

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Evans, Barbara S.	2. Court or Organization United States District Court, District of New Mexico	3. Date of Report 04/26/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (PT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States District Court Joe Skeen Federal Building 500 North Richardson Avenue Roswell, NM 88201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1 (reportable assets are included in Part VII)
2. Trustee	Trust #2 (reportable assets are included in Part VII)
3. Trustee	Trust #3 (reportable assets are included in Part VII)
4. Trustee	Trust #4 (reportable assets are included in Part VII)
5. Trustee	Trust #5 (reportable assets are included in Part VII)
6. Trustee	Trust #6 (reportable assets are included in Part VII)
7. Board Member, Executive Committee member	Holsum, Inc.
8. Board Member	SWS Family LLC
9. Board Member	JKS Resources LLC
10. Vice President	J. Kenneth and Alice Smith Family Foundation
11. President, Board of Directors	Alianza of New Mexico
12. Attorney Shareholder	Atwood Malone Turner and Sabin PA

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Atwood Malone Turner and Sabin PA (salary)	\$34,173.53
2. 2020	Holsom, Inc. (Director's Fee)	\$6,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Atwood Malone Turner and Sabin PA (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Pioneer Bank (cash)		None	L	T					
3. NY Life Insurance (whole)		None	K	T					
4. Holsum, Inc	G	Distribution	P1	T					
5. SWS Family, LLC	E	Distribution	P1	W					
6. JKS Resources, LLC		None	L	W					
7. Trust #7 (income beneficiary) (X)	E	Distribution							
8. Account #1 (H)									
9. Charles Schwab Bank Sweep (cash) (X)	A	Interest	J	T					
10. Invesco QQQ Trust (QQQ)	A	Dividend	K	T					
11. iShares MSCI EAFE ETF (EFA)	A	Dividend	J	T					
12. SPDR S&P 500 ETF (SPY)	A	Dividend	J	T					
13. Zeo Strategic Income Fd I (ZEOIX)	A	Dividend			Sold	10/21/20	J		
14. Thornburg Better World I (TBWIX)	A	Dividend	K	T					
15. Account #2 (H)									
16. SPDR S&P 500 ETF (SPY)	A	Dividend	J	T					
17. Vanguard Total Stock Mkt Indx Adm (VTSAX)	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Account #3 (H)									
19. American Funds 2040 Target Date Fund R4 (RDGTX)	D	Dividend	M	T					
20. Account #4 (H)									
21. Ark Next Generation Internet ETF (ARKW) (was Ark Web X.0 ETF)	A	Dividend	K	T	Buy (add'l)	06/17/20	J		
22.					Sold (part)	12/29/20	J		
23. iShares Gold ETF (IAU)		None	K	T					
24. iShares S&P 100 ETF (OEF)	A	Dividend	K	T					
25. PIMCO Short Term Instl (PTSHX)	A	Dividend	J	T					
26. Thornburg Strategic Inc Fd I (TSIIX)	A	Dividend	K	T					
27. American Century Focused Dynamic Gwth I (ACFSX)	A	Dividend	K	T	Buy (add'l)	11/30/20	J		
28. Doubleline Colony Real Estate & Incm I (DBRIX)	A	Dividend	J	T					
29. Harding Loevner Intl EQT Y Port Inst (HLMIX)	A	Dividend	K	T	Buy	04/21/20	K		
30. Lord Abnett Developing Growth I (LADYX)	B	Dividend	K	T	Buy	07/06/20	K		
31. Matthews Asian Grwth & Inc Fd Inv (MACSX)	B	Dividend	K	T					
32. Oakmark Eq & Inc Fd Inv (OAKBX)		None			Sold	07/02/20	K		
33. Saturna Sustainable Equity (SEEFX)	A	Dividend	J	T	Buy	11/30/20	J		
34. Thornburg Better World Intl I (TBWIX)	A	Dividend	K	T	Sold (part)	11/30/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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35. Tweedy Browne Global Value Fd (TBGVX)		None			Sold	04/20/20	K		
36. Vanguard Total Intl Stock Index Adm (VTIAX)	A	Dividend	J	T					
37. Vanguard Total Stock Mkt Indx Fd Adm (VTSAX)	A	Dividend	K	T					
38. Account #5 (H)									
39. Ark Next Generation Internet ETF (ARKW) (was Ark Web X.0 ETF)	A	Dividend	K	T	Buy (add'l)	06/17/20	J		
40. Thornburg Strategic Inc Fd I (TSIIX)	A	Dividend	J	T					
41. Zeo Short Duration Inc Fd I (ZEOIX)	A	Dividend	J	T					
42. American Century Focused Dynamic Gwth I (ACFSX)	A	Dividend	K	T	Sold (part)	09/24/20	J		
43.					Sold (part)	11/30/20	J		
44. AMG Yacktmán Fd I (YACKX)		None			Sold	10/15/20	K		
45. Buffalo Discovery Fund (BUIX)	B	Dividend	K	T					
46. Doubleline Colony Real Estate & Incm I (DBRIX)	A	Dividend	K	T					
47. Harding Loevner Intl EQT Y Port Inst (HLMIX)	A	Dividend	K	T	Buy	04/21/20	J		
48. Lord Abbett Developing Growth I (LADYX)	B	Dividend	K	T	Buy	07/06/20	J		
49. Oakmark Eq & Inc Fd Inv (OAKBX)		None			Sold	07/02/20	J		
50. Payden Eq Inc Fd Inv (PYVIZ)	A	Dividend	K	T					
51. Saturna Sustainable Equity (SEEFX)	A	Dividend	K	T	Buy	10/15/20	K		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. Thornburg Better World Intl I (TBWIX)	A	Dividend	K	T	Buy (add'l)	11/30/20	J		
53. Tweedy Browne Global Value Fd (TBGVX)		None			Sold	04/20/20	J		
54. Vang Emrg Mkts Stk Index FD ADM Shrs (VEMAX)	A	Dividend	J	T					
55. Vang Extended Market Index FD ADM Shrs (VEXAX)	A	Dividend	J	T					
56. Vanguard Total Intl Stock Index Adm (VTIAX)	A	Dividend	K	T					
57. Vang 500 Indx FD Admiral Shrs (VFIAX)	A	Dividend	K	T					
58. Account #6 (H)									
59. Schwab One Cash Acct (cash)		None	J	T					
60. Berkshire Hathaway (BRKB)		None	K	T					
61. Ark Next Generation Internet ETF (ARKW) (was Ark Web X.0 ETF)	A	Dividend	K	T	Buy (add'l)	06/17/20	J		
62. Vanguard Total Stock Mkt ETF (VTI)	A	Dividend	K	T	Buy (add'l)	06/17/20	J		
63. Vanguard Total World Stock ETF (VT)	A	Dividend	K	T					
64. Thornburg Strategic Inc Fd I (TSIIX)	A	Dividend	K	T	Buy (add'l)	11/30/20	J		
65. Zeo Strategic Income Fd I (ZEOIX)	A	Dividend	J	T					
66. American Century Focused Dynamic Gwth I (ACFSX)	A	Dividend	K	T					
67. Harding Loevner Intl EQT Y Port Inst (HLMIX)	A	Dividend	K	T	Buy	04/21/20	J		
68. Lord Abbett Developing Growth I (LADYX)	B	Dividend	K	T	Buy	07/06/20	J		

