

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DELK, PAULETTE J.	2. Court or Organization TENNESSEE-BANKRUPTCY	3. Date of Report 05/06/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 200 JEFFERSON MEMPHIS, TENNESSEE 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1987	TIAA-CREF; DISTRIBUTIONS AT AGE 70.5
2. _____	_____
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

DELK, PAULETTE J.

Date of Report

05/06/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	SELF-EMPLOYED PHYSICIAN
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Tennessee Bar Association	April 19-21, 2013	Gatlinburg, TN	Speaker at 10th Annual Bankruptcy Forum	TRANSPORTATION, LODGING, FOOD
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

DELK, PAULETTE J.

Date of Report

05/06/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	BANK OF AMERICA	BUSINESS CREDIT CARD	K
2.	BANK OF AMERICA	CREDIT CARD	K
3.	AMERICAN EXPRESS	CREDIT CARD	J
4.	SUNTRUST SALLIE MAE	STUDENT LOANS	K
5.	CHASE	CREDIT CARD	J

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

DELK, PAULETTE J.

Date of Report

05/06/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SUNTRUST ACCOUNT	A	Interest	J	T					
2. ORION ACCOUNT	A	Dividend	J	T					
3. NORTHWESTERN MUTUAL WHOLE LIFE POLICIES	C	Interest	J	T					
4. REGIONS ACCOUNT		None	J	T					
5. BANK OF AMERICA		None	J	T					
6. NOTE FROM FANNIE HAYES	B	Interest	K	T					
7. TIAA-CREF PENSION	D	Dividend	N	T					
8. STATE OF TENNESSEE 401(k) PLAN	A	Int./Div.	J	T	Sold	03/21/13	J	A	
9. BROKERAGE ACCOUNT #1									
10. -American Funds-WASHINGTON MUTUAL INVESTORS FUND A	A	Dividend	J	T					
11. -American Funds-Europacific Growth Fund- A	B	Dividend	K	T					
12. -American Funds-The New Economy Fund- A	A	Dividend	K	T					
13. BROKERAGE ACCOUNT #2									
14. -FIDELITY ADVISOR NEW INSIGHTS FUND CL A	B	Dividend	J	T					
15. AXA EQUITABLE #1(IRA) (INVESTMENT GRADE BONDS)	A	Interest	K	T					
16. AXA EQUITABLE #2 (IRA) (INVESTMENT GRADE BONDS)	A	Interest	K	T					
17. AXA EQUITABLE #3 (TSA PS)	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

DELK, PAULETTE J.

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05/06/2014

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. ARKANSAS DEFERRED COMPENSATION PLAN	B	Interest	K	T					
19. SMART SAVVY INVESTMENT CLUB	B	Dividend	K	T	Sold	01/22/13	J	A	
20. -ORACLE CORP (common)									
21. -PAYCHEX INC (common)									
22. -TIME WARNER INC (COMMON)									
23. -EXXON MOBIL CP (common)									
24. -GENERAL ELECTRIC (common)									
25. -INTEL (X) (common)									
26. -STARBUCKS (X) CORP (common)									
27. BROKERAGE ACCOUNT #3									
28. -PRIME FUND DAILY MONEY CLASS	B	Dividend	L	T					
29. -ELI LILLY & CO COMMON STOCK	A	Dividend	J	T					
30. -PFIZER COMMON STOCK	A	Dividend	J	T					
31. -FIDELITY EQUITY INCOME II	A	Dividend	K	T					
32. -MORGAN STANLEY INSTL TR VALUE PORT INSTL CL	D	Dividend	N	T					
33. -VANGUARD GROWTH & INCOME	A	Dividend	J	T					
34. -VANGUARD TOTAL STOCK MARKET	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

DELK, PAULETTE J.

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35. -MORGAN STANLEY INSTL TR CORE PL FIX INCM INS	B	Dividend	M	T					
36. BROKERAGE ACCOUNT #4									
37. -ING T. ROWE PRICE GROWTH EQ PORT	A	Dividend	K	T					
38. -FIDELITY VIP CONTRAFUND PORT	A	Dividend	K	T					
39. -THE GROWTH FUND OF AMERICA	A	Dividend	K	T					
40. -EUROPACIC GROWTH FUND	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

DELK, PAULETTE J.

Date of Report

05/06/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

DELK, PAULETTE J.

Date of Report

05/06/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ PAULETTE J. DELK**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544