

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Scarcella, Louis A.	2. Court or Organization U.S. Bankruptcy Court - Eastern District of New York	3. Date of Report 06/16/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Bankruptcy Court, Eastern District of New York Alfonse M.D'Amato Federal Courthouse 290 Federal Plaza Central Islip, New York 11722		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor	The Maurice A. Deane School of Law at Hofstra University
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Spr 2020	Adjunct Professor, The Maurice A. Deane School of Law at Hofstra University (W-2)	\$7,500.00
2. Fall 2020	Adjunct Professor, The Maurice A. Deane School of Law at Hofstra University (W-2)	\$7,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Long Island University -- salary (W-2)
2. 2020	NYS Teachers' Retirement System
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Rental Property, Woodbury, Nassau County, NY	E	Rent	O	W					
2. Citibank (accounts) -- cash equivalent	A	Interest	M	T					
3. Chase (accounts) - cash equivalent	A	Interest	K	T					
4. Columbia Acorn International Fund-1	D	Dividend	L	T					
5. Brokerage Account #1 (H)									
6. - UBS Bank USA Dep Account -- cash equivalent	A	Interest	J	T					
7. - SMCWX	B	Dividend	M	T					
8. - AEPGX	A	Dividend	M	T					
9. - ANCFX	C	Dividend	M	T					
10. Brokerage Account #3 (H)									
11. - FSTFX	A	Dividend	L	T					
12. - FDRXX	A	Dividend	L	T					
13. Brokerage Account #4 (IRA) (H)									
14. - FDRXX	A	Dividend	M	T	Sold (part)	02/07/20	J		
15.					Sold (part)	02/18/20	J		
16.					Sold (part)	08/14/20	J		
17.					Buy (add'l)	09/24/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18.					Sold (part)	11/09/20	J		
19.					Buy (add'l)	12/24/20	J		
20. - KBWB					Sold	02/24/20	K	B	
21. - EWZ	A	Dividend			Sold	02/24/20	K	A	
22. - EWW		None			Sold	02/24/20	K	B	
23. - IEUR		None			Sold	02/24/20	K	C	
24. - BIZD	A	Dividend			Sold	02/24/20	K	A	
25. - VBR		None			Sold	02/24/20	L	D	
26. - VO	A	Dividend	K	T	Sold (part)	02/24/20	L	D	
27. - VV	A	Dividend	K	T	Sold (part)	02/24/20	L	D	
28. - EPI		None			Sold	02/24/20	K	A	
29. - SNLN	A	Dividend			Sold	02/07/20	K		
30. - SJNK	B	Dividend	K	T	Buy	02/07/20	K		
31. - SCHD	A	Dividend	K	T	Buy	02/24/20	K		
32. - VEA	C	Dividend	M	T	Buy	02/24/20	M		
33. - VIG	B	Dividend	L	T	Buy	02/24/20	L		
34. - VTV	B	Dividend	K	T	Buy	02/24/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - VWO	B	Dividend	L	T	Buy	02/24/20	L		
36. - VCIT	A	Dividend	K	T	Buy	02/24/20	K		
37. - ITB	A	Dividend	K	T	Buy	02/24/20	K		
38. Brokerage Account #5 (IRA) (H)									
39. - FDRXX	A	Dividend	J	T	Sold (part)	01/02/20	J		
40.					Sold (part)	02/07/20	J		
41.					Buy (add'l)	03/11/20	J		
42.					Sold (part)	03/18/20	J		
43.					Sold (part)	03/27/20	J		
44.					Buy (add'l)	04/14/20	J		
45.					Sold (part)	04/15/20	J		
46.					Buy (add'l)	04/16/20	J		
47.					Buy (add'l)	04/17/20	J		
48.					Sold (part)	04/24/20	J		
49.					Buy (add'l)	05/06/20	J		
50.					Sold (part)	05/19/20	J		
51.					Sold (part)	05/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

