B	A	Dividend	K	T					
651. --Unitedhealth Gp Inc	A	Dividend	J	T					
652. --Univar Solutions Inc (X)		None	J	T					
653. --Vail Resorts	A	Dividend			Sold	03/11/20	J		
654. --Verisign Inc		None			Sold	11/16/20	J		
655. --Verizon Communications	A	Dividend	K	T	Buy (add'l)	06/19/20	J		
656.					Buy (add'l)	07/23/20	J		
657.					Sold (part)	12/21/20	J		
658. --Vertex Pharmaceuticals		None	J	T					
659. --Viacom Inc New Class B	A	Dividend	J	T	Buy (add'l)	05/12/20	J		
660.					Sold (part)	06/02/20	J		
661.					Sold (part)	06/19/20	J		
662. --Vici Properties Inc.	A	Dividend			Sold	06/12/20	J		
663. --Vornado Realty TR S B I	A	Dividend			Sold (part)	06/12/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664.					Sold	06/19/20	J		
665. --Walgreens Boots Alliance Inc	A	Dividend			Sold	06/19/20	J		
666. --Walmart Inc	A	Dividend	J	T	Buy (add'l)	06/19/20	J		
667. --Walt Disney Co Hldg Co	A	Dividend	J	T	Sold (part)	12/21/20	J	A	
668. --Waste Mgmt Inc	A	Dividend	J	T	Buy	06/19/20	J		
669. --WEC Energy Group Inc	A	Dividend	J	T	Buy	06/19/20	J		
670. --Weingarten Realty Inv SBI	A	Dividend			Sold	06/12/20	J		
671. --Wells Fargo & Co New	A	Dividend			Sold	09/30/20	J		
672. --Welltower Inc	A	Dividend			Sold	06/12/20	J		
673. --Western Alliance Bancorp	A	Dividend			Sold	12/21/20	J	A	
674. --Western Digital Corporation	A	Dividend			Sold	04/30/20	J		
675. --Western Union Co	A	Dividend			Sold	06/19/20	J		
676. --Westrock Co Com	A	Dividend			Sold	05/06/20	J		
677. --Weyerhaeuser Co	A	Dividend			Sold	06/12/20	J		
678. --Woodward Inc Com	A	Dividend			Sold	04/02/20	J		
679. --Wipro Ltd Ads		None			Buy	04/30/20	J		
680.					Sold	06/19/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
681. --Wpp Plc Spon New Adr		None			Sold	04/01/20	J		
682. --Xylem Inc Com	A	Dividend			Sold	06/04/20	J		
683. -Mutual Funds									
684. --PGIM Jenn Glbl Opportunities	B	Distribution	M	T	Buy	06/19/20	L		
685. TRUST #5 (MS Brokerage Account) (H)									
686. -MS Bank Account	A	Interest	J	T					
687. -Common Stocks:									
688. --Abbott Laboratories	A	Dividend	J	T	Sold (part)	03/02/20	J	A	
689. --Abbvie Inc Com	A	Dividend	K	T	Buy	03/18/20	J		
690.					Buy (add'l)	03/20/20	J		
691.					Buy (add'l)	05/07/20	J		
692.					Buy (add'l)	07/07/20	J		
693.					Buy (add'l)	11/18/20	J		
694. --Air Prod & Chem Inc	A	Dividend	K	T					
695. --American Tower Reit com		None	J	T	Buy	12/10/20	J		
696. --Amgen Inc	A	Dividend	J	T					
697. --Ansys Inc		None	K	T	Sold (part)	04/28/20	J	C	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698.					Sold (part)	11/06/20	J	B	
699. --Atlassian Corp Plc Cl A		None	J	T	Buy	02/28/20	J		
700.					Buy (add'l)	03/13/20	J		
701. --Automatic Data Processing Inc	A	Dividend	J	T	Buy (add'l)	12/09/20	J		
702. --Bio-Techne Corp	A	Dividend	K	T					
703. --Black Knight Inc		None	K	T	Sold (part)	10/20/20	J	B	
704. --Blackline Inc		None	K	T	Sold (part)	02/19/20	J	B	
705.					Sold (part)	03/10/20	J	A	
706.					Sold (part)	04/28/20	J	B	
707.					Sold (part)	06/30/20	J	C	
708.					Sold (part)	12/08/20	J	B	
709. --Blackrock Inc	A	Dividend	K	T					
710. --Bright Horizons Family Solut		None	J	T	Buy	06/30/20	J		
711.					Buy (add'l)	07/01/20	J		
712. --Broadcom Inc	A	Dividend	K	T	Buy (add'l)	02/25/20	J		
713.					Buy (add'l)	03/11/20	J		
714.					Buy (add'l)	09/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715. --Brooks-Automation Inc	A	Dividend	J	T	Sold (part)	03/30/20	J	A	
716.					Sold (part)	11/06/20	J	A	
717. --Cable One Inc Com	A	Dividend	K	T	Buy	02/28/20	J		
718.					Buy (add'l)	03/02/20	J		
719.					Buy (add'l)	03/03/20	J		
720.					Buy (add'l)	12/09/20	J		
721. --Carmax Inc		None	K	T	Buy (add'l)	05/29/20	J		
