

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hanan, Beth E.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 07/15/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 517 E. Wisconsin Ave. Room 162 Milwaukee WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 08/03/2020	Net surrender value adjustable life insurance, Accordia Life	\$943.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Patina Solutions Group, Inc. salary
2. 08/03/2020	adjustable life insurance net surrender value, Accordia Life
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar of Wisconsin	02/27-02/28/2020	Kohler, WI	Bankruptcy education conference (speaker)	lodging and meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1. Bank of America

credit card

J

2.

3.

4.

5.

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Ishares TR Global Energy IXC	B	Dividend	K	T					
2.									
3. Invesco QQQ Trust Ser 1 QQQ	B	Dividend	N	T					
4.									
5.									
6.									
7. Ishares Global Metals & Mining PICK	A	Dividend	K	T					
8.									
9. Patina Solutions Inc. common stock		None	L	U					
10. Patina Solutions Inc. preferred stock	B	Dividend	J	U					
11. Patina Solutions Inc. stock options		None	K	U					
12.									
13. FI Enhanced GlobalHigh Yield UBS FIHD					Sold	05/07/20	J		
14.									
15. FI Enhanced Lrg Cap Growth CS FLGE					Sold	05/26/20	L	E	
16.									
17. Invesco S&P 500 Top 50 XLG	B	Dividend	M	T	Buy (add'l)	05/26/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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07/15/2021

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18.									
19.									
20.									
21. Select Sector SPRD Healthcare XLV	B	Dividend	K	T	Sold (part)	05/07/20	K	D	
22.									
23. Vanguard FTSEEurope ETF VGK	B	Dividend	L	T					
24. " "									
25.									
26. Ishares INC MSCI Eurzone ETF EZU	B	Dividend	L	T	Sold (part)	12/01/20	J	A	
27. " "					Buy (add'l)	05/08/20	J		
28. Select Sector SPDR TR CONS Dis XLY	A	Dividend	L	T	Buy (add'l)	05/07/20	K		
29. " "					Buy (add'l)	05/18/20	J		
30. Ishare INC MSCI JPN ETF New EWJ	A	Dividend	K	T	Sold (part)	04/07/20	J		
31.									
32. Ishares MSCI Europe FN ETF EUFN	A	Dividend	K	T	Sold (part)	04/07/20	K		
33.									
34. Vanguard FTSE Emerging MKT VWO	A	Dividend		T	Sold	10/14/20	K	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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35.									
36. SPDR Industrials Select Sector XLI	A	Dividend	K	T	Buy	05/26/20	K		
37. " "					Buy (add'l)	06/23/20	J		
38. Ishares TR Global Tech ETF IXN	A	Dividend	L	T	Buy (add'l)	04/07/20	K		
39. " "					Sold (part)	05/26/20	K	B	
40. " "					Sold (part)	10/14/20	K	E	
41. Ishares TR U.S. Med DVC ETF IHI	A	Dividend	K	T	Buy	05/07/20	K		
42. " "					Buy (add'l)	05/18/20	J		
43. " "					Sold (part)	12/01/20	J	A	
44. Invesco Nasdaq Internet ETF PNQI			K	T	Buy	10/14/20	K		
45. Ishares US Financials ETF IYF	A	Dividend	K	T	Buy	05/26/20	K		
46. " "					Buy (add'l)	06/23/20	J		
47. Kraneshares China Internet ETF KWEB	A	Dividend	K	T	Buy	10/14/20	J		
48. " "					Buy (add'l)	10/27/20	J		

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(See Columns B1 and D4)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Beth E. Hanan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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