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52.					Sold (part)	06/09/20	J		
53.					Buy (add'l)	06/30/20	J		
54.					Buy (add'l)	07/28/20	J		
55.					Sold (part)	08/27/20	J		
56.					Sold (part)	10/14/20	J		
57.					Buy (add'l)	11/03/20	J		
58.					Sold (part)	11/04/20	J		
59.					Sold (part)	11/05/20	J		
60.					Buy (add'l)	11/06/20	J		
61.					Buy (add'l)	11/09/20	J		
62.					Sold (part)	11/18/20	J		
63.					Buy (add'l)	12/03/20	J		
64.					Sold (part)	12/04/20	J		
65. - SNLN	A	Dividend			Sold	02/07/20	J	A	
66. - CMBS	A	Dividend	J	T	Buy	02/07/20	J		
67.					Sold (part)	04/16/20	J	A	
68. - FLOT	A	Dividend			Sold (part)	02/07/20	J	A	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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69.					Sold (part)	04/16/20	J	A	
70.					Buy (add'l)	06/09/20	J		
71.					Sold	10/14/20	K	A	
72. - SLQD	A	Dividend			Buy (add'l)	04/14/20	J		
73.					Sold	06/09/20	K	A	
74. - SPIB	A	Dividend			Sold (part)	04/16/20	J	A	
75.					Buy (add'l)	05/01/20	J		
76.					Sold	10/14/20	J	A	
77. - VCIT	A	Dividend	K	T	Buy (add'l)	02/07/20	J		
78.					Sold (part)	04/15/20	J	A	
79.					Buy (add'l)	10/14/20	J		
80.					Buy (add'l)	12/04/20	J		
81. - SJNK	A	Dividend	K	T	Buy	02/07/20	J		
82.					Sold (part)	04/14/20	J		
83.					Buy (add'l)	10/14/20	J		
84.					Buy (add'l)	11/04/20	J		
85.					Buy (add'l)	11/05/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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86.					Buy (add'l)	11/18/20	J		
87. - VMBS	A	Dividend	K	T	Buy	10/14/20	K		
88. - PFFD	A	Dividend	J	T	Buy	11/05/20	J		
89.					Buy (add'l)	11/18/20	J		
90. - AGN	A	Dividend			Sold	04/16/20	J	A	
91. - MDT	A	Dividend	J	T					
92. - T	A	Dividend	J	T					
93. - A	A	Dividend	J	T					
94. - GOOG		None	J	T					
95. - TT	A	Dividend	J	T	Buy	07/22/20	J		
96. - ADI	A	Dividend			Sold	03/18/20	J		
97. - ANTM	A	Dividend			Sold	05/19/20	J	A	
98. - AAPL	A	Dividend	J	T					
99. - ARCC	A	Dividend			Sold	06/30/20	J		
100. - BAC	A	Dividend			Sold	05/19/20	J		
101. - BDX	A	Dividend	J	T					
102. - AVGO	A	Dividend	J	T	Sold (part)	11/13/20	J	A	

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103. - BRKB		None	J	T	Buy	04/16/20	J		
104. - BX	A	Dividend	J	T	Buy	08/27/20	J		
105. - CCL	A	Dividend			Sold	03/11/20	J		
106. - CVX	A	Dividend	J	T	Buy	04/16/20	J		
107. - CNK	A	Dividend	J	T	Buy	11/13/20	J		
108. - CSCO	A	Dividend	J	T					
109. - C	A	Dividend	J	T					
110. - STZ	A	Dividend	J	T					
111. - DIS		None			Buy	03/18/20	J		
112. - DOW	A	Dividend	J	T	Sold	03/19/20	J		
113. - DNKN	A	Dividend			Buy (add'l)	03/30/20	J		
114.					Sold	07/28/20	J		
115. - GIS	A	Dividend	J	T					
116. - GM	A	Dividend	J	T	Buy (add'l)	04/24/20	J		
117. - HD	A	Dividend	J	T	Buy	03/19/20	J		
118. - JPM	A	Dividend	J	T					
119. - JNJ	A	Dividend	J	T					

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. - KMI	A	Dividend	J	T					
121. - LRCX	A	Dividend			Sold	05/06/20	J	A	
122. - LMT					Sold	07/22/20	J		
123. - MCD	A	Dividend	J	T					
124. - MCHP	A	Dividend			Sold	04/16/20	J		
125. - MRK	A	Dividend	J	T	Buy	05/19/20	J		
126. - MET	A	Dividend	J	T	Buy	03/27/20	J		
127. - MSFT	A	Dividend	J	T	Buy	05/19/20	J		
128. - NOK		None	J	T					
129. - NOC	A	Dividend			Sold	04/16/20	J		
130. - PANW		None	J	T	Buy	06/13/20	J		
131. - PYPL		None	J	T	Sold (part)	08/27/20	J	A	
132. - PM	A	Dividend	J	T	Buy	04/16/20	J		
133. - QCOM	A	Dividend	J	T					
134. - RTN	A	Dividend	J	T	Merged (with line 135)	04/03/20	J		
135. - RTX	A	Dividend	J	T		04/06/20	J		
136. -RHHBY	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Scarcella, Louis A.