722. --Cbre Group Inc		None	K	T	Buy (add'l)	05/29/20	J		
723.					Sold (part)	11/10/20	J	B	
724.					Sold (part)	11/11/20	J	A	
725. --Chevron Corp	A	Dividend	J	T	Buy (add'l)	02/25/20	J		
726.					Buy (add'l)	03/02/20	J		
727. --Cisco Sys Inc	A	Dividend	K	T	Sold (part)	01/07/20	J	A	
728. --Coca Cola Co	A	Dividend	J	T	Buy (add'l)	03/11/20	J		
729. --Comcast Corp (New) Class A	A	Dividend	J	T					
730. --Costar Group Inc		None	K	T	Sold (part)	02/28/20	J	C	
731. --Coupa Software Inc		None	J	T	Buy	03/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
732.					Sold (part)	07/01/20	J	A	
733. --Crowdstrike Hldgs Inc Cl A		None	J	T	Buy	10/22/20	J		
734.					Buy (add'l)	12/02/20	J		
735. --Crown Castle Intl Corp	A	Dividend	K	T	Buy (add'l)	12/09/20	J		
736. --Darden Restaurants	A	Dividend			Sold	03/18/20	J		
737. --Eaton Corp Plc Shs	A	Dividend	J	T	Buy	02/04/20	J		
738.					Buy (add'l)	03/02/20	J		
739.					Buy (add'l)	08/18/20	J		
740. --Ecolab Inc	A	Dividend	K	T	Sold (part)	12/08/20	J	B	
741. --Eli Lilly & Co	A	Dividend	J	T					
742. --Envestnet Inc		None	J	T	Sold (part)	01/17/20	J	A	
743.					Sold (part)	12/08/20	J	A	
744. --Eversource Energy Com	A	Dividend	J	T					
745. --Exxon Mobil Corp	A	Dividend			Sold	03/11/20	J		
746. --Fastenal Co	A	Dividend	K	T	Sold (part)	03/20/20	J	A	
747.					Sold (part)	11/06/20	J	B	
748. --Guidewire Software Inc		None	J	T	Buy	10/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
749.					Buy (add'l)	11/11/20	J		
750. --Heico Corp Class A	A	Dividend	J	T	Buy	02/27/20	J		
751.					Buy (add'l)	03/10/20	J		
752.					Buy (add'l)	08/25/20	J		
753. --Home Depot Inc	A	Dividend	K	T	Buy (add'l)	12/09/20	J		
754. --Honeywell International Inc	A	Dividend	J	T	Sold (part)	12/02/20	J	B	
755.					Sold (part)	12/10/20	J	B	
756. --IndeXX Labs		None	J	T	Buy	03/30/20	J		
757. --Ill Tool Works Inc	A	Dividend	J	T	Buy (add'l)	12/09/20	J		
758. --Illumina Inc		None	K	T	Buy (add'l)	02/26/20	J		
759.					Sold (part)	04/28/20	J	A	
760. --Intuitive Surgical Inc		None	K	T					
761. --Johnson & Johnson	A	Dividend	J	T	Sold (part)	08/26/20	J	A	
762.					Sold (part)	10/13/20	J	A	
763.					Sold (part)	12/02/20	J	B	
764. --JP Morgan Chase	A	Dividend	K	T	Sold (part)	01/07/20	J	A	
765. --Lockheed Martin Corp	A	Dividend	K	T	Buy (add'l)	12/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
766. --Lyondellbasell Nv Cl-A	A	Dividend	J	T	Sold (part)	03/18/20	J		
767. --Markel Corp		None	K	T	Buy (add'l)	01/08/20	J		
768. --Marsh & McLennan Cos Inc	A	Dividend	J	T					
769. --Masimo Corporation		None	J	T	Buy	12/04/20	J		
770. --Maxim Integrated Products Inc	A	Dividend			Sold (part)	09/01/20	J	B	
771.					Sold	09/10/20	J	A	
772. --Mc Donalds Corp	A	Dividend	J	T	Sold (part)	04/14/20	J	A	
773. --Medtronic PLC Shs	A	Dividend	J	T	Buy (add'l)	12/09/20	J		
774. --Merck & Co Inc New Com	A	Dividend	K	T	Buy	03/02/20	J		
775.					Buy (add'l)	03/11/20	J		
776.					Buy (add'l)	03/20/20	J		
777.					Buy (add'l)	04/14/20	J		
778.					Buy (add'l)	05/07/20	J		
779.					Buy (add'l)	09/01/20	J		
780.					Buy (add'l)	12/02/20	J		
781. --Microsoft Corp	A	Dividend	K	T	Sold (part)	02/04/20	J	B	
782.					Sold (part)	03/11/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
783.					Sold (part)	03/20/20	J	B	
784.					Sold (part)	03/26/20	J	A	
785. --Mondelez Intl Inc Com	A	Dividend	K	T	Buy (add'l)	02/04/20	J		
786. --Moody's Corp	A	Dividend	K	T	Sold (part)	06/30/20	J	C	
787. --Nextera Energy Inc.	A	Dividend	K	T	Buy (add'l)	12/09/20	J		
788. --Okta Inc Dl A		None	J	T	Sold (part)	06/08/20	J	C	
789. --Old Dominion Freight Line	A	Dividend	J	T	Sold (part)	03/30/20	J	A	
790.					Sold (part)	12/08/20	J	A	
791. --Oneok Ind	A	Dividend			Sold	03/13/20	J		