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69. Oakmark Eq & Inc Fd Inv (OAKBX)		None			Sold	07/02/20	K		
70. Payden Eq Inc Fd Inv (PYVIZ)	A	Dividend			Sold	11/30/20	K		
71. Saturna Sustainable Equity (SEEFX)	A	Dividend	K	T	Buy	11/30/20	J		
72. Thornburg Better World Intl I (TBWIX)	A	Dividend	K	T					
73. Tweedy Browne Global Value Fd (TBGVX)		None			Sold	04/20/20	J		
74. Vanguard Emrg Mkts Stk Index Fd Adm Shrs (VEMAX)	A	Dividend			Sold	09/01/20	J		
75. Vanguard 500 Index FD Admiral Shrs (VFIAX)	A	Dividend	K	T					
76. Account #7 (H)									
77. Ark Next Generation Internet ETF (ARKW) (was Ark Web X.0 ETF)		None	K	T	Buy (add'l)	06/17/20	J		
78.					Sold (part)	12/29/20	J		
79. Zeo Strategic Income Fd I (ZEOIX)	A	Dividend	J	T					
80. American Century Focused Dynamic Gwth I (ACFSX)		None	K	T	Sold (part)	09/24/20	J		
81. Buffalo Discovery Fund Inst (BUITX)		None	J	T					
82. Doubleline Colony Real Estate & Incm I (DBRIX)	A	Dividend	J	T					
83. Lord Abnett Developing Growth I (LADYX)		None	K	T	Buy	07/06/20	J		
84. Oakmark Eq & Inc Fd Inv (OAKBX)		None			Sold	07/02/20	J		
85. Payden Eq Inc Fd Inv (PYVIZ)	A	Dividend	K	T					