Date of Report

06/16/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. - SIX	A	Dividend			Sold	03/19/20	J		
138. - TJX	A	Dividend	J	T					
139. - TSM	A	Dividend	J	T	Sold (part)	03/27/20	J		
140. - TGT	A	Dividend	J	T	Buy	05/20/20	J		
141. - VOD	A	Dividend	J	T					
142. - WBA	A	Dividend	J	T	Buy	05/19/20	J		
143. - XPO		None	J	T					
144. - ZBRA		None	J	T	Buy	03/18/20	J		
145.					Sold (part)	11/13/20	J	A	
146. - WPC	A	Dividend	J	T					
147. - NEEPRN	A	Interest			Buy	02/25/20	J		
148.					Sold	11/03/20	J		
149. - JPMPRD		None			Buy	04/15/20	J		
150.					Sold	05/01/20	J	A	
151. - CMSD	A	Interest			Buy	04/16/20	J		
152.					Sold	11/04/20	J		
153. - NRZPRB	A	Dividend			Sold (part)	04/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Sold	12/03/20	J		
155. - AGNCO	A	Dividend			Sold (part)	04/17/20	J		
156.					Sold	11/06/20	J		
157. - NLYPRF	A	Dividend			Sold (part)	04/17/20	J		
158.					Sold	11/09/20	J		
159. - SREA	A	Interest	J	T	Sold	11/06/20	J	A	
160. - BXMT	A	Dividend			Buy	04/24/20	J		
161.					Sold	06/30/20	J		
162. - BACPRB	A	Dividend			Buy	01/02/20	J		
163.					Sold	02/25/20	J	A	
164. - LQD	A	Dividend			Buy	06/09/20	J		
165.					Sold	10/19/20	J	A	
166. Brokerage Account #6 (IRA) (H)									
167. - FDRXX	A	Dividend	M	T	Buy (add'l)	01/07/20	J		
168.					Buy (add'l)	01/15/20	J		
169.					Sold (part)	02/18/20	L		
170.					Sold (part)	02/20/20	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Sold (part)	02/24/20	K		
172.					Sold (part)	02/28/20	K		
173.					Buy (add'l)	03/04/20	K		
174.					Buy (add'l)	03/11/20	J		
175.					Sold (part)	03/16/20	J		
176.					Buy (add'l)	03/19/20	J		
177.					Sold (part)	03/27/20	J		
178.					Sold (part)	03/30/20	J		
179.					Sold (part)	04/07/20	J		
180.					Sold (part)	04/17/20	J		
181.					Buy (add'l)	04/20/20	J		
182.					Sold (part)	04/28/20	J		
183.					Buy (add'l)	05/06/20	J		
184.					Sold (part)	05/15/20	J		
185.					Buy (add'l)	05/18/20	K		
186.					Sold (part)	05/20/20	J		
187.					Sold (part)	05/21/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Sold (part)	06/01/20	K		
189.					Buy (add'l)	06/02/20	J		
190.					Buy (add'l)	06/15/20	J		
191.					Buy (add'l)	06/30/20	J		
192.					Buy (add'l)	07/06/20	K		
193.					Buy (add'l)	07/08/20	K		
194.					Sold (part)	07/13/20	K		
195.					Sold (part)	07/22/20	J		
196.					Sold (part)	07/23/20	J		
197.					Sold (part)	07/28/20	J		
198.					Buy (add'l)	08/03/20	J		
199.					Sold (part)	08/14/20	J		
200.					Sold (part)	08/27/20	J		
201.					Buy (add'l)	09/03/20	K		
202.					Sold (part)	09/08/20	K		
203.					Buy (add'l)	09/15/20	J		
204.					Buy (add'l)	09/18/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Sold (part)	10/01/20	J		
206.					Buy (add'l)	10/07/20	K		
207.					Sold (part)	10/13/20	K		
208.					Sold (part)	10/15/20	K		
209.					Buy (add'l)	10/27/20	K		
210.					Buy (add'l)	10/28/20	K		
211.					Sold (part)	10/29/20	K		
212.					Buy (add'l)	11/06/20	K		
213.					Buy (add'l)	11/09/20	K		
214.					Sold (part)	11/10/20	K		
215.					Sold (part)	11/13/20	K		
216.					Buy (add'l)	11/16/20	K		
217.					Sold (part)	11/18/20	J		
218.					Sold (part)	11/19/20	K		
219.					Sold (part)	12/10/20	K		
220.					Buy (add'l)	12/15/20	J		
221.					Buy (add'l)	12/21/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Scarcella, Louis A.