792. --Paychex Inc	A	Dividend	K	T					
793. --Pepsico Inc Nc	A	Dividend	K	T	Buy (add'l)	11/18/20	J		
794. --Pfizer Inc	A	Dividend			Sold (part)	02/04/20	J	A	
795.					Sold	05/07/20	J	B	
796. --Phillips 66 Com	A	Dividend	J	T	Buy (add'l)	02/25/20	J		
797. --PNC Finl Svcs Gp	A	Dividend	J	T	Buy (add'l)	01/07/20	J		
798.					Buy (add'l)	01/22/20	J		
799. --Proctor & Gamble	A	Dividend	J	T	Buy	03/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
800.					Buy (add'l)	04/14/20	J		
801.					Buy (add'l)	12/02/20	J		
802. --Prologis Inc Com	A	Dividend	J	T	Buy (add'l)	07/07/20	J		
803.					Buy (add'l)	07/22/20	J		
804. --Pros Holdg Inc		None	K	T	Sold (part)	01/17/20	J	A	
805.					Buy (add'l)	03/10/20	J		
806.					Sold (part)	11/24/20	J	A	
807.					Sold (part)	12/02/20	J		
808.					Sold (part)	12/16/20	J		
809.					Sold (part)	12/23/20	J	A	
810. --Qualcomm Inc	A	Dividend	K	T	Buy	08/26/20	J		
811.					Buy (add'l)	09/10/20	J		
812.					Buy (add'l)	09/16/20	J		
813.					Buy (add'l)	10/13/20	J		
814. --Qualys Inc Com		None	J	T	Buy (add'l)	02/28/20	J		
815.					Sold (part)	06/09/20	J	A	
816.					Sold (part)	06/10/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Sammartino, Janis L.

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05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
817. --Realty Income Corp	A	Dividend	J	T	Sold (part)	04/06/20	J		
818. --Roper Technologies, Inc	A	Dividend	K	T					
819. --SBA Communications Corp	A	Dividend	K	T	Sold (part)	03/10/20	J	B	
820.					Buy (add'l)	11/25/20	J		
821.					Buy (add'l)	12/29/20	J		
822. --Sempra Energy	A	Dividend	J	T					
823. --Simon Ppty Group Inc	A	Dividend			Sold	03/20/20	J		
824. --Starbucks Corp Washington	A	Dividend	K	T					
825. --Sysco Corp	A	Dividend			Sold	03/20/20	J		
826. --Teleflex Inc	A	Dividend	K	T	Buy (add'l)	03/20/20	J		
827.					Buy (add'l)	07/01/20	J		
828.					Buy (add'l)	08/25/20	J		
829.					Buy (add'l)	12/16/20	J		
830. --Texas Instruments	A	Dividend	K	T					
831. --Transdigm Group Inc	B	Dividend	K	T					
832. --Trimble Inc		None			Sold (part)	02/28/20	J	B	
833.					Sold	03/13/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
834. --Truist Finl Corp	A	Dividend	K	T	Buy (add'l)	12/09/20	J		
835. --Twilio Inc Cl A		None	J	T					
836. --Tyler Technologies Inc		None			Sold (part)	02/28/20	J	B	
837.					Sold (part)	03/10/20	J	B	
838.					Sold (part)	05/22/20	J	B	
839.					Sold (part)	06/08/20	J	B	
840.					Sold	10/22/20	J	B	
841. --U S Bancorp Com New	A	Dividend	J	T					
842. --United Parcel Ser Inc Cl-B		None	J	T	Buy	12/02/20	J		
843. --Valero Energy Cp Dela New	A	Dividend			Sold (part)	03/26/20	J		
844.					Sold	11/18/20	J		
845. --Veeva Sys Inc Cl A		None	J	T	Buy (add'l)	02/28/20	J		
846. --Verisk Analytics Inc Com	A	Dividend	J	T	Sold (part)	03/13/20	J	B	
847.					Sold (part)	12/03/20	J	B	
848.					Sold (part)	12/16/20	J	B	
849. --Verizon Communications	A	Dividend			Buy	03/18/20	J		
850.					Buy (add'l)	03/20/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851.					Buy (add'l)	03/26/20	J		
852.					Buy (add'l)	05/07/20	J		
853. --Vulcan Materials Co	A	Dividend	K	T	Buy (add'l)	01/17/20	J		
854.					Sold (part)	12/08/20	J	A	
855. --Waste Connections Inc	A	Dividend	K	T	Sold (part)	12/08/20	J	A	
856.					Sold (part)	12/29/20	J	B	
857. --WEC Energy Group Inc Com	A	Dividend	J	T					
858. --Wells Fargo & Co New	A	Dividend			Sold (part)	01/22/20	J		
859.					Sold	03/02/20	J		
860. --Williams Co Inc		None			Sold (part)	02/26/20	J		
861.					Sold	03/02/20	J		
862. TRUST #6 (MS Brokerage Account) (H)									
863. -MS Bank Account	A	Interest	J	T					
864. -Common Stocks:									
865. --3M Company	A	Dividend			Sold	03/03/20	J		
