

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wigenton, Susan D.	2. Court or Organization District Court of New Jersey	3. Date of Report 06/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Martin Luther King, Jr. Federal Courthouse 50 Walnut Street, 5th Floor, Courtroom 5C Newark, New Jersey 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Self-Employed Attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. TD Bank	Mortgage on Rental Property #2, Eatontown, NJ, (Part VII- line 2)	L
2. Third Federal Savings & Loan	2nd Mortgage on Office Building, Red Bank, NJ (Part VII - line 6))	M
3. Sun National Bank	Mortgage on Rental Property #1, Red Bank, NJ (Part VII- line 1)	M
4. TD Bank	Home Equity on Rental Property # 3, Red Bank, NJ (Part VII- line 3)	M
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1, Red Bank, NJ (1995-\$63,000)	E	Rent	N	W					
2. Rental Property #2, Eatontown, NJ (1989-\$137,000)	E	Rent	N	W					
3. Rental Property #3, Red Bank, NJ (1999 - inherited)	E	Rent	N	W					
4. Rental Property #4, Neptune, NJ (2017 - \$84, 000)	C	Rent	M	W					
5. Rental Property #5, Neptune, NJ (2019-\$141,000)	E	Rent	M	W					
6. Office Building, Red Bank, NJ (2000 - \$255,000)		None	N	W					
7. Oppenheimer Main Street Income & Growth Fund A -	A	Dividend	J	T					
8. Fidelity Destiny II: Cap Gain - IRA	A	Dividend	K	T					
9. Fidelity Contrafund	A	Dividend	L	T					
10. Invesco QQQ Trust Series	A	Dividend	K	T					
11. Citigroup Inc., Common Stock	A	Dividend	J	T					
12. Rbb/Bedford Money Market Fund	A	Dividend	J	T					
13. State Street Research Aurora Fund; Class A	A	Dividend	K	T					
14. Hartford Variable Annuity f/k/a Fortis Masters Variable Annuity (H)									
15. -Hartford Global Growth HLS Fund	A	Dividend	M	T					
16. -HIAOX	A	Dividend	L	T					
17. -Hartford Growth Opportunities HLS Fund	A	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. - HISCX	A	Dividend	L	T					
19. -Hartford Value HLS Fund	A	Dividend	K	T					
20. -HIAYYX	A	Dividend	K	T					
21. Microsoft Common Stock	A	Dividend	J	T					
22. Cisco Corp. Common Stock	A	Dividend	K	T					
23. Chesapeake Utilities Common Stock	A	Dividend	L	T					
24. Kellogg Company Common Stock	A	Dividend	J	T					
25. Dow Chemical Company Common Stock	A	Dividend	K	T					
26. Comcast Corporation Common Stock	A	Dividend	J	T					
27. Daimler/Chrysler Corp., Common Stock	A	Dividend	J	T					
28. Exxon Mobil Corporation Common Stock	A	Dividend	K	T					
29. Synovus Financial Common Stock	A	Dividend	J	T					
30. Diebold Incorporated Common Stock	A	Dividend	J	T					
31. Deere and Company Common Stock	A	Dividend	J	T					
32. Motorola Inc., Common Stock	A	Dividend	J	T					
33. Newell Rubbermaid Corp. Common Stock	A	Dividend	J	T					
34. Wells Fargo Corp. Common Stock	A	Dividend	J	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. PMC Capital Inc., Common Stock	A	Dividend	K	T					
36. People's Energy Corp., Common Stock	A	Dividend	J	T					
37. Wendy's International Common Stock	A	Dividend	J	T					
38. Powershares (f/k/a NASDAQ 100), Common Stock	A	Dividend	J	T					
39. American International Group Common Stock	A	Dividend	J	T					
40. Colgate-Palmolive Common Stock	A	Dividend	K	T					
41. Lincoln National Corporation Common Stock	A	Dividend	J	T					
42. Oneok Incorporated Common Stock	A	Dividend	J	T					
43. Xcel Energy, Inc., Common Stock	A	Dividend	K	T					
44. Intel Corporation Common Stock	A	Dividend	K	T					
45. Whirlpool (Maytag) Corporation Common Stock	A	Dividend	K	T					
46. GTE Corporation Common Stock	A	Dividend	J	T					
47. Fifth Third Bancorp Common Stock	A	Dividend	J	T					
48. Federal Realty Investment Common Stock	B	Dividend	J	T					
49. Sky Financial Group Common Stock	A	Dividend	J	T					
50. CFS Direct Inc., Common Stock	A	Dividend	J	T					
51. Santander Bank, Common Stock	A	Dividend	J	T					