Date of Report

06/16/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - AGN	A	Dividend			Sold	03/11/20	J	A	
223. - MDT	A	Dividend	J	T					
224. - LYB	A	Dividend			Sold	03/11/20	J		
225. - T	A	Dividend	J	T					
226. - GOOG		None	J	T	Sold (part)	07/13/20	J	A	
227. - ADI	A	Dividend			Sold	03/11/20	J		
228. - ANTM	A	Dividend			Sold	05/15/20	J	A	
229. - AAPL	A	Dividend	J	T	Sold (part)	07/13/20	J	A	
230. - ARCC	A	Dividend			Sold	06/30/20	J		
231. - BAC	A	Dividend			Sold	05/20/20	J		
232. - BDX	A	Dividend	J	T					
233. - BMY	A	Dividend	J	T	Sold (part)	01/07/20	J	A	
234. - AVGO	A	Dividend	J	T	Sold (part)	01/07/20	J	A	
235.	A	Dividend			Sold (part)	11/13/20	J	A	
236. - CCL	A	Dividend	J	T	Sold	03/11/20	J		
237. - CSCO	A	Dividend	J	T					
238. - C	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Scarcella, Louis A.

Date of Report

06/16/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. - STZ	A	Dividend	J	T					
240. - DOW	A	Dividend			Sold	03/11/20	J		
241. - DNKN	A	Dividend			Buy (add'l)	03/30/20	J		
242.					Sold (part)	07/06/20	J		
243.					Sold	07/28/20	J	A	
244. - XOM	A	Dividend			Sold	03/11/20	J		
245. - F	A	Dividend			Sold	03/11/20	J		
246. - GIS	A	Dividend	J	T					
247. - GM	A	Dividend	J	T					
248. - JPM	A	Dividend	J	T					
249. - JNJ	A	Dividend	J	T					
250. - KMI	A	Dividend	J	T					
251. - LRCX	A	Dividend			Sold (part)	01/07/20	J	A	
252.					Sold	05/06/20	J		
253. - LMT	A	Dividend			Sold	07/13/20	J		
254. - MCD	A	Dividend	J	T					
255. - MCHP	A	Dividend			Sold	07/28/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Scarcella, Louis A.