866. --Abbott Laboratories	A	Dividend	J	T					
867. --Accenture Plc Ireland Cl A	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
868. --Allstate Corp	A	Dividend	J	T					
869. --Ameren Corp (Hldg Co)	A	Dividend	J	T					
870. --American Electric Power Co	A	Dividend							
871. --Analog Devices Inc	A	Dividend	J	T	Buy	07/30/20	J		
872.					Buy (add'l)	08/18/20	J		
873. --Apple Inc	A	Dividend	J	T	Sold (part)	02/04/20	J	A	
874.					Sold (part)	09/15/20	J	B	
875.					Sold (part)	12/23/20	J	A	
876. --AT&T Inc.	A	Dividend	J	T					
877. --Automatic Data Processing Inc	A	Dividend	J	T					
878. --Avalonbay Comm Inc	A	Dividend	J	T	Buy	02/19/20	J		
879. --Avery Dennison Corporation	A	Dividend	J	T	Buy	09/29/20	J		
880. --Bank of America Corp	A	Dividend	J	T					
881. --Baxter Intl Inc	A	Dividend	J	T					
882. --Best Buy Co		None	J	T	Buy	12/22/20	J		
883. --Blackrock Inc	A	Dividend	J	T					
884. --Bristol Meyers Squibb Co	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
885. --Broadcom	A	Dividend	J	T					
886. --Chevron Corp	A	Dividend	J	T					
887. --Chubb Ltd	A	Dividend	J	T					
888. --Cisco Sys Inc	A	Dividend	J	T					
889. --Citrix Systems Inc		None	J	T	Buy	12/20/20	J		
890. --CME Group Inc	A	Dividend	J	T					
891. --CMS Energy CP	A	Dividend	J	T					
892. --Comcast Corp (New) Class A	A	Dividend	J	T					
893. --Conocophillips	A	Dividend	J	T	Sold (part)	12/08/20	J		
894. --Crown Castle Intl Corp	A	Dividend	J	T					
895. --Cummins Inc	A	Dividend	J	T					
896. --Deere & Co	A	Dividend	J	T					
897. --Digital Realty Trust Inc.	A	Dividend	J	T					
898. --Dominion Energy Inc	A	Dividend			Sold	12/22/20	J	A	
899. --Dow Inc	A	Dividend			Sold	03/30/20	J		
900. --DTE Energy Company	A	Dividend	J	T	Buy	03/20/20	J		
901. --Duke Energy Corporation		None	J	T	Buy	12/22/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
902. --Eaton Corp Plc Shs	A	Dividend	J	T	Buy	03/03/20	J		
903. --Ebay Inc	A	Dividend	J	T	Buy	04/28/20	J		
904. --Eli Lilly & Co	A	Dividend	J	T					
905. --EOG Resources Inc		None	J	T	Buy	12/08/20	J		
906. --Eversource Energy Com	A	Dividend	J	T					
907. --Extra Space Storage Inc	A	Dividend	J	T	Buy	04/02/20	J		
908. --Exxon Mobil Corp		None			Sold	01/21/20	J		
909. --Fidelity Natl Information Se	A	Dividend	J	T	Buy	04/28/20	J		
910. --Gilead Science	A	Dividend	J	T					
911. --Hershey Company	A	Dividend	J	T					
912. --Home Depot Inc	A	Dividend	J	T					
913. --Honeywell International Inc	A	Dividend	J	T					
914. --Intel Corp	A	Dividend			Sold (part)	07/30/20	J	A	
915.					Sold	08/18/20	J	A	
916. --International Business Machines Corp	A	Dividend	J	T					
917. --Johnson & Johnson	A	Dividend	J	T					
918. --JPMorgan Chase & Co	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Sammartino, Janis L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
919. --Kimberly Clark Corp	A	Dividend	J	T					
920. --Kla Corporation	A	Dividend	J	T					
921. --Lam Research Corporation	A	Dividend	J	T	Sold (part)	12/22/20	J	A	
922. --Lockheed Martin Corp	A	Dividend	J	T					
923. --M&T Bank Corp	A	Dividend			Sold	03/17/20	J		
924. --Marsh & McLennan Cos Inc	A	Dividend	J	T					
925. --Mc Donalds Corp	A	Dividend	J	T					
926. --Medtronic Plc Shs	A	Dividend	J	T					
927. --Merck & Co	A	Dividend	J	T					
928. --Microsoft Corp	A	Dividend	J	T					
929. --Mondelez Intl Inc Com	A	Dividend	J	T					
930. --Nextera Energy Inc	A	Dividend	J	T					
931. --Northern Trust Corp	A	Dividend	J	T	Buy (add'l)	04/07/20	J		
932. --Northrop Grumman Cp	A	Dividend	J	T					
933. --Packaging Corp Amer	A	Dividend	J	T					
934. --Parker Hannifin Corp	A	Dividend	J	T					
935. --Pepsico Inc Nc	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