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86. Vanguard Emrg Mkts Stk Index Fd Adm Shrs (VEMAX)	A	Dividend	K	T					
87. Vanguard Extended Mkt Index Fd Adm Shrs (VEXAX)	A	Dividend	K	T					
88. Vanguard Total Intl Stk Index Adm (VTIAX)	A	Dividend	K	T					
89. Vanguard 500 Index FD Admiral Shrs (VFIAX)	A	Dividend	L	T					
90. Account #8 (H)									
91. Schwab One Cash Acct (cash)	A	Interest	J	T					
92. Berkshire Hathaway (BRKB)		None	K	T	Sold (part)	07/16/20	J		
93. PIMCO Short Term Instl (PTSHX)	A	Dividend			Sold	07/16/20	J		
94. Thornburg Better World Intl I (TBWIX)	A	Dividend	J	T					
95. Vanguard Extended Market Index FD Adm Shrs (VEXAX)	A	Dividend	K	T	Sold (part)	10/05/20	J		
96. Vanguard Total Stock Mkt Index Fd Adm (VTSAX)	A	Dividend	L	T					
97. Account #9 (H)									
98. Invesco QQQ Trust (QQQ)	A	Dividend	K	T	Buy	03/11/20	J		
99. iShares MSCI EAFE ETF (EFA)	A	Dividend	J	T	Buy	03/11/20	J		
100. SPDR S&P 500 ETF (SPY)	A	Dividend	J	T	Buy	03/11/20	J		
101. Schwab MarketTrack Growth (SWHGX)	C	Dividend	K	T					
102. Account #10 (H)									

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103. Charles Schwab Bank Sweep (cash)	A	Interest	L	T					
104. Lord Abbett Developing Growth I (LADYX)	A	Dividend	K	T	Buy	06/26/20	K		
105. Schwab Value Advantage (SWVXX) (cash)	A	Dividend			Sold (part)	02/26/20	K		
106.					Sold (part)	05/14/20	K		
107.					Sold	06/25/20	K		
108. Account #11 (H)									
109. Schwab One Cash Acct (cash)	A	Interest	K	T					
110. Mylan NV 3.75%20F (62854AAL8)		None			Merged (with line 112)	11/16/20	J		
111. Kraft Heinz Foods 3.5%22 (50077LAJ5)	B	Interest			Redeemed	10/24/20	K		
112. Viatris Inc 3.75% 20F (62854AAL8)	A	Interest			Redeemed	12/15/20	J		
113. Synovous Finl Corp 3.125%22 (87161CAL9)		None	K	T	Buy	09/10/20	K		
114. General Motors F 3.55%23 (37046ADM4)	C	Interest			Redeemed	12/21/20	K		
115. Synovous Finl Corp (87161CAK1)	B	Interest			Buy	07/31/20	K		
116.					Redeemed	12/15/20	K		
117. JP Morgan Chase & 2.5%26 (48128GP79)	A	Interest	K	T					
118. Block Finl LLC 3.875%30 (093662AH7)		None	K	U	Buy	09/30/20	K		
119. Waste Management 2.95%24 (94106LBF5)	A	Interest			Buy	07/01/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Evans, Barbara S.