Date of Report

06/16/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. - NOK		None	J	T					
257. - NOC	A	Dividend			Sold	04/17/20	J		
258. - PYPL		None	J	T					
259. - RTX	A	Dividend	J	T	Sold	04/06/20	J		
260. - RHBY	A	Dividend			Sold	07/22/20	J		
261. - SIX	A	Dividend			Sold	03/19/20	J		
262. - TJX	A	Dividend	J	T					
263. - TSM	A	Dividend	J	T					
264. - TMO	A	Dividend	J	T	Sold (part)	04/20/20	J	A	
265. - VZ	A	Dividend	J	T					
266. - VOD	A	Dividend			Sold (part)	04/20/20	J		
267.					Sold	08/27/20	J		
268. - WSM	A	Dividend	J	T	Sold (part)	07/06/20	J	A	
269. - XPO		None	J	T					
270. - AGNCO	B	Dividend			Sold	11/06/20	K		
271. - NLYPRI	A	Dividend			Sold	11/06/20	K		
272. - NSAPRA	A	Dividend			Sold	11/06/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Scarcella, Louis A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. - NEEPRN	B	Dividend	K	T					
274. - TWOPRE	B	Dividend			Sold	11/18/20	K		
275. - Spirit Aerosystems Inc Note Floating Coupon	A	Interest			Sold	05/18/20	J		
276. - United Technologies Corp Note Floating	A	Interest			Redeemed	03/04/20	K		
277. - Great Plains Energy Inc Note Fixed	A	Interest	K	T					
278. - PBF Logistics LP Note Fixed	A	Interest			Sold	05/18/20	K		
279. - Icahn Enterprises LP Note Fixed	B	Interest	K	T					
280. - Equinix Inc Note Fixed	A	Interest			Sold	07/08/20	K		
281. - Hollyfrontier Corp Note Fixed	A	Interest			Sold	09/18/20	K	A	
282. - MPT operating Partnership LP Note Fixed	B	Interest	K	T					
283. - Assurant Inc Note Variable	A	Interest	K	T					
284. - Dominion Res Inc Note Fixed	B	Interest	K	T					
285. - Vulcan Matls Co Note	A	Interest			Sold	07/06/20	K	A	
286. - BXMT	A	Dividend			Sold	06/30/20	J		
287. - WPC	A	Dividend	J	T					
288. - TT	A	Dividend	J	T	Buy	07/23/20	J		
289. - ABBV	A	Dividend	J	T	Buy	05/20/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Scarcella, Louis A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. - BRKB		None	J	T	Buy	04/17/20	J		
291. - BX	A	Dividend	J	T	Buy	08/27/20	J		
292. - BRKR	A	Dividend	J	T	Buy	07/06/20	J		
293. - CVX	A	Dividend	J	T	Buy	04/17/20	J		
294. - CNK		None	J	T	Buy	11/13/20	J		
295. - DIS		None	J	T	Buy	03/11/20	J		
296. - HD	A	Dividend	J	T	Buy	03/11/20	J		
297. - HON	A	Dividend	J	T	Buy	07/13/20	J		
298. - IBM	A	Dividend	J	T	Buy	07/06/20	J		
299. - MRK	A	Dividend	J	T	Buy	03/11/20	J		
300. - MET	A	Dividend	J	T	Buy	03/27/20	J		
301. - MSFT	A	Dividend	J	T	Buy	04/07/20	J		
302.					Sold (part)	11/13/20	J	A	
303. - PANW		None	J	T	Buy	06/30/20	J		
304.					Sold (part)	11/13/20	J	A	
305. - PM	A	Dividend	J	T	Buy	04/17/20	J		
306. - QCOM	A	Dividend	J	T	Buy	01/07/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307.					Sold (part)	07/23/20	J	A	
308. - TGT	A	Dividend	J	T	Buy	04/28/20	J		
309. - WBA	A	Dividend	J	T	Buy	05/15/20	J		
310. - ZBRA		None	J	T	Buy	03/11/20	J		
311.					Sold (part)	05/20/20	J	A	
312. - ALLPRH	A	Dividend	K	T	Buy	09/08/20	K		
313. - Bank of America Bond Floating	B	Interest	K	T	Buy	02/28/20	K		
314. - Sempra Energy Bond Floating		None	K	T	Buy	12/10/20	K		
315. - Phillips 66 Note Fixed	A	Interest	J	T	Buy	03/16/20	J		
316. - Retail Opportunity Inv Note Fixed	A	Interest	K	T	Buy	10/13/20	K		
317. - Western Digital Corp Bond Fixed		None	K	T	Buy	11/10/20	K		
318. - Owl Rock Capital Note Fixed	A	Interest	K	T	Buy	02/20/20	K		
319. - Expedia Group Inc Note Fixed		None	K	T	Buy	11/13/20	K		
320. - United Rental North America Note Fixed		None	K	T	Buy	11/18/20	K		
321. - Store Cap Corp Note Fixed		None	K	T	Buy	11/19/20	K		
322. - CH Robinson Worldwide Note Fixed	A	Interest	K	T	Buy	05/21/20	K		
323. - Trimble Inc Note Variable	A	Interest	K	T	Buy	07/13/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. - Flextronic Int'l Ltd Note Fixed	A	Interest	K	T	Buy	07/22/20	K		
325. - Vale Overseas Ltd Note Fixed		None	K	T	Buy	10/29/20	K		
326. - Kraft Heinz Foods Co Note Fixed	A	None	K	T	Buy	10/15/20	K		
327. - Juniper Networks Inc Note	A	Interest			Buy	02/18/20	K		
328.					Sold	10/07/20	K	A	
329. - Steel Dynamics Inc Note	B	Interest			Buy	02/18/20	K		
330.					Sold	11/09/20	K		
331. - Enterprise Prod Oper LLC Note	A	Interest			Buy	02/20/20	K		
332.					Sold	10/27/20	K		
333. - Axis Speciality Finance LLC Note		None			Buy	02/24/20	K		
334.					Sold	04/28/20	K		
335. - Citicorp Cap XIII TR PFD	A	Interest			Buy	03/30/20	J		
336.					Sold	06/02/20	J	A	
337. - Masco Corp Note	A	Interest			Buy	04/28/20	K		
338.	A				Sold	10/28/20	K	C	
339. - Fortive Corp Bond	A	Interest			Buy	06/01/20	K		
340.					Sold	09/03/20	K	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