936. --Pfizer Inc	A	Dividend			Sold	03/20/20	J	A	
937. --Philip Morris Intl Inc	A	Dividend	J	T					
938. --Phillips 66 Com		None	J	T	Buy	12/22/20	J		
939. --Pinnacle West Capital Corp		None	J	T	Buy	12/22/20	J		
940. --PNC Finl Svcs Gp	A	Dividend	J	T					
941. --Principal Finl Group Inc	A	Dividend			Sold	03/17/20	J		
942. --Procter & Gamble	A	Dividend	J	T					
943. --Sonoco Procutcs Co	A	Dividend	J	T					
944. --Suncor Energy Inc	A	Dividend			Sold	03/20/20	J		
945. --T Rowe Price Group Inc	A	Dividend	J	T					
946. --Target Corporation	A	Dividend	J	T	Buy (add'l)	04/06/20	J		
947. --TE Connectivity Ltd New	A	Dividend	J	T	Buy	06/09/20	J		
948. --Texas Instruments	A	Dividend	J	T					
949. --Tjx Cos Inc New	A	Dividend			Sold	04/06/20	J		
950. --Trane Technologies Plc (name change from Ingersoll-Rand Plc)	A	Dividend	J	T	Buy (add'l)	03/17/20	J		
951. --Truist Finl Corp	A	Dividend			Sold	04/07/20	J		
952. --U S Bancorp Com New	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
953. --Union Pacific Corp	A	Dividend	J	T					
954. --United Parcel Ser Inc Cl-B	A	Dividend	J	T					
955. --Unitedhealth Gp Inc	A	Dividend	J	T					
956. --Valero Energy Cp Dela New	A	Dividend			Sold	12/08/20	J		
957. --Verizon Communications	A	Dividend	J	T	Buy (add'l)	02/04/20	J		
958. --Walmart Inc	A	Dividend	J	T					
959. --Walt Disney Co Hldg Co	A	Dividend			Sold	04/28/20	J		
960. --Waste Mgmt Inc	A	Dividend	J	T					
961. --Wec Energy Group Inc Com	A	Dividend	J	T					
962. --Wells Fargo & Co New	A	Dividend			Sold	05/13/20	J		
963. --Xcel Energy Inc	A	Dividend	J	T					
964. -Preferred Stocks:									
965. --Aegon Nv Series 1	A	Dividend	J	T					
966. --Agnc Investment Corp 06.875% (X)	A	Dividend	J	T					
967. --Agnc Invmnt Co 6.125%	A	Dividend	J	T	Buy	02/05/20	J		
968. --Agnc Invstmnt Corp 6.50% (X)	A	Dividend	J	T					
969. --Agnc Invt Corp Cum	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Sammartino, Janis L.

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05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
970. --Air Lease Corp Non Cum	A	Dividend	J	T					
971. --Allstate Corp 5.100 H	A	Dividend	J	T					
972. --Amer Eq Inv Hldg Co 5.95%-A	A	Dividend	J	T					
973. --Amer Homes 4 Rent 6.35% Ser-E	A	Dividend	J	T					
974. --American Equity 6.625%-B	A	Dividend	J	T	Buy	07/30/20	J		
975. --American Hms 4 Rent Pfd D 6.5% (X)	A	Dividend	J	T					
976. --Amer Homes 4 Rent 6.25%-H	A	Dividend	J	T					
977. --Annaly Cap Mgmt 6.95%-F	A	Dividend	J	T					
978. --Annaly Cap Mgmt Inc Pfd Ser I	A	Dividend	J	T					
979. --Arch Cap Group Ltd 5.25	A	Dividend	J	T					
980. --Arch Cap Group Ltd 5.45%-F	A	Dividend	J	T					
981. --Aspen Insurance Hldg Ltd 5.95%	A	Dividend	J	T					
982. --Aspen Insurance Holdings 5.625 (AHL.D)	A	Dividend	J	T					
983. --Aspen Insurance Holdings 5.625 (AHL.E) (X)	A	Dividend	J	T					
984. --AT&T Inc 4.750% Ser-C	A	Dividend	J	T	Buy	02/13/20	J		
985. --Athene Holding Ltd 5.625 Ser-B	A	Dividend	J	T					
986. --Athene Holding Ltd 6.35 Ser A	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
987. --Athene Holding Ltd 6.375%-C	A	Dividend	J	T	Buy	06/08/20	J		
988. --Atlantic Un Bankshares 6.875-A (X)	A	Dividend	J	T					
989. --Axis Cap Holdings Ltd 5.5%-E (X)	A	Dividend	J	T					
990. --Banco Santander Sa Gtd Secs 6	A	Dividend			Redeemed	12/05/20	J	A	
991. --Bank of America Co 5.00 Ser LL	A	Dividend	J	T					
992. --Bank of America Cor 4.375%-NN		None	J	T	Buy	10/29/20	J		
993.					Buy (add'l)	10/30/20	J		
994. --Bank of America Corp 6%-GG	A	Dividend	J	T					
995. --Bank of America Corp 6.2%-CC	A	Dividend	J	T	Sold (part)	06/10/20	J		
996.					Sold (part)	09/25/20	J		
997. --Bk of America Co 5.875% Ser-HH (X)	A	Dividend	J	T					