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04/26/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Redeemed	07/20/20	K		
121. NM St Severance Tax 4%20 (647310L58)	A	Interest			Redeemed	07/01/20	K		
122. Belen NM CNS Sd #2 3%20 Go UTX (077581NZ5)	A	Interest			Redeemed	08/01/20	K		
123. Las Cruces NM Sd #2 5%25 (517534RA3)	A	Interest			Redeemed	08/01/20	K		
124. Farmington NM Ho 5.25%27 (311428BN5)	A	Interest			Buy	05/11/20	K		
125.					Redeemed	10/26/20	K		
126. Hobbs New Mex 5%50 (43385PAG4)	B	Interest	K	T					
127. Abbott Laboratories (ABT)	A	Dividend	K	T					
128. Astrazeneca PLC (AZN)	A	Dividend	K	T					
129. Carlisle Co (CSL)	A	Dividend	K	T					
130. Citrix Systems Inc (CTXS)	A	Dividend	K	T	Buy	09/09/20	K		
131. Equinor (EQNR)	A	Dividend			Sold	06/18/20	K		
132. Fedex Corp (FDX)	A	Dividend			Sold	05/22/20	J		
133. Home Depot (HD)	B	Dividend	K	T					
134. Koninklijke Philips (PHG)		None	K	T					
135. Lam Research Corp (LRCX)	A	Dividend	K	T	Buy	05/22/20	K		
136. Logitech Intl S A (LOGI)	A	Dividend	K	T	Buy	05/22/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	10/20/20	J		
138. Mastercard Inc Cl A (MA)	A	Dividend	K	T	Buy	06/18/20	K		
139. Nextera Energy Inc (NEE)	A	Dividend	K	T					
140. Nike Inc Cl B (NKE)	A	Dividend	K	T	Buy	06/18/20	K		
141. Paypal Holdings (PYPL)		None	L	T	Sold (part)	11/30/20	K		
142.					Sold (part)	12/29/20	J		
143. Salesforce.com (CRM)		None	K	T					
144. SS&C Technologs Hldg (SSNC)	A	Dividend	K	T					
145. Starbucks Corp (SBUX)		None	K	T	Buy	12/29/20	K		
146. Stoneco Ltd Cl A (STNE)		None	K	T	Buy	01/23/20	K		
147. Tenecent Holdings (TCEHY)	A	Dividend	K	T					
148. Texas Capital Bancshares (TCBI)		None			Sold	01/23/20	J		
149. Total S A (TOT)	A	Dividend			Sold	02/25/20	J		
150. UnitedHealth Group (UNH)	A	Dividend	K	T					
151. Volkswagen A G (VWAGY)		None			Sold	02/25/20	K		
152. Direxion Connected Consumer ETF (CCON)	A	Dividend	K	T	Buy	11/30/20	K		
153. Ishares Core S&P 500 (IVV)	B	Dividend	M	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Vanguard Extended Market (VXF)	A	Dividend	K	T					
155. Vanguard Total Stock (VTI)	B	Dividend	L	T					
156. American Beacon Sound Po Int Flrtin Y (SPFYX)	A	Dividend	K	T	Buy	12/29/20	K		
157. Doublin Total Return Bond I (DBLTX)	A	Dividend	K	T	Buy	12/29/20	K		
158. Thornburg Strategic Income I (TSIIX)	A	Dividend	K	T	Buy	09/09/20	K		
159. Zeo Strategic Income Fd I (ZEOIX)	B	Dividend	K	T	Buy (add'l)	12/29/20	J		
160. AMG Yacktmn Fd I (YACKX)		None			Sold	10/14/20	L		
161. Buffalo Discovery Fund (BUIFX)	C	Dividend	L	T					
162. Harding Loevner Intl Equity Port Inst (HLMIX)	A	Dividend	K	T	Buy	04/21/20	K		
163. Matthews Asia Growth & Inc Fd I (MACSX)	A	Dividend	K	T					
164. Oakmark Equity & Income Fd I (OAKBX)		None			Sold	05/22/20	K		
165. Parnassus Endeavor Fd I (PFPWX)	A	Dividend	K	T					
166. Payden Equity Income Fd I (PYVIZ)	A	Dividend	K	T					
167. Saturna Sustainable Equity (SEEFX)	A	Dividend	L	T	Buy	10/15/20	L		
168. Thornburg Better World Intl I (TBWIX)	A	Dividend	L	T					
169. Thornburg Invt Income Bldr Fd Cl I (TIBIX)	B	Dividend	K	T					
170. Tweedy Browne Global Value Fd (TBGVX)		None			Sold	04/20/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Vanguard Div Growth Fd Inv Shs (VDIGX)	B	Dividend	L	T					
172. Vanguard Total Intl Stock Index Adm (VTIAX)	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
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Evans, Barbara S.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

JKS Resources, LLC: The primary purpose of this business is agricultural. My role involves monitoring the acquisition of new farming properties, reviewing milk production, and some personnel issues.

SWS Family, LLC: Valuation of this item is based upon the estimated value of real estate. A more precise valuation is not available.

JKS Resources, LLC: Valuation of this item is based upon the estimated value of gas and oil investments. A more precise valuation is not available.

Part VII, line 7: Trust #7 was not created by the filer, her spouse, or any dependent child. They are not trustees of this trust and do not know the value, content, or income sources of thereof.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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Name of Person Reporting

Evans, Barbara S.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barbara S. Evans**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544