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06/16/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. - Bank New York Mellon Corp PFD	A	Dividend			Buy	10/01/20	J		
342.					Sold	12/21/20	J		
343. Brokerage Account #8 (H)									
344. - FDRXX	A	Dividend	L	T	Buy (add'l)	01/09/20	J		
345.					Buy (add'l)	01/28/20	J		
346.					Sold (part)	02/07/20	J		
347.					Buy (add'l)	02/14/20	J		
348.					Sold (part)	02/21/20	J		
349.					Buy (add'l)	02/28/20	J		
350.					Buy (add'l)	03/06/20	J		
351.					Sold (part)	03/13/20	J		
352.					Sold (part)	03/16/20	J		
353.					Sold (part)	03/27/20	J		
354.					Buy (add'l)	04/08/20	J		
355.					Buy (add'l)	05/29/20	J		
356.					Sold (part)	07/22/20	J		
357.					Buy (add'l)	08/14/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358.					Sold (part)	09/28/20	J		
359.					Sold (part)	10/16/20	J		
360.					Sold (part)	11/13/20	J		
361. - UTX (name change to RTX) - see Part VII			J	T		04/03/20	J		
362. - RTX	A	Dividend			Sold	08/14/20	J		
363. -CARR (spinoff) - see Part VII					Spinoff (from line 361)	04/03/20	J		
364.		None			Sold	04/24/20	J		
365. - OTIS (spinoff) - see Part VII					Spinoff (from line 361)	04/03/20	J		
366.					Sold	04/24/20	J		
367. - HD	A	Dividend	J	T					
368. - AMGN	A	Dividend	J	T					
369. - JNJ	A	Dividend	J	T	Sold (part)	02/14/20	J	A	
370. - KLAC	A	Dividend			Sold (part)	02/04/20	J	A	
371.					Sold	04/08/20	J		
372. - MCD	A	Dividend			Sold (part)	06/12/20	J		
373.					Sold	12/10/20	J		
374. - MET	A	Dividend			Sold	04/21/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. - PEP	A	Dividend			Sold	02/07/20	J	A	
376. - PSX		Dividend			Sold	05/07/20	J		
377. - PG	A	Dividend			Sold (part)	02/14/20	J	A	
378.					Sold	05/29/20	J		
379. - ROK	A	Dividend	J	T	Sold (part)	06/23/20	J	A	
380. - SBUX	A	Dividend	J	T	Buy (add'l)	03/27/20	J		
381. - TXN	A	Dividend	J	T	Sold (part)	02/04/20	J		
382.					Sold (part)	06/23/20	J		
383. - MMM		None			Sold	01/28/20	J	A	
384. - USB	A	Dividend			Sold	01/09/20	J	A	
385. - WMT	A	Dividend	J	T	Sold (part)	03/27/20			
386. - PLD	A	Dividend	J	T	Buy (add'l)	07/10/20	J		
387. - PSA		None			Sold	01/09/20	J		
388. -ACN	A	Dividend	J	T	Sold (part)	05/29/20	J	A	
389. - MDT	A	Dividend	J	T					
390. - MSFT	A	Dividend	J	T	Buy (add'l)	05/29/20	J		
391.					Sold (part)	09/29/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
392. - BDX		None			Sold	03/06/20	J		
393. - BLK	A	Dividend	J	T					
394. - CME	A	Dividend	J	T	Sold	06/29/20	J		
395. - CVX	A	Dividend	J	T					
396. - CSCO	A	Dividend			Sold	08/28/20	J		
397. - CMCSA	A	Dividend	J	T					
398. - COST	A	Dividend	J	T	Sold (part)	03/27/20	J		
399. - EMN	A	Dividend			Sold	02/28/20	J		
400. - FIS	A	Dividend	J	T	Buy (add'l)	02/04/20	J		
401.					Buy (add'l)	03/27/20	J		