998. --Bank of America Corp 6.5%-Y		None			Sold	01/27/20	J		
999. --Brookfield Fin I UK 4.50%		None	J	T	Buy	11/19/20	J		
1000. --Capital One Financial 4.80%-J		None	J	T	Buy	12/18/20	J		
1001. --Capital One Financial 5%-I Prf	A	Dividend	J	T	Buy (add'l)	04/09/20	J		
1002. --Charles Schwab Corp 5.95%-D	A	Dividend	J	T					
1003. --Chs Inc (CHSCM)	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1004. --Chs Inc (CHSCN)	A	Dividend	J	T					
1005. --Chs Inc/Red Pfd Cl B S 4	A	Dividend	J	T					
1006. --Citigroup Inc 6.3%-S	A	Dividend	J	T					
1007. --Citigroup Inc 6.875%-K	A	Dividend	J	T	Buy (add'l)	12/08/20	J		
1008. --Citigroup Inc 7.125%-J	A	Dividend	J	T					
1009. --Citizens Fin Group 6.35%-D	A	Dividend	J	T					
1010. --Citizens Finl Grp Inc 5.00% E (X)	A	Dividend	J	T					
1011. --Colony Cap 7.125% Cum J	A	Dividend			Sold	03/25/20	J		
1012. --Digital Realty Trst 5.850%-K	A	Dividend	J	T					
1013. --Digital Rlty Tr Inc Red Ser C	A	Dividend	J	T					
1014. --Digital Rlty Tst Inc 5.20%SerL	A	Dividend	J	T					
1015. --Duke Energy Corp 5.75%-A	A	Dividend			Sold	12/07/20	J	A	
1016. --Enstar Group Ltd 7%	A	Dividend	J	T					
1017. --Equitable Hldgs Dep (name chng from Axa Equitable Hldg Inc 5.25%-A)	A	Dividend	J	T					
1018. --F N B Corp Fla Dep Shs Repstg	A	Dividend	J	T					
1019. --Farmland Partners Inc 6%-B	A	Dividend	J	T					
1020. --Fifth Third Bancorp 6% Ncum Pf (X)	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1021. --Fifth Third Bancorp 6.625%-I	A	Dividend	J	T					
1022. --First Hori Corp 6.50DP Rp Pfd (X)	A	Dividend	J	T					
1023. --First Republic Bk 4.125% Pfd K (X)	A	Dividend	J	T					
1024. --First Republic Bk 4.7% Ser-J (X)	A	Dividend	J	T					
1025. --Goldman Sachs Group Inc 6.3%-N	A	Dividend			Sold	10/01/20	J		
1026. --Goldman Sachs Grp Inc 5.50%-J	A	Dividend	J	T					
1027. --Goldman Sachs Grp Inc 6.375%-K	A	Dividend	J	T					
1028. --Huntington Bancshares 6.25%-D	A	Dividend	J	T					
1029. --Jpmorgan Chase & Co 6.0% S-EE (X)	A	Dividend	J	T					
1030. --Jpmorgan Chase & Co 6.1%-AA	A	Dividend			Sold	09/02/20	J		
1031. --Keycorp 6.125% Ser-E	A	Dividend	J	T					
1032. --Morgan Stanley 5.85%-K	A	Dividend	J	T					
1033. --Morgan Stanley 6.375%-I	A	Dividend	J	T					
1034. --Morgan Stanley 6.875%-F	A	Dividend	J	T					
1035. --National Storage Affilia 6%-A (X)	A	Dividend							
1036. --New York Cmnty Bc Inc Ser A	A	Dividend	J	T					
1037. --Nisource Inc 6.5% Ser B	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1038. --Parnerre Ltd 7.25% Red Ser H	A	Dividend	J	T					
1039. --Peoples United Fin Inc Ser A	A	Dividend	J	T					
1040. --Pinnacle Fin Ptnrs 6.75%-B	A	Dividend	J	T	Buy	08/08/20	J		
1041. --Pnc Finl-P 6.125% Flts	A	Dividend	J	T					
1042. --PS Business Parks Inc 4.875%-Z (X)	A	Dividend	J	T					
1043. --Public Storage 3.9% Ser-O (X)		None	J	T					
1044. --Public Storage 4.125% Ser M (X)	A	Dividend	J	T					
1045. --Public Storage 4.625%-L	A	Dividend	J	T	Buy	06/09/20	J		
1046. --Regions Financial Co 6.375%-B	A	Dividend	J	T					
1047. --Sce Trust IV 5.375%-J (X)	A	Dividend	J	T					
1048. --Sce Trust V 5.45%-K	A	Dividend	J	T					
1049. --Sce Trust VI 5%-L	A	Dividend	J	T	Buy	11/11/20	J		
1050. --Signature Bk Ny 5.00% Ser-A		None	J	T	Buy	12/11/20	J		
1051. --Spire Inc 5.90% Ser-A (X)	A	Dividend	J	T					
1052. --State St Co 5.90%-D	A	Dividend	J	T					
1053. --State Street Corp 5.350%-G	A	Dividend	J	T	Sold (part)	06/04/20	J	A	
1054. --Stifel Financial Corp 6.25%	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1055. --TCF Financial Corporation New (X)	A	Dividend	J	T					
1056. --The Hartford Fin Ser6.0	A	Dividend			Sold	10/05/20	J	A	
1057. --Truist Financial Corp 5.25%-O	A	Dividend	J	T	Buy	06/02/20	J		