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52. National Investor Common Stock	A	Dividend	J	T					
53. Nokia (f/k/a Alcatel Lucent (401k) (H)									
54. -AllianceBernstein Retirement Date 2020 Fund	B	Dividend	M	T					
55. IBM Common Stock	A	Dividend	J	T					
56. Home Depot Common Stock	A	Dividend	K	T					
57. Time Warner Common Stock	A	Dividend	J	T					
58. American Express Common Stock	A	Dividend	K	T					
59. Altria Group Common Stock	A	Dividend	K	T					
60. General Electric Commom Stock	A	Dividend	K	T					
61. Walt Disney Common Stock	A	Dividend	K	T					
62. Sirius/XM Satellite Radio Common Stock	A	Dividend	L	T					
63. Jet Blue Airlines Common Stock	A	Dividend	J	T					
64. Ford Motor Co., Common Stock	A	Dividend	K	T					
65. American Airlines Group* formerly-AMR Common Stock	A	Dividend	L	T	Buy (add'l)	04/06/20	J		
66. Taro Pharmaceutical Corp., Common Stock	A	Dividend	J	T					
67. Hudson City Bancorp Common Stock	A	Dividend	J	T					
68. Harken Energy Corp., Common Stock	A	Dividend	J	T					

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69. Toyota Motor Company Common Stock	A	Dividend	J	T					
70. US Bancorp Common Stock	A	Dividend	K	T					
71. Investor's Savings Bank, Common Stock	A	Dividend	J	T					
72. Merck & Co., Common Stock	A	Dividend	K	T					
73. Proctor & Gamble Common Stock	A	Dividend	K	T					
74. Yum! Corporation Common Stock	A	Dividend	K	T					
75. Verizon Corp. Common Stock	A	Dividend	J	T	Buy (add'l)	03/10/20	J		
76. Oracle Corporation Common Stock	A	Dividend	J	T					
77. Pepsico Inc., Common Stock	A	Dividend	J	T					
78. AT & T Corp., Common Stock	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
79. Blackrock Global Resources Investment Fund	A	Dividend	L	T					
80. United Parcel Service Corp.	A	Dividend	J	T					
81. Ameriprise Financial Corp	A	Dividend	J	T					
82. BBT Corp. Common Stock	A	Dividend	K	T					
83. Century Tel Common Stock	B	Dividend	J	T					
84. American Greetings Common Stock	A	Dividend	J	T					
85. Energen Common Stock	A	Dividend	J	T					

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86. Integrys Common Stock	A	Dividend	J	T					
87. Walmart Corp., Common Stock	A	Dividend	J	T					
88. American Capital, LTD Common Stock	A	Dividend	K	T					
89. Costco Common Stock	A	Dividend	K	T					
90. NXT Nutritional Holdings, Inc. Common Stock - CLOSED/DEFUNCT	A	Dividend	J	T					
91. Apple Inc.	A	Dividend	K	T					
92. Overseas Shipping Inc.	A	Dividend	J	T					
93. Duke Energy	A	Dividend	J	T					
94. Facebook Common Stock	A	Dividend	K	T					
95. Hasbro Inc., Common Stock	A	Dividend	J	T					
96. United Online, Inc., Common Stock	A	Dividend	J	T					
97. FTD Cos, Inc., Common Stock	A	Dividend	K	T					
98. Twitter, Inc., Common Stock	A	Dividend	J	T					
99. Alibaba Common Stock	A	Dividend	J	T					
100. Target Common Stock	A	Dividend	J	T					
101. Hancock John Patriot - Closed End Fund	A	Dividend	J	T					
102. Credit Suisse Asset - Closed End Fund	A	Dividend	J	T					

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103. Calamos Convertible - Closed End Fund	A	Dividend	J	T					
104. Tesla Motors, Inc. Common Stock	A	Dividend	J	T					
105. WEC Energy Group	B	Dividend	J	T					
106. ZTO Express Cayman Inc. Common Stock	A	Dividend	J	T					
107. Viacom/CBS Inc., Common Stock	A	Dividend	J	T					
108. Weight Watchers, Inc., Common Stock	A	Dividend	J	T					
109. Uber Technologies, Inc., Common Stock	A	Dividend	K	T					
110. Royal Carribbean Int'l, Inc.	A	Dividend	J	T					
111. Marriott Int'l, Inc.	A	Dividend	J	T					
112. Southwest Airlines, Inc., Common Stock	A	Dividend	J	T					
113. DShake Shack, In., Common Stock	A	Dividend	J	T					
114. L Brands, Inc., Common Stock	A	Dividend	J	T	Buy	12/18/20	J		
115. Cyren, Ltd	A	Dividend	J	T	Buy	05/20/20	J		
116. Piper Sandler Corp.	A	Dividend	J	T	Buy	05/06/20	J		
117. Gilead Sciences, Inc.	A	Dividend	J	T	Buy	04/30/20	J		
118. Nio, Inc.	A	Dividend	J	T	Buy	07/17/20	J		
119. Pembina Pipeline, Inx.	A	Dividend	J	T	Buy	07/17/20	J		

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Lowes Co., Inc.	A	Dividend	K	T	Buy	11/09/20	K		
121. Etscy Company	A	Dividend	K	T	Buy	12/28/20	K		
122. Amazon.com Inc.	A	Dividend	K	T	Buy	11/02/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Wigenton, Susan D.

Date of Report

06/10/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Wigenton, Susan D.

Date of Report

06/10/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Susan D. Wigenton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544