402. - GD	A	Dividend			Sold (part)	02/28/20	J		
403.					Sold	04/01/20	J		
404. - ETN	A	Dividend	J	T	Sold (part)	02/28/20	J	A	
405.					Sold (part)	07/10/20	J	A	
406. - IFF	A	Dividend			Sold	02/21/20	J	A	
407. - JPM (see Part VII)	A	Dividend	J	T	Sold (part)	02/28/20	J	A	
408.					Sold (part)	06/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409. - AON	A	Dividend	J	T	Buy	05/07/20	J		
410. - TT	A	Dividend	J	T	Buy	07/22/20	J		
411. - ABT	A	Dividend	J	T	Buy	03/06/20	J		
412. - APD		None	J	T	Buy	11/13/20	J		
413. - APPL	A	Dividend	J	T	Buy	01/08/20	J		
414.					Buy (add'l)	04/21/20	J		
415.					Buy (add'l)	04/24/20	J		
416.					Buy (add'l)	05/29/20	J		
417. - BAC	A	Dividend	J	T	Buy	02/28/20	J		
418. - BSY		None	J	T	Buy	12/10/20	J		
419. - BX	A	Dividend	J	T	Buy	06/29/20	J		
420.					Buy (add'l)	08/28/20	J		
421. - AVGO	A	Dividend	J	T	Buy	06/23/20	J		
422.					Buy (add'l)	08/28/20	J		
423. - CASY	A	Dividend	J	T	Buy	09/25/20	J		
424.					Buy (add'l)	12/10/20	J		
425. - DIS		None	J	T	Buy	02/07/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Scarcella, Louis A.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. - PDPY		None	J	T	Buy	10/16/20	J		
427. - HON	A	Dividend	J	T	Buy	01/28/20	J		
428.					Buy (add'l)	04/01/20	J		
429. - EL	A	Dividend	J	T	Buy	02/07/20	J		
430. - LMT	A	Dividend	J	T	Buy	02/28/20	J		
431.					Buy (add'l)	04/01/20	J		
432. - MAS	A	Dividend	J	T	Buy	03/13/20	J		
433. - MA	A	Dividend	J	T	Buy	02/28/20	J		
434.					Buy (add'l)	03/27/20	J		
435.					Buy (add'l)	06/12/20	J		
436. - MRK	A	Dividend	J	T	Buy	02/14/20	J		
437.					Buy (add'l)	03/24/20	J		
438.					Buy (add'l)	08/28/20	J		
439. - NKE	A	Dividend	J	T	Buy	02/21/20	J		
440.					Buy (add'l)	04/24/20	J		
441. - QCOM	A	Dividend	J	T	Buy	09/17/20	J		
442. - TMO	A	Dividend	J	T	Buy	03/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
443.					Buy (add'l)	04/01/20	J		
444. - TKR	A	Dividend	J	T	Buy	09/17/20	J		
445. - TFC	A	Dividend	J	T	Buy	01/09/20	J		
446. - UTZ		None	J	T	Buy	09/28/20	J		
447. - VZ	A	Dividend	J	T	Buy	02/21/20	J		
448. - BR	A	Dividend			Buy	02/04/20	J		
449.					Sold	09/17/20	J	A	
450. - EQIX	A	Dividend	J	T	Buy	06/23/20	J		
451.					Buy (add'l)	07/10/20	J		
452.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments and Trust

Brokerage Account # 8: Line # 361, on 4/3/20, UTX name change to RTX; and there was a spinoff from UTX into two companies CARR (lines 363 and 364) and OTIS (lines 365 and 366) and then both investments (CARR and OTIS) were sold on 4/24/20 (see lines 364 and 366)

Brokerage Account # 8: Line 407 - incorrectly entered as JPN on last year's report

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Louis A. Scarcella**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544