1058. --Truist Fincl Corp 4.75% Ser-R	A	Dividend	J	T	Buy	08/03/20	J		
1059. --Two Harbors Invstmnt C 7.25%-C	A	Dividend			Sold	04/22/20	J		
1060. --U.S. Bancorp 3.75% Ser-L (X)	A	Dividend	J	T					
1061. --United States Cellular 6.25%	A	Interest	J	T	Buy	08/11/20	J		
1062. --Valley Natl Bancorp Ser-A	A	Dividend	J	T					
1063. --Valley Natl Bancrp 5.50% Pfd-B (X)	A	Dividend	J	T					
1064. --Vereit Inc Pfd Ser F	A	Dividend			Sold	03/26/20	J		
1065. --Vornado Realty Tr 5.25% Ser-N		None	J	T	Buy	11/24/20	J		
1066. --Wells Fargo & Co 4.75% Ser-Z (X)	A	Dividend	J	T					
1067. --Wells Fargo & Co 5.5% Ser-X (X)	A	Dividend	J	T					
1068. --Wells Fargo & Co 5.7%-W (X)	A	Dividend	J	T					
1069. --Wells Fargo & Company 6.625%-R	A	Dividend	J	T	Sold (part)	05/04/20	J		
1070.					Sold (part)	05/05/20	J		
1071.					Sold (part)	05/27/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1072. --Wells Fargo 5.85-Q	A	Dividend	J	T					
1073. --Wells Fargo 7.5 Non-Cum Cl A	A	Dividend	J	T	Buy	05/01/20	J		
1074. --Wells Fargo Co Dep Sh Pfd Cl A	A	Dividend	J	T	Buy	10/23/20	J		
1075. --Wesco Intl Inc Dp Sh Fxrt Pfd (X)	A	Dividend	J	T					
1076. --Wintrust Financial Corp	A	Dividend	J	T					
1077. --Wintrust Finl Cor Dep Rep Sr E	A	Dividend	J	T	Buy	05/11/20	J		
1078. --Zions Bancorp Na 6.3000% Srs G	A	Dividend	J	T					
1079. -Fixed Rate Capital Securites									
1080. --Brightsphere Inves 5.125 Nt 31	A	Interest	J	T					
1081. --GMAC Cap Trust I 8.125%	A	Interest	J	T	Buy (add'l)	01/15/20	J		
1082.					Buy (add'l)	12/08/20	J		
1083. --Citigroup Capt XIII 7.875%	A	Interest	J	T					
1084. --Hartford Finl Svc Grp 7.875%	A	Interest			Sold	05/20/20	J		
1085. --Reinsurance Group of America Inc	A	Interest	J	T					
1086. --Nustar Logistics Lp 7.625%	A	Interest	J	T					
1087. --Global Indemnity Limited 7.875%	A	Interest	J	T					
1088. --Aegon Fundning Corp LLC 5.10% Notes 49		None			Sold	02/06/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1089. --Stifel Financial Corp (X)	A	Interest	J	T					
1090. --Allstate Corp 5.1%	A	Interest	J	T					
1091. --Quest Corp 6.875%	A	Interest			Sold (part)	06/29/20	J	A	
1092.					Sold	08/07/20	J	A	
1093. --Qwest Corp 6.625%	A	Interest			Redeemed	09/15/20	J	A	
1094. --Ebay Inc 6%	A	Interest			Sold	09/17/20	J		
1095. --Berkley (Wr) Corp	A	Interest	J	T					
1096. --Wr Berkley Corp (X)	A	Interest	J	T					
1097. --Globe Life Inc 6.125	A	Interest	J	T					
1098. --Reinsurance Grp of America	A	Interest	J	T					
1099. --Western Alliance Bancorporation 6.25%	A	Interest	J	T					
1100. --Qwest Corp 6.5%	A	Interest	J	T					
1101. --Prudential Financial Inc 5.625% (X)	A	Interest	J	T					
1102. --Affiliated Managers Group Inc 5.875%	A	Interest	J	T					
1103. --Telephone & Data Systems	A	Interest	J	T					
1104. --American Financial Group 5.625% (X)	A	Interest	J	T					
1105. --Prudential Financial Inc	A	Interest	J	T	Buy	08/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1106. --Affiliated Managers Gr 4.75% (X)	A	Interest	J	T					
1107. --The Southern Co 4.20%	A	Interest	J	T	Buy	09/17/20	J		
1108. --Assurant Inc 5.25% Cal Nt 61 (X)	A	Interest	J	T					
1109. --United States Cellular Corp 7.25% (UZH) (X)	A	Interest	J	T					
1110. --National Rural Util Coop 5.50% (X)	A	Interest	J	T					
1111. --United States Cellular Corp 7.25% (UZH)	A	Interest	J	T					
1112. --United States Cellular Corp 5.50% (UZE)		None	J	T	Buy	12/01/20	J		
1113. --AT&T Inc 5.625%	A	Interest			Sold	12/07/20	J	A	
1114. --Enbridge Inc 6.375%-B	A	Interest	J	T					
1115. --Algonquin Power & Utilities Corp 6.875%-A (X)	A	Interest	J	T					
1116. --CMS Energy Corp 5.875%	A	Interest	J	T					
1117. --Nextera Energy Capital Holdings Inc. 5.65%	A	Interest	J	T					
1118. --Algonquin Pwr & Utils Corp A	A	Interest	J	T					
1119. --South Jersey Industries Inc 5.6250%	A	Interest	J	T					
1120. --The Southern Co 4.95% Ser-A	A	Interest	J	T	Buy	01/08/20	J		
1121. --Brookfield Fin Inc 4.625% Ser-50		None	J	T	Buy	10/14/20	J		
1122. --Assured Guarnty Muni Hldgs Inc Nt Mty	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1123. TRUST #7 (MS Brokerage Account) (H)									
1124. -MS Bank Account	A	Interest	L	T					
1125. -Common Stocks									
1126. --Alibaba Group Holdg Ltd		None	J	T					
1127. --Mobileye N.V.		None	J	T					
1128. --Tencent Hldgs Ltd Unspn Adr	A	Dividend	J	T					
1129. -Preferred Stocks									
1130. --Athene Holding Ltd 6.375%-C	B	Dividend	K	T	Buy	06/23/20	K		
1131. --Citigroup Inc 6.875%	B	Dividend			Sold	12/18/20	K	B	
1132. --Fifth Third Bancorp 6.625%-I	B	Dividend			Sold	12/18/20	K	B	
1133. --Wells Fargo & Company 6.625%	B	Dividend			Sold	12/18/20	K	B	
1134. -Exchange-Traded & Closed-end Funds									
1135. --SPDR S&P 500 Etf Trust	A	Dividend	K	T					
1136. -Corporate Bonds:									
1137. --Bank of America 6.1%	C	Dividend	L	T					
1138. --Citigroup Inc 5.95%	D	Dividend	M	T					
1139. --Etrade Financial Corp 5.875% (exchanged to Morgan Stanley 5.875%)	C	Dividend			Merged (with line 1144)	10/01/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1140. --JP Morgan Chase & Co 6.12% Fixed to Float 4/30/2024	C	Dividend			Sold	12/18/20	K	B	
1141. --Comerica Inc 5.6250%	B	Dividend	L	T	Buy	06/16/20	L		
1142. --Discover Financial Services 6.125%		None	K	T	Buy	06/23/20	K		
1143. --Huntington Bancshares Inc 5.625%	B	Dividend	L	T	Buy	06/16/20	L		
1144. --Morgan Stanley 5.8750% (exchanged from Etrade Financial Corp)		None	L	T					
1145. --General Elec Cap Corp	B	Interest	K	T					
1146. --Goldman Sachs Group Inc	B	Interest	K	T					
1147. --Hewlett Packard Co	B	Interest	K	T					
1148. -Other Fixed Income:									
1149. -Countrywide Alternative Loan Trust	A	Interest	J	T					
1150. -JP Morgan Mortgage Trust	A	Interest	J	T					
1151. -Government Securities:									
1152. --FNR 2009-19 TD	A	Interest	J	T					
1153. --FHR 3249 CB	A	Interest	J	T					
1154. -Mutual Funds:									
1155. -- Invesco Oppenheimer SR Floating Rate	B	Dividend			Sold	12/15/20	K		
1156. --MSIF Global Franchise A	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Sammartino, Janis L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1157.	B	Distribution							
1158. -Equity Trusts									
1159. --Unit First Trust Value Line Target Safety 30 1Q20	A	Dividend	K	T	Buy	02/26/20	K		
1160. --Unit Aam Ubiquitous Strategy 15 month 2020 2Q	A	Dividend	M	T	Buy	06/23/20	L		
1161. --Unit First Trust Value Line Target Safety 30 1Q19	A	Dividend			Sold	02/26/20	K	A	
1162. TRUST #8 (MS Brokerage Account) (H)									
1163. -MS Bank Account	A	Interest	K	T					
1164. -Common Stocks									
1165. --Boeing Co	A	Dividend	J	T					
1166. -Mutual Funds									
1167. --Blackrock Health Sci Ptf A	C	Distribution	L	T					
1168. --John Hancock Intl Growth C Mutual Fund		None	L	T					
1169. --MFS Growth A	B	Distribution	K	T					
1170. --MSIF Global Opportunity PTF A Mutual Func	B	Distribution	M	T					
1171. -Equity Trusts									
1172. --Unit First Trust S&P Dividend Aristocrats Target 25 3Q20	A	Dividend	L	T	Buy	09/14/20	L		
1173. --Unit First Value Line Target Safety 30 3Q19	B	Dividend			Sold	09/14/20	L		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1174. --Unit Aam Ubiquitous Strategy 2020-3Q	A	Dividend	L	T	Buy	09/14/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Janis L. Sammartino**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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